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**Ribavirin induced hemolysis: A novel mechanism of action against chronic hepatitis C virus infection**

Soota K *et al*. Ribavirin induced hemolysis in chronic HCV

Kaartik Soota, Benedict Maliakkal

**Kaartik Soota,** Department of Internal Medicine, Unity Health System, Rochester, NY 14626, United States

**Benedict Maliakkal,** Department of Gastroenterology and Hepatology, University of Rochester Medical Center, Rochester, NY 14642, United States

**Author contributions:** Soota K and Maliakkal B solely contributed to this paper.

**Correspondence to: Benedict Maliakkal, MD,** Department of Gastroenterology and Hepatology, University of Rochester Medical Center, 601 Elmwood Avenue, Rochester, NY 14642, United States. benedict\_maliakkal@urmc.rochester.edu

**Telephone:** +1-585-2754711 **Fax:** +1-585-2761285

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**Abstract**

Hepatitis C virus (HCV) is not usually cleared by our immune system, leading to the development of chronic hepatitis C infection. Chronic HCV induces the production of various cytokines, predominantly by Kupffer cells (KCs), and creates a pro-inflammatory state in the liver. The chronic dysregulated production of interferon (IFN) and other cytokines by KCs promote innate immune tolerance. A combination therapy using ribavirin (RBV) and IFN-γ decreases inflammation only in patients who respond to this treatment. Sustained virological response (SVR) is significantly higher when IFN is combined with RBV in chronic HCV (cHCV) infection. However, the mechanism of their synergy remains unclear. Previous theories have attempted to explain the anti-HCV effect based on direct action of RBV alone on the virus or on the immune system; however, these theories have serious shortcomings. We propose that hemolysis, which universally occurs with RBV therapy and which is considered a limiting side effect, is precisely the mechanism by which the anti-HCV effect is exerted. Passive hemolysis results in anti-inflammatory/antiviral actions within the liver that disrupt the innate immune tolerance, leading to its synergy with IFN. Ribavirin-induced hemolysis floods the hepatocytes and KCs with heme, which is metabolized and detoxified by heme oxygenase-1 (HMOX1) to carbon monoxide, biliverdin and free iron, inducing ferritin production; all of these metabolites possess anti-inflammatory and antioxidant properties. Thus, HMOX1 plays an extremely important anti-oxidant, anti-inflammatory and cytoprotective role, particularly in macrophages and hepatocytes. Additionally, HMOX1 has been found to be beneficial in cHCV infection, where HMOX1 disrupts the immune tolerance and enhances the response to IFN therapy by restoring interferon-stimulated genes. This mechanism can be clinically corroborated by the following observations that have been found in patients undergoing RBV/IFN combination therapy for cHCV: (1) sustained viral response (SVR) rates are higher in patients who develop anemia; (2) once anemia (due to hemolysis) occurs, the SVR rate does not depend on the treatment utilized to manage anemia; and (3) ribavirin analogs, such as taribavirin and levovirin, which increase intrahepatic ribavirin levels and which produce lesser hemolysis, are inferior to ribavirin for treating cHCV.This mechanism can also explain the observed RBV synergy with direct antiviral agents. This hypothesis is testable and may lead to newer and safer medications for treating cHCV infection.

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**Key words:** Chronic hepatitis C; Therapy; Ribavirin; Hemolysis; Heme oxygenase-1

**Core tip:** Innate immune tolerance leads to chronic hepatitis C virus (cHCV) infection as a pro-inflammatory state develops within the liver. The suppression of heme oxygenase-1 (HMOX1) by HCV plays a major role in perpetuating the infection and inflammation. Ribavirin (RBV) therapy, which increases heme delivery to the liver, can overcome this inhibition. HMOX1 induction in Kupffer cells reduces the pro-inflammatory state produced by HCV, whereas HMOX1 induction in hepatocytes decreases viral multiplication and apoptosis. HMOX1-induced hemolysis in the liver results in higher sustained viral response rates when RBV is used with interferon in the treatment of cHCV.

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**INTRODUCTION**

Hepatitis C is a major public health problem affecting approximately 2% of the world’s population, which constitutes almost 170 million people[1]. Most of the infections are due to hepatitis C virus (HCV) genotype 1, which responded poorly to therapy until the advent of direct-acting agents (DAAs)[2]. With such a large disease burden, HCV is currently the most common cause of hepatocellular cancer and liver transplantation in the United States and Europe[3].

**PATHOPHYSIOLOGY**

HCV is a positive single-stranded RNA virus that exists in 6 different genotypes, with genotype 1 being the most common. Virus replication occurs through an RNA-dependent RNA polymerase lacking the proofreading function, thereby producing many quasispecies in an infected person. This virus production is likely one of the primary causes for the limited immune-mediated control over HCV[1]. Spontaneous recovery from chronic infection (beyond 6 mo after acute infection) is rare and can lead to the development of liver cirrhosis, and liver failure and/or hepatocellular carcinoma in a substantial number of patients.

**INFLAMMATORY MILIEU IN CHRONIC HEPATITIS C INFECTION**

Chronic HCV (cHCV) infection is a state of persistent inflammation in the liver, although the exact mechanism for its pathogenesis remains unclear. Patients with cHCV have altered monocyte functions and are known to produce higher levels of tumor necrosis factor-α (TNF-α) and interferon-γ (IFN-γ)[4]. When acute infection/ inflammation becomes chronic, the mechanisms that dampen the inflammation are impaired[5,6]. This impairment leads to end-organ damage over time. A similar process occurs when acute HCV infection becomes chronic, leading to liver damage/fibrosis and, eventually, cirrhosis of the liver[6].

Kupffer cells (KCs) are resident macrophages in the liver that appear to originate from bone marrow. Chronic non-specific activation of these cells occurs in cHCV, leading to heightened production of IFN-γ, TNF-α and other inflammatory cytokines. CD163 receptors on the liver cells also have concomitantly increased expression, which appears to be an effort to suppress/resolve the inflammation[7]. CD163 is a hemoglobin scavenger receptor, which, under normal circumstances, promotes anti-inflammatory response by upregulating metabolized and detoxified by heme oxygenase-1 (HMOX1). However, patients with cHCV have reduced levels of HMOX1, even with high levels of CD163[8]. These seemingly opposing actions help to perpetuate viremia and chronic inflammation in the liver, along with a state of immune tolerance to HCV that decreases the effectiveness of exogenous interferon in clearing the virus[9] (Figure 1).

**CURRENT cHCV TREATMENT OPTIONS**

Since the early 1990s, IFN-α has been the mainstay of treatment for cHCV. Introducing ribavirin (RBV) to the anti-HCV therapy greatly improved the sustained virological response (SVR) or cure rates. Major trials have concluded that RBV addition to interferon therapy improves SVR to 40%-50% in patients with genotype 1, which is far superior to therapy with pegylated interferon alone (15%-20%)[10]. The following disparate hypotheses have been proposed to explain the synergy of ribavirin with interferon in increasing the SVR: (1) direct inhibition of HCV replication; (2) inhibition of host inosine monophosphate dehydrogenase; (3) mutagenesis induction in rapidly replicating virus, inducing error catastrophe; (4) immunomodulation by inducing the Th1 response; and (5) modulation of Th1 (cell mediated immunity) and Th2 (humoral immunity) lymphocyte balance[11]. None of these hypotheses has convincingly explained the synergistic antiviral effects of the combined therapy with IFN and RBV on hepatitis C.

RBV alone does not have any appreciable direct anti-HCV effects, and the exact mechanism of action in cHCV therapy remains a mystery. Although various new direct-acting antiviral agents with far superior SVR compared with the present treatment options are being developed and approved for the treatment of chronic HCV, RBV remains an integral part of several of the new antiviral regimens. Thus, deciphering the mechanism of RBV in chronic HCV therapy is important for continuing further research in this field for therapeutic purposes and for learning more concerning the pathogenesis of liver disease in cHCV infection.

***Use of IFN-α in cHCV***

Treatment with IFN-α induces the expression of specific genes on liver cells known as interferon-stimulated genes (ISGs)[12]. These genes serve as mediators for exerting the antiviral response of IFN. Patients with low baseline levels of ISGs show good response to endogenous IFN[9]. However, high pre-treatment with endogenous IFN and ISG production by KCs and peripheral blood mononuclear cells has been associated with reduced SVR rates with combination therapy[13]. A recent study concluded that if this chronic local production of IFN by Kupffer cells is disrupted, then a break in tolerance and improved outcomes may occur in chronic HCV patients receiving combined IFN-α RBV therapy[9].

***Use of RBV in cHCV***

The use of RBV in chronic HCV patients was first discovered in the early 1990s as a combination therapy with IFN. Various studies conducted to evaluate the role of RBV in cHCV infection have shown that RBV monotherapy has minimal effects on viremia; however, RBV monotherapy decreases inflammation in these patients, as evidenced on serial liver biopsies and by reduced transaminase levels[14,15]. However, the biochemical response to RBV appears to accurately predict the response to subsequent combination therapy with interferon-, as shown by Rotman *et al*[16] This finding suggests that the anti-inflammatory activity of ribavirin plays a critical role in its synergy with interferon against HCV. As mentioned earlier, several different mechanisms have unsuccessfully been explored to explain this synergy.

***Combination therapy: RBV and IFN***

Combination therapy with RBV and IFN leads to decreased inflammation, as measured by the reduction in the levels of pro-inflammatory cytokines and transaminases in patients who respond to the treatment (responders) compared with those patients who do not respond to the treatment[17,18]. Inhibiting this inflammation before or during IFN therapy may improve outcomes, as concluded by Lau *et al*[9] after studying the effect of combination therapy on rapid responders and non-responders. We propose that this mechanism is precisely the role played by ribavirin alone or in combination with exogenous IFN in cHCV patients.

**HEMOLYTIC ANEMIA IN RBV THERAPY**

Therapy with RBV results in hemolytic anemia due to the accumulation of the drug in the red blood cells (RBCs), frequently leading to adjustment of the dosage and/or discontinuation of therapy, which, in turn, can affect the SVR. Ribavirin-induced hemolysis is passive and non-inflammatory-/non-immune-mediated. Ribavirin-induced hemolysis is also dose-related and sustained, unlike hemolysis in G-6-PD deficiency situations. The reduction in hemoglobin levels appears to correlate directly with the degree of hemolysis and inversely with the erythropoetic ability of the bone marrow. Hemolysis and reduced hemoglobin can lead to major side effects; however, treatment-related anemia, particularly during the first 4-8 wk, correlates well with the efficacy (SVR rate) of the combination therapy[19].

**HEME OXYGENASE-1: A MIRACULOUS ANTI-INFLAMMATORY ENZYME**

HMOX1 is one of the most potent intracellular anti-inflammatory mediators in humans. HMOX1 was first characterized by Tenhunen *et al*[20] in 1968 as an enzyme responsible for the breakdown and detoxification of heme in humans. HMOX1 is the rate-limiting enzyme and step that catabolizes heme to produce equimolar concentrations of biliverdin, carbon monoxide and iron. HMOX1 is present in three isoforms: HO-1, HO-2 and HO-3[21]. HO-2 and HO-3 are constitutively expressed, whereas HMOX1 is the primary inducible form responsible for heme catabolism. HMOX1 can be induced by its substrate heme and by numerous oxidative stress stimuli including, but not limited to, UV light, lipopolysaccharide, heat shock or hyperoxia. Since the discovery of this property, multiple researchers have shown its benefit as an anti-oxidant, anti-inflammatory, anti-apoptotic and anti-proliferative agent[22,23]. Experiments in knockout mice (HMOX1-/-) demonstrated significantly higher cytokine responses, including TNF-α and IFN-γ in comparison to wild type (HMOX1+/+) when exposed to mitogens, such as lipopolysaccharide[24]. These actions of HMOX1 have proven to be protective against inflammatory insults to the brain, liver, kidney and lung.

As mentioned previously, chronic hepatitis C is a state of chronic and persistent inflammation of the liver. The HCV genome consists of 10 proteins, which include core, envelope, and non-structural proteins[25]. Of these proteins, core protein and NS5A are the strongest regulators of oxidative stress in HCV. In 2002, Okuda *et al*[26] and Li *et al*[27] demonstrated that core protein is capable of inducing reactive oxygen species (ROS) both *in vivo* and *in vitro* in hepatitis C. These ROS activate different proteins, including Nrf2, which controls the ability of cells to address oxidative stress[28]. Similarly, non-structural protein NS5A causes Ca2+ release from the endoplasmic reticulum, which triggers ROS release in the mitochondria, leading to the activation of nuclear factor kappa B and signal transducer and activator of transcription-3 pathways[29]. These pathways are implicated in tumor development, growth and metastasis[30,31]. ROS ultimately lead to oxidation of membrane lipids and cell death. With this knowledge, anti-oxidants have been proposed to be utilized in HCV infections, where these molecules can lead to impaired HCV replication and/or increase the effectiveness of interferon therapy. A phase I clinical trial by Melhem *et al*[32] showed normalized liver enzymes (44% of patients), decreased viral load (25%) and histological improvement (36.1%) in patients with cHCV who were treated with a combination of anti-oxidant therapy.

***HMOX1 in HCV***

During the last decade, the discovery of the anti-inflammatory properties of HMOX1 and the presence of oxidative stress in patients with cHCV has caused many researchers to evaluate the use of HMOX1 as a therapeutic option in cHCV. Down-regulation of HMOX1 is observed in hepatocyte cell lines that express HCV core protein, and HMOX1 induction in these cells in response to cytotoxic stressors is also diminished[33]. Heme is a potent inducer of HMOX1 and can abrogate HCV-induced HMOX1 suppression in hepatocytes. Ribavirin-induced hemolysis provides sufficient heme that (1) increases HMOX1 in Kupffer cells and decreases inflammation; (2) increases HMOX1 in hepatocytes, which decreases the oxidative damage and apoptosis caused by HCV and which slightly decreases HCV replication; and (3) restores IFN promoter activation and ISG production, which are altered by HCV core proteins and by NS3/4A, which interfere with IFN signaling[34].

**BIO-CHEMICAL EVIDENCE FOR HEMOLYSIS AS THE MECHANISM FOR THE SYNERGISTIC ACTION OF RIBAVIRIN AGAINST HCV**

Zhu *et al*[35] evaluated the effect of HMOX1 overexpression and induction on human hepatoma cell lines. HMOX1 overexpression in these cell lines led to a marked reduction in the HCV RNA titer by an average of 3.8-fold in comparison to the controls, and these effects were reversed with HMOX1 knockdown. HCV RNA replication was reduced with the induction of HMOX1 by hemin. The same study also showed that HMOX1-overexpressing subclones showed reduced pro-oxidant levels and had improved viability to oxidant-mediated cytotoxicity. In 2007, Shan *et al*[36] showed that increasing HMOX1 by silencing the Bach 1 gene using an antagonist of microRNA-122 (miR-122) decreases HCV replication by 64%-84%, depending on the cell line (The Bach 1 gene represses HMOX1, and miR-122 is required for HCV RNA replication.). A similar study by Hou *et al*[37] demonstrated that microRNA-196 can be used to suppress the Bach 1 gene, leading to HMOX1 upregulation, which inhibited HCV expression. In an experimental model, lucidone, which is a plant compound, was shown to enhance Nrf2 expression, leading to HMOX1 activation and HCV RNA suppression through increasing the antiviral interferon response and through blocking HCV NS3/4A protease[38]. This compound acted synergistically with IFN, protease inhibitor telaprevir, NS5A inhibitor or NS5B inhibitor to suppress HCV RNA replication, and its effects were nullified by blocking *HMOX1* gene expression.

HMOX1 catabolizes heme to produce equimolar concentrations of carbon monoxide, biliverdin and iron (which induces and binds to ferritin). Biliverdin, carbon monoxide and ferritin have been shown to possess anti-inflammatory properties. Among the three, biliverdin has been studied the most in hepatitis C. Zhu *et al*[39] highlighted the role of biliverdin as the primary antiviral agent released by heme catabolism. Biliverdin possesses significant antiviral activity and inhibits NS3/4A protease, which is utilized by HCV. Biliverdin also enhances the action of IFN when used together against HCV-infested cells.

**CLINICAL EVIDENCE FOR HEMOLYSIS AS THE MECHANISM FOR THE SYNERGISTIC ACTION OF RIBAVIRIN AGAINST HCV**

The following clinical outcomes have been seen in patients undergoing treatment with anti-HCV therapy: (1) Sulkowski *et al*[40] evaluated the role of anemia in cHCV patients receiving combination therapy. Among patients treated with boceprevir, RBV and Peg-IFN combination therapy, those patients who developed anemia had a much higher SVR rate (72%) than those patients who did not develop anemia (58%). Another study showed that a higher dose of RBV is associated with a higher degree of anemia and an improved SVR rate[41]; (2) Once anemia develops during RBV therapy, SVR rates do not depend on the approach used to manage anemia (reduced RBV or use of erythropoietin)[40]. Those patients who were given erythropoietin-stimulating agents were able to receive higher daily and cumulative doses of ribavirin. This finding suggests that the level of ribavirin in the serum or RBCs does not influence SVR but that the degree of anemia, which is a surrogate marker for hemolysis in those patients with healthy bone marrow, does; (3) cHCV patients with thalassemia, which precludes a robust bone marrow response to RBV-induced hemolysis, respond poorly to combination therapy. This poor response can be slightly mitigated by periodic packed RBC transfusions along with iron chelation. However, interestingly, IFN alone appeared to have high SVR rates of 60%-70% in younger (< 18 years) cHCV patients with thalassemia, with no additional benefit from ribavirin. This finding suggests that hemolysis may be a more important factor than the serum or RBC level of ribavirin in achieving SVR[42]; (4) Ribavirin analogs, such as taribavirin, which increases the intracellular ribavirin levels in the hepatocytes, and levovirin, which causes lesser hemolysis and anemia compared with ribavirin, produced inferior SVR rates when combined with IFN-γ[43,44]. A safer dosage of taribavirin which produces a significant lesser degree of anemia compared with RBV was found to be inferior to RBV in treating cHCV infection[43]; and (5) inosine triphosphatase gene polymorphisms, which decrease hemolysis, appear to reduce clinically significant anemia and the required dose of ribavirin[45]. However, the increased daily and cumulative dosing of ribavirin does not translate into improved SVR. This finding again suggests that the ribavirin level is less important for SVR than the dose sufficient to cause adequate hemolysis.

**CONCLUSION**

One of the possible mechanisms of ribavirin’s synergy with IFN in cHCV therapy is due to passive hemolysis, which supplies heme to the hepatocytes and Kupffer cells. Heme induces HO-1 and increases the products of heme metabolism, which reverses the pro-oxidant, pro-inflammatory, immune tolerant state induced by HCV. The break in tolerance produced by HO-1 and its products allow for optimal induction of ISGs by exogenously administered IFN, resulting in increased SVR.

Most likely by the same mechanism, ribavirin can also synergize with direct-acting agents against HCV by improving the intracellular antioxidant and antiviral actions in the liver and by improving the efficacy of endogenous IFN. This hypothesis is testable and may lead to newer and safer medications that can overcome the anti HO-1 effect of HCV by mechanisms other than hemolysis induction.

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**Figure 1 Opposing actions of ribavirin and hepatitis C virus** **on cellular heme oxygenase-1 concentration.** Ribavirin (RBV) releases heme from erythrocytes through passive hemolysis. This release activates heme oxygenase-1 (HO-1) in hepatocytes (*via* CD91) and in Kupffer cells (*via* CD163). HO-1 acts as a powerful anti-inflammatory and anti-oxidant in Kupffer cells, whereas HO-1 has anti-apoptotic and weak antiviral properties when expressed in hepatocytes. However, hepatitis C virus (HCV) core protein inhibits HO-1 in both of these cells, producing contrasting actions. This inhibition perpetuates viremia and results in immune tolerance, decreasing the efficiency of interferon in clearing HCV.

**Figure 2**

**Figure 2 Summary of the bio-chemical and clinical evidence of our proposed hypothesis.** Ribavirin (RBV) leads to passive hemolysis, releasing heme oxygenase-1 (HMOX1) to produce a synergistic effect with interferon on chronic HCV (cHCV) infection. SVR: Sustained virological response.