

## CMRPD190071 研究經費收支明細表(專帳)

1F000 公共衛生學科 謝玲玲

計劃名稱： DNA 修復與癌症：從基因型到表現型 [ ]

開始日期： 2010/1/1 結束日期： 2010/12/31 展延日期：

費用別	預算金額	本院補助	院外補助	院內核銷金額	院外核銷金額	餘額
<a href="#">人事費</a>	784294	784294		784294		0
<a href="#">消耗性材料藥品費</a>	766625	766625		766625		0

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1F000 公共衛生學科 謝玲玲

計劃名稱： DNA 修復與癌症：從基因型到表現型 [ ]

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<a href="#">人事費</a>	1042874	1042874		1021249		21625
<a href="#">消耗性材料藥品費</a>	709625	709625		709625		0

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## CMRPD190073 研究經費收支明細表(專帳)

1F000 公共衛生學科 謝玲玲

計劃名稱： DNA 修復與癌症：從基因型到表現型 [ ]

開始日期： 2012/1/1 結束日期： 2012/12/31 展延日期：

費用別	預算金額	本院補助	院外補助	院內核銷金額	院外核銷金額	餘額
<a href="#">人事費</a>	847414	847414		762450		84964
<a href="#">消耗性材料藥品費</a>	709625	709625		709625		0

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**CENTER GRANT**  
Department of Health and Human Services  
National Institutes of Health  
NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

Notice of Award

**Federal Award Date:** 04/24/2015



**Grant Number:** 5P30ES009089-17  
**FAIN:** P30ES009089

**Principal Investigator(s):**  
Regina M Santella, PHD

**Project Title:** Center for Environmental Health in Northern Manhattan

DIR/ SPA & ASSOC DEAN/ MSPH, G&C  
COLUMBIA UNIVERSITY CITY OF NY  
630 WEST 168TH STREET - BOX 49  
NEW YORK, NY 100323702

**Award e-mailed to:** grants-office@columbia.edu

**Period Of Performance:**

**Budget Period:** 04/01/2015 – 03/31/2016

**Project Period:** 07/01/1998 – 03/31/2018

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$1,709,854 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to COLUMBIA UNIVERSITY HEALTH SCIENCES in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Environmental Health Sciences of the National Institutes of Health under Award Number P30ES009089. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Aaron Nicholas  
Grants Management Officer  
NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

Additional information follows

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**SECTION I – AWARD DATA – 5P30ES009089-17****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$421,366
Fringe Benefits	\$140,316
Personnel Costs (Subtotal)	\$561,682
Consultant Services	\$21,000
Supplies	\$41,876
Travel Costs	\$6,000
Other Costs	\$400,492
Consortium/Contractual Cost	\$60,174

Federal Direct Costs	\$1,091,224
Federal F&A Costs	\$618,630
Approved Budget	\$1,709,854
Total Amount of Federal Funds Obligated (Federal Share)	\$1,709,854
<b>TOTAL FEDERAL AWARD AMOUNT</b>	<b>\$1,709,854</b>

**AMOUNT OF THIS ACTION (FEDERAL SHARE)** **\$1,709,854**

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
17	\$1,709,854	\$1,709,854
18	\$1,688,872	\$1,688,872
19	\$1,667,270	\$1,667,270

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**Fiscal Information:**

**CFDA Name:** Environmental Health  
**CFDA Number:** 93.113  
**EIN:** 1135598093A7  
**Document Number:** PES009089D  
**PMS Account Type:** G (Pooled)  
**Fiscal Year:** 2015

IC	CAN	2015	2016	2017
ES	8469970	\$1,709,854	\$1,688,872	\$1,667,270

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**NIH Administrative Data:**

**PCC:** 3N31K000 / **OC:** 414E / **Released:** NICHOLASA 04/23/2015  
**Award Processed:** 03/23/2015 01:36:12 PM

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**SECTION II – PAYMENT/HOTLINE INFORMATION – 5P30ES009089-17**

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

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**SECTION III – TERMS AND CONDITIONS – 5P30ES009089-17**

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.

- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

**Research and Development (R&D):** All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VI Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

Carry over of an unobligated balance into the next budget period requires Grants Management Officer prior approval.

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the Central Contractor Registration. Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) P30ES009089. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is not subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

#### **Treatment of Program Income:** Additional Costs

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### **SECTION IV – ES Special Terms and Conditions – 5P30ES009089-17**

This award is issued in accordance with the NIH fiscal policies described in NIH Guide Notice NOT-OD-15-050 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-050.html>). Cost of Living/Inflationary increases for future year commitments have been discontinued.

The requested budget was considered and determined not to represent significant re-budgeting. Funds may be re-budgeted between direct costs and F&A costs, consistent with applicable cost principles and institutional and policy requirements for prior approval.

None of the funds in this award shall be used to pay the salary of an individual at a rate in excess of the applicable salary cap. Current salary cap levels can be found at the following URL:  
[http://grants1.nih.gov/grants/policy/salcap\\_summary.htm](http://grants1.nih.gov/grants/policy/salcap_summary.htm).

This award is issued in response to the funding opportunity announcement ES12-002, Environmental Health Sciences (EHS) Core Centers (P30). The full text of that announcement can be found at: <http://grants.nih.gov/grants/guide/rfa-files/RFA-ES-12-002.htm>

The funds included for the Community Outreach and Education Core are restricted and may not be used for any other purpose without the written prior approval from the National Institute of Environmental Health Sciences (NIEHS).

No funds may be drawn down from the payment system and no obligations may be made against Federal funds for research involving human subjects at any site engaged in such research for any period not covered by an Office for Human Research Protections Assurance and an IRB approval consistent with the requirements of 45 CFR Part 46. See the current NIH Grants Policy Statement for specific requirements related to the protection of human subjects, which are applicable to and a term and condition of this award.

Under governing PHS Policy no funds may be drawn down from the payment system and no obligations made against federal funds for research involving live vertebrate animals prior to approval by the Office of Laboratory Animal Welfare (OLAW) of an Animal Welfare Assurance in accordance with the PHS Policy on Humane Care and Use of Laboratory Animals.

The following individuals are named as key personnel:

Regina Santella  
Tomas Guilarte  
Matthew Perzanowski  
Steven Chillrud  
David Evans  
Joseph Graziano  
Patrick Kinney  
John Thompson  
Peggy Shepard  
Pam Factor-Litvak  
Xinhua Liu  
Beizhan Yan

Written prior approval is required if any of the individual(s) named above withdraws from the project entirely, is absent from the project during any continuous period of 3 months or more, or reduces time devoted to the project by 25 percent or more from the level that was approved at the time of award.

This award includes funds awarded for consortium activity with WE ACT. Consortia are to be established and administered as described in the current NIH Grants Policy Statement (NIH GPS).

#### REQUIRED REPORTING MUST BE SUBMITTED BY THE DEADLINES

Based upon acceptance of this assistance award, the grantee institution agrees to submit their A-133 audit report for each reporting period no later than the earlier of 30 days after completion of the audit or 9 months after the end of the fiscal year to the Federal Audit Clearinghouse, Bureau of Census, 1201 East 10th St., Jeffersonville, IN 47132. Failure to submit the required A-133 audit report will result in the loss of use of 1% of the indirect costs of this award as a sanction. Continued non-compliance will result in more serious actions up to and including the potential termination of support. Please see Grants Policy Statement Section 8.4.3.

This award does not have automatic carry over, therefore an FFR must be submitted each year. The FFR is required to be submitted no later than June 30. Please see Grants Policy Statement Section 8.4.1.5.

Progress reports usually are required annually as part of the non-competing continuation award process. Late submission or receipt of an incomplete grant progress report will result in delaying the issuance and funding of the non-competing continuation award and may result in a reduced award amount. Please see Grants Policy Statement Section 8.4.1.1.

The NIEHS home page can be found at: <http://www.niehs.nih.gov/>

## STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

**Grants Management Specialist:** Aaron Nicholas

**Email:** nicholaa@mail.nih.gov

**Program Official:** Claudia L Thompson

**Email:** thomps14@niehs.nih.gov **Phone:** (919) 541-4638 **Fax:** (919) 541-2843

## SPREADSHEET SUMMARY

**GRANT NUMBER:** 5P30ES009089-17

**INSTITUTION:** COLUMBIA UNIVERSITY HEALTH SCIENCES

Budget	Year 17	Year 18	Year 19
Salaries and Wages	\$421,366	\$420,700	\$420,011
Fringe Benefits	\$140,316	\$140,094	\$139,865
Personnel Costs (Subtotal)	\$561,682	\$560,794	\$559,876
Consultant Services	\$21,000	\$21,000	\$21,000
Supplies	\$41,876	\$33,900	\$23,317
Travel Costs	\$6,000	\$6,000	\$6,000
Other Costs	\$400,492	\$396,242	\$394,242
Consortium/Contractual Cost	\$60,174	\$60,174	\$60,174
TOTAL FEDERAL DC	\$1,091,224	\$1,078,110	\$1,064,609
TOTAL FEDERAL F&A	\$618,630	\$610,762	\$602,661
TOTAL COST	\$1,709,854	\$1,688,872	\$1,667,270

Facilities and Administrative Costs	Year 17	Year 18	Year 19
F&A Cost Rate 1	60%	60%	60%
F&A Cost Base 1	\$1,031,050	\$1,017,936	\$1,004,435
F&A Costs 1	\$618,630	\$610,762	\$602,661