



NATIONAL RESEARCH SERVICE AWARD

Department of Health and Human Services
National Institutes of Health

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

Notice of Award

Federal Award Date: 06/26/2015



Grant Number: 5T32DK007202-40

FAIN: T32DK007202

Principal Investigator(s):

Sheila E. Crowe, MD

Project Title: GASTROENTEROLOGY TRAINING GRANT

Thanhdiu N. Rich
Contract and Grant Officer
University of California - San Diego
9500 Gilman Drive, Dept. 0934
MC 0041
La Jolla, CA 92093

Award e-mailed to: nihawards@ucsd.edu

Period Of Performance:

Budget Period: 07/01/2015 – 06/30/2016

Project Period: 07/01/1976 – 06/30/2017

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$331,542 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to UNIVERSITY OF CALIFORNIA SAN DIEGO in support of the above referenced project. This award is pursuant to the authority of 42 USC 288 42 CFR 66 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Diabetes And Digestive And Kidney Diseases of the National Institutes of Health under Award Number T32DK007202. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Aricia M Ajose
Grants Management Officer
NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

Additional information follows

SECTION I – AWARD DATA – 5T32DK007202-40**Award Calculation (U.S. Dollars)**

Training Expenses	\$39,800
Stipends	\$247,284
Trainee Tuition/Fees	\$15,280
Trainee Travel	\$6,000

Federal Direct Costs	\$308,364
Federal F&A Costs	\$23,447
Approved Budget	\$331,811
Total Amount of Federal Funds Obligated (Federal Share)	\$331,811
Less Unobligated Balance	\$269
TOTAL FEDERAL AWARD AMOUNT	\$331,542

AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$331,542
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SUMMARY TOTALS FOR ALL YEARS								
YR	THIS AWARD				CUMULATIVE TOTALS			
	Total	Pre	Post	Short Term	Cumulative Total	Pre	Post	Short Term
40	\$331,542	2	4		\$331,542	2	4	
41	\$330,735	2	4		\$330,735	2	4	

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

CFDA Name:	Diabetes, Digestive, and Kidney Diseases Extramural Research
CFDA Number:	93.847
EIN:	1956006144A1
Document Number:	TDK007202H
PMS Account Type:	G (Pooled)
Fiscal Year:	2015

IC	CAN	2015	2016
DK	8472292	\$331,542	\$330,735

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: NCD DDTR / **OC:** 412R / **Released:** AJOSEA 06/25/2015
Award Processed: 06/15/2015 03:43:42 PM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5T32DK007202-40

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>.

SECTION III – TERMS AND CONDITIONS – 5T32DK007202-40

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VI Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

Carry over of an unobligated balance into the next budget period requires Grants Management Officer prior approval.

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) T32DK007202. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is not subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

Treatment of Program Income:
Other Research (Add/Deduct Option)

SECTION IV – DK Special Terms and Conditions – 5T32DK007202-40

This award is issued in accordance with the NIH 2015 fiscal policies described in the NIH Guide Notice NOT-OD-15-048: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-048.html>

This award uses as an offset the unobligated balance of \$269 from the 38 year Federal Financial Report.

Postdoctoral trainees with no clinical responsibilities (e.g. Ph.D. degree, M.D. degree with no U.S. board certification) and more than 5 years of postdoctoral research experience (i.e. stipend level 6 or higher) must receive prior approval from the NIDDK before being appointed to an NIDDK training grant. Only in unusual cases will such approval be given. Advanced postdoctoral scientists working in NIDDK-relevant research areas should consider applying for the NIDDK Mentored Research Scientist Development Award (K01).

Grantees must seek prior approval for the addition of new training program faculty. An authorized institutional business official should submit the request to the Program Official and Grants Management Specialist identified in the Commons for this award. A current biosketch of the proposed mentor should be included in the request.

Appointed trainees are required to engage in research relevant to the mission of the NIDDK. For information on relevant research topics, please refer to <http://www.niddk.nih.gov/about-niddk/research-areas/pages/research-areas.aspx>. The Program Official identified in the Commons for this award should be contacted for any questions.

The National Institutes of Health (NIH) requires the use of the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and PHS 416-7 Termination Notice for institutional research training grants. PHS 2271 Statement of Appointment forms must be submitted for each trainee, each year, prior to or at the time of appointment. Guidance regarding this policy requirement is communicated in the NIH Guide Notice NOT-OD-10-072: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-072.html>. Information on xTrain can be found at: http://era.nih.gov/services_for_applicants/other/xTrain.cfm.

The PHS 6031 Payback Agreement must be submitted for all postdoctoral trainees beginning their initial NRSA appointment. The original executed Payback Agreement must be mailed to the Grants Management Specialist identified in the Commons at the below-referenced address.

Grants Management Branch, NIDDK
Democracy Plaza II, Rm. 703C
6707 Democracy Blvd., MSC 5456
Bethesda, MD 20892 (express mail zip 20817)

Within 30 days of the end of the total support period for each trainee, the institution must submit a PHS Form 416-7 Termination Notice electronically using the eRA Commons xTrain application.

No stipend or other allowances may be paid until submission of the proper forms. Failure to submit these forms within 90 days of the start date of the appointment may result in an expenditure disallowance or a delay in continued funding.

It is understood that no trainee will be permitted to work on any project involving live vertebrate animals or human subjects that has not been approved by the IACUC and/or IRB, as appropriate.

In accordance with the NIH Guide for Grants and Contracts Notice, number NOT-OD-06-093 and dated August 18, 2006, entitled "Revision: Notice of New NIH Policy for Funding of Tuition, Fees, and Health Insurance on Ruth L. Kirschstein National Research Service Awards," the Tuition and Fees category has been calculated using the following per trainee formula: the amount per predoctoral trainee equal to 60% of the level requested by the institution and up to \$16,000 per year (unless dual degree program); and for post-doctoral trainees an amount per trainee equal to 60% of level requested by institution and up to \$4,500 per year (unless the trainee is enrolled in a program that supports postdoctoral individuals in formal degree-granting training).

In accordance with Public Health Service Policy, trainee appointments of less than 9 months duration require written prior approval from the awarding office. The NIDDK has adopted a one person-one slot policy whereby a trainee whose appointment terminates prior to scheduled completion may not be replaced by a new appointee for the remaining number of months.

Trainee appointments may begin at any time during the budget period. When an appointment starts in a budget period, the entire stipend for that appointment must be charged to that same budget period. Trainee appointments may extend beyond the end date of the budget period to accommodate a normal 12-month appointment. That is, such appointments need not coincide with the end of the budget period. If an appointment does extend beyond the end date of the budget period, the Federal Financial Report (FFR) should reflect the portion of the stipend and tuition remaining unpaid as of the end of the budget period as an unliquidated obligation.

FEDERAL FINANCIAL REPORT (FFR):

The Federal Financial Report (FFR) must be submitted electronically to the Office of Financial Management through eRA Commons system (as stated in the NIH Grants Policy Statement, March 2015,

http://grants.nih.gov/grants/policy/nihgps/HTML5/section_11/11.3_institutional_research_training_grants.htm#Reportin. The Federal Financial Report must be submitted no later than 120 days after the end of the calendar quarter in which the budget period ended. Additional information on electronic submission of FFRs is available at the eRA Commons website at: <https://commons.era.nih.gov/commons>.

Grantees can determine which progress reports are due through the website located at: <https://public.era.nih.gov/chl/public/search/index.jsp>, and should periodically check the site, which is updated on or around the 30th of each month. Progress report due dates are also available in the eRA Commons Status system. In addition, automatic e-mail notifications are sent to the PD/PI prior to due date.

As of October 17, 2014, the National Institutes of Health (NIH) requires grantees to submit all type 5 progress reports using the eRA Research Performance Progress Report (RPPR) module. Annual progress reports submitted in any format other than the RPPR will not be processed by the NIH and will require resubmission through the RPPR module in accordance with NIH Guide Notice Number: [NOT-OD-15-014](#) released October 16, 2014.

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Mitchell D. Curling
Email: curlingm@mail.nih.gov **Phone:** 301-594-0417 **Fax:** 301-480-2554

Program Official: Christine L Densmore
Email: densmorec@extra.niddk.nih.gov **Phone:** (301) 402-8714 **Fax:** (301) 480-8300

SPREADSHEET SUMMARY

GRANT NUMBER: 5T32DK007202-40

INSTITUTION: UNIVERSITY OF CALIFORNIA SAN DIEGO

Budget	Year 40	Year 41
Training Expenses	\$39,800	\$39,800
Stipends	\$247,284	\$246,288
Trainee Tuition/Fees	\$15,280	\$15,280
Trainee Travel	\$6,000	\$6,000
TOTAL FEDERAL DC	\$308,364	\$307,368
TOTAL FEDERAL F&A	\$23,447	\$23,367
TOTAL COST	\$331,542	\$330,735

Facilities and Administrative Costs	Year 40	Year 41
F&A Cost Rate 1	8%	8%
F&A Cost Base 1	\$293,084	\$292,088
F&A Costs 1	\$23,447	\$23,367