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**Do different bariatric surgical procedures influence plasma levels of matrix metalloproteinase-2, -7, and -9 among patients with type 2 diabetes mellitus?**

Wu WC *et al*. Does bariatric surgery influence plasma MMP-2, -7, and -9?

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**Abstract**

BACKGROUND

Bariatric surgery is an efficient strategy for body weight and type 2 diabetes mellitus (T2DM) management. Abnormal lipid deposition in visceral organs, especially the pancreas and liver, might cause beta-cell dysfunction and insulin resistance. Extracellular matrix (ECM) remodeling allows adipose expansion, and matrix metalloproteinases (MMPs) play essential roles in ECM construction. MMP-2 and MMP-9 are the substrates of MMP-7. Different studies have reported that MMP-2, -7, and -9 increase in patients with obesity and metabolic syndromes or T2DM and are considered biomarkers in obesity and hyperglycemia patients.

AIM

To prospectively investigate whether MMP-2, MMP-7, and MMP-9 differ after two bariatric surgeries: Gastric bypass (GB) and sleeve gastrectomy (SG).

METHODS

We performed GB in 23 and SG in 19 obese patients with T2DM. We measured body weight, waist circumference, body mass index (BMI), and serum concentrations of total cholesterol, triglycerides, high-density lipoprotein cholesterol, low-density lipoprotein cholesterol, fasting blood sugar (FBS), hemoglobin A1c (HbA1c), C-peptide, homeostasis model assessments of insulin resistance, and MMP-2, MMP-7, and MMP-9 levels at baseline and at 3, 12, and 24 mo post-operation.

RESULTS

Twenty-three patients aged 44.7 ± 9.7 years underwent GB, and 19 patients aged 40.1 ± 9.1 years underwent SG. In the GB group, BMI decreased from 30.3 ± 3.4 to 24.4 ± 2.4 kg/m2, HbA1c decreased from 9.2% ± 1.5% to 6.7% ± 1.4%, and FBS decreased from 171.6 ± 65.0 mg/dL to 117.7 ± 37.5 mg/dL 2 years post-operation (*P* < 0.001). However, the MMP-2, MMP-7, and MMP-9 levels pre- and post-GB were similar even 2 years post-operation (*P* = 0.107, 0.258, and 0.466, respectively). The SG group revealed similar results: BMI decreased from 36.2 ± 5.1 to 26.9 ± 4.7 kg/m2, HbA1c decreased from 7.9% ± 1.7% to 5.8% ± 0.6%, and FBS decreased from 138.3 ± 55.6 mg/dL to 95.1 ± 3.1 mg/dL (*P* < 0.001). The serum MMP-2, -7, and -9 levels pre- and post-SG were not different (*P* = 0.083, 0.869, and 0.1, respectively).

CONCLUSION

Improvements in obesity and T2DM induced by bariatric surgery might be the result of MMP-2, -7, or -9 independent pathways.

**Key words:** Matrix metalloproteinases; Extracellular matrix; Obesity; Type 2 diabetes mellitus; Gastric bypass; Sleeve gastrectomy

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**Core tip:** Bariatric surgery is a very effective strategy for managing obesity patients and those with type 2 diabetes mellitus. Matrix metalloproteinases play roles in extracellular matrix remodeling which consequently results in insulin resistance. Some authors reported higher levels of matrix metalloproteinases (MMP)-2, -7, and -9 in obese or diabetic patients. We measured plasma MMP-2, -7, and -9 concentrations in obese patients before and after bariatric surgeries; however, we did not identify any statistical differences in the MMP levels. We suggested that bariatric surgery reduces obesity and diabetes through MMP-2, -7, or -9 independent pathways.

**INTRODUCTION**

Type 2 diabetes mellitus (T2DM) and obesity raise concerns among global health issues[1-4]. Bariatric surgical procedures, including gastric bypass (GB) and sleeve gastrectomy (SG), have been generally acknowledged as some of the most effective methods to manage body weight and glycemic control in obese patients[5-8]. Matrix metalloproteinases (MMPs) are calcium-dependent and zinc-containing proteases involved in extracellular matrix (ECM) synthesis, basement membrane degradation, and growth factor stimulation[9,10], which further affect adipogenesis and adipose tissue growth[11]. MMPs are classified into six groups based on their substrate and homology: Collagenases, such as MMP-1 and MMP-8; gelatinases, such as MMP-2, and -9; stromelysins, such as MMP-3 and -11; matrilysins, including MMP-7 and -26; membrane type MMPs; and other MMPs[12]. In 2001, Bouloumié *et al*[13] first reported that human adipocytes and pre-adipocytes secrete MMP-2 and MMP-9, and in turn, these two MMPs serve as potential essential regulators in adipocyte differentiation.

MMPs have been recognized as biomarkers of several disorders such as coronary artery diseases and heart failure. Plasma levels of MMPs have been reported to be significantly higher in obesity and T2DM patients[11]. MMP-2 and MMP-9 have both been reported to promote inflammation in high coronary risk events and plaque instability[14,15]. Both have also been reported to increase in obese patients, those with metabolic syndromes, and even patients with diabetes[16,17]. MMP-7 targets various substrates for ECM function, including MMP-2 and MMP-9[12,18]. Elevated MMP-7 levels in obese patients were reported to facilitate adipocyte differentiation[19]. Some authors considered MMP-7 as a marker for obesity, fat cell diameters, and obesity-related metabolic traits[20,21].

We hypothesized that having bariatric surgery would result in a decrease of plasma levels of MMP-2, -7, and -9. If correct, then those MMPs might represent biomarkers of the efficacy of bariatric surgeries. Furthermore, bariatric surgery might improve glycemic control through MMP-2, -7, or -9 independent pathways, and those MMPs could be novel therapeutic targets and prognostic biomarkers for obese patients with T2DM.

**MATERIALs AND METHODS**

We conducted a prospective observational study using a hospital-based design. Overweight or obese patients with T2DM receiving either GB or SG surgery were enrolled in the study. The study was conducted in accordance with the Declaration of Helsinki. The protocol was approved by the Institutional Review Board (IRB approval number: MSIRB2016006).

Eligible patients had been diagnosed with T2DM for more than 6 mo previously with a hemoglobin A1c (HbA1c) level > 8% and were receiving regular medical treatment, including therapeutic nutritional therapy, oral anti-diabetic agents, or insulin. The body mass index (BMI) in these patients ranged from 27.5-35 kg/m2, and these patients were willing to undergo additional treatment with lifestyle modifications, accepted follow-up visits, and provided written informed consent documents.

Patients with cancer within the last 5 years, human immunodeficiency virus infection, active pulmonary tuberculosis, cardiovascular instability within the previous 6 mo, pulmonary embolisms, serum creatinine levels > 2.0 mg/dL, chronic hepatitis B or C, liver cirrhosis, inflammatory bowel disease, acromegaly, organ transplantation, history of another bariatric surgery, alcoholic disorders, or drug abuse, or those who were uncooperative were excluded from the study.

Clinical anthropometry and routine laboratory assessments were performed on the day before surgery as baseline (M0) and at 3 mo (M3), 12 mo (M12), and 24 mo (M24) postoperatively. The participants were required to fast overnight prior to each blood sample collection. The samples were taken from the median cubital vein between 8 and 11 o’clock in the morning. Laboratory assessments included serum levels of total cholesterol, triglycerides, high-density lipoprotein cholesterol, low-density lipoprotein cholesterol, fasting blood sugar (FBS), hemoglobin A1c (HbA1c), C-peptide, homeostasis model assessments of insulin resistance, and MMPs-2, -7, and -9. Anthropometry measurements included body weight, waist circumference (WC), and BMI. The homeostasis model assessments of insulin resistance was calculated as plasma glucose (mmol/L) × insulin (μU/mL)[22].

Overall, there were 23 patients who received GB, and 19 patients who underwent SG in this study. There were 6 men and 17 women aged 44.7 ± 9.7 years in the GB group. There were 14 men and 5 women aged 40.1 ± 9.1 years in the SG group. The duration of T2DM was 4.0 ± 2.7 and 2.6 ± 2.8 years in the GB and SG groups, respectively (Table 1).

The blood samples were promptly injected into aprotinin-containing tubes (500 U/mL) once taken. After standardized centrifugation at 300 *g* and storage at −20 °C, the plasma was aliquoted into polypropylene tubes. Validated enzyme immunoassays for MMPs-2, -7, and -9 (QuickZyme Biosciences B.V., CK Leiden, The Netherlands) performed in a single batch and in a blinded fashion was used to measure the concentrations of MMP-2, -7, and -9.

The comparison of baseline and postoperative variables was conducted using the Wilcoxon signed-rank test. Friedman’s one-way repeated measures analysis of variance on ranks and a post-hoc test were performed to analyze the difference in plasma levels of MMP-2, -7, and -9 at M0, M3, M12, and M24. Spearman’s correlation analysis was used to test the correlations between two parameters. The statistical package for Social Science, version 12.0 (SPSS, Inc., Chicago, Illinois, IL, United States) was used for all analyses.

**RESULTS**

In the GB group, WC, BMI, HbA1c, and FBS were significantly decreased at 2 years postoperatively. WC decreased from 103.2 ± 10.3 to 84.2 ± 7.1 cm; BMI decreased from 30.3 ± 3.39 to 24.4 ± 2.4 kg/m2; HbA1c decreased from 9.2% ± 1.5% to 6.7% ± 1.4%; and FBS decreased from 171.6 ± 65.0 to 117.7 ± 37.5 mg/dL; and all were statistically significant (*P* < 0.001). However, the MMP-2, MMP-7, and MMP-9 levels were similar before and after GB even 2 years postoperatively (*P* = 0.107, 0.258, and 0.466, respectively) (Table 2).

The SG group revealed similar results. WC decreased from 109.4 ± 10.5 to 87.7 ± 11.3 cm; BMI decreased from 36.2 ± 5.1 to 26.9 ± 4.7 kg/m2; HbA1c decreased from 7.9% ± 1.7% to 5.8% ± 0.6%; and FBS decreased from 138.3 ± 55.6 mg/dL to 95.1 ± 3.1 mg/dL; and all were statistically significant (*P* < 0.001), although serum MMP-2, -7, and -9 levels before and after SG were not statistically significant (*P* = 0.083, 0.869, and 0.1, respectively) (Table 3). The serum MMP-2, MMP-7, and MMP-9 concentration trends of GB and SG are shown in Figure 1.

**DISCUSSION**

Obesity results from more lipid storage in adipose tissues causing further ECM accumulation[23]. ECM remodeling and reshaping are necessary to allow for new adipose tissue to grow[24]. In obesity, oxidative stress such as hypoxia and inflammation leads to pathological expansion of the ECM, macrophage aggregation, and collagen expression. Collagen accumulation might further induce lipid deposition[25]. Excessive ECM in white adipose tissue causes necrosis and apoptosis, cell death, accumulation of macrophages, and, consequently, insulin resistance[26]. Ectopic lipid deposition in the liver and pancreas might further result in beta-cell dysfunction and additional insulin resistance[27,28]. ECM changes in adipose tissue are considered to be related to T2DM[29].

 Molecules other than MMPs such as integrins, collagens, a disintegrin and metalloproteinase domain-containing proteins, osteopontin, and tissue inhibitors of metalloproteinases are crucial players in ECM remodeling and adipose tissue rearrangement[30]. Integrins are the major adhesion receptors of the ECM, which transduce signals across the cell membrane and influence intracellular signaling[31]. Rodent studies have demonstrated that integrins might modulate glucose transporter 4 in adipose tissue, impair skeletal muscle glucose uptake, and aggravate insulin resistance[32,33]. Integrins take part in mechanical stimulation of insulin signaling, membrane insulin receptor localization, and insulin sensitivity[34]. Integrin subgroup β2 impacts glucose balance under high fat consumption by activating the immune system, increasing neutrophil growth, and allowing infiltration of leukocytes into the tissue, which improves insulin resistance[35]. This mechanism was corroborated in a study by Roumans *et al*[36] who demonstrated changes in integrin gene activity and ECM remodeling in obesity patients whose therapy was diet-control.

Collagens affect cell adhesion, migration, and differentiation in adipose tissue[37], and their accumulation results in the formation of adipose tissue and insulin resistance[38]. In patients with obesity, collagen V1 was suppressed in adipose tissue and surgery-induced weight loss increased collagen VI in subcutaneous tissue[39]. Other authors found that plasma osteopontin was significantly elevated in T2DM patients. They also concluded that osteopontin might serve a key role in insulin resistance and help to predict 3-year diabetic remission rates in patients undergoing bariatric surgery[40-42].

Although some studies demonstrated higher levels of MMP-2 and MMP-9 in patients with obesity, metabolic syndrome, or diabetes compared to controls[16], other studies showed no difference in the levels of MMP-2 and -9 in patients with similar disorders[43]. Additionally, two studies have shown that MMP-2 and MMP-9 activity decreased in white adipose tissue, but not in the plasma from animals with insulin resistance[44,45]. According to the 2019 report by García-Prieto *et al*[46], MMP-2 activity, measured by gelatin zymography, was initially similar in both obesity and non-obesity patients, but then decreased significantly after bariatric surgery. Similarly, although MMP-9 levels were higher in obesity patients than in non-obesity control patients, it decreased after bariatric surgery[46]. Boumiza *et al*[47] found that *MMP-7* polymorphisms had only a non-significant association with BMI, and both systolic and diastolic blood pressures, triglycerides, total cholesterol, and high-density lipoprotein cholesterol plasma levels were not influenced by *MMP-7* polymorphisms.

Metabolically unhealthy people with normal body weight are susceptible to cardiovascular diseases and DM due to hyperinsulinemia, insulin resistance, and hypertriglyceridemia[23,48]. Therefore, the mechanism of how bariatric surgery improves DM might not be related to its ability to control weight. Furthermore, a previous study also demonstrated that while long-term aerobic training attenuated MMP-2 levels, it also increased MMP-9 levels[12]. The interactions and associations among MMP-2, MMP-7, MMP-9, and weight management are still ambiguous and require additional research.

Our study has some limitations. First, the study population was relatively small. Second, more women received GB than men and more men received SG than women. Third, a type-2 statistical error might occur due to the selected study populations. Furthermore, neither the MMP levels in adipose tissue nor their activities in plasma or adipose tissue were measured. Lastly, the study was conducted in a single-center and was open-labeled.

In the present study we investigated the effects of two bariatric surgeries, GB and SG, on MMP-2, -7, and -9 plasma concentrations. The plasma levels of the three MMPs did not differ before and after the two surgeries. We suggested that bariatric surgery helps improve glucose in obese patients with T2DM *via* the MMP-2, -7, and -9 independent pathways, and that it might be the adipose tissue, rather than the plasma concentrations of MMP-2, -7, and -9, or the plasma and adipose tissue MMP activities, that influences T2DM. Our results augment the current evidence of how bariatric surgery affects glycemic control in obesity and T2DM patients. Further trials determining whether MMPs could be potential markers for the efficacy of bariatric surgeries and how bariatric surgeries can affect diabetic control in obese patients are warranted.

**ARTICLE HIGHLIGHTS**

***Research background***

Bariatric surgeries, including gastric bypass and sleeve gastrectomy, are generally accepted to be effective in controlling body weight and blood glucose in obese patients. Researchers have found matrix metalloproteinases (MMPs) as biomarkers in many disorders. The levels of MMPs were reported to be increased in obese and type 2 diabetes mellitus (T2DM) patients.

***Research motivation***

Previous research reported decreased MMPs, along with reduced body weight, in the exercise group rather than the control group. We hypothesized that the MMP-2, -7, -9 levels would decrease in patients who underwent bariatric surgeries and further explained the mechanism of body weight loss and blood sugar control caused by bariatric surgeries.

***Research objectives***

The results disclosed that the MMP-2, -7, and -9 levels did not differ before or after bariatric surgery. Bariatric surgeries are helpful for weight loss and blood sugar control without significantly affecting MMP-2, -7, and -9 levels. How bariatric surgeries regulate body weight and blood sugar in obese T2DM patients needs further investigation. Whether MMPs other than MMP-2, -7, and -9 play roles demands further study.

***Research methods***

Overall, 6 men and 17 women who received gastric bypass (GB), and 14 men and 5 women who received sleeve gastrectomy (SG) were included. All of the above subjects had a hemoglobin A1c (HbA1c) level > 8% under regular medication by endocrinologists and a body mass index (BMI) ranging from 27.5-35 kg/m2. We measured their clinical anthropometry and serum levels of total cholesterol, triglycerides, high-density lipoprotein cholesterol, low-density lipoprotein cholesterol, fasting blood sugar, HbA1c, C-peptide, homeostasis model assessments of insulin resistance, and MMPs-2, -7, and -9 on the day before surgery as the baseline (M0 and at 3 mo (M3), 12 mo (M12), and 24 mo (M24) postoperatively. We use the validated enzyme immunoassays (QuickZyme Biosciences B.V., CK Leiden, The Netherlands) for the concentration of MMPs-2, -7, and -9. The procedure was performed in a blinded manner. For data analyses, the statistical package for Social Science, version 12.0 (SPSS, Inc., Chicago, Illinois, IL, United States) was used. The statistical methods included the Wilcoxon signed-rank test, Friedman’s one-way repeated measures analysis of variance on ranks followed by a post-hoc test, and Spearman’s correlation analysis.

***Research results***

In both the GB and SG groups, waist circumference, BMI, HbA1c, and fasting blood sugar were significantly decreased 2 years postoperatively. However, serum MMP-2, -7, and -9 levels did not significantly change after both surgeries. Our study added on the knowledge about the relationship between the biomarkers MMP-2, -7, and -9 and GB and SG surgeries.

***Research conclusions***

Our study demonstrated that the MMP-2, -7, and -9 levels did not differ before or after the bariatric surgeries, which indicated that bariatric surgeries might be helpful for body weight and glucose management without altering MMP-2, -7, and -9 levels. The mechanism of weight loss and glucose management by bariatric surgeries in obese T2DM patients needs more exploration.

***Research perspectives***

The study population was relatively small, and there were more women than men who received GB, and more men than women who received SG. Also, neither of the MMP levels nor their activities in adipose tissue were measured. In future studies, the sex ratio should be kept balanced in both groups. Furthermore, the MMP levels and activities in adipose tissue should be taken into consideration.

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**Footnotes**

**Institutional review board statement:** The study was reviewed and approved by the Institutional Review Board of National Yang-Ming University.

**Clinical trial registration statement:** This is a clinical observation, not a clinical trial.

**Informed consent statement:** All study participants or their legal guardian provided informed written consent about personal and medical data collection prior to study enrolment.

**Conflict-of-interest statement:** The authors have no potential conflicts of interest to declare.

**Data sharing statement:** The statistical code and dataset are available from the corresponding author at chency@vghtpe.gov.tw.

**CONSORT 2010 statement:** This is a clinical observation, not a clinical trial. This manuscript was exempted from the CONSORT 2010 Statement.

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**Figure 1 Matrix metalloproteinas-2, -7, and -9 plasma levels at the baseline, 3 mo, 12 mo, and 24 mo after gastric bypass and sleeve gastrectomy.** A: Matrix metalloproteinase (MMP)-2 levels in GB (gastric bypass) group; B: MMP-2 levels in SG (sleeve gastrectomy) group; C: MMP-7 levels in GB group; D: MMP-7 levels in SG group; E: MMP-9 levels of GB; F: MMP-9 levels of SG. M0: The baseline prior to surgery; M3: 3 mo postoperatively; M12: 12 mo postoperatively; M24: 24 mo postoperatively; GB: Gastric bypass; SG: Sleeve gastrectomy.

**Table 1 Baseline characteristics of the two groups**

|  |  |  |
| --- | --- | --- |
| **Baseline characteristics** | **Gastric bypass** | **Sleeve gastrectomy** |
| Patient numbers | 23 | 19 |
| Male  | 6 | 14 |
| Female  | 17 | 5 |
| Age (yr) | 44.7 ± 9.7 | 40.1± 9.1 |
| Duration of type 2 diabetes mellitus (yr) | 4.0 ± 2.7 | 2.6 ± 2.8 |

**Table 2 Body mass index, hemoglobin A1c, fasting blood sugar, matrix metalloproteinas-2, -7, and -9 levels at baseline, 3 mo, 12 mo, and 24 mo after gastric bypass**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **BMI (kg/m2)** | **Waist circumference (cm)** | **HbA1c (%)** | **FBS (mg/dL)** | **MMP-2 (ng/mL)** | **MMP-7 (ng/mL)** | **MMP-9 (ng/mL)** |
|  | mean | SD | mean | SD | mean | SD | mean | SD | mean | SD | mean | SD | mean | SD |
| M0 | 30.3 | 3.4 | 103.2 | 10.3 | 9.2 | 1.5 | 171.6 | 65.0 | 9.22 | 1.9 | 2.5 | 1.2 | 21.7 | 22.3 |
| M3 | 26.0 | 2.8 | 90.4 | 8.0 | 7.1 | 1.6 | 127.0 | 46.7 | 9.28 | 2.0 | 2.1 | 1.1 | 18.8 | 12.8 |
| M12 | 24.2 | 2.2 | 82.3 | 5.3 | 6.5 | 1.2 | 114.1 | 31.1 | 10.65 | 4.3 | 2.5 | 1.2 | 20.6 | 16.2 |
| M24 | 24.4 | 2.4 | 84.2 | 7.1 | 6.7 | 1.41 | 117.7 | 37.5 | 10.59 | 3.5 | 1.5 | 1.2 | 19.1 | 22.4 |
| *P* | < 0.001a | < 0.001a | < 0.001a | < 0.001a | 0.107 | 0.258 | 0.466 |

SD: Standard deviation; BMI: Body mass index; HbA1c: Hemoglobin A1c; FBS: Fasting blood sugar; MMP: Matrix metalloproteinases; M0: The baseline prior to surgery; M3: 3 mo postoperatively; M12: 12 mo postoperatively; M24: 24 mo postoperatively. aStatistically significant.

**Table 3 Body mass index, hemoglobin A1c, fasting blood sugar, matrix metalloproteinas-2, -7, and -9 levels at baseline, 3 mo, 12 mo, and 24 mo after sleeve gastrectomy**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **BMI (kg/m2)** | **Waist circumference (cm)** | **HbA1c (%)** | **FBS (mg/dL)** | **MMP-2 (pg/mL)** | **MMP-7 (pg/mL)** | **MMP-9 (pg/mL)** |
| **mean** | **SD** | **mean** | **SD** | **mean** | **SD** | **mean** | **SD** | **mean** | **SD** | **mean** | **SD** | **mean** | **SD** |
| M0 | 36.2 | 5.1 | 109.4 | 10.5 | 7.9 | 1.7 | 138.3 | 55.6 | 15.4 | 10.8 | 2.3 | 1.3 | 12.1 | 9.4 |
| M3 | 30.6 | 4.6 | 92.5 | 9.9 | 5.9 | 0.5 | 91.0 | 2.2 | 16.3 | 12.9 | 2.1 | 1.2 | 16.4 | 12.2 |
| M12 | 26.8 | 4.4 | 87.1 | 12.7 | 5.7 | 0.5 | 90.6 | 3.5 | 14.4 | 10.9 | 2.3 | 1.2 | 12.3 | 7.6 |
| M24 | 26.9 | 4.7 | 87.7 | 11.3 | 5.8 | 0.6 | 95.1 | 3.1 | 27.0 | 12.0 | 1.6 | 1.4 | 12.1 | 8.3 |
| *P* | < 0.001a | < 0.001a | < 0.001a | < 0.001a | 0.083 | 0.869 | 0.100 |

astatistically significant. SD: Standard deviation; BMI: Body mass index; HbA1c: Hemoglobin A1c; FBS: Fasting blood sugar; MMP: Matrix metalloproteinases; M0: The baseline prior to surgery; M3: 3 mo postoperatively; M12: 12 mo postoperatively; M24: 24 mo postoperatively.