

WJG 20<sup>th</sup> Anniversary Special Issues (5): Colorectal cancer**Anti-EGFR and anti-VEGF agents: Important targeted therapies of colorectal liver metastases**

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Received: September 26, 2013 Revised: February 7, 2014

Accepted: February 20, 2014

Published online: April 21, 2014

**Abstract**

Colorectal liver metastasis (CLM) is common worldwide. Targeted therapies with monoclonal antibodies have been proven effective in numerous clinical trials, and are now becoming standards for patients with CLM. The development and application of anti-epidermal growth factor receptor (anti-EGFR) and anti-vascular endothelial growth factor (anti-VEGF) antibodies represents significant advances in the treatment of this disease. However, new findings continue to emerge casting doubt on the efficacy of this approach. The Kirsten rat sarcoma viral oncogene (KRAS) has been proven to be a crucial predictor of the success of anti-EGFR treatment in CLM. Whereas a recent study summarized several randomized controlled trials, and showed that patients with the *KRAS G13D* mutation significantly benefited from the addition of cetuximab in terms of progress-free survival (PFS, 4.0 mo vs 1.9 mo, HR = 0.51,  $P = 0.004$ ) and overall survival (OS, 7.6 mo vs 5.7 mo, HR = 0.50,  $P = 0.005$ ). Some other studies also reported that the *KRAS G13D* mutation might not be absolutely predictive of non-responsiveness to anti-

EGFR therapy. At the same time, "new" RAS mutations, including mutations in neuroblastoma RAS viral (*v-ras*) oncogene homolog (NRAS) and exons 3 and 4 of KRAS, have been suggested to be predictors of a poor treatment response. This finding was first reported by the update of the PRIME trial. The update showed that for patients with non-mutated KRAS exon 2 but other RAS mutations, panitumumab-fluorouracil, leucovorin, and oxaliplatin (FOLFOX)4 treatment led to inferior PFS (HR = 1.28, 95%CI: 0.79-2.07) and OS (HR = 1.29, 95%CI: 0.79-2.10), which was consistent with the findings in patients with KRAS mutations in exon 2. Then, the update of the PEAK trial and the FIRE-III trial also supported this finding, which would reduce candidates for anti-EGFR therapy but enhance the efficacy. In first-line targeted combination therapy, the regimens of cetuximab plus FOLFOX was called into question because of the inferior prognosis in the COIN trial and the NORDIC-VII trial. Also, bevacizumab plus oxaliplatin-based chemotherapy was questioned because of the NO16966 trial. By the update and further analysis of the COIN trial and the NORDIC-VII trial, cetuximab plus FOLFOX was reported to be reliable again. But bevacizumab plus oxaliplatin-based chemotherapy was still controversial. In addition, some trials have reported that bevacizumab is not suitable for conversion therapy. The results of the FIRE-III trial showed that cetuximab led to a significant advantage over bevacizumab in response rate (72% vs 63%,  $P = 0.017$ ) for evaluable population. With the balanced allocation of second-line treatment, the FIRE-III trial was expected to provide evidence for selecting following regimens after first-line progression. There is still no strong evidence for the efficacy of targeted therapy as a preoperative treatment for resectable CLM or postoperative treatment for resected CLM, although the combined regimen is often administered based on experience. Combination therapy with more than one targeted agent has been proven to provide no benefit, and even was reported to be harmful as first-line treatment by four large clinical trials. However, recent studies reported positive results of erlotinib plus bevacizumab for

maintenance treatment. The mechanism of antagonism between different targeted agents deserves further study, and may also provide greater understanding of the development of resistance to targeted agents.

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**Key words:** Oncology; Colorectal cancer; Liver metastases; Chemotherapy; Targeted therapy

**Core tip:** Targeted therapy is becoming standard for patients with colorectal liver metastases, but new findings continue to improve our understanding of these therapies. "New" *RAS* mutations, rather than the Kirsten rat sarcoma viral oncogene *G13D* mutation, may be predictive of non-responsiveness to anti-epidermal growth factor receptor therapy. The regimen of cetuximab plus FOLFOX is likely effective, but bevacizumab plus oxaliplatin-based chemotherapy remains controversial. Bevacizumab was suggested to be unsuitable for conversion therapy. Further confirmation is required to demonstrate the effectiveness of targeted therapy as a pre- or post-operative treatment. Combination therapy with more than one targeted agent is not recommended.

Feng QY, Wei Y, Chen JW, Chang WJ, Ye LC, Zhu DX, Xu JM. Anti-EGFR and anti-VEGF agents: Important targeted therapies of colorectal liver metastases. *World J Gastroenterol* 2014; 20(15): 4263-4275 Available from: URL: <http://www.wjgnet.com/1007-9327/full/v20/i15/4263.htm> DOI: <http://dx.doi.org/10.3748/wjg.v20.i15.4263>

## INTRODUCTION

Colorectal cancer is one of the most common malignant tumors throughout the world<sup>[1,2]</sup>. It has the third highest incidence and death rate among all cancers in the United States<sup>[3]</sup>, with 143460 new cases and 51690 deaths in 2012. In China, colorectal cancer was the third most common cancer and had the fifth highest death rate in 2009<sup>[4]</sup>. Liver metastases are particularly common in patients with colorectal cancer. Almost 50% of patients will eventually develop liver metastases during the natural course of the disease<sup>[5,6]</sup>, and 25% of patients present with liver metastases at diagnosis<sup>[7-9]</sup>. Autopsies have shown that more than half of patients who died of colorectal cancer had liver metastases, with metastatic liver disease as the cause of death in most patients. However, over the last two decades, the long-term survival of patients with colorectal liver metastases (CLM) has significantly improved. From 1990 to 1997, the median overall survival (OS) was 14.2 mo (95%CI: 13.3-15.2), significantly increased to 29.2 mo (95%CI: 24.3-34.2) from 2004 to 2006 ( $P < 0.05$ ). The 5-year survival rate also rose to 32% (95%CI: 27-38)<sup>[10]</sup>. These achievements should be attributed to the popularity of early diagnostic techniques, the expansion of indications for liver resection, the introduction of new

chemotherapeutic agents (oxaliplatin, irinotecan, *etc.*), and the application of novel targeted agents (cetuximab, panitumumab, bevacizumab, *etc.*).

## TARGETED THERAPY AND MOLECULAR PREDICTORS

Targeted biological therapy is becoming a standard personalized medical treatment for patients with CLM. Two options are currently available in routine clinical practice for patients with CLM: anti-epidermal growth factor receptor (anti-EGFR) antibodies and anti-vascular endothelial growth factor (anti-VEGF) antibodies. The anti-EGFR antibodies include cetuximab, a chimeric monoclonal antibody, and panitumumab, a fully human monoclonal antibody. These antibodies are directed against EGFR and inhibit downstream signaling pathways, leading to the inhibition of both cell proliferation and angiogenesis. The humanized monoclonal anti-VEGF antibody bevacizumab exerts its anti-tumor effects by binding VEGF and inhibiting VEGF from binding to its functional receptor, leading to the inhibition of tumor vessel growth and neovascularization and to a decreased permeability in the surviving vasculature.

Currently, no effective predictor of treatment success with angiogenesis inhibitors has been established, although the presence of the Kirsten rat sarcoma viral oncogene (*KRAS*) gene mutation is known to play a crucial role in predicting the success of treatment with anti-EGFR antibodies<sup>[11,12]</sup>. Three genes in the *RAS* gene family are associated with human tumorigenesis, v-Ha-ras Harvey rat sarcoma viral oncogene homolog (*HRAS*), *KRAS* and neuroblastoma RAS viral (v-ras) oncogene homolog (*NRAS*). Every *RAS* gene has four exons. Past research suggested that *RAS* mutations were mainly concentrated in *KRAS* exon 2, codon 12 and 13. Approximately 40% of colorectal cancers are characterized by *KRAS* gene mutations in these two coding regions<sup>[13,14]</sup>.

Many studies have confirmed that *KRAS* exon 2 mutations are predictive of no benefit from anti-EGFR treatment. The CRYSTAL trial<sup>[15]</sup> proved that for patients with *KRAS* mutations, the addition of cetuximab to FOLFIRI (irinotecan, fluorouracil and leucovorin) as a first-line therapy did not lead to a significant improvement in median progress-free survival (PFS; median PFS, 7.4 mo *vs* 7.7 mo, hazard ratio, HR = 1.171,  $P = 0.260$ ) and median overall survival (OS; median OS, 16.2 mo *vs* 16.7 mo, HR = 1.035,  $P = 0.75$ ). Another clinical trial<sup>[16]</sup> of panitumumab also proved that for patients with *KRAS* mutations, no PFS benefit was associated with panitumumab (median PFS, 7.3 wk *vs* 7.4 wk, HR = 0.99, 95%CI: 0.73-1.36). The subsequent quantitative interaction test compared the magnitude of the relative treatment effect on PFS between wild type and mutant *KRAS* groups, and showed a statistically significant difference ( $P < 0.0001$ ). A recent meta-analysis<sup>[17]</sup> also demonstrated the predictive role of the *KRAS* gene in anti-EGFR therapy.

However, some recent studies raised the possibility that *KRAS* exon 2, codon 13 mutations, mainly G13D, might not be absolutely predictive of non-responsiveness to anti-EGFR therapy. A retrospective study by De Roock *et al*<sup>18</sup> pooled the clinical trials CO.17, BOND, MABEL, EMR202600, EVEREST, BABEL and SALVAGE. The results indicated that in comparison with other *KRAS* mutation, patients with the *KRAS* G13D mutation significantly benefited from the addition of cetuximab in OS (median OS, 7.6 mo *vs* 5.7 mo, HR = 0.50, 95%CI: 0.31-0.81, *P* = 0.005) and PFS (median PFS, 4.0 mo *vs* 1.9 mo, HR = 0.51, 95%CI: 0.32-0.81, *P* = 0.004). There was also a significant interaction between *KRAS* mutation status (G13D *vs* other *KRAS* mutations) and overall survival benefit with cetuximab treatment (adjusted HR = 0.30, 95%CI: 0.14-0.67, *P* = 0.003). No difference was observed between patients with the *KRAS* G13D mutation and wild type *KRAS* in OS (median OS, *P* = 0.79; median PFS, *P* = 0.66). However, for patients with the *KRAS* G13D mutation, the difference in OS or PFS between cetuximab plus chemotherapy and chemotherapy alone became non-significant in the multivariate analysis (adjusted OS, HR = 0.40, 95%CI: 0.13-1.28, *P* = 0.12; PFS, HR = 0.53, 95%CI: 0.16-1.73, *P* = 0.29). Soon afterwards, Tejpar *et al*<sup>19</sup> pooled the clinical trials CRYSTAL and OPUS, finding that in patients with G13D mutant tumors, the addition of cetuximab to chemotherapy significantly improved tumor response (40.5% *vs* 22.0%, odds ratio, OR = 3.38, *P* = 0.042) and PFS (median PFS, 7.4 mo *vs* 6.0 mo, HR = 0.47, *P* = 0.039), but not OS (median OS, 15.4 mo *vs* 14.7 mo, HR = 0.89, *P* = 0.68) after adjusting for differences in baseline variables. Otherwise, in comparison with patients with the *KRAS* wild type allele, patients with the *KRAS* G13D mutation still had poorer tumor response (OR = 0.50, 95%CI: 0.26-0.97, *P* = 0.040) and OS (HR = 1.61, 95%CI: 1.13-2.29, *P* = 0.0085) with cetuximab. Another meta-analysis by Mao *et al*<sup>20</sup> included 10 studies and 1487 patients, concluding that cetuximab led to significantly higher response rates (relative risk, RR = 1.642, 95%CI: 1.13-2.38) in patients with the *KRAS* G13D mutation than in those with the *KRAS* G12 mutation. These studies showed benefits from cetuximab in patients with the *KRAS* G13D mutation, and not worse than *KRAS* wild type patients. The authors suggested that the *KRAS* G13D mutation should be distinguished from other *KRAS* mutations.

At the same time, other studies supported opposing views. Peeters *et al*<sup>21</sup> conducted a retrospective study assessing the prognostic and predictive impact of individual *KRAS* codon 12 and 13 mutations on panitumumab combined with chemotherapy. They pooled three randomized phase III studies including a total of 1053 patients and came to a negative conclusion. The results showed that out of all types of *KRAS* mutations (including G12D, G12V, G12C, G12A, G12S, G12D), only G12A was associated with a negative predictive effect on OS. No other significant differences were observed between panitumumab plus chemotherapy and chemotherapy alone in OS or PFS. Considering the common

mechanism of cetuximab and panitumumab, the efficacy of anti-EGFR therapy for patients with *KRAS* G13D mutations remained in doubt. In addition, the above studies are all retrospective and only include a limited number of cases. Thus, the function of the *KRAS* G13D test should be interpreted and applied conservatively. Further randomized controlled clinical trials should be conducted for confirmation.

In addition to exon 2 mutations, other activating *RAS* mutations may also be negative predictive biomarkers for anti-EGFR therapy, including those in *NRAS* and exons 3 and 4 of *KRAS*, which together are called “new” *RAS*. Douillard *et al*<sup>22</sup> tested the “new” *RAS* status of the *KRAS* wild type patients from the PRIME trial. The results showed that in 512 patients without any *RAS* mutations, panitumumab plus FOLFOX4 led to a 2.2 mo improvement in PFS (median PFS, 10.1 mo *vs* 7.9 mo, HR = 0.72, *P* = 0.004) and a 5.8 mo improvement in OS (median OS, 26.0 mo *vs* 20.2 mo, HR = 0.78, *P* = 0.04), a greater improvement than that observed in patients with only wild type *KRAS* exon 2. A total of 108 patients (17%) with non-mutated *KRAS* exon 2 had other *RAS* mutations. These mutations were associated with inferior PFS (HR = 1.28, 95%CI: 0.79-2.07) and OS (HR = 1.29, 95%CI: 0.79-2.10) with panitumumab-FOLFOX4 treatment, which was consistent with the findings in patients with *KRAS* mutations in exon 2. At the same time, the head-to-head phase II trial PEAK evaluated panitumumab plus mFOLFOX6 and bevacizumab plus mFOLFOX6 in patients with previously untreated *KRAS* exon 2 wild type CLM. The findings from this trial were reported at the 2013 ASCO Annual Meeting<sup>23</sup>, with no significant differences observed between panitumumab and bevacizumab in PFS (median PFS, 10.9 mo *vs* 10.1 mo, HR = 0.97, 95%CI: 0.65-1.17) or OS (median OS, not reached *vs* 25.4 mo, HR = 0.72, 95%CI: 0.47-1.11). However, in subgroup analysis of “new” *RAS* wild type variants, panitumumab led to a significant improvement in PFS (median PFS, 13.1 mo *vs* 9.5 mo, HR = 0.63, *P* = 0.02) and OS (median OS, not reached *vs* 29.0 mo, HR = 0.55, *P* = 0.06). These results indicated that the “new” *RAS* biomarkers should also be tested when receiving anti-EGFR treatment. This increasing number of predictors is leading to the decreasing number of patients suitable for anti-EGFR therapy, but is also resulting in an enhanced efficacy of the treatment.

As a gene downstream of *KRAS*, v-raf murine sarcoma viral oncogene homolog B1 (*BRAF*) is also a hotspot. Approximately 5% to 9% of colorectal cancers are characterized by a specific mutation in the *BRAF* gene, mainly V600E<sup>14,15</sup>. For all practical purposes, *BRAF* mutations are limited to tumors that do not have *KRAS* mutations. Several studies have confirmed *BRAF* as a strong prognostic marker<sup>13,15,24,25</sup>, but the utility of *BRAF* status as a predictive marker remains unclear. The subgroup analysis of the CRYSTAL trial<sup>15</sup> showed that in patients with wild type *KRAS* and mutated *BRAF*, improvements in PFS (median PFS, 8.0 mo *vs* 5.6 mo, HR = 0.934, *P* = 0.87) and OS (median OS, 14.1 mo *vs* 10.3 mo, HR =

0.908,  $P = 0.74$ ) associated with the addition of cetuximab to FOLFIRI were not statistically significant. Although no evidence was reported of an independent interaction between the treatment approach and the tumor *BRAF* mutation status, *BRAF*, like *KRAS*, is expected to become a new predictive factor for treatment efficacy.

## TARGETED THERAPY FOR UNRESECTABLE METASTATIC COLORECTAL CANCER

### First-line treatment

**Anti-EGFR antibody as first-line treatment:** Currently, cetuximab plays a major role in the clinical application of anti-EGFR therapeutics. Many clinical trials of cetuximab combined with chemotherapy have demonstrated the significant utility of this agent in treating metastatic colorectal cancer (details in Table 1). The CRYSTAL trial<sup>[15,26]</sup> involved 1198 patients with unresectable CLM, comparing the addition of cetuximab to irinotecan, fluorouracil, and leucovorin (FOLFIRI) with FOLFIRI alone as the first-line treatment. The results showed that for the intent-to-treat (ITT) population, the cetuximab combined group exhibited a significant increase in the primary end point, PFS (median PFS, 8.9 mo *vs* 8.0 mo, HR = 0.85,  $P = 0.048$ ), but not significant in the secondary end point, OS (median OS, 19.9 mo *vs* 18.6 mo, HR = 0.93,  $P = 0.31$ ). For patients with wild type *KRAS*, the cetuximab combined group exhibited a greater benefit in terms of PFS (median PFS, 9.9 mo *vs* 8.7 mo, HR = 0.68, 95%CI: 0.50-0.94) and OS (median OS, 23.5 mo *vs* 20.0 mo, HR = 0.796,  $P = 0.0093$ ). The CRYSTAL study thus became a pivotal study in obtaining European Medicines Agency approval of the use of cetuximab as a first-line treatment for metastatic colorectal cancer. Another sizable clinical trial, OPUS<sup>[27,28]</sup>, also proved that for patients with wild type *KRAS*, cetuximab plus FOLFOX (fluorouracil, leucovorin, and oxaliplatin) significantly improved PFS (median PFS, 8.3 mo *vs* 7.2 mo, HR = 0.567,  $P = 0.0064$ ) but not OS (median OS, 22.8 mo *vs* 18.5 mo, HR = 0.855,  $P = 0.39$ ). Therefore, cetuximab combined with chemotherapy is considered an important part of first-line treatment for unresectable CLM.

However, the efficacy of cetuximab combined with FOLFOX was called into question by recent studies. In the COIN trial<sup>[24]</sup>, the addition of cetuximab to oxaliplatin-based chemotherapy did not result in a significant improvement in the primary end point OS (median OS, 17.0 mo *vs* 17.9 mo,  $P = 0.68$ ), or the secondary end point PFS (median PFS, 8.6 mo in both arms,  $P = 0.60$ ). Only the response rate was significantly increased by the addition of cetuximab (64% *vs* 57%,  $P = 0.049$ ). Then in the NORDIC-VII trial<sup>[29]</sup>, patients with wild type *KRAS* derived no benefit from cetuximab plus FLOX (fluorouracil, leucovorin, and oxaliplatin) in the primary end point PFS (median PFS, 8.7 mo *vs* 7.9 mo,  $P = 0.66$ ), or in the secondary end point OS (median OS, 22.0 mo *vs* 20.1 mo,  $P = 0.48$ ). Considering these two clinical trials, the national

comprehensive cancer network (NCCN) guidelines have removed the regimen of cetuximab plus FOLFOX from first-line treatment since the 2012 version. However, the 2012 European Society for Medical Oncology (ESMO) guidelines retained this regimen and assigned its highest level of recommendation (Recommendation +++) because of defects in the COIN trial and the NORDIC-VII trial. In the COIN trial<sup>[24]</sup>, cetuximab plus capecitabine and oxaliplatin (XELOX) induced more severe diarrhea, and the dose of capecitabine had to be reduced by 15%. Additionally, in recent subgroup analysis, a significant improvement in PFS with cetuximab was observed in all-wild-type (*KRAS*, *NRAS*, *BRAF*) patients treated with fluorouracil-based therapy (HR = 0.72, 95%CI: 0.53-0.98,  $P = 0.037$ ) but not in those treated with capecitabine-based therapy (HR = 1.02, 95%CI: 0.82-1.26,  $P = 0.88$ ). Patients with no more than one metastatic site also exhibited improved PFS with cetuximab (HR = 0.73, 95%CI: 0.55-0.97,  $P = 0.03$ ). In the NORDIC-VII trial<sup>[29]</sup>, fluorouracil was given as a bolus infusion instead of a continued infusion, which was not exactly the same as the FOLFOX regimen. In conclusion, the regimen of cetuximab combined with FOLFOX has been proven to be reliable as a first-line treatment for CLM.

Panitumumab combined with chemotherapy is also an important first-line treatment for CLM (details in Table 1). The PRIME trial showed that panitumumab plus FOLFOX4 significantly improved PFS in *KRAS* exon 2 wild type patients<sup>[30]</sup> (median PFS, 9.6 mo *vs* 8.0 mo, HR = 0.80,  $P = 0.02$ ) and all *RAS* wild type patients (mentioned above). However, the regimen of panitumumab plus FOLFIRI was not analyzed in a reliable randomized controlled clinical trial as a first-line treatment, and its efficacy was mainly inferred from the results of clinical trials where it was used as a second-line treatment. Therefore, panitumumab plus FOLFIRI was only listed as an alternative in the NCCN guidelines (V. 2013) and was not recommended in ESMO guidelines (V. 2012). Taking into account the differences between populations receiving first-line and second-line treatment, the combination of chemotherapy with panitumumab should be carefully chosen when used in first-line treatment.

**Anti-VEGF antibody as a first-line treatment:** The anti-VEGF antibody bevacizumab has been widely used as a first-line treatment for CLM, and achieves good effects (details in Table 1). Kabbinnavar *et al.*<sup>[31]</sup> pooled data from patients receiving bevacizumab plus fluorouracil/leucovorin from three clinical trials, and reported significant improvements in response rate (34.1% *vs* 24.5%,  $P = 0.019$ ), PFS (median PFS, 8.8 mo *vs* 5.6 mo, HR = 0.63,  $P < 0.0001$ ) and OS (median OS, 17.9 mo *vs* 14.6 mo, HR = 0.74,  $P = 0.008$ ). The pivotal AVF2107g trial<sup>[32]</sup> proved that the addition of bevacizumab to IFL (irinotecan, fluorouracil, and leucovorin) resulted in a statistically significant and clinically meaningful improvement in the primary end point, OS (median OS, 20.3 mo *vs* 15.6 mo, HR = 0.66,  $P < 0.001$ ), and the secondary end point, PFS (median PFS, 10.6 mo *vs* 6.2 mo, HR = 0.54,  $P < 0.001$ ).



|                       |      |     |                  |                    |     |      |                               |      |                        |     |                     |
|-----------------------|------|-----|------------------|--------------------|-----|------|-------------------------------|------|------------------------|-----|---------------------|
| PACCE <sup>[68]</sup> | 2009 | PFS | ITT              | Ox-CT + Bev + Pan  | 413 | 10.0 | HR = 1.27<br>95%CI: 1.06-1.52 | 19.4 | HR = 1.43<br>95%CI:    | 46% | OR = 0.92<br>95%CI: |
|                       |      |     |                  | Ox-CT + Bev        | 410 | 11.4 |                               | 24.5 | 1.11-1.83              | 48% | 0.70-1.22           |
|                       |      |     | KRAS WT subgroup | Ox-CT + Bev + Pan  | 201 | 9.8  | HR = 1.36<br>95%CI: 1.04-1.77 | 20.7 | HR = 1.89<br>95%CI:    | 50% | -                   |
|                       |      |     |                  | Ox-CT + Bev        | 203 | 11.5 |                               | 24.5 | 1.30-2.75              | 56% |                     |
|                       |      |     |                  |                    |     |      |                               |      | <sup>a</sup> P = 0.045 |     |                     |
|                       |      |     | KRAS MT subgroup | Ox-CT + Bev + Pan  | 135 | 10.4 | HR = 1.25<br>95%CI: 0.91-1.71 | 19.3 | HR = 1.02<br>95%CI:    | 47% | -                   |
|                       |      |     |                  | Ox-CT + Bev        | 125 | 11.0 |                               | 19.3 | 0.67-1.54              | 44% |                     |
|                       |      |     | ITT              | Iri-CT + Bev + Pan | 115 | 10.1 | HR = 1.19<br>95%CI: 0.79-1.79 | 20.7 | HR = 1.42<br>95%CI:    | 43% | OR = 1.11<br>95%CI: |
|                       |      |     |                  | Iri-CT + Bev       | 115 | 11.7 |                               | 20.5 | 0.77-2.62              | 40% | 0.65-1.90           |
|                       |      |     | KRAS WT subgroup | Iri-CT + Bev + Pan | 57  | 10.0 | HR = 1.50<br>95%CI: 0.82-2.76 | NE   | HR = 1.28<br>95%CI:    | 54% | -                   |
|                       |      |     |                  | Iri-CT + Bev       | 58  | 12.5 |                               | 19.8 | 0.50-3.25              | 48% |                     |
|                       |      |     |                  |                    |     |      |                               |      | P = 0.445              |     |                     |
|                       |      |     | KRAS MT subgroup | Iri-CT + Bev + Pan | 47  | 8.3  | HR = 1.19<br>95%CI: 0.65-2.21 | 17.8 | HR = 2.14<br>95%CI:    | 30% | -                   |
|                       |      |     |                  | Iri-CT + Bev       | 39  | 11.9 |                               | 20.5 | 0.82-5.59              | 38% |                     |

<sup>a</sup>P < 0.05. PFS: Progress-free survival; OS: Overall survival; ITT: Intent to treat; WT: Wild type; MT: Mutant type; NE: Not estimatable; KRAS: KRAS exon 2, codons 12 and 13; FOLFOX: Fluorouracil, leucovorin, and oxaliplatin; XELOX: Capecitabine and oxaliplatin; FLOX: Fluorouracil, leucovorin, and oxaliplatin; Ox-CT: Oxaliplatin-based chemotherapy; FOLFIRI: Irinotecan, fluorouracil, and leucovorin; IFL: Irinotecan, fluorouracil, and leucovorin; Iri-CT: Irinotecan-based chemotherapy; Cet: Cetuximab; Pan: Panitumumab; Bev: Bevacizumab.

However, the regimen of bevacizumab combined with oxaliplatin-based chemotherapy remains controversial. The large, double-blind, placebo-controlled clinical trial NO16966<sup>[33]</sup> involved 1401 patients with unresectable CLM, and compared bevacizumab-plus-oxaliplatin-based chemotherapy (FOLFOX, XELOX) with chemotherapy alone. The addition of bevacizumab was associated with a more modest increase in the primary end point, PFS, of 1.4 mo (median PFS, 9.4 mo *vs* 8.0 mo, HR = 0.83, *P* = 0.0023). But no benefit was observed in the secondary end point OS (median OS, 21.3 mo *vs* 19.9 mo, HR = 0.89, *P* = 0.077). More attention should be paid on the results of the subgroup analysis, which indicated that bevacizumab was only associated with significant improvements in PFS when added to XELOX (HR = 0.77, *P* = 0.0026) but not FOLFOX (HR = 0.89, *P* = 0.1871). Another recent meta-analysis<sup>[34]</sup> came to a similar conclusion. This meta-analysis included six randomized clinical trials with a total of 3060 patients, and found that bevacizumab resulted in significant improvements in PFS (HR = 0.72, 95%CI: 0.66-0.78, *P* < 0.00001) and OS (HR = 0.84, 95%CI: 0.77-0.91, *P* < 0.00001). However, the advantage in OS was limited to irinotecan-based regimens (HR = 0.78, 95%CI: 0.68-0.89, *P* = 0.0002). Neither fluorouracil nor oxaliplatin-based treatments presented statistically significant data. Thus, bevacizumab combined with oxaliplatin-based chemotherapy is not currently the best choice as a first-line treatment.

### Conversion therapy

Approximately 80%-90% of patients with CLM have unresectable metastatic liver disease at presentation<sup>[35]</sup>. However, some of these patients might become resectable after response to conversion chemotherapy. Such patients are referred to as Group 1 patients in the ESMO guidelines. For these patients, the treatment aim should

be achieving maximum tumor shrinkage to create an opportunity for radical surgery<sup>[36]</sup>. Therefore, short-term indicators should be set as the end points of conversion therapy, such as resection rate, response rate, and rate of early tumor shrinkage (ETS).

ETS is a novel short-term indicator suitable for conversion therapy. The shrinkage of tumor size is directly associated with the ability to operate, and has also been proven to be associated with long-term survival. Giessen *et al*<sup>[37]</sup> defined ETS as a  $\geq 20\%$  decrease in maximum tumor diameter between baseline and seven weeks of treatment, and found that patients with ETS had a more favorable outcome in terms of PFS (9.9 mo *vs* 6.1 mo, *P* = 0.029) and OS (27.5 mo *vs* 17.8 mo, *P* = 0.002). Modest *et al*<sup>[38]</sup> observed ETS  $\geq 20\%$  in 59% of patients with KRAS wild type tumors and indicated that patients with ETS  $\geq 20\%$  exhibited increases in their overall response rate (82% *vs* 19%, *P* < 0.001), PFS (8.9 mo *vs* 4.7 mo, *P* < 0.001) and OS (31.6 mo *vs* 15.8 mo, *P* = 0.005). These studies suggested that ETS was an effective predictor of the success of conversion therapy.

In conversion therapy, the clinical application of anti-EGFR treatment is also common and effective. In the CRYSTAL trial<sup>[15,26]</sup>, patients in ITT population had a higher response rate (46.9% *vs* 38.7%, *P* = 0.004) and a higher R0 resection rate (4.8% *vs* 1.7%) with the addition of cetuximab. For patients with hepatic-only metastases, cetuximab plus FOLFIRI was associated with a 77% response rate. The OPUS trial<sup>[27,28]</sup> also showed that for patients with wild type KRAS, cetuximab plus FOLFOX4 was associated with significantly higher best overall response (57% *vs* 34%, OR = 2.551, *P* = 0.0027). A recent study by Ye *et al*<sup>[39]</sup> compared cetuximab plus chemotherapy with chemotherapy alone as a first-line treatment for patients with initially unresectable CLM. In this trial, the primary end point was set as the rate of patient conver-

sion to resection for liver metastases. The results showed that for ITT population, cetuximab plus chemotherapy significantly improved the rate of patient conversion to resection (28.6% *vs* 13.2%,  $P = 0.027$ ), R0 resection rate (25.7% *vs* 7.4%,  $P = 0.004$ ) and response rate (57.1% *vs* 29.4%,  $P = 0.001$ ). Long-term outcomes were also improved, such as PFS (median PFS, 10.2 mo *vs* 5.8 mo,  $P = 0.004$ ) and OS (median OS, 30.9 mo *vs* 21.0 mo,  $P = 0.013$ ).

For anti-VEGF treatment, the AVF2107g trial<sup>[32]</sup> showed a significant improvement in response rate (44.8% *vs* 34.8%,  $P = 0.004$ ) with bevacizumab plus IFL. But the NO16966 trial<sup>[33]</sup> came to a negative conclusion that bevacizumab plus oxaliplatin-based chemotherapy did not improve the response rate (47% *vs* 49%, OR = 0.90, 95%CI: 0.71 to 1.14,  $P = 0.31$ ). The meta-analysis<sup>[34]</sup> mentioned previously also suggested no improvement in response rate (OR = 1.12, 95%CI: 0.94-1.33,  $P = 0.21$ ) with the addition of bevacizumab as a first-line treatment. Based on these results, some researchers held that bevacizumab was not suitable for conversion therapy. To investigate this suspicion, a phase III clinical trial FIRE-III comparing FOLFIRI plus cetuximab with FOLFIRI plus bevacizumab as first-line treatment was reported at the 2013 ASCO Annual Meeting<sup>[40]</sup>. For ITT population, there was no significant difference between the cetuximab group and the bevacizumab group in primary endpoint response rate (62% *vs* 58%,  $P = 0.183$ ). But for the evaluable population, cetuximab led to a significant advantage over bevacizumab (72% *vs* 63%,  $P = 0.017$ ). At the secondary end point no difference was observed in PFS, but a 3.7 mo improvement in OS was observed in the cetuximab group (28.7 mo *vs* 25 mo,  $P < 0.017$ ). In this clinical trial, cetuximab exhibited an advantage over bevacizumab in conversion therapy. However, the evaluable population decreased by 66 patients (11.1%) compared with the ITT population. The final results on exit bias should be emphasized. And in an update presented at the 2013 ESMO Annual Meeting<sup>[41]</sup>, the “new” RAS mutations were tested. The results showed that all RAS wild-type patients had a longer median OS with FOLFIRI plus cetuximab, leading to a more significant improvement of 7.5 mo (33.1 mo *vs* 25.6 mo, HR = 0.70,  $P = 0.011$ ), compared with 3.7 mo in patients with only KRAS exon 2 wild type. This study again confirmed the predictive role of “new” RAS. All previous clinical trials came to a conclusion that bevacizumab was not preferred for conversion therapy. However, bevacizumab is still considered acceptable because it cannot be known in advance whether resectability will be achieved, and bevacizumab provided a benefit in terms of long-term survival.

### Targeted therapy after progression

Numerous clinical trials have proven the benefits of second-line targeted therapy for patients who had first-line progression and did not receive targeted agents as first-line treatment<sup>[42-46]</sup>. However, for patients who received first-line targeted therapy, it is unclear whether and how to use targeted agents continuously.

Some studies have suggested that the continuation of bevacizumab following progression on first-line bevacizumab could provide benefits. In the TML trial<sup>[47]</sup>, patients with CLM who progressed on regimens containing bevacizumab received second-line therapy consisting of a different chemotherapy with or without bevacizumab. The results showed that continuing on bevacizumab led to a modest improvement in OS (median OS, 11.2 mo *vs* 9.8 mo, HR = 0.81,  $P = 0.0062$ ). PFS was also improved by 1.6 mo (median PFS, 5.7 mo *vs* 4.1 mo, HR = 0.68,  $P < 0.0001$ ). Another study<sup>[48]</sup> retrospectively analyzed 573 patients from the US Oncology iKnowMed electronic medical record system and showed that continuous bevacizumab after progression was associated with longer OS (HR = 0.76, 95%CI: 0.61-0.95) and longer post-progression OS (HR = 0.74, 95%CI: 0.60-0.93) in multivariate analysis. Some single-arm studies<sup>[49,50]</sup> also showed a median post-progression PFS of 5 to 5.6 mo and a median OS of 13.9 to 15.4 mo with continuous bevacizumab.

For anti-EGFR antibodies, NCCN guidelines (V. 2013) concluded that if cetuximab or panitumumab were used as the initial therapy, then neither cetuximab nor panitumumab should be used in second or subsequent lines of therapy. This conclusion might be hasty because of the lack of evidence from related clinical trials. The ongoing clinical trial CAPRI was the first study to investigate a treatment strategy of continuing cetuximab after first-line progress. KRAS wild type patients with CLM were given first-line cetuximab plus FOLFIRI and were randomized at progression (1:1) to receive FOLFOX alone or in combination with cetuximab. The results of this trial were expected to resolve this controversy.

The FIRE-III trial also provided evidence for selecting second-line treatments. After first-line progression, 48.2% patients in cetuximab group received bevacizumab instead, and 14.4% patients continued on cetuximab. In bevacizumab group, 42.9% patients changed into cetuximab, and 17.6% patients continued on bevacizumab. The allocation of second-line treatment was balanced ( $P = 0.347$ ). As previously mentioned, cetuximab plus FOLFIRI had advantages in terms of OS (28.7 mo *vs* 25 mo,  $P < 0.017$ ), but not PFS. This anomaly might be explained that cetuximab and bevacizumab were similar as first-line treatment in PFS and OS. The benefits in terms of OS in cetuximab group mainly came from the second-line bevacizumab treatment, which didn't prolong PFS. This explanation suggested that first-line cetuximab followed by second-line bevacizumab was better than first-line bevacizumab followed by second-line cetuximab. Considering that the FIRE-III trial was not over, the final results were expected.

For patients failed with targeted therapy as first-line treatment, the major difficulty lies in identifying the resistance arises from whether cytotoxic chemotherapy or targeted monoclonal antibodies. Although it is reasonable to replace only part of the regimen, delays in treatment and unnecessary economic waste must be taken into account. The selection of second-line or subsequent treatment is a severe test of the experience and judgment of physicians.

## PREOPERATIVE CHEMOTHERAPY COMBINED WITH TARGETED AGENTS FOR RESECTABLE METASTATIC COLORECTAL CANCER

Preoperative chemotherapy is believed to be advantageous because of the earlier treatment of micro metastatic disease, the determination of responsiveness to chemotherapy, and the avoidance of local therapy for those patients with early disease progression. However, only a few large randomized clinical trial provided evidence on neoadjuvant chemotherapy for patients with upfront resectable CLM, aside from additional reports from some small-sample and/or single-arm studies<sup>[51-53]</sup>. The large randomized clinical trial EORTC 40983<sup>[54]</sup> involved 364 potentially resectable patients with up to four liver metastases and compared FOLFOX4 as perioperative chemotherapy with surgery alone. The results showed a significantly higher 3-year PFS rate (35.4% *vs* 28.1%, HR = 0.79, *P* = 0.058) in patients receiving perioperative chemotherapy. However, a recent update of this trial<sup>[55]</sup> reported that the difference of OS after a median follow up of 8.5 years was not significant, with a 5-year OS of approximately 50% in both groups. In addition to the lack of benefit for long-term survival, this trial was not designed to compare preoperative chemotherapy alone, which made it difficult to prove the effect of preoperative chemotherapy. Adam *et al.*<sup>[56]</sup> also reported the negative result that preoperative chemotherapy for metachronous CLM provided no benefit in long-term OS and PFS.

In terms of preoperative chemotherapy combined with targeted agents, the NCCN and ESMO guidelines gave no strong evidence. Only a few small-sample, single-arm studies were available. Gruenberger *et al.*<sup>[57]</sup> reported a non-randomized clinical trial evaluating bevacizumab plus XELOX as a preoperative therapy for patients with potential resectable CLM. After preoperative therapy with bevacizumab, they observed a complete response rate of 8.9%, a partial response rate of 64.3%, and a progressive disease rate of only 5.4%. No interference in liver regeneration was found after resection. There are also a number of studies concentrating on locally advanced rectal cancer<sup>[58-62]</sup>, but these results were not suitable for patients with common colon cancer, because of the differences between colon and rectal cancer in route of metastasis, surgical strategy, application of radiotherapy and so on.

The risks of preoperative targeted therapy should be taken into account. These risks mainly lie in missing the window of opportunity for resection; the achievement of a complete response, making it difficult to identify areas for resection; and potential liver toxicity, making resection impossible. It is also difficult to determine the best cycles of preoperative therapy. The results of EORTC 40983 and a number of other trials suggested that preoperative chemotherapy plus targeted agents might be useful. More detailed evidences are needed to enable physicians to weigh the advantages and disadvantages of this approach.

## CHEMOTHERAPY COMBINED WITH TARGETED AGENTS AS POSTOPERATIVE ADJUVANT TREATMENT

For patients with resected stage II/III colorectal cancer, postoperative adjuvant treatment with targeted agents has been proven to be useless or even harmful. In the N0147 trial<sup>[63]</sup>, cetuximab plus FOLFOX significantly increased grade 3/4 adverse events (72.5% *vs* 52.3%, odds ratio, OR = 2.4, *P* < 0.001), providing no benefit in primary end point 3-year PFS rate (65.0% *vs* 67.1%, HR = 1.12, *P* = 0.38). In the interim analysis of the ongoing PETACC-8 trial reported at the 2012 ESMO Annual Meeting, cetuximab plus FOLFOX provided no benefit for KRAS wild-type patients in disease-free survival (DFS, HR = 1.047, *P* = 0.6562) or OS (HR = 1.092, *P* = 0.5583). Worse DFS was observed with cetuximab in patients aged > 70 years (*n* = 149, HR = 1.97, *P* = 0.051), in females (*n* = 666, HR = 1.45, *P* = 0.031) and in patients with right-sided colon cancer (*n* = 570, HR = 1.40, *P* = 0.043). The NSABP C-08 trial<sup>[64]</sup> reported negative results with the addition of bevacizumab to FOLFOX in 3-year DFS (77.4% *vs* 75.5%, HR = 0.89, *P* = 0.15). The results of the AVANT trial<sup>[65]</sup> also failed to show benefits from bevacizumab plus oxaliplatin-based chemotherapy in DFS, with an even worse outcome for the FOLFOX-combined regimen in terms of OS (HR = 1.27, 95%CI: 1.03-1.57, *P* = 0.02). The four large randomized clinical trials above came to a conclusion that targeted agents had no role in the adjuvant treatment of resected stage II/III colorectal cancer.

For resected CLM, the role of postoperative adjuvant chemotherapy remains unclear<sup>[36]</sup>. However, postoperative chemotherapy with FOLFOX plus bevacizumab is often administered despite the lack of data supporting this regimen. In the interim analysis of the Dutch HEPATICA trial<sup>[66]</sup> reported at the 2011 ASCO Annual Meeting, bevacizumab plus capecitabine and oxaliplatin (CAPOX) as postoperative adjuvant chemotherapy provided no significant benefit compared with CAPOX alone in 2-year DFS rate (70% *vs* 52%, *P* = 0.074), and exhibited no significant differences in toxicity. For patients who received targeted therapy and responded before surgery, it is reasonable to continue targeted therapy as a postoperative adjuvant treatment. However, for upfront resected CLM without preoperative targeted therapy, postoperative targeted therapy is not recommended, based on the negative results of trials N0147, PETACC-8, NSABP C-08 and AVANT.

## COMBINATION THERAPY WITH MORE THAN ONE TARGETED AGENT

As anti-EGFR antibodies and anti-VEGF antibodies exert their anti-tumor effects in different mechanisms, their combination should theoretically lead to greater effects. Preclinical trials also demonstrated that the com-

combination of more than one targeted agent led to stronger inhibition of the downstream signaling pathways compared with single treatment. However, most clinical trials showed no advantages and in some cases even showed disadvantages of the combination of anti-EGFR and anti-VEGF antibodies as first-line treatment. The CAIRO-2 trial<sup>[67]</sup> compared cetuximab plus bevacizumab with bevacizumab alone based on the XELOX regimen. For the ITT population, the combination of cetuximab with bevacizumab led to shorter PFS (median PFS, 9.4 mo *vs* 10.7 mo,  $P = 0.01$ ). There was no differences in OS (median OS, 19.4 mo *vs* 20.3 mo,  $P = 0.16$ ) or response rates (52.7% *vs* 50.0%,  $P = 0.49$ ). Subgroup analysis also showed no difference for KRAS wild type patients in response rate (61.4% *vs* 50%,  $P = 0.06$ ), PFS (median PFS, 10.5 mo *vs* 10.6 mo,  $P = 0.30$ ) or OS (median OS, 21.8 mo *vs* 22.4 mo,  $P = 0.64$ ). Similar results were observed in the PACCE trial<sup>[68]</sup> with the addition of panitumumab to regimens containing bevacizumab and oxaliplatin/irinotecan. In patients receiving panitumumab plus bevacizumab and oxaliplatin-based chemotherapy, the addition of panitumumab significantly decreased the PFS (median PFS, 10.0 mo *vs* 11.4 mo, HR = 1.27, 95%CI: 1.06-1.52) and OS (median OS, 19.4 mo *vs* 24.5 mo, HR = 1.43, 95%CI: 1.11-1.83). In patients receiving irinotecan-based chemotherapy, no differences were observed between the two groups in their PFS or OS.

The combination of more than one targeted agent as a maintenance treatment is also controversial. The GERCOR-DREAM trial<sup>[69]</sup>, reported at the 2012 ASCO Annual Meeting, provided positive results that the addition of erlotinib to bevacizumab as a maintenance treatment significantly improved the duration of maintenance PFS (median MT-PFS, 5.8 mo *vs* 4.6 mo, HR = 0.73,  $P = 0.005$ ) after first-line treatment in CLM. Although the OS data were not mature, they were promising and warranted continuation of the trial. However, the similar Nordic ACT trial<sup>[70]</sup> reported opposite results that the addition of erlotinib to bevacizumab as a maintenance treatment did not significantly improve PFS (median PFS, 5.73 mo *vs* 4.23 mo, HR = 0.79,  $P = 0.19$ ) or OS (median OS, 21.5 mo *vs* 22.8 mo, HR = 0.88,  $P = 0.51$ ). Despite their conflicting results, these two trials provided novel research ideas.

The clinical trials described above raise the questions that whether cytotoxic agents interfere with targeted agents, in which phase combinations of targeted agents should be used, and what are the differences between experiments *in vivo* and *in vitro*. Although it is not presently recommended to combine more than one targeted agent, more mechanistic research is meaningful and valuable. This could also lead to a breakthrough in understanding the development of resistance to targeted agents.

## CONCLUSION

Surgical resection undoubtedly remains the gold standard

for the treatment of resectable CLM. However, a well-coordinated multidisciplinary approach is more important to achieving optimal outcomes for patients with CLM. Targeted therapy with monoclonal antibodies is becoming increasingly important for patients with CLM, with new findings and doubts continuing to emerge regarding these relatively new agents. For patients with the *KRAS G13D* mutation, anti-EGFR therapy might have positive effects. The “new” RAS mutations were considered to be predictors of poor responsiveness to anti-EGFR therapy. The efficacy of cetuximab plus FOLFOX is likely to be reliable, but bevacizumab plus oxaliplatin-based chemotherapy remains controversial. Studies suggest that bevacizumab is not suitable for conversion therapy. More evidence is needed to confirm the utility of targeted therapy for neoadjuvant and adjuvant treatment. Combination therapy with more than one targeted agent is not currently recommended.

In the era of personalized cancer medicine, current research goals should be focused on further defining the roles of targeted agents at different stages of disease and treatment, as well as optimizing their sequencing with other treatments. More clinical trials are necessary to answer the remaining questions. Treatment regimens should be more accurate and personalized based on novel molecular markers. The growing number of molecular predictors has led to the conjecture that tumor classification will soon be based on molecular markers rather than localization or histology. In the future, every patient will be genotyped for several markers and treated with appropriate targeted agents. This may be the best way to approach the tumor heterogeneity among patients. However, some studies<sup>[71]</sup> remind us that heterogeneity also exists within a single tumor, which makes it difficult to describe the molecular characteristics of the whole tumor based on the part of the tissue analyzed by biopsy. A map of all tumor heterogeneity may be useful and necessary. In this increasingly complex era, surgeons and physicians will play central roles in making decisions by collecting, evaluating and using evidence, rather than based on their personal experience.

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