

## ANSWERING REVIEWERS

### Round-1

#### Reviewer #1

Dear reviewer,

The comments you made on our manuscript were very important to the improvement of its quality and we are grateful for your crucial help. The article underwent a thorough language polishing. Here are the point-to-point changes according your suggestions:

1. We added a broader discussion on the specific immune responses induced by *H. pylori*, highlighted in **green** in the manuscript file.
2. We highlighted the main *H. pylori* virulence factors associated with the development of gastric cancer and added a discussion on another bacterial protein that has been closely associated with this disease, the heat-shock protein 60, as you suggested (highlighted in **pink** in the manuscript file).
3. We included discussions regarding the connection between *H. pylori* infection and gastric cancer and the necessity for further studies on this theme (highlighted in **blue** in the manuscript file).
4. We performed changes in Figure 1 in order to optimize it and make it more accurate, as you suggested.

Best Regards,

Fabício Freire de Melo

Professor, PhD

#### Reviewer #2

Dear reviewer,

We are grateful for your evaluation on our manuscript. No specific changes were required in your comments.

Best regards,

Fabício Freire de Melo

Professor, PhD

## **Round-2**

**Reviewer's code:** 05754827

Dear reviewer,

Thank you for the important comments on our manuscript. They were crucial for enhancing the paper quality. We have performed all the changes you suggested, as described below.

1. We added a discussion on other *H pylori* virulence factors that have been closely associated with the development of gastric cancer (highlighted in gray in the manuscript file).
2. We made the Figure 1 available.
3. A thorough language polishing was performed with the elimination of several typos throughout the text (highlighted in yellow in the manuscript file).

Best Regards,

Fabício Freire de Melo

Professor, PhD

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### **Immune response to *Helicobacter pylori* infection and gastric cancer development**

Immunology, *H. pylori*, and gastric cancer

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## Abstract

Gastric adenocarcinoma is a global health concern and has *H. pylori* infection as the main risk factor for its occurrence. Of note, the immune response against the pathogen seems to be a determining factor for gastric oncogenesis, and increasing evidence **has** emphasized several host and bacterium factors that **probably play a role in that scenario**. The development of an inflammatory process against *H. pylori* involves a wide range of mechanisms such as the activation of pattern recognition receptors and intracellular pathways resulting in the production of proinflammatory cytokines by gastric epithelial cells. This process culminates in the establishment of distinct immune response profiles that result from the cytokine-induced differentiation of T naïve cells into specific T helper (Th) cells. Cytokines released from each type of Th cells orchestrate the immune system and interfere in the development of gastric cancer in idiosyncratic ways. Moreover, variants in genes that cytokines such as single-nucleotide polymorphisms have been associated with variable predispositions for the occurrence of gastric malignancy since they influence both in the intensity of gene expression and in the affinity of the resultant molecule with its receptor. In addition, various repercussions related to some *H. pylori* virulence factors seem to **influence host immune response** against the infection and many of them have been associated with gastric tumorigenesis.

**Key Words:** Gastric cancer; Helicobacter pylori; Immune response; Virulence factors; Polymorphisms

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**Core Tip:** Gastric cancer affects more than 1 million people yearly, and *H. pylori* infection is the main risk factor for that malignancy. Moreover, the immune response against the infection seems to play a pivotal role in gastric carcinogenesis. This article provides a broad and updated

overview on the main aspects regarding *H. pylori* infection, immune response, and gastric cancer development.

## INTRODUCTION

About 1 million people are diagnosed with gastric cancer and more than 700,000 individuals die from this neoplasm every year<sup>[1]</sup>. That incidence makes gastric adenocarcinoma the 5<sup>th</sup> most common malignancy, also being the 3<sup>rd</sup> cause of cancer-related death worldwide<sup>[2]</sup>. Among the multiple factors that influence in the development of this disease, *H. pylori* infection stands out. Gastric colonization by this gram-negative, spiral-shaped microorganism is the main risk factor for the occurrence of gastric adenocarcinoma and, worryingly, it infects more than half of the world population<sup>[3]</sup>. In that context, studies have emphasized the critical role of the interplays between *H. pylori* and the host immune system in carcinogenesis<sup>[4]</sup>.

The immune response activation by *H. pylori* infection in the gastric mucosa occurs mainly through the triggering of pattern recognition receptors (PRRs), which leads to the activation of intracellular cascades that culminate in the secretion of proinflammatory cytokines<sup>[5]</sup>. The events taking place in the initial phase of infection lead to the recruitment of T cells, and the establishment of specific immune response profiles by T helper (Th) cells is determinant for the development of *H. pylori*-related gastric disorders<sup>[6]</sup>. The *H. pylori* has several virulence factors that favor its perpetuation in the gastric hostile environment. Some mechanisms triggered by these bacterial products play pivotal roles in the regulation of the host immune response and seem to influence the genesis of gastric neoplasms<sup>[7]</sup>. On the other hand, specific host polymorphisms in genes that encode cytokines also interfere in the risk of developing the disease by altering the expression pattern of these mediators as well as the intensity of the signals that they activate<sup>[8]</sup>.

Given the background, this article aims to provide a broad and updated review on how the immune response against *H. pylori* infection influences the development of gastric adenocarcinoma, discussing the main bacterial and host variables that interfere in the pathophysiology of the disease.

## IMMUNE RESPONSE ACTIVATION BY *H. PYLORI* INFECTION

Complex host immune responses involving innate and adaptive mechanisms are induced by *H. pylori* infection<sup>[9,10,11]</sup>. Gastric epithelium plays a pivotal role in the innate immune response to the bacterium since its cells make up the only cell phenotype in direct contact with the pathogen in conditions in which tissue damage is absent yet<sup>[12,13]</sup>. The initial contact of the gastric epithelial cells with the pathogen activates **pattern-recognition receptors** including NOD1 and toll-like receptors (TLRs). These innate host defense mechanisms trigger cell **signaling** pathways that induce the activation of nuclear factor kappa B (NF-κB), activating protein-1 (AP-1), and interferon regulatory factors (IRFs)<sup>[14]</sup>. Concerning the TLRs, it has been reported that gastric epithelial cells express TLR1, TLR2, TLR4, TLR5, TLR9, and TLR10, which interact with various *H. pylori* antigens such as lipoteichoic acid, lipoproteins, lipopolysaccharide (LPS), flagellin, HSP-60, neutrophil-activating protein A (NapA), DNA, and RNA<sup>[15- 17]</sup>. These receptors are very important to the induction of the expression of pro-inflammatory and antibacterial factors<sup>[16]</sup>. For example, the translocation of NF-κB to the nucleus, aiming at activating the expression of genes associated with the inflammatory process, is directly associated with the engagement of TLRs, particularly TLR2, in a Myeloid differentiation primary response 88 (MyD88)-dependent process<sup>[18]</sup>. MyD88 is a key TLR adapter protein used by all TLRs, except TLR3, and transmits signals that result in the induction of inflammatory cytokines<sup>[16]</sup>. However, although TLRs are the most studied receptors, *H. pylori* promotes the activation of PRRs other than TLRs. For instance, *H. pylori* peptidoglycan, delivered into host cells by the type IV secretion system (T4SS) or through outer membrane vesicles secreted from the bacterium, is recognized by NOD1<sup>[19-22]</sup>. As a result, the interaction between *H. pylori* and PRRs leads to the expression of inflammatory cytokines, antimicrobial peptides, and type 1 interferon (IFN) by gastric epithelial cells<sup>[14]</sup>. Subsequently, these cytokines and inflammatory mediators stimulate the recruitment of both polymorphonuclear and mononuclear cells into the gastric mucosa<sup>[23,24]</sup>. Lastly, it is important to mention that there are other cell components that also act in the induction of this inflammatory process. Recent reports have led to the conclusion that miRNAs act as modulators of *H. pylori* infection and, concomitantly, have their expression affected by the bacterium<sup>[25]</sup>.

This inflammatory response is characterized by the chemotaxis of monocytes/macrophages, dendritic cells (DCs), B and T cells, and in particular, neutrophils, whose main **chemoattractant** is the IL-8 secreted by gastric epithelial cells as a result of the

engagement of NOD1, for instance<sup>[26, 27]</sup>. Neutrophils are recruited to the lamina propria at the beginning of *H. pylori* infection and several specific *H. pylori* factors are known to interact with these cells and modulate their responses<sup>[28, 29]</sup>. One of these factors is a protein produced by *H. pylori* known as neutrophil-activating protein (HP-NAP or NapA). HP-NAP can promote chemotaxis, endothelial adhesion, and **the** production of reactive oxygen intermediates by neutrophils<sup>[30-32]</sup>. Incubation of these cells with HP-NAP results in significant production of cytokines such as IL-12 and IL-23. The same effects of HP-NAP on cytokine secretion were also observed in macrophages and DCs. Therefore, it is possible to conclude that this protein acts on both neutrophils and monocytes, inducing the production of cytokines<sup>[31]</sup>. Mononuclear infiltration in the lamina propria is also characteristic of *H. pylori*-induced chronic infection<sup>[13]</sup>. Human monocytes and macrophages are important coordinators of the immune response to *H. pylori*-derived products and signals from epithelial cells in direct contact with the bacterium on the surface of the mucosa<sup>[15]</sup>. In this infection, both monocytes and macrophages, alongside the DCs, act as activators of adaptive immunity, since they are antigen-presenting cells (APCs), capable of expressing class II MHC molecules that activate CD4<sup>+</sup> T cells<sup>[33]</sup>. Furthermore, monocytes and macrophages also produce factors such as IL-12, responsible to induce a polarized T helper 1 (Th1) immune response, IL-1 $\beta$ , IL-6, IL-10, and TNF- $\alpha$ , which, except for IL-10, induce the amplification of the inflammatory response<sup>[17]</sup>. Moreover, it is important to note that macrophages are also effector cells that are able to produce nitric oxide (NO) derived from the enzyme-inducible NO synthase (iNOS, NOS2) and reactive oxygen species (ROS), both associated with cellular damage<sup>[34]</sup>. Although in smaller numbers, DCs are also important in the immune response to *H. pylori* infection, especially because they represent an important bridge between the innate and adaptive immunities<sup>[27]</sup>. These cells express a broad spectrum of PRRs, which enables them to capture antigens at the periphery and induce T naive cells to direct T cell differentiation<sup>[35]</sup>. This role is played through three main signals: (1) presentation of foreign antigens in form of peptides bound to class II MHC molecules to T cells; (2) co-stimulation of T cell differentiation; and (3) secretion of cytokines, particularly IL-6, IL-8, IL-10, IL-12, IL-1 $\beta$ , and TNF- $\alpha$ <sup>[36]</sup>. Both aforementioned antigen-presenting cells exhibit remarkable secretion of IL-12, which enables the induction of a Th1-polarized immune response, responsible for the secretion of INF- $\gamma$  and low amounts of cytokines characteristic of Th2 responses, such as IL-4 and IL-5<sup>[37-41]</sup>. Finally, mast cells represent an additional innate cell phenotype that is found within the *H. pylori*-infected gastric

mucosa. These cells can be activated by various *H. pylori* components. For instance, the bacterial virulence factor VacA can induce mast cells to express multiple inflammatory cytokines, including IL-1, TNF, IL-6, IL-23, and IL-10<sup>[42, 43]</sup>. Upon the stimulation of epithelial cells, macrophages, and DCs by *H. pylori* bacterial factors, CD4+ and CD8+ T cells are recruited to the gastric mucosa, with preferential activation of CD4+ T cells in detriment of CD8+ cells<sup>[44-48]</sup>.

## **IMMUNE RESPONSE PROFILES IN *H. PYLORI* INFECTION AND GASTRIC CANCER**

As aforementioned, the triggering of an immune response against the *H. pylori* involves the activation of CD4+ and CD8+ T cells and their migration to the gastric environment<sup>[49]</sup>. Among the cytokines expressed in that context, stand out those inducing the differentiation of naïve T cells into Th1 (e.g. IL-12), Th17 (e.g. TGF- $\beta$ , IL-23, and IL-6), and Treg (e.g. IL-2 and TGF- $\beta$ ) cells<sup>[50]</sup>.

The establishment of proinflammatory Th1 response in the *H. pylori* infection is associated with the development of corpus gastritis. Depending on the further host and environmental variables, the aforementioned condition can result in gastric atrophy and intestinal metaplasia, which are well-known precancerous lesions<sup>[51,52]</sup>. Of note, our group has previously demonstrated that Th1 response varies according to the age among *H. pylori*-positive individuals. In that study, higher gastric concentrations of the Th1-related cytokines IL-2, IL-12p70, and INF- $\gamma$  were observed in adults than in children. Moreover, the levels of Th1 cytokines were directly correlated with the severity of gastric inflammation<sup>[53]</sup>.

Regarding Th17 response, although other cytokines such as TGF- $\beta$  and IL-6 are strongly related to this immune profile, current evidence emphasizes the pivotal role of IL-23 in its induction in the setting of *H. pylori* infection<sup>[54,55]</sup>. A study found that chronically infected IL-23(p19)<sup>-/-</sup> mice had reduced gastric expression of IL-17A as well as milder gastric inflammation and higher levels of *H. pylori* colonization compared to wild-type *H. pylori*-positive mice<sup>[56]</sup>. The IL-17A, in its turn, promotes the migration of polymorphonuclear leukocytes to the infection site and is an important component in the control of *H. pylori* gastric infection<sup>[57]</sup>. Previous studies using mice have shown that IL-17A<sup>-/-</sup>, as well as IL-17RA-deficient individuals, have a milder gastric neutrophil infiltration against *H. pylori* infection than wild-type mice. Interestingly, the mice lacking IL-17RA signaling had an enhanced chronic inflammation with intense infiltration of B and CD4+ T cells into gastric mucosa<sup>[58]</sup>.

Dual roles have been attributed to Th17 responses in cancer settings. On one hand, this immune profile seems to be important in the immunosurveillance against malignant cells since it stimulates the migration of leukocytes into tumors and promotes the activation of antitumor CD8<sup>+</sup> T cells. Intratumoral Th17 cells induce the expression of CCL20, a chemokine that attracts dendritic cells (DCs) to the tumor environment, as shown in a recently published paper by Chen and colleagues<sup>[59]</sup>. Subsequently, DCs phagocytose tumor material and migrate to lymph nodes, contributing to the activation of CD8<sup>+</sup> T cells that migrate to the tumor environment through their chemotaxis to the Th17-induced CXCL9 and CXCL10<sup>[60]</sup>. Moreover, studies have shown that Th17 cells can convert into Th1 Lymphocytes *in vivo*, enhancing their antitumor effectiveness<sup>[61,62]</sup>. When stimulated by IL-23 and IL-12 in an environment with absent or low TGF- $\beta$ , Th17 cells are able to express IFN- $\gamma$  and T-bet, important Th1-related molecules. Interestingly, Th17-derived Th1 cells showed to have a more effective antitumor activity compared to other Th1 Lymphocytes, and this may be due to the prolonged survival and superior functionality of the former compared to the latter<sup>[63]</sup>.

On the other hand, the Th17 profile **has** shown to be involved in various **protumor** activities. Firstly, IL-17 seems to promote angiogenesis, since elevated intratumoral levels of that cytokine are associated with high expression of vascular endothelial growth factor (VEGF) and increased **tumor** vascular density<sup>[64]</sup>. **In addition**, the aforementioned cytokine stimulates cancer cells to release IL-6, which, besides promoting VEGF production, enhances activator of transcription 3 (STAT3) activation, increasing the survival of malignant cells by suppressing apoptosis<sup>[65]</sup>. Moreover, studies have described the existence of FOXP3<sup>+</sup> CD4<sup>+</sup> Th17 cells, which may play regulatory, **protumor** roles in cancer contexts. This phenomenon seems to occur along with low levels of IL-6, IL-23, and IL-23 as well as with the presence of TGF- $\beta$ , which activates FOXP3 expression<sup>[66]</sup>.

A study carried out by Su *et al* showed that IL-17 and ROR $\gamma$ t (the main IL-17A transcription factor) were highly expressed in both **tumor** microenvironment and peripheral blood mononuclear cells (PBMCs) of gastric cancer patients, mainly among those with metastasis<sup>[65]</sup>. This data suggests that the presence of Th17 cells is not only directly associated with the occurrence of gastric cancer but also with the severity of the disease. Indeed, a recently published study embracing stage IV gastric cancer patients from four cohorts **has** reinforced that theory, since it found abnormally high levels of Th17 cell differentiation and activation of IL-17 pathways among patients with

severe disease<sup>[67]</sup>. Interestingly, another study evaluating the percentages of Th17 cells in PBMCs among gastric cancer patients before and after tumor resection observed a significant drop in the proportion of Th17 cells after the treatment<sup>[68]</sup>. Of note, IL-27 **has** been highlighted as a crucial cytokine that plays dual roles in the regulation of the immune system. As far as this cytokine enhances T-bet expression through IL-27/IL-27R $\alpha$  signaling and subsequent STAT1 phosphorylation leading to Th1 cell differentiation, IL-27 impairs Th17 responses by downregulating ROR $\gamma$ T<sup>[69,70]</sup>. In a recently published study, our group showed that *H. pylori*-infected individuals have higher IL-27 Levels in their serum and gastric mucosa than non-infected individuals do. In contrast, there was a lack of IL-27 in both serum and **gastric mucosa from** gastric cancer patients, who also showed to have a remarkable Th17-polarized inflammatory pattern<sup>[71]</sup>.

The Treg cells might play pivotal roles in *H. pylori*-induced gastric adenocarcinoma by favoring infection perpetuation and by repressing immune responses against malignant cells through the secretion of regulatory cytokines. Indeed, studies have observed that Treg cells are positively correlated with increased bacterial colonization<sup>[72]</sup>, **and their levels are enhanced among gastric cancer patients**<sup>[73,74]</sup>. Three types of Treg cells have been described by studies: IL-10-secreting Tr1 cells, TGF- $\beta$ 1-producing Tr3 cells, and FOXP3-expressing CD4<sup>+</sup>CD25<sup>high</sup> Treg cells<sup>[75]</sup>. The latter is a pivotal component in the scenario of *H. pylori* colonization, favoring the pathogen persistence in the gastric environment by suppressing the immune responses. A study demonstrated that FOXP3, TGF- $\beta$ 1, and IL-10 are highly expressed during *H. pylori* infection and the density of FOXP3<sup>+</sup> Treg cells was higher in the gastric mucosa of infected individuals than in *H. pylori*-negative people. These cells have been also associated with **an increased bacterial density among individuals with gastritis**<sup>[76]</sup>.

Advances in the understanding of the interplays between Treg responses and the development of gastric cancer have been achieved. Interestingly, a recent study including gastric cancer patients in various stages of the disease showed that high infiltration of FOXP3<sup>+</sup> Treg cells was associated with poor outcomes among individuals with advanced disease, but it was a predictor of better prognosis among patients with early-phase **diseases**<sup>[77]</sup>. Current evidence emphasizes the role of Wnt/ $\beta$ -catenin signaling in gastric carcinogenesis, since 70% of gastric cancer patients have dysregulation in pathways associated with this signaling<sup>[78]</sup>. The  $\beta$ -catenin induces gastric cancer cells to produce CCL28, which strongly attracts Treg cells to the tumor environment. In

this sense, a recently published study using *H. felis*-colonized mice with gastric cancer found the block of  $\beta$ -catenin-induced CCL28 through anti-CCL28 antibodies leads to the suppression of gastric cancer progression by inhibiting Treg cells infiltration<sup>[79]</sup>.

A surface glycoprotein known as neuropilin1 (NRP1) seems to be crucial for the immunoregulatory events taking place in the tumor environment. The role of that molecule had already been well described in other malignancies, being related to cell migration, angiogenesis, and invasion<sup>[80]</sup>. In a new investigation by Kang *et al*<sup>[81]</sup>, the expression of NRP1 was associated with increased levels of the regulatory cytokines IL-35, IL-10, and TGF- $\beta$ 1 as well as with increased infiltration of Treg cells and M2 macrophages in gastric cancer. Moreover, its expression was positively correlated to poorer outcomes, which indicates that the NRP1 has the potential to be used as a prognostic factor in gastric cancer patients.

Besides the aforementioned roles of Treg cells in gastric cancer development, Liu *et al* found that these cells promote the expression of leucine-rich repeat containing G protein-coupled receptor 5 (Lgr5) by tumor cells *via* TGF- $\beta$ 1 and TGF- $\beta$ 1 signaling pathway, probably involving the aforementioned Wnt/ $\beta$ -catenin signaling<sup>[82]</sup>. The Lgr5 is a global stem cell marker whose overexpression is observed in gastric cancer and it **has** also been positively correlated with tumor invasion, metastasis, and poor prognosis among individuals with that malignancy<sup>[83]</sup>. Figure 1 summarizes the roles played by Th cells in the setting of gastric carcinogenesis.

## POLYMORPHISMS IN GENES THAT ENCODE CYTOKINES AND GASTRIC CANCER

### *IL-1*

The Interleukin-1 family has 11 molecules that are able to interact with almost all human cells<sup>[84]</sup>. Among these, the pro-inflammatory IL-1 $\beta$  and the antagonist receptor of IL-1 (IL-1Ra) have been associated with an increased risk of developing GC<sup>[85-89]</sup>. The genes encoding IL-1 $\beta$  and IL-1Ra are called *IL1B* and *IL1RN*, respectively<sup>[90]</sup>. Single nucleotide polymorphisms (SNPs) found in these genes alter the inflammatory response of these cytokines. The SNPs identified in the coding of IL-1B have a C-T transition base at -511, -31, or +3954 positions. For IL-1Ra, the **allele** 2 (IL-1RN\*2) has been associated with inflammatory responses that increase the risk of **disease** development.<sup>[91]</sup>

In the presence of any of the three aforementioned SNPs in the sequences that encode IL-1 $\beta$ , the production of this cytokine can be enhanced. This overexpression has been associated with the development of hypochlorhydria and atrophy of the gastric corpus, in addition to an increased risk for GC, especially on *H. pylori*-positive subjects<sup>[92-102]</sup>. The production of IL1-Ra is mediated by a diversity of cytokines such as IL-1 $\beta$ , and the antagonistic function of the former controls the inflammatory response of the latter. In this sense, the SNP IL-1RN\*2 has been linked to increased IL-1 $\beta$  secretion<sup>[103-106]</sup>.

### *IL-8*

IL-8, also known as CXCL8, is a proinflammatory chemokine from the alpha subfamily (CXC)<sup>[107]</sup>. It can be produced by several cells such as epithelial and endothelial cells, monocytes, macrophages, and tumor cells<sup>[108,109]</sup>. Increased expression of IL-8 is promoted by various stimuli, including the initiation, modulation, and maintenance of the host inflammatory response against *H. pylori* infection<sup>[110,111]</sup>. This molecule induces the migration and proliferation of endothelial cells, contributing to angiogenesis and tumorigenesis, being related to increased cell migration, invasion, and metastasis<sup>[112]</sup>.

The *CXCL8* gene is located in chromosome 4q12-21 and possesses three introns, four exons, and a proximal promoter region<sup>[113]</sup>. The genetic polymorphism IL8-251T> A (rs4073) has been associated with variations in the expression of IL-8 and increased risk of gastric cancer development mainly in Brazilian, Chinese, and Korean populations<sup>[114]</sup>. Curiously, that polymorphism was not significantly associated with a higher risk of gastric cancer in the Japanese population, which might be related to specific environmental factors and genetic background<sup>[107]</sup>.

### *IL-10*

As previously discussed in this review, the IL-10 is an antiinflammatory cytokine; therefore, it inhibits the activity of some defense cells and limits the production of pro-inflammatory cytokines<sup>[115]</sup>. Polymorphisms -1082, -592, and, less frequently, -819, can modulate IL-10 transcription, decreasing the expression of this cytokine, which can initiate a hyperinflammatory response that increases the risk of gastric lesions and cancer. Therefore, these polymorphisms have been associated with a possible higher risk of GC, mainly in Asian populations, but also in a study conducted with American subjects.<sup>[116-124]</sup>

## ***IL-2***

IL-2 is a cytokine that plays proinflammatory and antiinflammatory roles and is encoded by a gene located in chromosome 4q21. Among other repercussions, this molecule contributes to the proliferation of T regulatory cells and regulates the expansion and apoptosis of activated T cells<sup>[26,40]</sup>. The *IL2* GG variant genotype -330T> G in *H. pylori*-positive Asians and Brazilians, as well as the SNP *IL-2* + 114T> G and 330g / + 114T haplotype in *H. pylori*-positive Brazilians, have been associated with an increased risk of developing gastric cancer<sup>[125]</sup>.

## ***IL-4***

Similarly to IL-2, IL-4 also plays dual roles in the immune system, being encoded by a gene in the chromosome 5q31.1<sup>[112]</sup>. Its function in tumor progression is mainly related to the inhibition of proinflammatory cytokines in the setting of antitumor immune responses, favoring the perpetuation of malignant cells<sup>[111]</sup>. Polymorphisms in *IL4* - 590C / T rs2243250 CC, genotype CT + CC, and *IL4* haplotypes have been found to be associated with a higher risk of developing gastric cancer in the Chinese population<sup>[126]</sup>.

## ***IL-6***

IL-6 is a cytokine that plays roles as an proinflammatory immune mediator and as an endocrine regulator<sup>[82]</sup>. This protein is encoded by a gene located in the chromosome 7 and have been found to be increased in *H. pylori*-positive individuals<sup>[112]</sup>. Polymorphisms in the *IL6* -174C allele and *IL6* -174CC genotype are associated with an enhanced prevalence of diffuse-type gastric cancer, whereas the *IL6* -174CG have been related to intestinal-type gastric cancer<sup>[110]</sup>. In addition, the *IL6* SNP -572 (G> C, rs1800796) have been emphasized as a potential genetic biomarker for increased gastric cancer risk in Asian populations<sup>[114]</sup>.

## ***IL- 22***

IL-22 is an antiinflammatory cytokine that belongs to the IL-10 family. It participates in mucosal repair and epithelial immunity processes<sup>[127]</sup>. Chinese individuals with the SNP rs1179251 (allele G) encoding IL-22 showed a higher risk of developing gastric cancer associated with *H. pylori*<sup>[128]</sup>. Some SNPs of this cytokine have also been found in Chinese patients with increased risk for MALT gastric lymphoma induced by *H. pylori* (alleles C in rs2227485; A in rs4913428; A in rs1026788 and T in rs7314777)<sup>[129]</sup>.

## H. PYLORI VIRULENCE FACTORS, IMMUNE RESPONSE, AND GASTRIC CARCINOGENESIS

### *CagA*

Infection with *cagA*-positive *H. pylori* strains is the main risk factor for the development of gastric cancer<sup>[130-132]</sup>. CagA is a multifunctional, pore-forming protein that induces vacuolization, cell necrosis, and cell apoptosis in gastric epithelial cells<sup>[133-138]</sup>. Of note, this virulence factor appears to induce an important modulation of the host immune system<sup>[139,140]</sup>. A recently published study by He *et al.* using mice revealed that CagA suppresses the expression of proinflammatory cytokines induced by *H. pylori* infection through the inhibition of the MAPK and NF- $\kappa$ B pathways. In addition, the study **has** shown, for the first time, that this virulence factor downregulates the posttranslational modification of TRAF6, obstructing the transmission of a signal downstream responsible for promoting the release of proinflammatory mediators<sup>[141]</sup>.

Studies have described that *H. pylori* has **a** molecular mechanism of *cagA* expansion through which its number of copies expands, consequently enhancing its virulence<sup>[142,143]</sup>. The analysis of the PMSS1 *H. pylori* strain showed that bacteria that carry more *cagA* copies also produce **higher levels of this toxin**, leading to enhanced cell elongation and IL-8 induction<sup>[144,145]</sup>. Yomaoka *et al* **propose** that the levels of IL-8 of the gastric mucosa are related to the presence of CagA and OipA<sup>[146]</sup>. Both molecules seem to be involved in the induction of the interferon regulating factor (IRF) and play a role in the complete activation of the IL-8 promoter, using different convergence pathways<sup>[147]</sup>.

### *VacA*

Vacuolating cytotoxin A (VacA) is a protein encoded by a monocistronic gene known as *vacA*. Secreted VacA molecules have 140 kDa initially, but they are rapidly cleaved into a 10 kDa domain (p10) to produce a mature 88 kDa protein<sup>[148,149]</sup>. Generally, they are secreted as soluble proteins in the extracellular space; however, they are found on the bacterial surface as well<sup>[150]</sup>. Moreover, this virulence factor is expressed by almost all *H. pylori* strains<sup>[151]</sup>.

VacA inhibits **the** activation and proliferation of T and B cells, a process that induces the apoptosis of macrophages mainly through the inhibition of INF- $\beta$  signaling. Moreover, this

virulence factor induces an excessive release of IL-8<sup>[152]</sup>. Specifically in T cells, VacA inhibits the production of IL-2, in addition to regulating the surface expression of the IL2- $\alpha$  receptor. This process is possibly due to the ability of VacA to inhibit the activation of the nuclear factor of activated T-cells (NFAT), a global transcription factor that regulates immune response genes for T cell activation. The mechanism by which VacA inhibits activation of NFAT is uncertain; however, it is believed that this virulence factor influences the calcium flow in the extracellular medium, which inhibits the calcineurin-dependent Ca<sup>2+</sup>-calmodulin complex<sup>[153]</sup>. Other effects on these cells include the activation of intracellular signaling through MAP kinases, such as MKK3/6 and p38 as well as the Rac/Vav-specific nucleotide exchange factor<sup>[149]</sup>. Studies with primary CD4<sup>+</sup> T cells in humans have demonstrated that VacA inhibits the proliferation of activated T cells through a mechanism that is independent of the effect of VacA on NFAT activation and IL-2 expression<sup>[154,155]</sup>. In **antigen-presenting cells**, VacA seems to interfere with the formation of vesicular compartments in macrophages infected with *H. pylori* causing homotypic vacuolar fusion and consequent changes in their physiological properties. It has also been reported that VacA can interfere with the antigen presentation of B lymphocytes by interfering in the MHC II of these cells. Finally, blocking the activation and proliferation of this set of cells helps *H. pylori* to resist the host immune response, **establishing persistent** infection and with worse clinical outcomes<sup>[150]</sup>.

The various positive VacA-linked bacterial genotypes are associated with a higher prevalence of malignant gastric lesions, in addition **to greater** severity of inflammation induction by the pathogen. VacA is the most studied toxin in *H. pylori* due to its versatility in relation to different receptors in different cell types and functions. VacA is directly involved in the formation of intracellular vacuoles which provide the survival of the bacteria in the gastric environment, even after drug treatment. Therefore, other studies need to be developed with VacA in order to better understand the persistence of the pathogen in the gastric environment<sup>[156]</sup>.

### *DupA*

The duodenal ulcer promoter A (DupA) protein is a *H. pylori* virulence factor whose gene is located in the plasticity zone of the bacterial genome<sup>[157]</sup>. The *dupA* gene contains two overlapping open reading frames (ORF) (jhp0917 and jhp0918) that form a continuous locus<sup>[158]</sup>.

Of note, only strains that harbor both aforementioned segments are able to produce the DupA protein<sup>[159]</sup>.

The initial studies on DupA show that its pathogenicity is closely linked to the development of duodenal ulcers<sup>[158]</sup>. Based on *in vitro* and *in vivo* studies, such outcome is believed to be due to the role of the DupA gene in the activation of kappa B nuclear transcription factors (NF-κB) and activating protein-1 (AP-1), which enhance the infiltration of neutrophils with the consequent expression of IL-8 in the antrum, which promotes risk of these injuries<sup>[160,161]</sup>. These findings have shown that predominant antral gastritis often leads to a reduction in somatostatin, greater gastrin secretion and, consequently, greater release of gastric acid and formation of duodenal ulcers<sup>[162]</sup>. In this context, DupA expression is negatively correlated with the risk of gastric atrophy, intestinal metaplasia, and gastric cancer<sup>[163,164]</sup>. However, it has to be emphasized that DupA have not been associated with the development duodenal ulcer in western populations<sup>[165,166]</sup>.

### *OipA*

The 34 kDa external inflammatory protein A (OipA), encoded by the *hopH* gene (hp0638), located approximately 100kd from the Cag Pathogenicity Island (cag PAI), belongs to the family of External Membrane Proteins (OMPs). This protein is associated with gastric inflammation, being one of the main *H. pylori* virulence factors<sup>[167]</sup>.

The attachment of gastric epithelial cells through OipA occurs with the induction of cellular apoptosis *via* the Bcl-2 pathway, increased levels of Bax, and cleaved caspase 3<sup>[168]</sup>. Notably, several studies have shown that positive OipA has been more frequent in individuals with precancerous lesions than those with gastritis alone<sup>[169-172]</sup>.

The *oipA*-positive *H. pylori* strains are more prone to gastric colonization, and it is also associated with a higher risk of peptic ulcer disease and gastric cancer. This molecule strongly induces inflammation, and the infiltration of neutrophils, as well as the production of IL-8, are significantly higher in *oipA*-positive strains compared to the negative ones<sup>[173]</sup>. Some studies indicate that OipA induces the interferon regulatory factor IRF-1, which binds and activates the element similar to the response element stimulated by IFN (ISRE), to induce the genetic transcription of IL-8 and its production<sup>[169]</sup>. In addition, nuclear factor kappa B (NF-κB) and

activating protein 1 (AP1) are also involved in the transcription and production of IL-8 by gastric epithelial cells infected with *H. pylori*<sup>[174]</sup>.

Other proinflammatory cytokines may also be present in *H. pylori* infection caused by the presence of OipA, such as IL-1, IL-6, IL-8, IL-11 IL-17, metalloproteinase 1 matrix (MMP-1), tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) or CC chemokine ligand 5<sup>[175]</sup>. This is similar to a response linked to the Cag PAI<sup>[8]</sup>. However, depending on the OipA states in different strains of *H. pylori*, the secretion of these cytokines may not be observed<sup>[176-178]</sup>.

The genes that express functional OipA are strong factors of bacterial virulence, and are linked to the genotypes VacA s1, VacA m1, BabA2, and the Cag PAI gene, and can act synergistically with each other to induce worse clinical outcomes of diseases caused by *H. pylori*<sup>[179]</sup>.

### *IceA*

The Gene Induced by Contact with Epithelium A (*iceA*) is a virulence marker still poorly described. The functions related to this virulence factor remain unclear and it possesses two variants: IceA1 and IceA2. *H. pylori* has only one *iceA* locus from which that protein can be expressed. Therefore, the presence of both aforementioned variations indicates an infection by different strains of the pathogen<sup>[180]</sup>.

The IceA relationship and the clinical outcomes of gastric diseases are still controversial<sup>[181]</sup>. However, studies have emphasized that strains positive for this gene induce the release of the proinflammatory cytokines IL-6 and IL-8 more intensely than negative strains<sup>[182,183]</sup>. Dabiri *et al* demonstrated the possible role of IceA1 in the development of gastric cancer, but not in peptic ulcers<sup>[184]</sup>. In addition, Yakoob *et al* demonstrated that *iceA2*-positive *H. pylori* strains were more often associated with chronic active inflammation, gastric ulcer, and gastric adenocarcinoma<sup>[185]</sup>. Furthermore, studies indicate that IceA has its function preserved regardless of the presence of other *H. pylori* virulence factors<sup>[186-188]</sup>.

To date, there seems to be a consensus that the global prevalence of IceA1 is higher than IceA2<sup>[181]</sup>. However, although there is a greater expression of IceA1 than IceA2, the latter is associated with greater granulocytic and lymphocytic infiltration as well as atrophic gastritis<sup>[189]</sup>. Ashwak *et al.* demonstrated in their study that *H. pylori*-infected individuals who express IceA1

or IceA2 alone do not develop gastric carcinoma. On the other hand, 75% of the patients who had both alleles (IceA1 / IceA2) concomitantly developed gastric carcinoma<sup>[190]</sup>. Strains that have positive IceA2 tend to stimulate IL-1, resulting in an increased risk of pre-cancerous lesions in the gastric mucosa. This process can become worse if associated with the concomitant effects of other virulence factors, worsening inflammatory processes. Taken all together, the *iceA* gene is an important marker of severe gastric diseases that must be taken into account<sup>[180]</sup>.

### ***BabA***

The mechanisms related to the Blood group antigen-binding adhesin (BabA) pathogenicity are still poorly elucidated. Nonetheless, studies have shown that BabA-dependent *H. Pylori* cell adhesion has great relevance in the initial colonization of the pathogen<sup>[191]</sup>. Moreover, BabA works by facilitating the entry of CagA and VacA into host cells<sup>[192]</sup>. BabA-negative *H. pylori* strains have been associated with the development of mild gastric lesions and are rarely associated with gastric cancer. This means that BabA positivity might increase the risk of serious gastric lesions and carcinomas<sup>[193]</sup>.

A study showed a greater expression of IL-33 mRNA in biopsies from patients infected with *H. Pylori* compared to noninfected individuals. Interestingly, a direct relationship was observed between BabA2 and increased gastric levels of that cytokine<sup>[194]</sup>. The IL-33 plays an important role in immune regulation, providing protection after damage to epithelial cells<sup>[195]</sup>. It also has the potential to reduce colonization in gastrointestinal infections<sup>[196]</sup>. In addition, recent studies emphasize its likely role in the development of tumorigenesis<sup>[197]</sup>.

### ***SabA***

Sialic acid A adhesin (SabA) is an *H. pylori* membrane protein whose expression has been explored as a biomarker for increased risk of developing gastric cancer<sup>[198,199]</sup>. Yamaoka *et al* demonstrated that SabA is positively associated with gastric cancer, intestinal metaplasia, and body atrophy and is negatively associated with duodenal ulcer<sup>[200]</sup>. *H. pylori* uses SabA to recognize the Lewis X antigen from gastric epithelial cells and this virulence factor has been associated with non-opsonic activation of human neutrophils<sup>[201,202]</sup>. SabA mediates antigen binding to sialyl-Lewis, which is an established tumor and gastric dysplasia marker<sup>[203]</sup>. The available data on this issue highlights how harmful such adhesin can be to the gastric epithelium; however, further studies are needed to better understand the underlying immune system

responses related to this molecule<sup>[204]</sup>. Table 1 shows how the *H. pylori* virulence factors interact with the immune system.

### **Heat-shock protein 60**

Heat-shock protein 60 (hsp60) is known to have substantial immunogenic properties. Studies have demonstrated that hsp60 promotes cell signaling upon myeloid and vascular endothelial cells<sup>[205]</sup>. *H. pylori*-expressed hsp60 seems to play a **role in** bacterial adhesion to gastric epithelial cells and mucin<sup>[206]</sup>. In addition, that virulence factor **has** been shown to effectively inhibit human peripheral blood mononuclear cells (PBMC). A study by Maguire *et al* has demonstrated that the inhibitor effect over human PBMC was more potent with hsp60 from *H. pylori* than hsp60 from *Chlamydia pneumoniae* or human **mitochondrion**<sup>[207]</sup>. Evidence **has** shown that hsp60 also promotes immune system responses through the activation of TLRs in human gastric epithelial cells and induces IL-8 expression through TLR-2 and MAPK pathways in human monocytes<sup>[208,209]</sup>. Another study evaluating the effects of hsp60 over human monocytes demonstrated that it seems to **promote upregulation** of cytokines such as IL-1a, IL-8, IL-10, IFN- $\gamma$ , TNF- $\alpha$ , and TGF- $\beta$ <sup>[210]</sup>. Regarding the oncogenic roles related to this molecule, an enhanced gastric cancer cell and promotion of tube formation by umbilical vein endothelial cells have been positively associated with hsp60, but effects on cell proliferation and cell death prevention have not been attributed to the protein<sup>[211]</sup>.

### **HomB**

The *homB* gene is the open-reading frame of *jhp0870*, an *H. pylori* outer membrane protein, and its sequence is 90% similar to *homA*<sup>[212]</sup>. The former gene has shown to be related to an increased risk of peptic ulcer development among *H. pylori*-infected people<sup>[213]</sup>. In addition, *homB* was found to be associated with gastric corpus inflammation and atrophy, which suggests a link between this bacterial gene and gastric cancer development<sup>[214]</sup>. Interestingly, a recently published study by Keikha and Karbalaei<sup>[215]</sup> demonstrated that *homB*-positive *H. pylori* strains are particularly related to the occurrence of peptic ulcer in western populations and with the onset of gastric cancer in Asian regions.

### **$\beta$ HBP**

D-glycero- $\beta$ -D-manno-heptose 1,7-bisphosphate ( $\beta$ HBP) is an *H. pylori* metabolite that was recently identified as a T4SS-dependent NF- $\kappa$ B activation effector in host cells through its translocation via the ALPK1-TIFA axis<sup>[216]</sup>. Moreover, Posselt and colleagues<sup>[217]</sup> showed that the  $\beta$ HBP, as well as the protein kinase C, is able to mediate a strong c-Abl threonine 735 phosphorylation (pAbl<sup>T735</sup>). Their study also demonstrated that pAbl<sup>T735</sup> interacts with 14-3-3 proteins, leading to c-ABL retention in the cytoplasm, which contributes to cell elongation and migration. In addition, they observed an attenuation of caspase-8 and caspase-9-dependent cell death by pAbl<sup>T735</sup> nuclear exclusion<sup>[217]</sup>. These findings suggest a relationship between  $\beta$ HBP and important *H. pylori*-related pro-oncogenic activities.

## CONCLUSION

The knowledge on the relationship between *H. pylori* infection, immune system, and oncogenesis is crucial for the understanding of the mechanisms involved in gastric cancer. Although considerable advances have been achieved in this research field, much has to be done in order to describe underlying mechanisms related to *H. pylori*-related carcinogenesis. A better comprehension on this issue could be useful for the development of tools that may aid in the prevention as well as in the prognostic prediction and treatment of such an important disease. Here, we gathered data showing that *H. pylori* infection promotes multiple immune response activities, such as T helper cell polarization, that are closely related to mechanisms associated with gastric carcinogenesis.

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