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Contents

Thrice Monthly Volume 9 Number 35 December 16, 2021

REVIEW

| 10746 | Management of acute kidney injury in gastrointestinal tumor: An overview |
|-------|--|
| | Su YQ, Yu YY, Shen B, Yang F, Nie YX |

10765 Application of vascular endothelial cells in stem cell medicine Liang QQ, Liu L

MINIREVIEWS

10781 Application of traditional Chinese medicine in treatment of Helicobacter pylori infection Li RJ, Dai YY, Qin C, Huang GR, Qin YC, Huang YY, Huang ZS, Luo XK, Huang YQ

ORIGINAL ARTICLE

Case Control Study

10792 Impact of cytomegalovirus infection on biliary disease after liver transplantation - maybe an essential factor

Liu JY, Zhang JR, Sun LY, Zhu ZJ, Wei L, Qu W, Zeng ZG, Liu Y, Zhao XY

10805 Blood tests for prediction of deep endometriosis: A case-control study Chen ZY, Zhang LF, Zhang YQ, Zhou Y, Li XY, Huang XF

Retrospective Cohort Study

10816 Association between neutrophil-to-lymphocyte ratio and major postoperative complications after carotid endarterectomy: A retrospective cohort study

Yu Y, Cui WH, Cheng C, Lu Y, Zhang Q, Han RQ

10828 Application of MAGnetic resonance imaging compilation in acute ischemic stroke Wang Q, Wang G, Sun Q, Sun DH

Retrospective Study

10838 Ninety-four thousand-case retrospective study on antibacterial drug resistance of Helicobacter pylori Zhang Y, Meng F, Jin J, Wang J, Gu BB, Peng JB, Ye LP

10850 Adjacent segment disease following Dynesys stabilization for lumbar disorders: A case series of mid- and long-term follow-ups

Chen KJ, Lai CY, Chiu LT, Huang WS, Hsiao PH, Chang CC, Lin CJ, Lo YS, Chen YJ, Chen HT

10861 Identification of independent risk factors for intraoperative gastroesophageal reflux in adult patients undergoing general anesthesia

Zhao X, Li ST, Chen LH, Liu K, Lian M, Wang HJ, Fang YJ



| 6 | World Journal of Clinical Cases | | |
|----------|---|--|--|
| Conten | tents Thrice Monthly Volume 9 Number 35 December 16, 2021 | | |
| 10871 | Value of the controlling nutritional status score and psoas muscle thickness per height in predicting prognosis in liver transplantation | | |
| | Dai X, Gao B, Zhang XX, Li J, Jiang WT | | |
| 10884 | Development of a lipid metabolism-related gene model to predict prognosis in patients with pancreatic cancer | | |
| | Xu H, Sun J, Zhou L, Du QC, Zhu HY, Chen Y, Wang XY | | |
| 10899 | Serum magnesium level as a predictor of acute kidney injury in patients with acute pancreatitis | | |
| | Ти хQ, Deng пв, Liu 1, Qu C, Duan zn, Tong zn, Liu Tx, Li wQ | | |
| 10909 | Pedicle complex tissue flap transfer for reconstruction of duplicated thumbs with unequal size <i>Wang DH, Zhang GP, Wang ZT, Wang M, Han QY, Liu FX</i> | | |
| 10919 | Minimally invasive surgery vs laparotomy in patients with colon cancer residing in high-altitude areas | | |
| | Suo Lang DJ, Ci Ren YZ, Bian Ba ZX | | |
| | Observational Study | | |
| 10927 | Surgery for chronic pancreatitis in Finland is rare but seems to produce good long-term results | | |
| | Parhiala M, Sand J, Laukkarinen J | | |
| 10937 | Association of overtime work and obesity with needle stick and sharp injuries in medical practice | | |
| | Chen YH, Yeh CJ, Jong GP | | |
| 10948 | Serum gastrin-17 concentration for prediction of upper gastrointestinal tract bleeding risk among peptic ulcer patients | | |
| | Wang JX, Cao YP, Su P, He W, Li XP, Zhu YM | | |
| 10956 | Predictive risk scales for development of pressure ulcers in pediatric patients admitted to general ward and intensive care unit | | |
| | Luo WJ, Zhou XZ, Lei JY, Xu Y, Huang RH | | |
| | META-ANALYSIS | | |
| 10969 | Clinical significance of signet ring cells in surgical esophageal and esophagogastric junction adenocarcinoma: A systematic review and meta-analysis | | |
| | Wang YF, Xu SY, Wang Y, Che GW, Ma HT | | |
| 10979 | Percutaneous biliary stent combined with brachytherapy using ¹²⁵ I seeds for treatment of unresectable malignant obstructive jaundice: A meta-analysis | | |
| | Chen WY, Kong CL, Meng MM, Chen WQ, Zheng LY, Mao JT, Fang SJ, Chen L, Shu GF, Yang Y, Weng QY, Chen MJ, Xu M, Ji JS | | |
| | | | |

CASE REPORT

Prenatal ultrasonographic findings in Klippel-Trenaunay syndrome: A case report 10994 Pang HQ, Gao QQ



| . . | World Journal of Clinical Cases |
|------------|---|
| Conten | ts Thrice Monthly Volume 9 Number 35 December 16, 2021 |
| 10999 | Immunoglobulin G4-related lymph node disease with an orbital mass mimicking Castleman disease: A case report |
| | Hao FY, Yang FX, Bian HY, Zhao X |
| 11007 | Treatment for subtrochanteric fracture and subsequent nonunion in an adult patient with osteopetrosis: A case report and review of the literature |
| | Yang H, Shao GX, Du ZW, Li ZW |
| 11016 | Early surgical intervention in culture-negative endocarditis of the aortic valve complicated by abscess in an infant: A case report |
| | Yang YF, Si FF, Chen TT, Fan LX, Lu YH, Jin M |
| 11024 | Severe absence of intra-orbital fat in a patient with orbital venous malformation: A case report |
| | Yang LD, Xu SQ, Wang YF, Jia RB |
| 11029 | Pulmonary Langerhans cell histiocytosis and multiple system involvement: A case report |
| | Luo L, Li YX |
| 11036 | Complete androgen insensitivity syndrome caused by the c.2678C>T mutation in the androgen receptor gene: A case report |
| | Wang KN, Chen QQ, Zhu YL, Wang CL |
| 11043 | Ultrasound guiding the rapid diagnosis and treatment of perioperative pneumothorax: A case report |
| | Zhang G, Huang XY, Zhang L |
| 11050 | Chronic colchicine poisoning with neuromyopathy, gastric ulcers and myelosuppression in a gout patient: A case report |
| | Li MM, Teng J, Wang Y |
| 11056 | Treatment of a giant low-grade appendiceal mucinous neoplasm: A case report |
| | Xu R, Yang ZL |
| 11061 | Thoracoscopic resection of a large lower esophageal schwannoma: A case report and review of the literature |
| | Wang TY, Wang BL, Wang FR, Jing MY, Zhang LD, Zhang DK |
| 11071 | Signet ring cell carcinoma hidden beneath large pedunculated colorectal polyp: A case report |
| | Yan JN, Shao YF, Ye GL, Ding Y |
| 11078 | Double-mutant invasive mucinous adenocarcinoma of the lung in a 32-year-old male patient: A case report |
| | Wang T |
| 11085 | Acute myocarditis presenting as accelerated junctional rhythm in Graves' disease: A case report |
| | Li MM, Liu WS, Shan RC, Teng J, Wang Y |
| 11095 | Lingual nerve injury caused by laryngeal mask airway during percutaneous nephrolithotomy: A case report |
| | Wang ZY, Liu WZ, Wang FQ, Chen YZ, Huang T, Yuan HS, Cheng Y |



| Conton | World Journal of Clinical Cases |
|--------|--|
| Conten | Thrice Monthly Volume 9 Number 35 December 16, 2021 |
| 11102 | Ventricular fibrillation and sudden cardiac arrest in apical hypertrophic cardiomyopathy: Two case reports |
| | Park YM, Jang AY, Chung WJ, Han SH, Semsarian C, Choi IS |
| 11108 | <i>Rhizopus microsporus</i> lung infection in an immunocompetent patient successfully treated with amphotericin B: A case report |
| | Chen L, Su Y, Xiong XZ |
| 11115 | Spermatocytic tumor: A rare case report |
| | Hao ML, Li CH |
| | |
| | |



Contents

Thrice Monthly Volume 9 Number 35 December 16, 2021

ABOUT COVER

Editorial Board Member of World Journal of Clinical Cases, Luca Morelli, FACS, FASCRS, MD, Associate Professor, Division of General Surgery, Department of Traslational Research and of New Surgical and Medical Technologies, University of Pisa, Pisa 56124, Italy. luca.morelli@unipi.it

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W J C C World Journal of Clinical Cases

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CASE REPORT

Ultrasound guiding the rapid diagnosis and treatment of perioperative pneumothorax: A case report

Gang Zhang, Xiao-Yan Huang, Lan Zhang

ORCID number: Gang Zhang 0000-0001-9985-8864; Xiao-Yan Huang 0000-0002-2744-0028; Lan Zhang 0000-0001-5224-8196.

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Gang Zhang, Lan Zhang, Department of Anesthesia, Sichuan Provincial Orthopedic Hospital (Chengdu Sports Hospital and Chengdu Research Institute for Sports Injury), Chengdu 610041, Sichuan Province, China

Xiao-Yan Huang, Department of Operation Room, the Third People's Hospital of Chengdu, Chengdu 610041, Sichuan Province, China

Corresponding author: Lan Zhang, MD, Director, Doctor, Department of Anesthesia, Sichuan Provincial Orthopedic Hospital (Chengdu Sports Hospital and Chengdu Research Institute for Sports Injury), No. 132 West Section 1, First-Ring Road, Wuhou District, Chengdu 610041, Sichuan Province, China. mzzhanggang@hotmail.com

Abstract

BACKGROUND

Pneumothorax is one of the most common causes of acute dyspnea. In patients under general anesthesia, the symptoms may not be obvious, which may delay diagnosis and treatment. Computed tomography is the gold standard for the diagnosis of pneumothorax, but is not suitable for rapid diagnosis of this complication. In contrast, lung ultrasonography can provide rapid diagnosis and treatment of pneumothorax.

CASE SUMMARY

The patient was a 53-year-old man admitted for rupture of the spleen caused by an accidental fall and emergency splenectomy was planned. Anesthesia was induced, and tracheal intubation was performed successfully with a video laryngoscope. About 2 min after tracheal intubation, the airway peak pressure increased to 50 cm H₂O and the oxygen saturation dropped to 70%. According to the BLUE protocol, a recommended area of the chest was scanned by ultrasound. The pleural slide sign disappeared and obvious parallel line sign could be seen in the left lung. The boundary of pneumothorax (lung points) were rapidly confirmed by ultrasound. To avoid lung injury, a closed thoracic drainage tube was placed in the involved area. On day 9 after surgery, the patient was discharged from the hospital without any complications.

CONCLUSION

Perioperative pneumothorax is rare but dangerous. It can be rapidly diagnosed and treated with ultrasound guidance.



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Core Tip: Perioperative pneumothorax is a rare but dangerous complication that requires rapid diagnosis and urgent treatment. Computed tomography is the gold standard for diagnosis pneumothorax but it is not applicable to confirm this complication during surgery. Lung ultrasonography, with the advantages of being radiation-free and convenient, can provide rapid diagnosis and treatment of pneumothorax.

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INTRODUCTION

Pneumothorax is one of the most common causes of acute dyspnea. Sudden chest pain, shortness of breath, or even respiratory failure are the main clinical features. Because of the sudden change in pressure in the pleural cavity, the venous return blood flow is blocked and the stability of the circulatory system is affected. Therefore, early diagnosis and treatment are urgently needed. In patients under general anesthesia, the symptoms may not be obvious, which can delay diagnosis and treatment. Pneumothorax is a rare but serious perioperative complication leading to perilous hypoxemia during general anesthesia. Especially for patients with poor cardiopulmonary reserve, early diagnosis has a great impact on the prognosis[1].

Although chest computed tomography (CT) is the gold standard for the diagnosis of pneumothorax[2], it is not suitable for rapid diagnosis because of its high radiation exposure and long transport time. In contrast, lung ultrasound (LUS) has the advantages of being radiation-free and convenient, which can provide dynamic assessment and rapid diagnosis[3].

It was first reported that pneumothorax can be diagnosed by ultrasound in 1987. Wernecke et al^[4] reported that it can be indicated by the disappearance of lung sliding. When pneumothorax is suspected during surgery, ultrasound scanning can be performed rapidly in the suspected area following the BLUE protocol^[5]. Abnormal sonographic signs such as the pleural slide sign, parallel line sign in M-mode, and lung points indicate pneumothorax. Moreover, lung points can not only be a gold standard for the diagnosis of pneumothorax, but also determine the boundary of the pneumothorax and guide the placement of a closed thoracic drainage tube. It takes 2-3 min to complete the examination, and pneumothorax can be excluded or diagnosed within 1 min[6]. In this case, the prompt ultrasound diagnosis and treatment of pneumothorax resulted in an excellent patient prognosis.

CASE PRESENTATION

Chief complaints

A 53-year-old man (85 kg and 170 cm) was admitted for rupture of spleen caused by an accidental fall.

History of present illness

Emergency splenectomy was planned under general anesthesia. Preoperative emergency ultrasound examination including the heart, chest, and abdomen were performed, and it was found that spleen had been ruptured and was accompanied by active bleeding, complicated with progressive hemochrome reduction, which was the main reason for the patient to undergo emergency surgery. Preoperative ultrasound showed no heart or chest abnormalities. The patient did not complain of dyspnea preoperatively, and preoperative CT showed no hemothorax or pneumothorax



(Figure 1). Initial vital signs before anesthesia were a blood pressure of 126/82 mm Hg, heart rate of 75 bpm, and an oxygen partial pressure (SpO₂) of 95% while inhaling air. Anesthesia was induced with suferiaril 0.3 μ g/kg, rocuronium 0.1 mg/kg, and propofol 3 mg/kg. Tracheal intubation was successfully performed with video laryngoscopy, and positive pressure ventilation was performed with an airway peak pressure of 14 cm H₂O. Because the patient's thick chest wall, breath sounds were difficult to auscultate. About 2 min after tracheal intubation, it was difficult to ventilate. The airway peak pressure increased progressively, reaching a maximum of $50 \text{ cm H}_2\text{O}$ and SpO, dropped, reaching a minimum of 70%. Because of obesity and the thick chest wall, the cause of respiratory failure could not be determined by traditional auscultation. Following the BLUE protocol, a recommended area of the chest was scanned by bedside ultrasound. The pleural slide sign disappeared in the upper and lower blue points of the left lung, and in M-mode, an obvious parallel line sign could be seen below the pleura. The boundary of pneumothorax (lung points) was rapidly confirmed by ultrasound. To avoid lung injury and secondary pneumothorax, a closed thoracic drainage tube was placed in the area guided by ultrasound. The interval from suspicion of pneumothorax to placement of the drainage tube was less than 3 min. After many bubbles had emerged from the water seal bottle, the airway pressure dropped to 20 cm H₂O and the SpO₂ returned to 96%-100%. After the patient's vital signs stabilized, the splenectomy continued and was successfully completed. The patient was sent to the intensive care unit (ICU) with a endotracheal tube for further treatment after surgery. On day 1 after surgery, the endotracheal tube was removed. On day 6, the closed thoracic drainage tube was removed. On day 9, the patient was disc-harged from the hospital without any complications.

Laboratory examinations

Anemia (hemoglobin 85 g/L).

Imaging examinations

Focused assessment with sonography in trauma (FAST) has been extensively utilized and studied in blunt and penetrating trauma^[7]. Following the FAST protocol, organ damage can be rapidly evaluated by the amount of free fluid in the chest, abdominal, and pelvic cavities. In this case, preoperative emergency ultrasound examination of the heart, chest and abdomen found that spleen had been ruptured and active bleeding was complicated by progressive hemochrome reduction, which was also the main reason for the patient to undergo emergency surgery. Preoperative ultrasound showed no abnormalities in the heart or chest. Following the BLUE protocol, LUS confirmed that the pleural slide sign had disappeared in the recommended area of the left lung, and in M-mode, an obvious parallel line sign was seen below the pleura (Figure 2B). The boundary of the pneumothorax (lung points) was rapidly confirmed by ultrasound (Figure 2C).

FINAL DIAGNOSIS

A clear diagnosis perioperative pneumothorax was based on imaging examination and intraoperative findings.

TREATMENT

To avoid lung injury and secondary pneumothorax, a closed thoracic drainage tube was placed in the area guided by ultrasound. From suspicion of pneumothorax to the placement of closed thoracic drainage tube, the total time was less than 3 min.

OUTCOME AND FOLLOW-UP

After a large number of bubbles emerged from the water seal bottle, the airway pressure dropped to 20 cm H₂O and the oxygen saturation returned to 96%-100%. After the patient's vital signs stabilized, the splenectomy continued and was successfully completed. The patient was sent to ICU with a endotracheal tube for further treatment after surgery. On day 1 after surgery, the endotracheal tube was removed. On day 6, the drainage tube was removed. On day 9, the patient was



Zhang G et al. Ultrasound guiding pneumothorax diagnosis and treatment



Figure 1 Preoperative computed tomography showing the absence of hemothorax or pneumothorax.



Figure 2 Chest computed tomography and ultrasound. A: Computed tomography of pneumothorax. Position 1: Pneumothorax; Position 2: Boundary of pneumothorax (lung point); Position 3: No pneumothorax; B: Parallel lines sign with the sonography probe at position 1; C: Lung point sign with the sonography probe at position 2; D: Beach sign with the sonography probe at position 3. White arrows indicate the pleura.

discharged from the hospital without any complications.

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DISCUSSION

If pneumothorax occurs under general anesthesia, positive pressure ventilation causes accumulation of a large amount of air in the pleural space, leading intractable hypoxemia. Because of absence of specific symptoms like chest pain, cough, and dyspnea under general anesthesia, pneumothorax may be not diagnosed promptly. Pathological changes leading by pneumothorax can provide a large amount of diagnostic information. Because of the high sensitivity of CT, it is the gold standard for the diagnosis of pneumothorax. However, patients often require long-distance transport for CT examination, which is time-consuming and risky for critical patients. It is not suitable for the rapid diagnosis required for perioperative pneumothorax. Ultrasound is a reliable modality to provide rapid and accurate information, it has been shown that pulmonary ultrasound is superior to X-ray for the diagnosis of lung disease^[8]. The diagnostic accuracy of ultrasound for a variety of lung diseases is comparable to CT, and it is becoming the preferred tool for rapid assessment of pulmonary disease[9].

How ultrasound is performed: BLUE protocol

The examiner's hands place on the patient's anterior chest wall (excluding the thumbs), with the little finger of the upper hand close to the clavicle and the fingertips close to the median sternal line. The upper BLUE point is at the third and fourth metacarpophalangeal joints of the upper hand, and the lower BLUE point is at the center of the lower palm. The two points are thought to be the highest points at which gas is the most concentrated. The two BLUE points are recommended for rapid diagnosis or exclusion of pneumothorax during the perioperative period (Figure 3). It was reported that small-volume pneumothorax caused by blunt trauma mainly occured in the parasternal regions[10], which was consistent with the BLUE protocol recommendation for the rapid localization of the upper and lower BLUE dots adjacent to the sternum to diagnose the complication[11].

How to diagnose pneumothorax by ultrasound features

Ultrasound diagnosis of pneumothorax is based on both exclusion and confirmed diagnosis. If pneumothorax is suspected in perioperative period, bedside ultrasound should be performed immediately. Pneumothorax can be excluded by the pleural slide sign[12], which is a normal pulmonary sign of relative movement between the visceral and parietal pleura which is visible by ultrasound during respiratory movement. The negative predictive value for the diagnosis of pneumothorax by pleural slide sign has been reported as 100%. Pneumothorax can thus be excluded quickly and accurately by the existence of the surface pleural slide sign[13]. When pneumothorax is present, the visceral and parietal pleurae will be separated by gas. Therefore, the disappearance of the pleural slide sign on ultrasound is the initial step in the diagnosis of pneumothorax [14]. However, the positive predictive value of the disappearance of pleural slide sign for the diagnosis of pneumothorax is estimated as 87% in the normal population, 56% in ICU patients, and only 27% in cases of respiratory failure[15]. Tracheal intubation, single lung ventilation, atelectasis, pulmonary fibrosis, ARDS, pleural adhesion, phrenic nerve palsy, cardiac and respiratory arrest, and other factors may also lead disappearance of the pleural slide sign. In M-mode echocardiography, normal lung tissue resembles a beach with respiratory movement (Figure 2). Without respiratory movement, lung tissue in cases of pneumothorax resembles parallel lines (Figure 2). Ultrasound diagnosis of pneumothorax depends on lung point and is regarded as a gold standard with a specificity of 100%. For the patients with pneumothorax, as the probe moves laterally along the chest wall, a specific area (lung point) can be detected. A pleural slide sign, meaning that the parietal pleura is in contact with the visceral pleura, is observed on one side, and no slide is observed on the other, which means that the pleurae are separated by air[16]. The lung points can slide along the pleural line with respiratory movements, and determine the boundary of pneumothorax[15]. Lung points indicates the area of transition between collapsed lung tissue, with loss of sliding between the pleurae, and normal lung tissue. In M-mode echocardiography, A "beach sign," which indicates normal tissue, suddenly changes to a "parallel lines sign," which indicates pneumothorax[14] (Figure 2).

In addition to the rapid diagnosis of perioperative pneumothorax, pulmonary ultrasound can also be used to guide the management of pneumothorax. In this case, once the pneumothorax was diagnosed, ultrasound was used to guide the placement of a closed thoracic drainage tube by indicating the pneumothorax boundary (lung points). After drainage, the patient's airway pressure significantly decreased and





Figure 3 BLUE points recommended for rapid diagnosis or exclusion of perioperative pneumothorax. A: The upper BLUE point is at the third and fourth metacarpophalangeal joints of the upper hand; B: The lower BLUE point is at the center of the lower palm; C: The black line indicates the clavicle; M: The black line indicates the medial sternal line.

oxygenation returned to normal. Pulmonary ultrasound to guide catheter location has the advantages of increased safety and reduced risk. A meta-analysis has shown that ultrasound-guided thoracic puncture reduced the incidence of secondary pneumothorax[17]. Patel et al[18] reported that ultrasound-guided thoracic catheterization reduced the cost of treatment, length of hospital stay, and incidence of complications such as pneumothorax and hemorrhage. Lung ultrasound is easy to learn. In a randomized controlled trial, anesthesiologists were found to be proficient in diagnosing pneumothorax by ultrasound after 4 weeks of online or classroom training[19].

Although ultrasound can help anesthesiologists diagnose pneumothorax quickly and conveniently, there are limitations. It is difficult to obtain satisfactory images in patients with open trauma, obesity, or pulmonary disease, which may lead a missed diagnosis of pneumothorax^[20]. Many studies have confirmed lung points as the gold standard to diagnose pneumothorax by ultrasound, but a lung point detected by ultrasound in an 83-year-old patient, was found to be a pulmonary bulla on CT and Xray examination. That was the first reported case of lung points in a patient who was not diagnosed with pneumothorax [14]. Mild and moderate pneumothorax can be diagnosed by lung points, but lung points may not be seen in severe pneumothorax because of complete disappearance of lung sliding and the parallel lines sign.

CONCLUSION

Perioperative pneumothorax is a rare but dangerous complication requiring urgent diagnosis and treatment. Once it occurs, the positive pressure generated by mechanical ventilation may cause the lung on the affected side to collapse rapidly, leading intractable hypoxemia. Lung ultrasound is a bedside modality that is becoming widely used for the rapid diagnosis and treatment of pneumothorax

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