

# World Journal of *Clinical Cases*

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## Case Control Study

## Intestinal mucosal barrier in functional constipation: Dose it change?

Jun-Ke Wang, Wei Wei, Dong-Yan Zhao, Hui-Fen Wang, Yan-Li Zhang, Jie-Ping Lei, Shu-Kun Yao

**Specialty type:** Gastroenterology and hepatology**Provenance and peer review:** Unsolicited article; Externally peer reviewed.**Peer-review model:** Single blind**Peer-review report's scientific quality classification**Grade A (Excellent): 0  
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The intestinal mucosal barrier is the first line of defense against numerous harmful substances, and it contributes to the maintenance of intestinal homeostasis. Recent studies reported that structural and functional changes in the intestinal mucosal barrier were involved in the pathogenesis of several intestinal diseases. However, no study thoroughly evaluated this barrier in patients with functional constipation (FC).

**AIM**

To investigate the intestinal mucosal barrier in FC, including the mucus barrier, intercellular junctions, mucosal immunity and gut permeability.

**METHODS**

Forty FC patients who fulfilled the Rome IV criteria and 24 healthy controls were recruited in the Department of Gastroenterology of China-Japan Friendship Hospital. The colonic mucus barrier, intercellular junctions in the colonic epithelium, mucosal immune state and gut permeability in FC patients were comprehensively examined. Goblet cells were stained with Alcian Blue/Periodic acid Schiff (AB/PAS) and counted. The ultrastructure of intercellular junctional complexes was observed under an electron microscope. Occludin and zonula

occludens-1 (ZO-1) in the colonic mucosa were located and quantified using immunohistochemistry and quantitative real-time polymerase chain reaction. Colonic CD3+ intraepithelial lymphocytes (IELs) and CD3+ lymphocytes in the lamina propria were identified and counted using immunofluorescence. The serum levels of D-lactic acid and zonulin were detected using enzyme-linked immunosorbent assay.

## RESULTS

Compared to healthy controls, the staining of mucus secreted by goblet cells was darker in FC patients, and the number of goblet cells per upper crypt in the colonic mucosa was significantly increased in FC patients (control,  $18.67 \pm 2.99$ ; FC,  $22.42 \pm 4.09$ ;  $P = 0.001$ ). The intercellular junctional complexes in the colonic epithelium were integral in FC patients. The distribution of mucosal occludin and ZO-1 was not altered in FC patients. No significant differences were found in occludin (control,  $5.76E-2 \pm 1.62E-2$ ; FC,  $5.17E-2 \pm 1.80E-2$ ;  $P = 0.240$ ) and ZO-1 (control,  $2.29E-2 \pm 0.93E-2$ ; FC,  $2.68E-2 \pm 1.60E-2$ ;  $P = 0.333$ ) protein expression between the two groups. The mRNA levels in occludin and ZO-1 were not modified in FC patients compared to healthy controls ( $P = 0.145$ ,  $P = 0.451$ , respectively). No significant differences were observed in the number of CD3+ IELs per 100 epithelial cells (control,  $5.62 \pm 2.06$ ; FC,  $4.50 \pm 2.16$ ;  $P = 0.070$ ) and CD3+ lamina propria lymphocytes (control,  $19.69 \pm 6.04/\text{mm}^2$ ; FC,  $22.70 \pm 11.38/\text{mm}^2$ ;  $P = 0.273$ ). There were no significant differences in serum D-lactic acid [control, 5.21 (4.46, 5.49) mmol/L; FC, 4.63 (4.31, 5.42) mmol/L;  $P = 0.112$ ] or zonulin [control, 1.36 (0.53, 2.15) ng/mL; FC, 0.94 (0.47, 1.56) ng/mL;  $P = 0.185$ ] levels between FC patients and healthy controls.

## CONCLUSION

The intestinal mucosal barrier in FC patients exhibits a compensatory increase in goblet cells and integral intercellular junctions without activation of mucosal immunity or increased gut permeability.

**Key Words:** Intestinal mucosal barrier; Functional constipation; Goblet cells; Intercellular junctions; Mucosal immunity; Gut permeability

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**Core Tip:** The present study investigated the intestinal mucosal barrier in functional constipation (FC) patients for the first time, including the mucus barrier, the intestinal epithelial barrier, the mucosal immune state and gut permeability. FC patients exhibited a significant increase in goblet cells and integral intercellular junctional complexes. There were no significant alterations in the localization and expression of occludin and zonula occludens-1 in FC patients. No significant increase in CD3+ intraepithelial lymphocytes, CD3+ lamina propria lymphocytes, serum D-lactic acid or zonulin levels was found in FC patients, which indicated no mucosal immune activation or increased gut permeability.

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## INTRODUCTION

The intestinal mucosal barrier has selective absorption and secretory functions, and it is the first line of defense against potentially harmful substances, including antigens, proinflammatory factors and pathogenic agents[1,2]. This barrier also contributes to the maintenance of normal intestinal permeability and inner homeostasis. The efficiency of the barrier depends on the integrity and coordinated interaction of important constituents, including luminal microorganisms, the mucus barrier, the intestinal epithelial barrier and mucosal immune cells[3]. The commensal flora inhibits the colonization of pathogens and influences nutrient acquisition, energy regulation and epithelial repair of the host[4,5]. The mucus barrier is a thick hydrated gel overlying the intestinal epithelium, and it primarily consists of mucins secreted by goblet cells and numerous immune mediators, which provide a habitat for commensal microorganisms, lubricate the gut and prevent pathogenic microorganisms from adhering to the intestinal epithelium and the subsequent transepithelial invasion[6]. The intestinal epithelial barrier, which is below the mucus layer, consists of an epithelial cell monolayer and

intercellular junctions, and it is essential to the intestinal mucosal barrier. For example, tight junctions (TJs) are composed of multiprotein complexes (*e.g.*, occludin, claudins, junctional adhesion molecules and tricellulin) and are the most apical intercellular junctional complexes (TJs, adherent junctions, desmosomes and gap junctions)[7,8]. TJs play a key role in maintaining the polarity of the epithelial barrier and regulating paracellular permeability. As a cytosolic adaptor protein, zonula occludens-1 (ZO-1) interacts with TJ-associated transmembrane proteins, and participates in TJ formation. Previous data have suggested that the downregulation of occludin and ZO-1 is associated with an increased permeability[9,10]. The claudin family contains 24 members in humans with intricate functional interplay, and the results regarding their regulation of intestinal permeability are controversial[11-13]. Therefore, we focused on occludin and ZO-1 in the present study. A series of immune cells, such as intraepithelial lymphocytes (IELs) and lamina propria lymphocytes, monitor and respond to the invasion of foreign substances but acquire tolerance to harmless antigens[14,15]. The elements of this barrier intrinsically interact with each other.

Disruption of the intestinal mucosal barrier results in the disturbance of gut permeability and the invasion of pathogenic antigens into mucosal tissues, which activates local immune activities and induces severe inflammatory responses[2]. This cascade has attracted the interest of researchers to investigate the intestinal mucosal barrier in different conditions. For example, patients with inflammatory bowel disease (IBD) exhibit an altered composition of the mucus layer, goblet cell depletion or hyperplasia and changes in the expression and distribution of TJ proteins[16,17]. Occludin and ZO-1 are markedly decreased in diarrhea-predominant irritable bowel syndrome (IBS-D) patients[13,18]. Recent studies observed significantly elevated immune cells in the colonic mucosa in patients with IBS-D, celiac disease and IBD compared to healthy controls, which indicates immune activation and a severe inflammatory response[19-21]. Therefore, the intestinal mucosal barrier may be involved in the occurrence and development of some intestinal disorders, and changes in the intestinal mucosal barrier in functional constipation (FC) should be examined.

FC is a functional bowel disorder that exhibits common pathophysiological mechanisms, including colonic dysmotility, rectal hyposensitivity and dyssynergic defecation, which ultimately lead to the prolonged retention of intestinal contents in the lumen, including gut microorganisms[22,23]. These changes inevitably influence the metabolism, proliferation and maintenance of the intestinal mucosal barrier[24,25]. Although limited studies have focused on the alterations of gut microbiota and metabolites in FC, no study thoroughly examined other components of the intestinal mucosal barrier in FC patients[26,27].

Therefore, the present study comprehensively investigated the intestinal mucosal barrier in FC, including the mucus barrier, intercellular junctions, mucosal immune state and gut permeability. In the present study, the following experiments were performed: (1) Counting the goblet cells, IELs and lamina propria lymphocytes in the colonic mucosa; (2) Observing the ultrastructure of intercellular junctional complexes; (3) Evaluating the distribution and expression of occludin and ZO-1 in the colonic epithelium; and (4) Analyzing serum D-lactic acid and zonulin levels in FC patients and healthy controls.

## MATERIALS AND METHODS

### Study subjects

Forty patients (age 25-65 years; 8 males and 32 females) who met the Rome IV criteria[28] for FC were consecutively recruited in this prospective case-control study from the Department of Gastroenterology of China-Japan Friendship Hospital between September 2020 and June 2021. FC patients with the following criteria were excluded: severe organic diseases (including gastrointestinal and other major organ disorders); personal history of major abdominal or pelvic surgeries, except for cholecystectomy and appendectomy; pregnant or lactating females; severe psychiatric disorders or abuse of alcohol. Patients with metabolic diseases (*e.g.*, diabetes, hypothyroidism, or hypokalemia) and neuromuscular diseases were also excluded. Twenty-four healthy controls (age 25-60 years; 7 males and 17 females) were enrolled *via* public advertisements. The controls denied having digestive symptoms, organic or functional gastrointestinal diseases, or metabolic, endocrine, or immunological diseases.

Venous blood samples from all subjects were obtained in the fasting state. All subjects underwent colonoscopy after standard bowel preparation with polyethylene glycol electrolyte powder. Thirty patients and 21 healthy controls underwent colonic biopsy. Two to three mucosal biopsy specimens were taken from the rectosigmoid junction for hematoxylin and eosin (HE) staining, ultrastructural observation under an electron microscope, Alcian Blue/Periodic acid Schiff (AB/PAS) staining, immunohistochemistry, immunofluorescence, and quantitative real-time polymerase chain reaction (qRT-PCR).

The Ethics Committee of the China-Japan Friendship Hospital approved the study (No. 2019-64-K44), which was performed in accordance with the guidelines of the Declaration of Helsinki. Written informed consent was obtained from all subjects.

### **Histology and goblet cell counts**

Biopsy specimens were fixed in 4% paraformaldehyde, embedded in paraffin, and cut into 4- $\mu$ m thick sections. The sections were stained with HE for routine histology, and goblet cells were stained with AB/PAS. Acidic mucus in the cytoplasm was stained blue. The number of goblet cells was counted for a 150- $\mu$ m distance from the surface epithelium of longitudinally cut crypts, and the mean results of 3 crypts were analyzed for each subject[29]. Two independent observers evaluated all sections in a blinded manner.

### **Specimen preparation and ultrastructural observation of intercellular junctions**

Mucosal tissues were cut into 1-mm<sup>3</sup> pieces, immediately immersed in 2.5% glutaraldehyde at 4 °C for 2 h, washed three times with 0.1 M Phosphate Buffered Saline (PBS) for 30 min and postfixed with 1% osmium acid. After washing twice with distilled water for 5 min, the specimens were dehydrated in a graded series of acetone: Twice in 50% acetone for 10 min, twice in 70% acetone for 10 min, three times in 90% acetone for 10 min and three times in pure acetone for 10 min. Following resin penetration and embedding, the embedding models were moved to a 60 °C oven for polymerization for longer than 48 h. Sections (0.5  $\mu$ m) were cut and positioned under a light microscope after staining with 1% toluidine blue. Ultrathin sections of 70 nm were cut, and the tissues were placed on 150-mesh cuprum grids with formvar film. After uranyl acetate and lead citrate staining, the sections were observed using a JEM-1400 Plus (JEOL, Tokyo, Japan) electron microscope, and images were captured.

### **Immunohistochemistry**

Following deparaffinization, antigen retrieval, endogenous peroxidase inhibition and serum blocking, the sections were incubated with primary antibodies (anti-occludin, 1:700, Servicebio, Wuhan, China; anti-ZO-1, 1:200, HuaBio, Hangzhou, China) overnight at 4 °C. After washing with PBS, the sections were incubated with a horseradish peroxidase-labeled goat anti-rabbit antibody (1:200; Servicebio, Wuhan, China) at room temperature for 50 min. Diaminobenzidine (DAB) chromogenic reaction, nuclear counterstaining, dehydration and mounting were performed, and the slides were observed using an Olympus BX53 microscope (Olympus, Tokyo, Japan). For each slide, the mean optical density (MOD) of the positive staining area from five nonoverlapping, randomly selected fields was considered the expression level of occludin and ZO-1. Two independent observers analyzed the images using Image-Pro Plus 6.0 software (Media Cybernetics, Bethesda, MD, United States).

### **qRT-PCR**

Mucosal total RNA was extracted from colonic tissues using RNA extraction (Servicebio, Wuhan, China). After reverse transcription using Servicebio® RT First Strand cDNA Synthesis Kit (Servicebio, Wuhan, China) according to the manufacturer's instructions, quantitative PCR was performed using 2 $\times$ SYBR Green qPCR Master Mix (Servicebio, Wuhan, China) in the Real-Time PCR System (Bio-Rad Laboratories, California, United States). Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as the endogenous reference. The following specific primers for target genes were used: Occludin (forward 5'-TTCCTATAAATCCACGCCGG-3', and reverse 5'-TGTCTCAAAGTTACCACCGCTG-3'), ZO-1 (forward 5'-TTCCAGCCAGCCTGCTAAAC-3', and reverse 5'-CAATAGCGTAGCCCGTTCATCT-3'), GAPDH (forward 5'-GGAAGCTTGTCATCAATGGAAATC-3', and reverse 5'-TGATGAC-CCTTTTGGCTCCC-3').

### **Immunofluorescence**

After deparaffinization, rehydration, antigen retrieval, and serum blocking, the sections were incubated with the primary antibody overnight at 4 °C. The primary antibody was a rabbit monoclonal anti-CD3G antibody (1:100; Abclonal, Wuhan, China). The sections were incubated with a Cy3-conjugated goat anti-rabbit IgG (H+L) (1:300; Servicebio, Wuhan, China) at room temperature for 50 minutes in the dark. Nuclei were counterstained with 4',6-diamidino-2-phenylindole, and spontaneous fluorescence quenching was performed. The slides were observed under a Nikon Eclipse C1 fluorescence microscope (Nikon, Tokyo, Japan), and images were collected using a Nikon DS-U3 system (Nikon, Tokyo, Japan).

Two independent observers in a blinded manner counted the cells according to previous studies[20, 30]. The number of IELs per 100 epithelial cells was counted for at least 500 epithelial cells, and the average was calculated. Lymphocytes in the lamina propria were counted in five nonoverlapping high-power fields (400 $\times$  magnification; field area, 0.111 mm<sup>2</sup>), and the mean of these 5 values was calculated. The results were expressed as counts per square millimeter (/mm<sup>2</sup>).

### **D-lactic acid and zonulin levels**

Subsequent to centrifugation, the blood supernatants were collected and stored at -80°C until assay. Serum D-lactic acid and zonulin levels were quantified with commercially available enzyme-linked immunosorbent assay (ELISA) Kits (D-lactic acid, Camilo Biological, Nanjing, China; zonulin, Cusabio, Wuhan, China).

**Table 1 Characteristics of healthy controls and patients with functional constipation**

	Healthy controls	FC patients	P value
<i>n</i>	24	40	NA
Age (yr)	40.04 ± 11.57	42.18 ± 12.70	0.504
Sex (male: female)	7:17	1:4	0.402
Body mass index (kg/m <sup>2</sup> )	22.62 ± 3.28	21.83 ± 2.68	0.295
Duration of disease (yr)	NA	19.53 (10, 29)	NA

The data are presented as the mean ± standard deviation or the median (Q1, Q3). FC: Functional constipation; NA: Not applicable.

### Statistical analysis

Statistical analysis was performed using SPSS software, version 22.0 (SPSS Inc., Chicago, IL, United States) and statistical charts were generated using GraphPad Prism software, version 7.0 (GraphPad Software Inc., La Jolla, CA, United States). Continuous data are presented as the mean ± standard deviation (SD) if normally distributed or the median (Q1, Q3) if not. Statistical comparisons between groups were performed using independent Student's *t*-test or nonparametric Mann-Whitney *U*-test according to the data distribution and homogeneity of variance. The Chi-square test was used to analyze dichotomous data. *P* < 0.05 was considered statistically significant.

## RESULTS

### Characteristics of FC patients and healthy controls

Forty FC patients (age 25-65 years; mean age, 42.18 years; 8 males and 32 females) and 24 healthy controls (age 25-60 years; mean age, 40.04 years; 7 males and 17 females) participated in the study. No significant differences were found between the two groups in age, sex or body mass index (*P* = 0.504, *P* = 0.402, and *P* = 0.295, respectively). The median duration of disease was 19.53 years. The characteristics of the patients are shown in Table 1.

### Histology and goblet cell counts

Thirty FC patients and 21 healthy controls received colonic mucosal biopsy. All biopsy specimens were observed to be normal (Figure 1A and B). Figure 1C and D show that the cytoplasm of goblet cells was filled with blue, thick mucus. Mucus staining in the FC group was darker than the control group. The number of goblet cells per upper crypt in the colonic mucosa was also significantly increased in FC patients (22.42 ± 4.09) compared to controls (18.67 ± 2.99) (*P* = 0.001) (Figure 1E).

### Ultrastructural observation of intercellular junctions in the colonic mucosa

We randomly selected 5 colonic mucosal specimens from FC patients for further observation under an electron microscope. As shown in Figure 2, the intercellular junctional complexes in the colonic mucosa were continuous and integral and exhibited a regular arrangement. No interruption or widened gaps were found, which was consistent with the normal transmission electron microscopy images[8].

### Immunohistochemical analysis of occludin and ZO-1 expression

Figure 3A-D indicates that colonic mucosal occludin and ZO-1 were primarily present in the cell membrane and cytoplasmic membrane, and no significant changes or differences were found in the cellular distribution between the two groups.

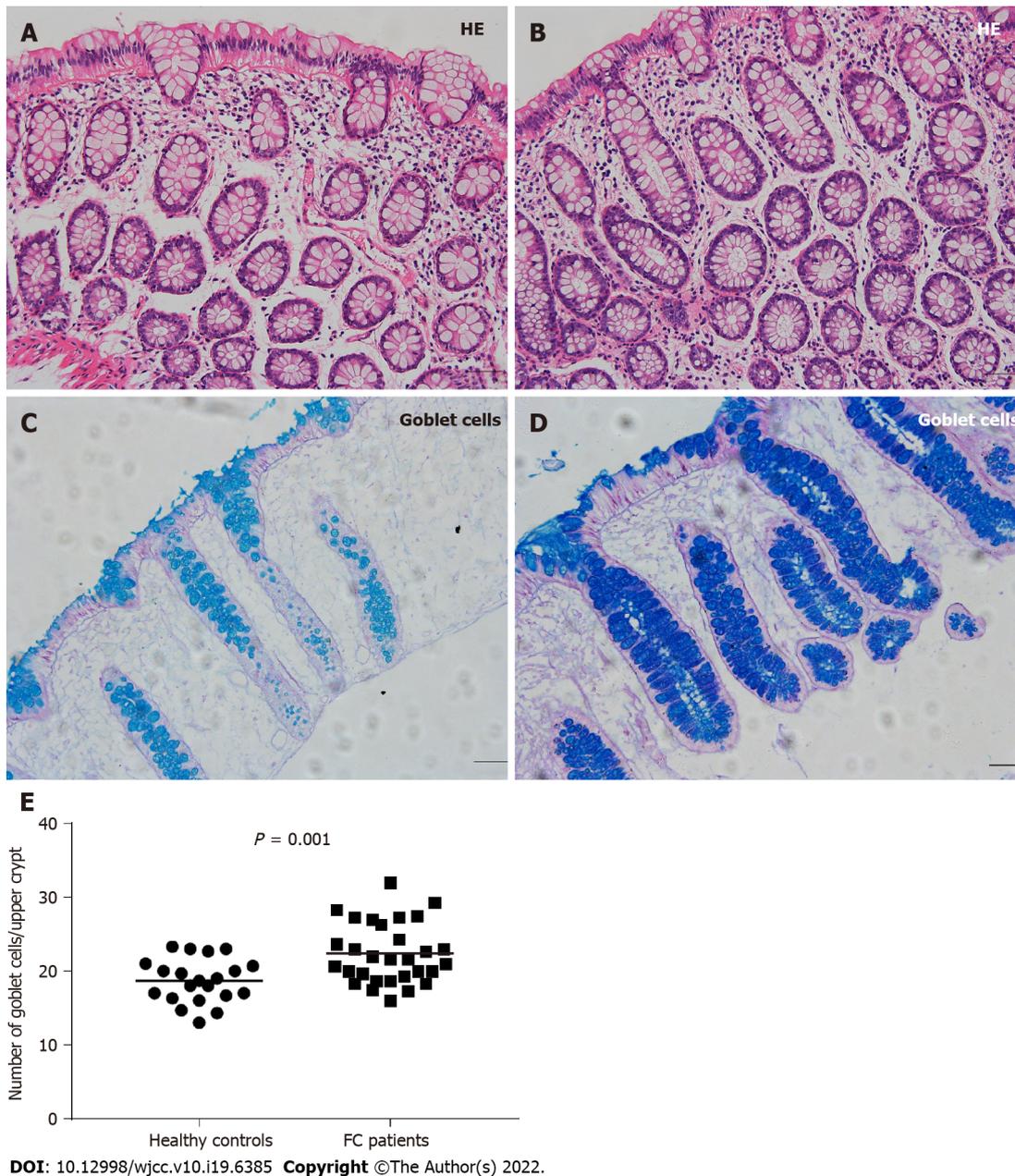
The protein levels of occludin and ZO-1 were quantified based on the MOD values evaluated by image analysis software, as shown in Figure 3E and F. Compared to the healthy controls, there were no significant differences in colonic mucosal occludin (control, 5.76E-2 ± 1.62E-2; FC, 5.17E-2 ± 1.80E-2; *P* = 0.240) or ZO-1 (control, 2.29E-2 ± 0.93E-2; FC, 2.68E-2 ± 1.60E-2; *P* = 0.333) expression in FC patients.

### Occludin and ZO-1 mRNA levels

Consistent with the results of immunohistochemical analysis, the mRNA levels in occludin and ZO-1 were not changed in FC patients compared to control values (*P* = 0.145, *P* = 0.451, respectively) (Figure 4).

### CD3+ IELs and CD3+ Lymphocytes in the lamina propria

As shown in Figure 5A-D, we observed that CD3+ IELs resided at the basolateral side of intestinal epithelial cells and CD3+ lymphocytes scattered in the lamina propria. Moreover, the mean number of

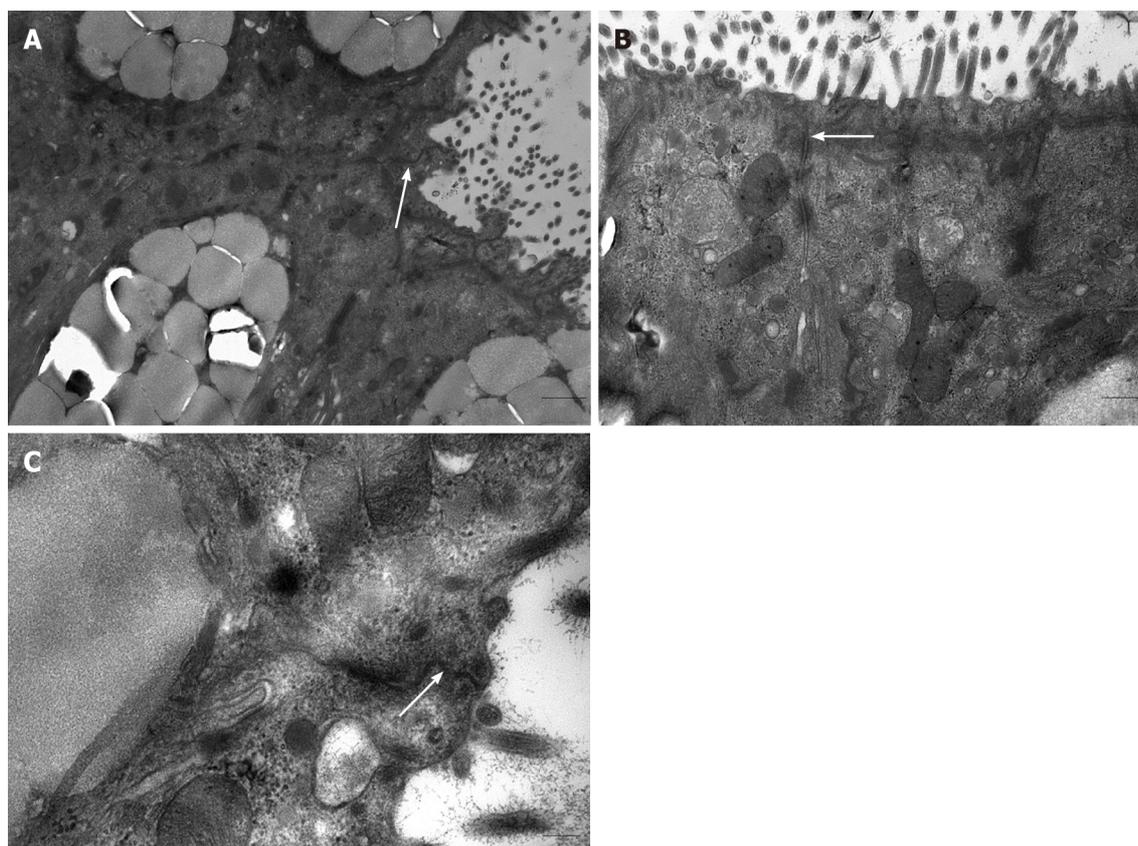


**Figure 1** Mucosal histology and goblet cells in healthy controls and patients with functional constipation. A and B: Colonic mucosal histology presented as normal in healthy controls and functional constipation (FC) patients (Scale bar = 50  $\mu$ m); C: Goblet cells with Alcian Blue/Periodic acid Schiff (AB/PAS) staining in healthy controls (Scale bar = 50  $\mu$ m); D: Goblet cells with AB/PAS staining in FC patients (Scale bar = 50  $\mu$ m); E: The number of goblet cells per upper crypt was significantly increased in FC patients compared to healthy controls. The line inside the scatter plot indicates the mean value. FC: Functional constipation; HE: Hematoxylin and eosin.

IELs per 100 epithelial cells for healthy controls was  $5.62 \pm 2.06$  and for FC patients was  $4.50 \pm 2.16$ , with no significant difference ( $P = 0.070$ ) (Figure 5E). Likewise, CD3+ lamina propria lymphocyte count was not significantly different between the two groups (control,  $19.69 \pm 6.04/\text{mm}^2$ ; FC,  $22.70 \pm 11.38/\text{mm}^2$ ;  $P = 0.273$ ) (Figure 5F).

#### Circulating D-lactic acid and zonulin levels

There were no significant differences in the median serum level of D-lactic acid [control, 5.21 (4.46, 5.49) mmol/L; FC, 4.63 (4.31, 5.42) mmol/L;  $P = 0.112$ ] or zonulin [control, 1.36 (0.53, 2.15) ng/mL; FC, 0.94 (0.47, 1.56) ng/mL;  $P = 0.185$ ] between FC patients and healthy controls (Figure 6).



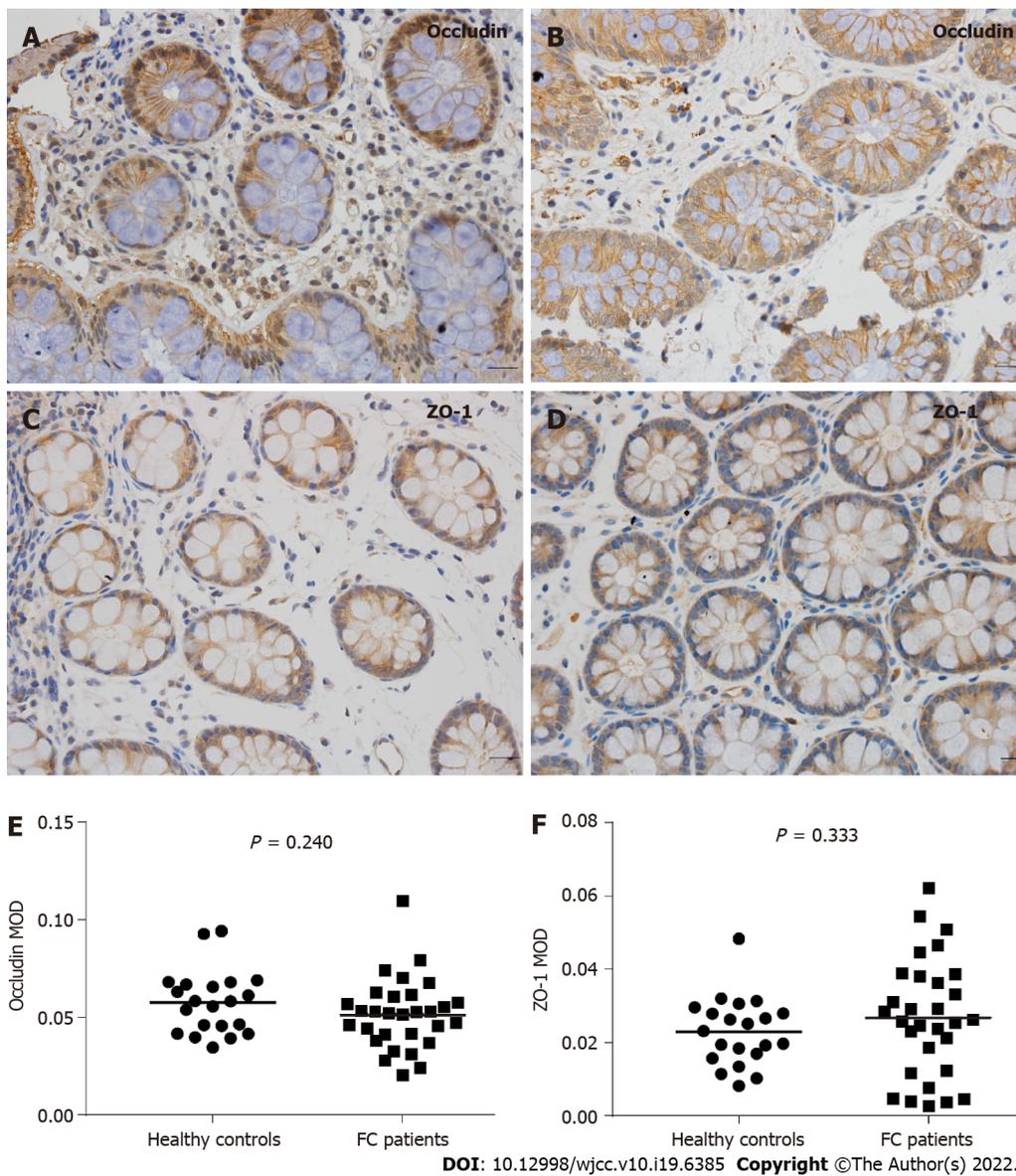
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**Figure 2 Intercellular junctional complexes in the colonic epithelium under an electron microscopy in patients with functional constipation.** Intercellular junctional complexes were continuous and integral. A: Scale bar = 1  $\mu$ m; B: Scale bar = 500 nm; C: Scale bar = 200 nm. White arrows represent intercellular junctional complexes.

## DISCUSSION

Limited evidence reported structural changes in the gut microbiome in constipation patients, but no studies thoroughly investigated the intestinal mucosal barrier in FC patients. The present study evaluated the intestinal mucosal barrier in FC patients from different perspectives using comprehensive methods, including immunohistochemical and immunofluorescence analyses, qRT-PCR, ultrastructural observation under an electron microscope and ELISA, and compared these parameters with healthy controls. First, the number of goblet cells per upper crypt in the colonic epithelium was significantly increased in FC patients, along with the darker mucus staining. Ultrastructural observations confirmed that the intercellular junctional complexes in the colonic epithelium were not interrupted or widened in FC patients. Compared with the healthy controls, there were no statistically significant differences in the mRNA or protein expression levels of occludin or ZO-1 in FC patients. There were no significant increases in the number of CD3+ IELs or CD3+ lymphocytes in the lamina propria in FC patients. No significant differences were found in the serum D-lactic acid or zonulin levels between the two groups. To the best of our knowledge, this study provides the first comprehensive evidence that the intestinal mucosal barrier in FC patients may show a compensatory increase in mucus production and secretion and integral intercellular junctional complexes in the colonic epithelium without activation of mucosal immunity or increased gut permeability.

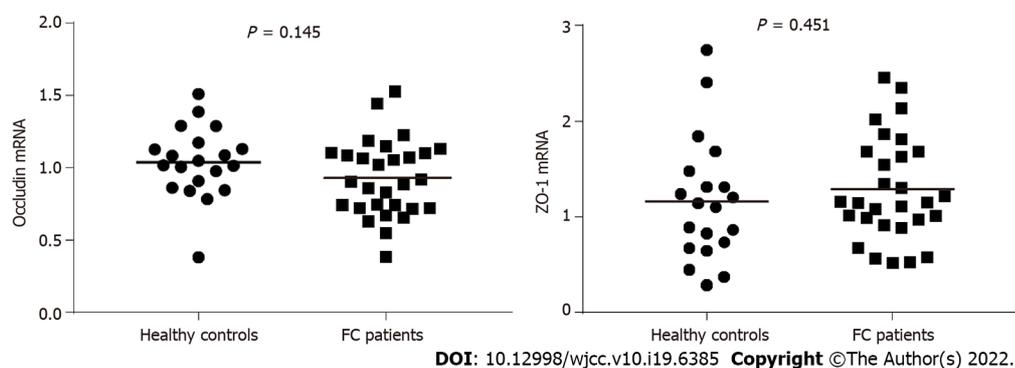
Goblet cells are especially abundant in the upper crypts of the colon and are the major producers of the mucus overlying the intestinal epithelium, which provide the first line of defense against potentially harmful substances. Mucins are the main component of mucus, which also consists of secretory immunoglobulin A (sIgA) and antimicrobial products that give the barrier its gut-lubricating properties and provides a habitat for gut commensal bacteria (the outer mucus layer) while keeping pathogenic substances away from the epithelium (the inner mucus layer)[31]. The significant increase in goblet cell counts in FC patients suggests an increased secretion of colonic mucus to a certain extent, which is consistent with the darker mucus staining. Under physiological circumstances, mucus secretion and degradation are in equilibrium as the intestinal contents flow. However, reduced colonic motility in FC patients with a longer disease duration (median duration, 19.53 years) leads to long retention of the intestinal contents, which disrupts the balance of the mucus barrier. The body demands more mucus secretion by goblet cells to lubricate the gut, promote colonic emptying and separate the intestinal



**Figure 3 Immunohistochemical analysis of occludin and zonula occludens-1 expression in healthy controls and patients with functional constipation.** A: Colonic mucosal occludin expression in healthy controls (Scale bar = 20  $\mu$ m); B: Colonic mucosal occludin expression in functional constipation (FC) patients (Scale bar = 20  $\mu$ m); C: Colonic mucosal zonula occludens-1 (ZO-1) expression in healthy controls (Scale bar = 20  $\mu$ m); D: Colonic mucosal ZO-1 expression in FC patients (Scale bar = 20  $\mu$ m); E and F: No significant differences in occludin or ZO-1 expression were found between healthy controls and FC patients ( $P = 0.240$  and  $P = 0.333$ , respectively). FC: Functional constipation; ZO-1: Zonula occludens-1; MOD: Mean optical density.

mucosa from pathogenic substances. Therefore, perhaps the increase in goblet cell counts is a compensatory mechanism to compensate for the relative insufficiency of mucus volume in FC patients. Another possible explanation is the complex interactions of colonic microorganisms with the intestinal mucosal barrier. For example, *B. thetaiotaomicron* and *Faecalibacterium prausnitzii* influence mucus production by augmenting goblet cell differentiation and inducing expression of genes involved in mucin glycosylation[32]. Bacterial metabolites, such as SCFAs, are linked to the mucus biosynthesis and cell growth[33,34]. Significant butyrate-producing genera, *Roseburia* and *Faecalibacterium*, also tended to increase in constipated patients[35]. These findings suggest that the alterations in colonic microbiota and metabolites in FC patients might be involved in the increase in goblet cell counts and mucus. But of note, there is no consensus on the specific gut microbiota characteristics of patients with FC. Further studies are needed to provide definitive evidence for associations between gut microbiota in FC and increased goblet cells.

The intestinal epithelial barrier is the critical component of the mucosal barrier that separates the underlying tissues from luminal antigens[3]. TJs are multiprotein complexes that are embedded into the plasma membrane of adjacent cells to maintain the integrity of the intestinal epithelial barrier and modulate paracellular permeability, and ZO-1 primarily mediates TJ-actin cytoskeleton interactions[36]. The present study observed that intercellular junctional complexes in the colonic epithelium in FC



**Figure 4 Colonic mucosal occludin and zonula occludens-1 mRNA levels in healthy controls and patients with functional constipation.** Similar to immunohistochemical analysis, no significant differences in occludin or ZO-1 mRNA levels were found between healthy controls and functional constipation patients ( $P = 0.145$  and  $P = 0.451$ , respectively). FC: Functional constipation; ZO-1: Zonula occludens-1.

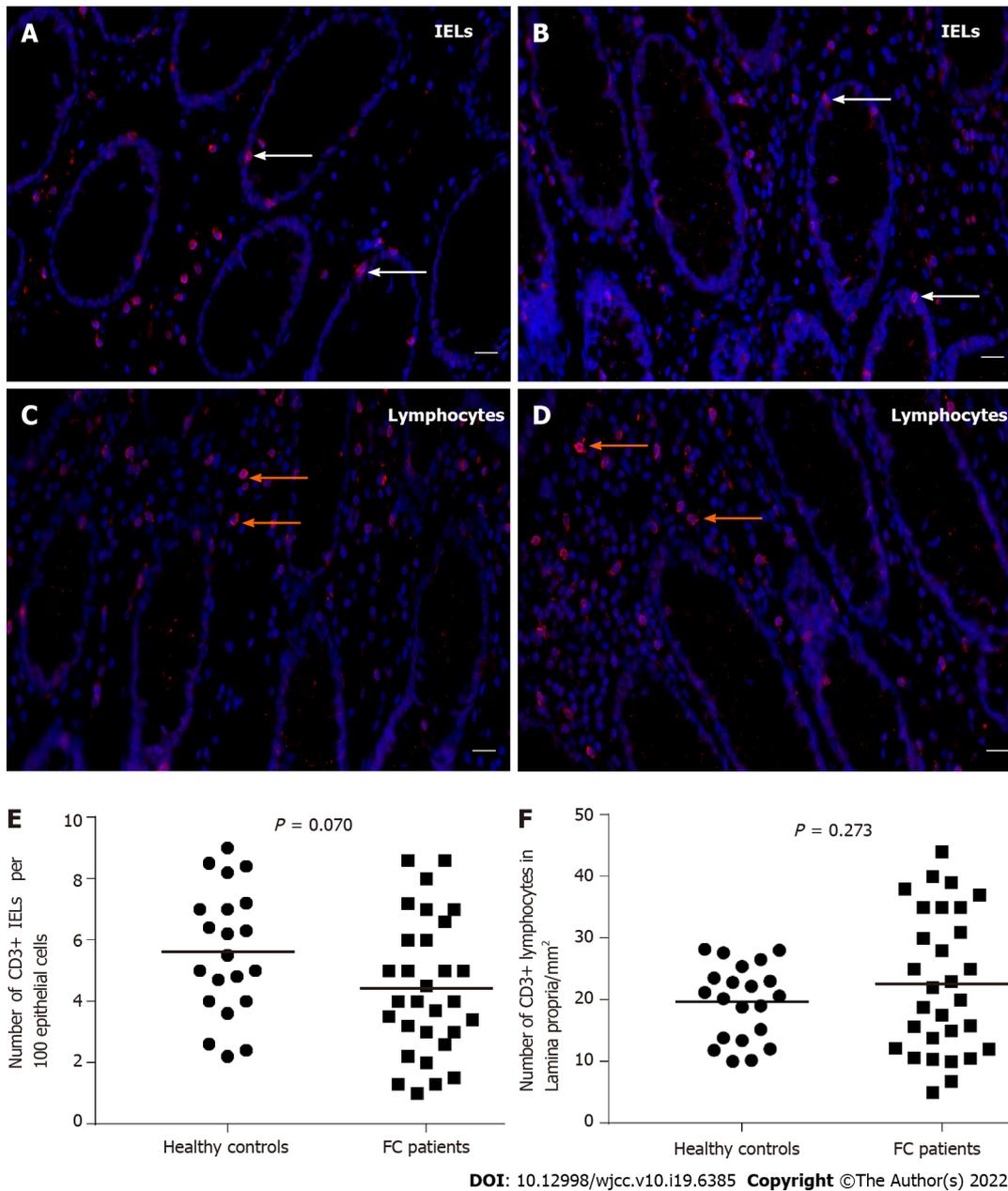
patients were intact and regularly arranged with no widened gaps, which is consistent with a previous study that showed normal intercellular structures of the ascending colon mucosa in constipation-predominant IBS (IBS-C) patients[37]. Claudins are important structural components of TJs, presenting a tissue-specific expression pattern[38]. However, as noted in previous studies, heterogeneous claudin species exhibit different functional properties with mutual influence and most cells express more than two claudins in various combinations (*i.e.*, claudin clusters)[11,39], which makes it difficult to analyze the effect of a single claudin under pathological conditions. Therefore, in the present study, we focused on occludin and ZO-1. Compared with the healthy controls, there were no significant alterations in the localization, protein and mRNA expression of occludin and ZO-1 in the colonic mucosa in FC patients. These data are consistent with previous studies that showed unaltered protein and mRNA expression in occludin and ZO-1 in IBS-C patients[18,40]. Peters *et al*[40] also observed that females with IBS-C had a normal colonic barrier using complementary *in vivo* and *ex vivo* techniques. Therefore, the cellular distribution and expression of TJs may not be changed in patients with FC or IBS-C.

Mucosal barrier function is further supported by mucosal immune cells, and IELs and lamina propria lymphocytes play important roles due to their proximity to the barrier[41]. Once pathogens invade mucosal tissues, these immune cells respond quickly to activate local immune responses and induce severe inflammatory responses. FC patients in our study showed no significant increase in CD3+ IEL or CD3+ lamina propria lymphocyte counts compared with healthy controls, which indicates a lack of mucosal immune activation. However, these results are different from a previous study that found an association of chronic constipation with immune activation[42]. Possible explanations include the fact that we evaluated mucosal immune cells and they focused on the systemic immune response by analyzing concentrations of serum T lymphocytes. Given that the systemic immunity is affected by many factors and it cannot reflect the true immune state of the colonic mucosa, we believe that a direct assessment of the mucosal immune status is more reliable.

Gut permeability is defined as the ability of the intestinal mucosal surface to be penetrated by a solute. An increased permeability indicates the disruption of the intestinal mucosal barrier. Currently, several serological biomarkers have been identified as reliable indicators to assess gut permeability[3]. In the present study, we selected commonly used serum markers, namely, D-lactic acid and zonulin, to reflect gut permeability in FC patients. D-lactic acid is produced by some gut bacteria, and it enters the blood circulation when the intestinal epithelial barrier is impaired[43]. D-lactic acid levels are elevated in patients with acute perforated appendicitis, acute mesenteric ischemia, and necrotizing enterocolitis, who suffer severe intestinal injury[44-46]. Zonulin is a human counterpart of *Vibrio Cholerae* zonula occludens toxin and is involved in the modulation of intestinal TJs[47]. Gluten and bacterial colonization in the small intestine are powerful luminal stimuli that trigger zonulin release[48,49]. Higher serum zonulin levels are associated with increased permeability in several disorders, including celiac disease, IBD and type 1 diabetes[50-52]. In the present study, we found that FC patients had neither higher D-lactic acid nor zonulin levels than healthy controls, which indirectly indicated that the intestinal epithelial barrier was not impaired.

Overall, the increase in goblet cell counts and mucus secretion in FC patients thickens the mucus layer covering the intestinal epithelium, which blocks the invasion of pathogenic substances by creating a physical barrier and neutralizing the pathogenic bacteria *via* the secretion of antibacterial products or a direct immunological effect. These alterations may explain why FC patients in the present study had integral intercellular junctional complexes and normal gut permeability without the activation of mucosal immunity. In turn, the maintenance of intestinal barrier functions in FC patients protects the body from bacterial translocation and enterogenic infection.

The present study had several limitations. First, future studies should fully investigate the changes in intestinal intercellular junctional proteins in FC patients, such as claudins. Second, specific molecular

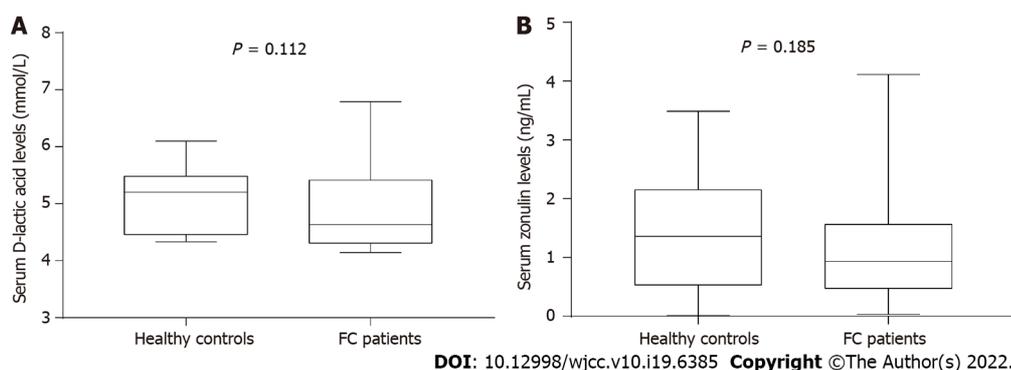


**Figure 5 Immunofluorescence of colonic CD3+ intraepithelial lymphocytes and CD3+ lamina propria lymphocytes in healthy controls and patients with functional constipation.** A: Colonic CD3+ intraepithelial lymphocytes (IELs) in healthy controls (Scale bar = 20  $\mu$ m, white arrows represent IELs); B: Colonic CD3+ IELs in functional constipation (FC) patients (Scale bar = 20  $\mu$ m, white arrows represent IELs); C: CD3+ lamina propria lymphocytes in healthy controls (Scale bar = 20  $\mu$ m, orange arrows represent lymphocytes); D: CD3+ lamina propria lymphocytes in FC patients (Scale bar = 20  $\mu$ m, orange arrows represent lymphocytes); E and F: CD3+ IEL and CD3+ lamina propria lymphocyte counts were not significantly different between the two groups. FC: Functional constipation; IELs: Intraepithelial lymphocytes.

mechanisms or signaling pathways underlying the increase in goblet cells and the maintenance of an intact epithelial barrier in FC patients should be further explored. Third, it will be more meaningful to combine multiple approaches to assess intestinal barrier function (*i.e.*, gut permeability) in FC patients, such as intestinal fatty acid binding protein and orally ingested probes assessed in urine. Finally, due to the limited tissue availability, relatively small sample size may cause the lack of statistical difference in the results. Further studies in a larger sample should be performed to validate our conclusions. To counterbalance the limitations, we performed a comprehensive analysis of the intestinal mucosal barrier in FC patients using multiple methods, which resulted in convincing conclusions.

## CONCLUSION

In summary, for the first time, we comprehensively investigated the intestinal mucosal barrier in FC



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**Figure 6 Serum D-lactic acid and zonulin levels in healthy controls and patients with functional constipation.** A: Serum D-lactic acid levels; B: Serum zonulin levels. No significant differences in D-lactic acid or zonulin levels were found between healthy controls and functional constipation patients. The box indicates the interquartile range; the line inside the box indicates the median value; the two whiskers indicate the maximum and minimum of the data. FC: Functional constipation.

patients, including the mucus barrier, the intestinal epithelial barrier, the mucosal immune state and gut permeability. Specifically, we demonstrated a compensatory increase in goblet cell counts but no alterations in intercellular junctions (including the expression of occludin and ZO-1), activation of mucosal immunity or increased gut permeability in FC patients. These results are important considering the alterations of gut microbiota and metabolites in FC patients, but no severe enterogenic infection was induced by bacterial translocation. Further studies are needed to examine the molecular mechanisms underlying these changes, such as the interaction between gut microbiota in FC patients and the mucosal barrier, and further evaluate the intestinal barrier in FC patients from a functional level.

## ARTICLE HIGHLIGHTS

### Research background

The intestinal mucosal barrier prevents potentially harmful substances in the intestinal lumen from passing through the epithelium to the underlying tissue while allowing the selective absorption and secretion of nutrients and fluids. Disruption of this barrier alters intestinal permeability and activates the immune system in some chronic intestinal disorders. However, no studies have thoroughly explored the intestinal mucosal barrier in patients with functional constipation (FC).

### Research motivation

The integrity of the intestinal mucosal barrier contributes to the maintenance of normal intestinal permeability and inner homeostasis. Few studies have investigated this barrier in FC patients. The main experimental procedures of the present study were as follows: counting the goblet cells, CD3+ intraepithelial lymphocytes (IELs) and CD3+ lamina propria lymphocytes in the colonic mucosa in FC patients and healthy controls, observing the ultrastructure of intercellular junctional complexes in FC patients, evaluating the expression of occludin and zonula occludens-1 (ZO-1), and analyzing serum D-lactic acid and zonulin levels in FC patients and healthy controls. These findings may provide the first comprehensive insights into the alterations of the intestinal mucosal barrier in FC patients.

### Research objectives

The present study aimed to comprehensively investigate the intestinal mucosal barrier in FC patients, including the mucus barrier, intercellular junctions, mucosal immunity and gut permeability.

### Research methods

Subjects underwent colonoscopy and colonic mucosal biopsy. Goblet cells were stained with Alcian Blue/Periodic acid Schiff (AB/PAS) and counted. The ultrastructure of intercellular junctional complexes was observed under an electron microscope. Occludin and ZO-1 in the colonic mucosa were located and quantified using immunohistochemistry and quantitative real-time polymerase chain reaction (qRT-PCR). Colonic CD3+ IELs and CD3+ lymphocytes in the lamina propria were identified and counted using immunofluorescence. The serum levels of D-lactic acid and zonulin were assayed using enzyme-linked immunosorbent assay.

### Research results

Compared to healthy controls, the staining of mucus secreted by goblet cells was darker and the number

of goblet cells in the colonic mucosa was significantly increased in FC patients. The intercellular junctional complexes in the colonic epithelium were integral in FC patients. There were no significant alterations in the localization, protein and mRNA expression of occludin and ZO-1 in the colonic mucosa in FC patients compared to healthy controls. No significant differences were observed in the number of CD3+ IELs and CD3+ lamina propria lymphocytes between the two groups. There were no significant differences in serum D-lactic acid or zonulin levels between FC patients and healthy controls.

### Research conclusions

This study provides the first comprehensive evidence that the intestinal mucosal barrier in FC patients shows a compensatory increase in mucus production and secretion as well as integral intercellular junctional complexes in the colonic epithelium without activation of mucosal immunity or increased gut permeability.

### Research perspectives

The present study thoroughly investigated the key components of the intestinal mucosal barrier in FC patients. In the future, the molecular mechanisms underlying the alterations of this barrier, such as the interaction between gut microbiota in FC patients and the mucosal barrier, need to be explored. Further studies should also evaluate the intestinal barrier in FC patients from a functional level.

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## FOOTNOTES

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**Author contributions:** Wang JK designed and performed the study, analyzed the data, and drafted the manuscript; Wei W, Zhao DY, Wang HF, and Zhang YL collected the clinical data and samples from subjects; Lei JP contributed to the study design and data analysis; Yao SK designed the study, supervised the study performance, revised the manuscript, and obtained the funding; all authors read and approved the final manuscript.

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