

# World Journal of *Gastroenterology*

*World J Gastroenterol* 2024 February 28; 30(8): 779-993



## EDITORIAL

- 779 Immunotherapy of gastric cancer: Present status and future perspectives  
*Triantafyllidis JK, Konstadoulakis MM, Papalois AE*
- 794 Immune signature of small bowel adenocarcinoma and the role of tumor microenvironment  
*Christodoulidis G, Kouliou MN, Koumarelas KE*
- 799 Management of autoimmune hepatitis induced by hepatitis delta virus  
*Gigi E, Lagopoulos V, Liakos A*
- 806 Adjuvant therapy for hepatocellular carcinoma: Dilemmas at the start of a new era  
*Zhong JH*

## OPINION REVIEW

- 811 Nonsteroidal anti-inflammatory drugs before endoscopic ultrasound guided tissue acquisition to reduce the incidence of post procedural pancreatitis  
*de Jong M, van Delft F, Roozen C, van Geenen EJ, Bisseling T, Siersema P, Bruno M*

## REVIEW

- 817 Autoimmune pancreatitis: Cornerstones and future perspectives  
*Gallo C, Dispinzieri G, Zucchini N, Invernizzi P, Massironi S*

## MINIREVIEWS

- 833 Fecal microbiota transplantation for treatment of non-alcoholic fatty liver disease: Mechanism, clinical evidence, and prospect  
*Qiu XX, Cheng SL, Liu YH, Li Y, Zhang R, Li NN, Li Z*

## ORIGINAL ARTICLE

## Retrospective Study

- 843 Transcatheter arterial chemoembolization combined with PD-1 inhibitors and Lenvatinib for hepatocellular carcinoma with portal vein tumor thrombus  
*Wu HX, Ding XY, Xu YW, Yu MH, Li XM, Deng N, Chen JL*
- 855 Immunoglobulin G-mediated food intolerance and metabolic syndrome influence the occurrence of reflux esophagitis in *Helicobacter pylori*-infected patients  
*Wang LH, Su BB, Wang SS, Sun GC, Lv KM, Li Y, Shi H, Chen QQ*
- 863 Evaluating the influence of sarcopenia and myosteatosis on clinical outcomes in gastric cancer patients undergoing immune checkpoint inhibitor  
*Deng GM, Song HB, Du ZZ, Xue YW, Song HJ, Li YZ*

**Observational Study**

- 881** Mitochondrial dysfunction affects hepatic immune and metabolic remodeling in patients with hepatitis B virus-related acute-on-chronic liver failure

*Zhang Y, Tian XL, Li JQ, Wu DS, Li Q, Chen B*

**Basic Study**

- 901** Metadherin promotes stem cell phenotypes and correlated with immune infiltration in hepatocellular carcinoma

*Wang YY, Shen MM, Gao J*

- 919** Lipid metabolism-related long noncoding RNA RP11-817I4.1 promotes fatty acid synthesis and tumor progression in hepatocellular carcinoma

*Wang RY, Yang JL, Xu N, Xu J, Yang SH, Liang DM, Li JZ, Zhu H*

**SYSTEMATIC REVIEWS**

- 943** Quality of life after pancreatic surgery

*Li SZ, Zhen TT, Wu Y, Wang M, Qin TT, Zhang H, Qin RY*

**META-ANALYSIS**

- 956** Prevalence and clinical impact of sarcopenia in liver transplant recipients: A meta-analysis

*Jiang MJ, Wu MC, Duan ZH, Wu J, Xu XT, Li J, Meng QH*

**SCIENTOMETRICS**

- 969** Bibliometrics analysis based on the Web of Science: Current trends and perspective of gastric organoid during 2010-2023

*Jiang KL, Jia YB, Liu XJ, Jia QL, Guo LK, Wang XX, Yang KM, Wu CH, Liang BB, Ling JH*

**CASE REPORT**

- 984** Cronkhite-Canada syndrome with esophagus involvement and six-year follow-up: A case report

*Tang YC*

**LETTER TO THE EDITOR**

- 991** Monitoring of hepatocellular carcinoma

*Akkari I, Jaziri H*

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**INDEXING/ABSTRACTING**

The WJG is now abstracted and indexed in Science Citation Index Expanded (SCIE), MEDLINE, PubMed, PubMed Central, Scopus, Reference Citation Analysis, China Science and Technology Journal Database, and Superstar Journals Database. The 2023 edition of Journal Citation Reports® cites the 2022 impact factor (IF) for WJG as 4.3; Quartile category: Q2. The WJG's CiteScore for 2021 is 8.3.

**RESPONSIBLE EDITORS FOR THIS ISSUE**

Production Editor: *Yu-Xi Chen*; Production Department Director: *Xiang Li*; Editorial Office Director: *Jia-Ru Fan*.

**NAME OF JOURNAL**

*World Journal of Gastroenterology*

**ISSN**

ISSN 1007-9327 (print) ISSN 2219-2840 (online)

**LAUNCH DATE**

October 1, 1995

**FREQUENCY**

Weekly

**EDITORS-IN-CHIEF**

Andrzej S Tarnawski

**EXECUTIVE ASSOCIATE EDITORS-IN-CHIEF****EDITORIAL BOARD MEMBERS**

<http://www.wjgnet.com/1007-9327/editorialboard.htm>

**PUBLICATION DATE**

February 28, 2024

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**PUBLISHING PARTNER**

Shanghai Pancreatic Cancer Institute and Pancreatic Cancer Institute, Fudan University  
Biliary Tract Disease Institute, Fudan University

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**PUBLICATION ETHICS**

<https://www.wjgnet.com/bpg/GerInfo/288>

**PUBLICATION MISCONDUCT**

<https://www.wjgnet.com/bpg/gerinfo/208>

Xian-Jun Yu (Pancreatic Oncology), Jian-Gao Fan (Chronic Liver Disease), Hou-Bao Liu (Biliary Tract Disease)

**ARTICLE PROCESSING CHARGE**

<https://www.wjgnet.com/bpg/gerinfo/242>

**STEPS FOR SUBMITTING MANUSCRIPTS**

<https://www.wjgnet.com/bpg/GerInfo/239>

**ONLINE SUBMISSION**

<https://www.f6publishing.com>

**PUBLISHING PARTNER'S OFFICIAL WEBSITE**

<https://www.shca.org.cn>  
<https://www.zs-hospital.sh.cn>



## Adjuvant therapy for hepatocellular carcinoma: Dilemmas at the start of a new era

Jian-Hong Zhong

**Specialty type:** Gastroenterology and hepatology

**Provenance and peer review:** Invited article; Externally peer reviewed.

**Peer-review model:** Single blind

**Peer-review report's scientific quality classification**

Grade A (Excellent): 0  
Grade B (Very good): B  
Grade C (Good): 0  
Grade D (Fair): 0  
Grade E (Poor): 0

**P-Reviewer:** Jackson T, United States

**Received:** December 10, 2023

**Peer-review started:** December 10, 2023

**First decision:** December 27, 2023

**Revised:** December 27, 2023

**Accepted:** January 31, 2024

**Article in press:** January 31, 2024

**Published online:** February 28, 2024



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### Abstract

Approximately 50%-70% of patients with hepatocellular carcinoma experience recurrence within five years after curative hepatic resection or ablation. As a result, many patients receive adjuvant therapy after curative resection or ablation in order to prolong recurrence-free survival. The therapy recommended by national guidelines can differ, and guidelines do not specify when to initiate adjuvant therapy or how long to continue it. These and other unanswered questions around adjuvant therapies make it difficult to optimize them and determine which may be more appropriate for a given type of patient. These questions need to be addressed by clinicians and researchers.

**Key Words:** Adjuvant therapy; Hepatocellular carcinoma; Tumor recurrence; Unanswered questions

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**Core Tip:** Several questions need to be addressed by clinical researchers about the use of adjuvant therapy to prolong recurrence-free survival of patients with hepatocellular carcinoma following potentially curative treatment.

**Citation:** Zhong JH. Adjuvant therapy for hepatocellular carcinoma: Dilemmas at the start of a new era. *World J Gastroenterol* 2024; 30(8): 806-810

**URL:** <https://www.wjgnet.com/1007-9327/full/v30/i8/806.htm>

**DOI:** <https://dx.doi.org/10.3748/wjg.v30.i8.806>



## INTRODUCTION

Primary or recurrent hepatocellular carcinoma (HCC) in certain patients can be treated through potentially curative hepatic resection or local ablation[1,2], which is typically defined as complete resection of the tumor, return of alpha fetoprotein levels to normal, and no sign of recurrence 4-8 wk later on contrast-enhanced computed tomography or magnetic resonance imaging[3]. Unfortunately, 50%-70% of patients experience intra- or extrahepatic metastases within five years after such procedures, and these metastases are the most frequent cause of HCC-related death[1,2]. For example, patients with primary HCC in the “very early” or “early” stages according to the Barcelona Clinic Liver Cancer staging system show 5-year recurrence rates of 40.7% after hepatectomy and 29.3% after local ablation[4], and the rate after hepatectomy falls to 18%-25% if the HCC is “intermediate” or “advanced”[5].

Therefore many patients are given adjuvant therapy after curative resection or ablation in order to prolong recurrence-free survival. However, international consensus is lacking about many aspects of adjuvant therapy, including which is the best type for a given type of patient, when it should be performed, and how long it should last. The question has even been raised whether adjuvant therapy is effective at all in certain contexts. These are important questions that need to be addressed through well-designed research and informed discussion.

### **Who can benefit from adjuvant therapy?**

Adjuvant therapy increases treatment costs and risks of adverse events, so it should not be administered routinely to all patients whose tumors have been completely removed by resection or ablation. Instead, national guidelines recommend it for certain types of patients. The Chinese Liver Cancer staging system[3] and the American Association for the Study of Liver Diseases[1] recommend it for patients with factors associated with high risk of recurrence, such as tumor size > 5 cm, presence of > 3 tumors, micro- or macrovascular invasion, or poor tumor differentiation.

Whether these guidelines are optimal is questionable, in light of evidence identifying additional potential risk factors, such as the absence of a tumor capsule, tumor rupture, narrow resection margin ( $\leq 2$  cm) and alpha fetoprotein  $\geq 400$  ng/mL[1,3]. In addition, the risk factors in guidelines have been associated primarily with recurrence within 6 months after curative treatment, meaning that the guidelines neglect liver cirrhosis and chronic hepatitis, which have been linked primarily to late recurrence[6,7]. The evidence base for all these risk factors should be expanded to the point that they can be taken into account in future versions of guidelines. Another question that should be addressed is whether adjuvant therapy is effective for all etiologies of HCC: For example, immune checkpoint inhibitors may offer limited benefit to patients with HCC linked to non-alcoholic steatohepatitis[8].

### **Which adjuvant therapies work best?**

Based on extensive evidence from randomized controlled trials, Chinese Liver Cancer guidelines mention several adjuvant therapies as effective: Transarterial chemoembolization, hepatic arterial infusion chemotherapy, molecular targeted drugs, and adoptive immunotherapy[3]. In contrast, guidelines from South Korea[9] and the United States[1] do not recommend adjuvant transarterial chemoembolization or hepatic arterial infusion chemotherapy, although the South Korean guidelines do recommend adoptive immunotherapy based on strong evidence, while the United States guidelines mention immune checkpoint inhibition for the first time in the latest revision. Guidelines from the United States and China, but not South Korea, recommend adjuvant antiviral therapy with tenofovir or entecavir for patients with HCC related to chronic infection with hepatitis B virus[1,3].

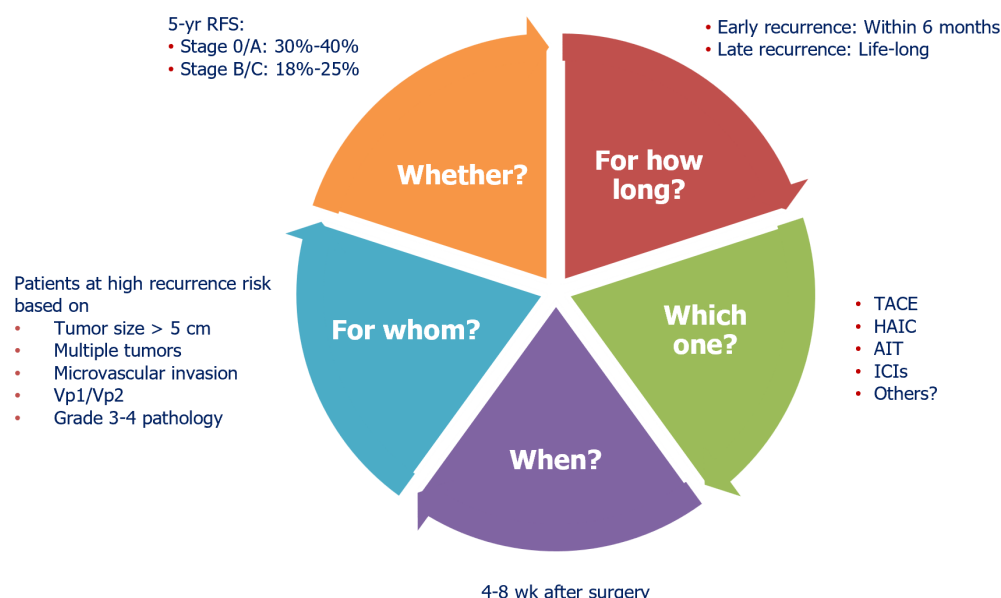
The evidence base for the efficacy of some adjuvant therapies remains to be solidified. Only one randomized controlled trial has explored adjuvant use of the tyrosine kinase inhibitor sorafenib[10], reporting no significant benefit on recurrence-free or overall survival relative to placebo, and randomized trials of other molecular targeted drugs are ongoing. For example, an evaluation of the adjuvant combination of atezolizumab and bevacizumab has yet to reach the endpoint of median recurrence-free survival[11], although one study suggested that the two therapeutic antibodies may synergize to inhibit tumor angiogenesis, regulatory T proliferation and myeloid cell inflammation[12]. One study has suggested that molecular targeted drugs can potentiate adjuvant immune checkpoint blockade[11]. The current landscape of clinical evidence does not provide multiple, clearly effective treatments based on molecular targeted drugs, which makes it difficult to identify which ones may be optimal for given types of patient. Several network meta-analyses have examined the landscape but failed to converge on clear recommendations for clinical practice because of heterogeneity among patient populations and treatment protocols.

### **When should adjuvant therapy begin?**

This is a key consideration given the inevitable side effects of adjuvant therapy, yet no major guidelines recommend a particular start time. Most randomized controlled trials initiate it 4-8 wk after curative resection. This question should be explored in clinical trials, which should consider that the optimal timing of initiation likely depends on perioperative complications, wound healing, residual liver function, and patient characteristics such as performance status and comorbidities.

### **How long should adjuvant therapy last?**

The evidence base around immune checkpoint blockade and molecular targeted drugs does not clearly indicate minimal or maximal duration of adjuvant treatment. In one trial, sorafenib therapy was scheduled for 48 months, but it lasted closer to 12-13 months because of the lack of efficacy and high frequency of adverse events[10]. In another trial, the combination of atezolizumab and bevacizumab was scheduled for 12 months, and it lasted a median of 11 months[11]. This duration may be too long, at least for certain types of patients: Immune checkpoint inhibitor therapy for 6 months



**Figure 1 Unanswered questions about adjuvant therapy for patients with hepatocellular carcinoma following potentially curative resection or local ablation.** Questions appear within the pie, and evidence-based responses are written around it. AIT: Adoptive immunotherapy; HAIC: Hepatic arterial infusion chemotherapy; ICI: Immune checkpoint inhibitor; RFS: Recurrence-free survival; TACE: Transarterial chemoembolization; Vp1: Segmental portal vein invasion; Vp2: Right anterior or posterior portal vein invasion.

was sufficient to prolong recurrence-free survival in one prospective study[13], and median progression-free survival was shorter than 12 months among patients with unresectable HCC who were treated with immune checkpoint inhibitors alone or together with molecular targeted drugs[14,15].

These observations suggest that 12 months of immune checkpoint inhibition may be excessive and, in any case, that the duration of adjuvant therapy will need to be determined based on its mechanism(s) of action. The indications for transarterial therapy, molecular targeted drugs, adoptive immunotherapy and immune checkpoint inhibition were originally formulated for patients with unresectable HCC, so they may not be optimal for patients whose disease is in an early, resectable stage and who are likely to survive long enough for late recurrence to be a concern. For example, patients with resectable disease who are chronically infected with hepatitis B virus should probably continue antiviral therapy for the long term, perhaps even the rest of their lives[16-18].

## CONCLUSION

The costs and adverse effects of adjuvant therapy dictate that clinical researchers better define what therapies should be administered to which patients when and for how long (Figure 1), and that the best evidence be integrated into the next versions of consensus guidelines. This task becomes more urgent as more medical centers administer molecular targeted drugs and immune checkpoint inhibitors to HCC patients[19,20]. Eventually guidelines will also need to take stock of the growing use of neoadjuvant and “conversion” therapies, which promise to make potentially curative treatment accessible to patients with traditionally unresectable HCC.

## FOOTNOTES

**Author contributions:** Zhong JH wrote and revised the manuscript.

**Supported by** the Specific Research Project of Guangxi for Research Bases and Talents, No. GuiKe AD22035057; and the National Natural Science Foundation of China, No. 82060510 and No. 82260569.

**Conflict-of-interest statement:** The author reports no relevant conflicts of interest for this article.

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**Country/Territory of origin:** China

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S-Editor: Wang JJ

L-Editor: A

P-Editor: Chen YX

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DOI: [10.1200/JCO.23.00757](https://doi.org/10.1200/JCO.23.00757)]

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