



## Obstructive sleep apnea: An interdisciplinary challenge for otorhinolaryngologists

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diagnosis and treatment of SRBD requires a team approach and hence building interdisciplinary teams with other involved relevant specialties is necessary from the patients' perspective.

**Key words:** Apnea; Surgery; Obstructive; Continuous positive airway pressure; Sleep

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**Core tip:** Otolaryngologists are quite often the first medical specialists to be contacted by patients with such complaints as snoring, episodic sleep apnea observed by the bed partners with or without reported excessive daytime sleepiness and may therefore emerge as important gatekeepers of the general health of an individual by means of an active preventive, and in many cases therapeutic, role.

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### Abstract

Otolaryngologists play a pivotal role in the diagnosis and management of sleep-related breathing disorders (SRBD) in both adults and children. Otolaryngologists are often the first medical specialists to be contacted by patients with complaints as snoring, episodic sleep apnea observed by the bed partners with or without reported excessive daytime sleepiness and therefore emerge as important gatekeepers of the general health of an individual by means of an active preventive, and in many cases therapeutic, role. Comprehensive

### INTRODUCTION

Otolaryngologists may play a pivotal role in the diagnosis and management of sleep-related breathing disorders (SRBD) in both adults and children. The importance of such a role can be appreciated by the fact that otolaryngologists are often the first medical specialists to be contacted by patients with complaints as snoring, episodic sleep apnea observed by the bed partners with or without reported excessive daytime sleepiness.

The overall prevalence of severe obstructive sleep

apnea (OSA) may be as high as 38% in patients aged 2 to 18 years who undergo tonsillectomy or adenotonsillectomy<sup>[1]</sup>. Obstructive sleep apnea syndrome (OSAS) is a common disease, affecting approximately 2% of women and 4% of men residing in Western countries. A similar prevalence has been found in the general population in some eastern countries<sup>[2,3]</sup>. Patients with SRBD require a holistic approach to management involving both diagnosis and therapy.

## DIAGNOSTICS

A complete medical history including in particular information on arterial hypertension (with emphasis on hypertensive syndromes refractory to medical therapy)<sup>[4]</sup>, cardiovascular and cerebrovascular disease, pulmonary disease, diabetes mellitus<sup>[5]</sup> and the metabolic syndrome<sup>[6]</sup> should be taken. In patients with the metabolic syndrome, the prevalence of moderate to severe OSA is 60%<sup>[6]</sup>. Hypertension, age, and obesity have been associated with OSA severity in males, whereas only age and obesity are associated with OSA severity in females<sup>[4]</sup>. Nondiabetic patients with OSA are at increased risk of developing insulin resistance and diabetes. Insulin resistance and diabetes are factors independently contributing to increased cardiovascular and cerebrovascular morbidity and mortality<sup>[5]</sup>. The prevalence of atherosclerosis, as assessed by coronary artery calcification, carotid intima-media thickness, brachial artery flow-mediated dilation and pulse wave velocity was found higher in OSA patients and correlated with the severity and duration of OSA. Obstructive sleep apnea is therefore an independent predictor of subclinical cardiovascular disease<sup>[7]</sup>. Moderate-to-severe OSA is independently associated with an increased risk of all-cause mortality, incident stroke, and cancer incidence and mortality<sup>[8]</sup>. On the contrary, in a large cohort, the severity of obstructive sleep apnea was not independently associated with either prevalent or incident cancer. More studies are needed to elucidate whether there is an independent association with specific types of cancer<sup>[9]</sup>.

Additionally, a thorough history involving social and psychological status is always relevant. Especially the frequent coexistence of insomnia and depression (or related disorders) with SRBD<sup>[10,11]</sup> necessitates an evaluation of the respective patients during the first contact visit by means of historical information and clinical assessment as well as by means of the respective standardized specific questionnaires (e.g., the Regensburg Insomnia Scale)<sup>[12]</sup>. Such an association is more common in case of obese obstructive sleep apnea syndrome (OSAS) patients with posttraumatic stress disorder or major depressive disorder<sup>[13]</sup>. Social and psychological factors are confounders of nutrition in these patients.

Polysomnography (PSG) and structured stand-

ardized questionnaires (such as the Epworth Sleepiness Scale) improve diagnostic accuracy and guide treatment in SRBD<sup>[14]</sup>. The impact of the first-night effect on PSG-findings, especially in patients with nose and throat pathology should always be considered<sup>[15]</sup>. Drug-induced sleep endoscopy emerges as an important diagnostic method, especially for the selection of candidate patients for implantation with an hypoglossal nerve stimulator<sup>[16]</sup>. Notably, in recent reports, OSAS has been definitely associated with increased cancer incidence, especially cancer of the lung<sup>[17,18]</sup>. Chronic or intermittent hypoxia (such as the one associated with OSAS) may lead to tumour growth and resistance to radiotherapy. Obesity-associated OSAS may provide a possible mechanism by means of which obesity may promote cancer development. Obesity and intermittent hypoxia increased tumor growth in a mouse model of sleep apnea, but did not exhibit any synergistic effects<sup>[19]</sup>. Moreover, increased hypoxia during sleep in OSAS patients has been associated with increased cancer incidence in males and in patients younger than 65 years of age<sup>[20]</sup>.

## THERAPEUTICS

Oral appliance therapy should be seriously considered as an effective treatment alternative to continuous positive airway pressure (CPAP) in patients with mild to moderate OSAS. In patients with severe OSAS, continuous positive airway pressure (CPAP) remains the treatment of first choice<sup>[21]</sup>. Definitive treatment of severe and moderate OSAS is CPAP treatment<sup>[22]</sup>. Moreover, in patients with cardiovascular disease or multiple cardiovascular risk factors, the treatment of OSAS with CPAP, results in a significant reduction in blood pressure in addition to treatment of OSAS<sup>[23]</sup>. Additionally, treatment of OSA with CPAP may lead to improvement in insulin sensitivity, hemoglobin A1c levels, systemic hypertension, and other components of the metabolic syndrome<sup>[5]</sup>.

Isolated nasal surgery in OSAS patients experiencing daytime nasal obstruction reduces therapeutic CPAP device pressures and increases CPAP use and compliance in select patients<sup>[24,25]</sup>. Reduced compliance with CPAP therapy is an important factor that limits CPAP efficacy in both the adult and pediatric OSAS populations. Hypoglossal nerve stimulation emerges as a possible treatment option in OSAS patients who do not tolerate CPAP treatment<sup>[26]</sup>. By means of a surgical procedure the stimulation electrode is placed on the hypoglossal nerve, the sensing lead is placed between the internal and external intercostal muscles to detect ventilatory effort and the neurostimulator is implanted in the right ipsilateral mid-infraclavicular region<sup>[26]</sup>. Patients with pronounced anatomical abnormalities (such as tonsils visible beyond the pillars or extending to midline) or with complete concentric collapse at

the retropalatal airway during drug-induced sleep endoscopy are not suitable candidates for hypoglossal nerve stimulation<sup>[16,26]</sup>. Surgery of the soft palate<sup>[27]</sup> and tongue base as well as hyoid suspension<sup>[28]</sup>, minimally invasive surgery of the inferior turbinates, such as radiofrequency tissue ablation<sup>[29]</sup> and surgery of the nasal septum provide satisfactory and definitive treatment in patients with mild or even moderate OSAS<sup>[30]</sup>.

Adenotonsillectomy remains the treatment of first choice in pediatric OSAS. Nonetheless, many children, especially the obese or those with other underlying medical conditions, have residual OSAS after adenotonsillectomy. CPAP could be an effective treatment modality in these children. Nonetheless, poor adherence and compliance appears to be a significant frequent limitation of CPAP in this pediatric group. Therefore, new treatment modalities for the pediatric OSAS are needed, such as anti-inflammatory substances<sup>[31]</sup>, treatment by means of an oral appliance, high-flow nasal cannula, and measures to promote weight loss. To date there are few randomized controlled trials assessing the effectiveness of these therapies<sup>[32]</sup>.

## CONCLUSION

Given the enormous systemic impact of SRBD, otolaryngologists emerge as important gatekeepers of the general health status of an individual by means of an active preventive, and in many cases therapeutic, role. Other disciplines involved in diagnosis and therapy of SRBD are internal medicine, neurology, pediatrics and even sleep medicine as own discipline in some countries. Consequently, sleep medicine can be associated with the ENT department and the internal medicine department. Providing comprehensive diagnosis and treatment of SRBD requires a team approach and hence building interdisciplinary teams with other involved relevant specialties is necessary from the patients' perspective.

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