**Name of Journal:** *World Journal of Gastroenterology*

**Manuscript NO:** 65087

**Manuscript Type:** MINIREVIEWS

**Artificial intelligence for the early detection of colorectal cancer: a comprehensive review of its advantages and misconceptions**

Viscaino M *et al*. Artificial intelligence for the early detection of CRC

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**Supported by** Chilean National Agency for Research and Development (ANID), No. FB0008; and CONICYT-PCHA/Doctorado Nacional, No. 2018-21181420.

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**Received:** February 28, 2021

**Revised:** April 26, 2021

**Accepted:** September 14, 2021

**Published online:**

**Abstract**

Colorectal cancer (CRC) was the second-ranked worldwide type of cancer during 2020 due to the crude mortality rate of 12.0 per 100000 inhabitants. It can be prevented if glandular tissue (adenomatous polyps) is detected early. Colonoscopy has been strongly recommended as a screening test for both early cancer and adenomatous polyps. However, it has some limitations that include the high polyp miss rate for smaller (< 10 mm) or flat polyps, which are easily missed during visual inspection. Due to the rapid advancement of technology, artificial intelligence (AI) has been a thriving area in different fields, including medicine. Particularly, in gastroenterology AI software has been included in computer-aided systems for diagnosis and to improve the assertiveness of automatic polyp detection and its classification as a preventive method for CRC. This article provides an overview of recent research focusing on AI tools and their applications in the early detection of CRC and adenomatous polyps, as well as an insightful analysis of the main advantages and misconceptions in the field.

**Key Words:** Artificial intelligence; Machine learning; Deep learning; Medical images; Colorectal cancer; Colorectal polyps

Viscaino M, Torres Bustos J, Muñoz P, Auat Cheein C, Cheein FA. Artificial intelligence for the early detection of colorectal cancer: a comprehensive review of its advantages and misconceptions. *World J Gastroenterol* 2021; In press

**Core Tip:** Artificial intelligence-based (AI) methods have demonstrated high performance in classification, object detection, and segmentation tasks. Through multidisciplinary and collaborative work between clinicians and technicians, the advantages of AI have been successfully applied in automatic polyp detection and classification. The new AI-based systems present a better polyp detection rate and contribute to better clinical decision-making for preventing colorectal cancer (CRC). This article provides an overview of recent research focusing on AI and its applications in the early detection of CRC and adenomatous polyps.

**INTRODUCTION**

Colorectal cancer (CRC) is a common malignancy. Worldwide, in 2020, it ranked third among neoplasms, with incidences of 1931590 cases, representing 10% of neoplasms. However, in terms of mortality, it ranked second for the same year after lung cancer due to the crude mortality rate of 12.0 per 100000 inhabitants, prevailing in the male population[1].

Although CRC remains among the ten most frequent cancers, in a retrospective description, it was observed that at a global level, between 2000 and 2019 its ranking was stable in high-income countries, in which it maintained second place as a cause of death from neoplasia. However, in the remaining countries, it gradually increased; hence, in 2019, CRC was the 3rd leading cause of cancer death in upper-middle-income countries, the 4th leading cause in lower-middle-income countries, and the 5th leading cause in countries where income was low[2]. It is expected that by 2035, in those countries where it remains stable, the CRC mortality rate will decrease due to the application of early detection programs that are being implemented, the active participation of the population, and the prioritization of education on this matter. Nevertheless, it is expected that by 2035, in countries with low incomes, the mortality rate will continue to increase due mainly to late diagnosis and limited access to treatment if these indicators are not strategically addressed in time[3].

The risk of CRC in the general population is not uniform, and it is associated with factors such as a family history of CRC, lifestyles and eating habits and above all, the presence of polyps, either isolated or associated with genetic polyposis syndromes[4]. CRC can then be prevented by modifying diet and lifestyle, as well as early detection and timely treatment. Various studies have shown that screening tests facilitate the detection of precursor lesions in early stages. This, added to their subsequent elimination, promotes a reduction in CRC incidence and mortality[5-7].

Colonoscopy is the gold standard procedure for the diagnosis of large intestine (colon) and rectal diseases. The World Gastroenterology Organization establishes that both the sensitivity and the specificity of colonoscopy for the detection of polyps and colon cancer is 95%[4]. The United States Preventive Services Task Force determined that colonoscopy has a sensitivity between 89% and 98% for detecting adenomas of 10 mm and larger. For adenomas of 6 mm or more, the sensitivity ranges from 75% to 93%, while the specificity found was 89%[8], in which case a screening test for CRC is recommended. Additionally, in joint work between the American Cancer Society, the United States Multisocial Working Group and the American College of Radiology in 2008, colonoscopy was strongly recommended as a screening test designed to detect both early cancer and adenomatous polyps if resources were available and patients were willing to undergo an invasive test[5]. Similarly, the National Comprehensive Cancer Network recommends and promotes the application of colonoscopy for the detection of adenomatous polyps and early stages of CRC[9]. It should be noted that colonoscopy is a procedure that depends fundamentally on physician observation. In recent decades, technology has been incorporated into the inspection procedure, known as computer-aided systems.

Computer-aided detection/diagnosis systems (CADe/CADx) have been proposed, developed, and clinically used since 1966, especially in thoracic and breast imaging as well as in the cancer risk assessment[10]. The progress of computational resources and medical imaging devices has enabled CADe/CADx systems to support tasks in other areas, such as endoscopic examination[11]. CADe aims to find or localize abnormal or suspicious regions, increasing the detection rate while reducing the false negative rate (FNR). Additionally, CADx provides a second objective opinion regarding the assessment of a disease from image-based information. In the early stages of both systems, their algorithms were predominantly based on feature extraction methods engineered by domain experts[12]. However, the widespread progress of diseases and variability of cases have rendered these methods obsolete and have opened the research to new and improved methods. In particular, artificial intelligence (AI) has provided tools and algorithms capable of achieving high performance in terms of accuracy, sensitivity, and specificity to face tasks related to feature extraction, classification, detection, and region segmentation.

This work focuses on the main contributions of AI in gastroenterology, in particular, to the early detection of CRC through polyp detection and classification. We focus on those works that enhance the performance of endoscopic tests, which allow for direct visualization of existing lesions in the mucosa of the colon and rectum. With the numerous applications of AI and the growing interest in AI-related topics, some misconceptions have been discovered that are worth analysing.

**World of AI**

Since the term AI was first used in 1956, it has been a thriving field with relevant applications in several areas, including medicine[10]. The term AI refers to technology that allows computer systems to perform tasks that normally require human skills. The field of AI is broad and includes different fields, such as robotics, computer vision, natural language processing and machine learning, as shown in Figure 1. Often, such areas overlap to deliver more advanced features and capabilities. In medicine, robotic devices are increasingly being used in minimally invasive surgical procedures, such as robotic-assisted surgery for patients with CRC[13]. Natural language processing is another crucial AI area used to make the machine read, understand, and interpret human language. In the treatment of CRC, natural language processing has been useful for extracting relevant clinical information from scanned colonoscopy and pathology reports that would otherwise have to be extracted manually[14]. Computer vision and image processing have also been helpful in colonoscopy exploration, enhancing the visualization of lesion tissues[15]. However, from all AI fields, machine learning is the most widely used in three areas of medicine: early detection and diagnosis, treatment, and outcome prediction and prognosis evaluation[16]. Gastrointestinal endoscopy has advanced in all three areas, but there is a clear trend in the detection and classification of polyps (see Wang *et al*[11], Nogueira-Rodríguez *et al*[17] and the references therein).

The following subsections focus on analysing the most prominent AI-based works on endoscopic tests without ignoring a brief review of the most commonly used machine learning algorithms (including deep neural networks) and evaluation metrics.

**Machine learning**

Machine learning, a subset of AI, refers to a set of computer algorithms that learn from the input data provided, adjust a model through a training process, and perform predictions in novel situations by using the trained model[18]. According to the type of learning strategy, machine learning algorithms can be classified into two categories: supervised and unsupervised learning. In supervised learning, a training set that contains the input data with the correct response (targets) must be previously available. The model is trained using the training set until it is generalized to respond correctly to all possible inputs. In the case of unsupervised learning, the correct answers are not provided, and the model attempts to group the data into categories identifying similarities between such data[19,20]. In medicine, supervised learning is the most commonly applied strategy because the goal is predicting a known outcome by mimicking a physician or health professional.

In the context of machine learning, healthcare data can be categorized as structured and unstructured. Imaging, genetic data, and electrophysiological data are some examples of structured data, whereas physical examination notes or clinical laboratory results that contain large portions of narrative texts are unstructured data[19]. The major digital data sources in medicine are images resulting from the development and improvement of different medical imaging techniques (*e.g.*, computer tomography, magnetic resonance imaging, ultrasound, X-ray and endoscopy)[10].

In gastroenterology, most computer-aided diagnosis/detection systems use images or videos, enabling the use of machine learning techniques to enhance their outcomes. In early works, CADe/x systems combined feature extractor methods and classical machine learning techniques such as random forest, decision trees, and support vector machines[21-23]. More recent works have shown applications that use deep learning algorithms such as convolutional neural networks (CNNs), in part due to the high performance and low latency of the systems[20].

The selection of one machine learning algorithm over another should be guided by analysing the available data as well as the task to be performed with it. Table 1 summarizes the main characteristics of the algorithms used in the last 5 years of state-of-the-art polyp detection and/or classification. We narrowed the analysis to the four most commonly used methods according to the Scopus and PubMed databases applied to medicine namely, support vector machines, random forest, decision trees and deep neural networks. Both support vectormachines and random forests present high performance even if the data have high dimensionality. However, support vector machines are not recommended when the database is large because they increase training and inference time without improving performance[24]. Conversely, random forest presents high performance when working with large databases[25]. Deep neural networks outperform classical machine learning algorithms in almost all criteria but require large quantities of labelled data that may not be available, or the acquisition and labelling process may be very expensive or time consuming.

**Evaluation metrics of machine learning algorithms**

Evaluation metrics are tied to the tasks (*e.g.*, classification, detection, localization, and segmentation) performed by the machine learning models. In gastroenterology in applications such as automatic polyp detection or classification, the evaluation metrics can be computed considering different levels: video sequence, image, or region (pixel level).

Table 2 summarizes the terms and formulation of metrics commonly used for performance evaluation of machine learning models. In particular, those used in AI-based applications for colonoscopy. Some terms are key to understanding the evaluation metrics in algorithms used for automatic polyp detection and/or classification. There are two well-defined cases: images with polyps (positive cases) and images without polyps (negative cases). In both cases, some authors[15,27,28] define a true positive (TP) when the algorithm output finds the correct region of the polyp (detection) or labels the image as a polyp (classification). In the case of detection, only one TP is considered per polyp, avoiding over-detection. Any detection or classification as a positive case outside the region of polyp or images without polyps is considered false positive. The absence of positive output in detection or classification in images with a polyp is considered a false negative. If the algorithm does not provide any positive output in images without polyps, it is considered a true negative. Positive polyp detection is a common evaluation metric that can be computed as a true positive rate (see Table 2) or polyp-based analysis by defining a threshold of the positive frame-level predictions[29].

The most widely used evaluation metric is accuracy (see formulation in Table 2). It works well in datasets with an equal number of samples belonging to each class (*i.e.*, balanced dataset), but it is not recommended for imbalanced datasets[30]. Evaluation metrics such as sensitivity, specificity, and positive predictive value are not dependent on the class distribution; therefore, they are not biased by imbalanced datasets[31]. The use of evaluation metrics also depends on the task to be performed. In detection tasks, metrics such as the f1-score (or DICE index) and f2-score of the Jaccard index are widely used[17]. We analyse each evaluation metric below.

Accuracy represents the overall effectiveness of the algorithm when comparing the number of correctly classified/detected samples with the total number of samples[17].

Precision (positive predictive value) represents the proportion of predicted positive cases that are real positives[17].

Sensitivity (recall or true positive rate) measures the ability of the algorithm to correctly identify the positive cases[17].

Specificity (true negative rate) measures the ability of the algorithm to correctly identify the negative cases[17].

The false positive rate (FPR) represents the proportion of negative cases incorrectly identified as positive cases in the data. In statistics, the FPR is equivalent to the type I error[26].

The FNR represents the proportion of positive cases incorrectly identified as negative cases in the data. In statistics, the FPR is equivalent to the type II error[26].

The DICE index (f1-score) determines the similarity between two different areas whether the algorithm is performing segmentation or detection tasks. In classification, the f1-score is a metric to evaluate a trade-off between precision and recall[32].

The f2-score is a metric to evaluate a trade-off between precision and recall but lowers the importance of precision and increases the importance of recall[17].

The Jaccard index (IoU) is a metric mostly used in detection/segmentation algorithms and quantifies overlap between the target area and the area predicted by the algorithm[32].

Annotated area covered is an evaluation metric mostly used in detection or segmentation tasks. It represents the proportion of the real area detected/segmented by the algorithm[33].

The area under the curve (AUC) is a metric obtained from the receiver operating characteristic curve that relates to the sensitivity *vs* specificity of a binary classifier[31]. The best classifier is the one with the AUC closest to 1.

**Enhancing colonoscopy outcomes *via* AI**

Colonoscopy exploration is performed through a flexible tube (endoscope) that contains a tiny video camera at the tip. The camera allows the physician to see the inside of the entire colon by displaying the image on a digital screen, as shown in Figure 2. During the process, the physician detects (or not) the presence of lesions on the colon and polyps, and then, depending on the shape, colour and texture of the polyp, determines whether to remove it[15]. The outcome of colonoscopy exploration depends on several factors. On the one hand, the procedure is intrinsically dependent on the technology used, such as the camera resolution, screen size and resolution, frame rate, and capability to deal with blurriness, among other issues[15]. On the other hand, the results can be affected by the cognitive capabilities of the physician (*e.g.*, tiredness, fatigue or concentration) during the exploration procedure[34]. Other factors, such as bowel preparation and the percentage of the colon explored, can also affect the outcome of the exploration[35].

Traditional colonoscopy has been shown to be successful when detecting polyps larger than 10 mm, which are easily detected by physicians during inspection. However, the miss rate of polyp detection increases with smaller sized and/or ﬂat polyps[36]. There are both clinical and technical efforts to improve colonoscopy results. For example, continuous improvement of the skills of physicians through training and practice[37] and the improvement of image/video acquisition devices combined with the development of clinically applicable CADx/e systems have been reported. Another technique used to make fine details of the mucosal surface more visible (evidencing small or slight lesions) on endoscopy tests is chromoscopy (also known as chromoendoscopy or chromocolonoscopy)[38].

Initially, chromoscopy consisted of spraying contrast dyes on the mucosa with the aim of outlining the mucosal morphology (dye-based chromoendoscopy DCE)[39]. The most frequent contrast dye used is indigo-carmine in concentrations varying from 0.2% to 2%[38]. DCE has been demonstrated to be a useful tool for endoscopists to detect and characterize lesions more accurately. A study presented by Brown *et al*[38] found that the rate of detection of small polyps was improved by DCE by approximately 90%. Such analysis was conducted on 2727 patients and showed that the detection of small polyps that could potentially develop into cancer was increased by 30% when chromoscopy was used. Although the DCE technique is simple to use and safe, it is labour intensive and time consuming, and the outcomes highly depend on bowel preparation[38,39]. Over the years, with the introduction of electronics and the improvement in technology, a new era of chromoscopy called virtual chromoendoscopy (VCE) has been adopted. VCE includes pre-processing optical imaging techniques, such as Olympus' narrow-band imaging (NBI) and autofluorescence imaging, as well as the post-processing techniques Pentax's i-SCAN and Fujinon intelligent chromoendoscopy[15]. Of all VCE techniques, NBI has been studied most frequently for assessing gastroenterology diseases[40]. This pre-processing technique uses light of specific wavelengths (green - 540 nm and blue -415 nm) to enhance detail on the mucosal surface. Although VCE techniques such as NBI can detect small-size or flat polyps, they suffer from some drawbacks, such as interobserver and intraobserver variability[41]. Such drawbacks refer to expertise, levels of distraction, or stress. However, the use of CADx/e systems may increase standardization in the process and, perhaps most importantly, more widespread adoption by non-experts in the field[41].

In this context, the new developments of CADx/e systems have focused on systems to assist in the detection and/or localization of polyps and the classification of the different types of polyps, both fundamental tasks to help clinicians at all stages of CRC diagnosis. AI has emerged as a powerful tool in two well-differentiated tasks: polyp detection (including localization and segmentation) and polyp classification. By including an AI-based algorithm in the CADx/e systems that attend colonoscopy exploration, they can predict whether there are one (or more) polyps in a given video frame using white light alone, without the aid of advanced endoscopic imaging modalities. If the purpose is also to locate the polyp, the algorithm predicts the position of the polyp in the image, as shown in Figure 2. If the physician requires a finer analysis, segmentation tools can allow isolation of the polyp region at the pixel level on the image. Once a polyp is detected, polyp classification aims to catalogue the type of polyp. The latter is particularly important because it allows the clinician to make a better decision as to whether to remove the polyp depending on whether it seems to be a benign, pre-malignant, or malignant polyp. Currently, to confirm if a polyp is malignant, the suspected polyp must be removed, and then a pathology test must be performed. However, an expected advance in the future is that AI can assist clinicians in differentiating polyps.

Colorectal polyps are anatomically pathologically classified as adenomatous, hyperplastic, or serrated and inflammatory, hamartomatous, juvenile, the latter being synthesized terminologically as miscellaneous, given their low prevalence (10%-20%)[42]. Adenomatous polyps are the most frequent (60%-70%). Depending on their histological characteristics, they can be tubular, villous, or tubulovillous. They can be of different degrees of dysplasia, which constitutes one of the elements for the diagnosis or the presumption of CRC[8,42]. Hyperplastic polyps have a prevalence between 10% and 30%. Although they are not usually neoplastic, there is a type of serrated polyp, the sessile serrated adenoma, which is considered a CRC precursor lesion through what is known as the serrated pathway of carcinogenesis[8,42,43].

**Advances in AI for detecting and classifying colorectal polyps**

Automatic polyp detection—including classification and segmentation—in colonoscopy videos has been an active research topic during the last two decades. After analysing approaches reported in the literature, there are three well-defined methods: hand-crafted, feature-based machine learning, and end-to-end learning approaches. Each method is discussed in more detail below. At the end of the analysis, we summarize the works in Table 3, showing the screening test, imaging modality and contribution for each one.

***Hand-crafted approach***

Hand-crafted methods refer to those based on exploiting low-level image processing techniques to obtain candidate polyp boundaries. This method considers the polyp as a protruding surface, with its boundaries detected using intensity valleys[37], Hessian filters[44], or the Hough transform[45].

***Feature-based machine learning approach***

Feature-based methods encompass the first era of machine learning: designing a feature extractor and then training a classifier to predict a given class (*e.g.*, polyps or non-polyps). In early works, a texture descriptor was used to provide relevant features about the region of the image containing polyps using wavelet sub-band information in the work of Wimmer *et al*[46], Haralick co-occurrence matrix in the work of Hu *et al*[21], or Gaussian-kernel low pass filtering in the work of Mamanov *et al*[47]. Other features, such as shape, colour, and edge geometry, have also been used to create more robust detection systems that include polyp segmentation[32]. Glasmachers[33] proposed a CAD system that combines context-based image information to remove non-polyp information and shape features to reliably localize polyps.

After generating a feature vector using descriptors of texture, colour and/or shape, a classifier is required to predict whether polyps are present in the colonoscopy image, distinguishing between the different types of polyps or whether the region characterized on the image is a polyp (localization). The most commonly used classifiers are k-nearest neighbours[46], decision trees[22], random forests[22,32], and support vector machine[23].

***End-to-end learning approach***

The end-to-end (E2E) learning approach refers to training a learning system represented by a single model (generally a deep neural network)[33]. As the technology evolved and the computational capabilities increased, the use of convolutional neural networks as a key part of CADx/e systems is increasingly frequent in automatic polyp detection[48] and/or polyp classification tasks[49]. The advantage of the E2E approach is the possibility of designing more complex multitasking systems: detecting polyps and then identifying whether the detected polyp is hyperplastic or adenomatous[50]. Information about whether a polyp can be malignant will assist the clinician in making a better clinical decision (to remove or not the polyp)[51].

There are other alternatives to colonoscopy; colonography or wireless capsule endoscopy are also used as screening techniques to detect polyps. Both alternatives are less invasive and do not present a risk of perforation to patients as colonoscopy does. AI-based algorithms have also been used to enhance the analysis *via* CT colonography. In particular, the use of greyscale information in the image (using a grey-level co-occurrence matrix in the work of Tan *et al*[52] or texture information in the work of Hu *et al*[21]) combined with CNN has been useful for differentiation of polyps: adenoma from adenocarcinoma[53], non-neoplastic from neoplastic polyp[21],or images with polyps and without polyps[54].

**MICCAI 2015 Polyp Detection Challenge**

MICCAI proposes a common validation and evaluation framework of new algorithms published in the field of biomedical image analysis (Bernal *et al*[15] and the references therein). Each year MICCAI launches international competitions (challenges) that allow for benchmarking algorithms on publicly released datasets and offers a basis to discuss validation strategies[22]. In 2015, the MICCAI sub-challenge on automatic polyps was launched and represented a significant advance in the area. As a result of this competition, three large endoscopic image databases were published, establishing a benchmark for new algorithms[22,37,55].

***Colonoscopy datasets***

To successfully train classical machine learning models, it is necessary to have reasonably sized databases[22]. However, to train deep learning models, large databases are required because the quantity of data is related to the network performance. The most famous public databases used in computer science are ImageNet[56], with more than 14 million natural images hand-annotated in 20000 categories, or Microsoft’s COCO[57], with more than 2500000 images. The state-of-the-art reports better than 90% accuracy in classification, object detection and localization tasks with deep neural networks pre-trained with these databases. In medicine, creating large databases represents a challenge because the data and the expertly annotated ground truth are required. In the case of colonoscopy, some publicly available datasets for polyp detection and classiﬁcation have been released in the last few years. In particular, efforts such as the MICCA 2015 sub-challenge have prompted different groups to create and make available the databases summarized in Table 4.

Three datasets annotated for automatic polyp detection have been very popular in the scientific community: CVC-ClinicDB[37], ETIS-Larib[55], and ASU-Mayo Clinic Colonoscopy Video[22]. Both datasets CVC-ClinicDB and ETIS-Larib are composed of annotated frames, whereas the ASU-Mayo Clinic dataset is composed of 38 fully annotated videos selected to show maximum variation in colonoscopy procedures. All public databases are summarized in Table 4, as well as their characteristics.

**Misconceptions in AI**

Deep learning-based AI models offer promising results for medical image analysis. Nevertheless, a thorough understanding of the available data and its limitations and proficient curation of suitable training, testing, and validation subsets are required to successfully train these models responsibly and use them in a clinical setup, *e.g.*, as a diagnostic support tool. Following are some of the most common misconceptions.

***Imbalanced datasets***

In medicine, obtaining samples to create datasets can be a time consuming and expensive process[15]. This becomes even more complicated when samples are obtained from invasive procedures such as colonoscopy. Another important aspect is that as a result of data scarcity (*e.g.*, due to the low incidence of a medical condition), an intrinsic imbalance in the data can occur. Therefore, the available colonoscopy datasets mostly do not contain the same number of samples per class (also known as an imbalanced dataset)[29,63,64]. If a deep learning model is trained on such a dataset, the result will present a high risk of exhibiting bias against the minority classes and, in extreme cases, ignoring it altogether.

Moreover, the dataset structure needs to be considered when studying the performance metrics of deep learning models, such as accuracyand/or error rate, which are the most frequently used metrics when evaluating classification results. However, both are insufficient when working with an imbalanced dataset, as the relative contribution of the minority classes to these metrics is negligible[30]. Best practice is to be aware of the limitations of each metric and evaluate the performance of the algorithm with a set of complementary metrics (see Table 2).

The time and effort required to curate balanced datasets for intrinsically imbalanced problems have led researchers to develop techniques to enable AI models to be successfully trained on an imbalanced dataset[30]. Currently, the proposed methods can be grouped into data-level techniques and algorithm-level methods, which can be combined in hybrid approaches.

Data-level techniques aim to decrease the level of imbalance by modifying the class distribution within the available dataset. On the one hand, under-sampling methods voluntarily discard data from the majority classes, reducing the total information available to train the model. The simplistic approach to under-sampling is randomunder-sampling, which discards random samples from the majority classes. Notwithstanding, valuable information might be lost in the process. Intelligent under-sampling methods select removal candidates using more elaborated criteria, such as redundancy within each class in the majority group, known as one-sided selection[65], or their distance from minority samples, known as near-miss algorithms, as the several alternatives presented in Mani *et al*[66]. On the other hand, over-sampling methods artificially increase the quantity of available data in the minority classes. One technique, random over-sampling (ROS), which randomly duplicates samples from the minority classes, is the naive approach to over-sampling and is known to cause overfitting[67]. The model memorizes particular training samples instead of learning the underlying characteristics of the corresponding class and is then unable to generalize to novel data[26]. Several methods have been proposed to reduce over-fittingwhile over-sampling, such as the synthetic minority over-sampling technique introduced in Chawla *et al*[68] and its variants Han *et al*[69], Jo *et al*[70], or the cluster-based over-sampling method proposed in Jo *et al*[70].

Algorithm-level methods comprise cost-sensitive learning algorithms[71], which assign penalties to each majority class, increasing the importance of the minority classes, and decision process adjustments, which shift the decision threshold such that bias towards the minority classes is reduced.

***Correlated data***

Another dataset structure aspect to consider when inspecting performance metrics is the presence of correlation between dataset splits (most commonly training, testing and validation)[26]. We consider the task of analysing images obtained from a recorded colonoscopy to classify detected colorectal polyps as malignant or benign. If the training and validation dataset splits contain frames from the same video or patient, the correlation introduced by this situation will affect validation metrics, resulting in over-optimistic results and the risk of hidden generalization or over-fittingproblems.

***Interpretability***

Machine learning, and more broadly AI, are essentially statistical models. During the training process, a set of parameters that define the specific behaviour of the base model is adjusted so that model predictions match expert annotations for elements in the database. Notwithstanding, commonly used models do not consider domain-specific expert knowledge in their predictions. Hence, it is possible for the trained model to learn features that are undesirable or incorrect, such as unintended patterns or visual artefacts present in the database, instead of constraining the feature space to medically relevant features only. To avoid this problem, manual assessment of the database elements is advised, along with internal feature visualization techniques[72]. See Deng *et al*[73] for a review of different strategies proposed to incorporate expert knowledge as prior information to machine learning (ML)/AI models.

**Future prospects**

The results obtained from AI-based models are promising and establish an advantage compared to traditional methods. However, there are some limitations to be overcome by future research to propose clinically useful methods.

Overcoming real-time constraints: videos in a colonoscopy exploration are generally acquired at 25 frames per second[15], which means that the maximum time available to process each image (frame) must be less than 40 ms.

Increasing the variability of polyp cases, including studies with data from multiple medical centres if possible. However, given the scarcity of data on less common lesions (serrated adenomas) and knowing that deep learning approaches require vast numbers of labelled training samples, new research may include techniques such as few-shot learning introduced by Vinyals *et al*[74]. This technique focuses on learning a class from one or a few labelled samples and has been successfully applied in other medical areas, such as cervical cancer cell classification[75], breast cancer classification[76], and metastatic tumour classification[77].

Including in the polyp detection scheme the ability to detect other elements such as folds or blood vessels that can appear in a real exploration and can affect current methods’ performance.

Tests were performed on complete video sequences to analyse the performance of the model under temporal consistency constraints and high variability in polyp appearance due to camera progression. Both conditions might impact the models' performance in a real clinical environment.

The ability to obtain uncertainty estimates from ML/AI model predictions is key to a responsible adoption of these techniques in clinical setups, as biased recommendations from CADx/e can have adverse effects on the final diagnosis. Bayesian deep learning has been proposed as a framework to address this problem, where deep learning models can deliver uncertainty information along with classification results[78] at the expense of an increased number of training parameters (and hence more training data required) or a more restricted model structure, *e.g.*, the need to incorporate dropout units within the mode architecture, as in Gal *et al*[79] 2016. Both of the abovementioned techniques have been successfully combined with active learning algorithms that enable incremental dataset labelling and/or training of the model parameters as new data become available (see Gal *et al*[80], 2017, and Woodward *et al*[81], 2016).

**CONCLUSION**

AI is a promising area in gastroenterology. With the processing power and high performance of algorithms such as deep learning, a new era of AI-based computer-aided systems can assist physicians in essential tasks such as colorectal polyp detection and classification. To achieve clinically useful systems, both clinicians and technicians must cooperate to mitigate AI drawbacks. Although most of the current technological effort has been focused on creating more precise polyp detection and classification tools, it remains a long path to be covered before adopting AI-based technology into the physician’s daily work as an assistive tool for diagnosis decisions.

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**Footnotes**

**Conflict-of-interest statement:** The authors deny any conflict of interest.

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**Manuscript source:** Unsolicited manuscript

**Peer-review started:** February 28, 2021

**First decision:** March 27, 2021

**Article in press:**

**Specialty type:** Engineering, biomedical

**Country/Territory of origin:** Chile

**Peer-review report’s scientific quality classification**

Grade A (Excellent): A

Grade B (Very good): 0

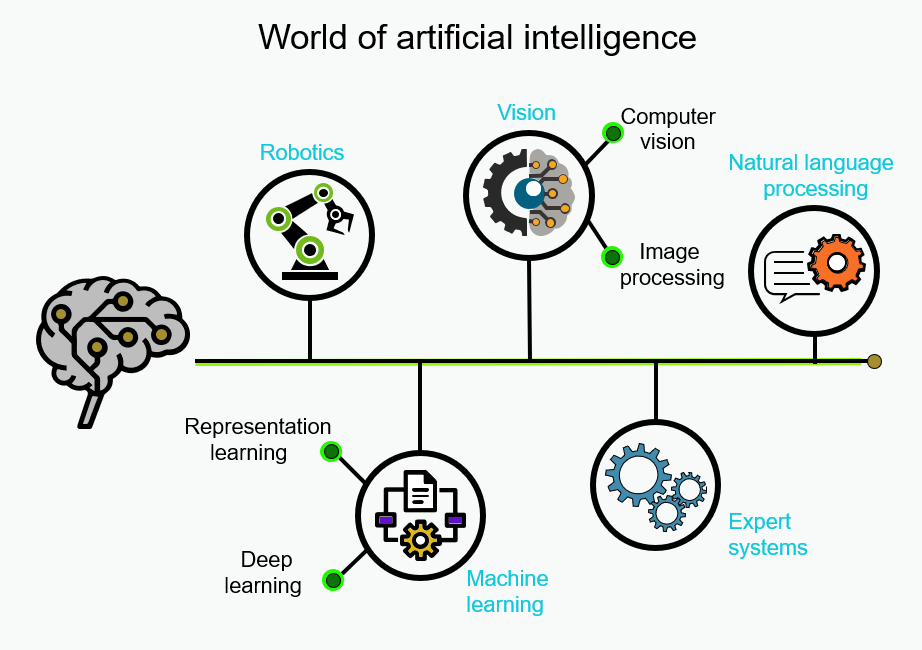
Grade C (Good): 0

Grade D (Fair): 0

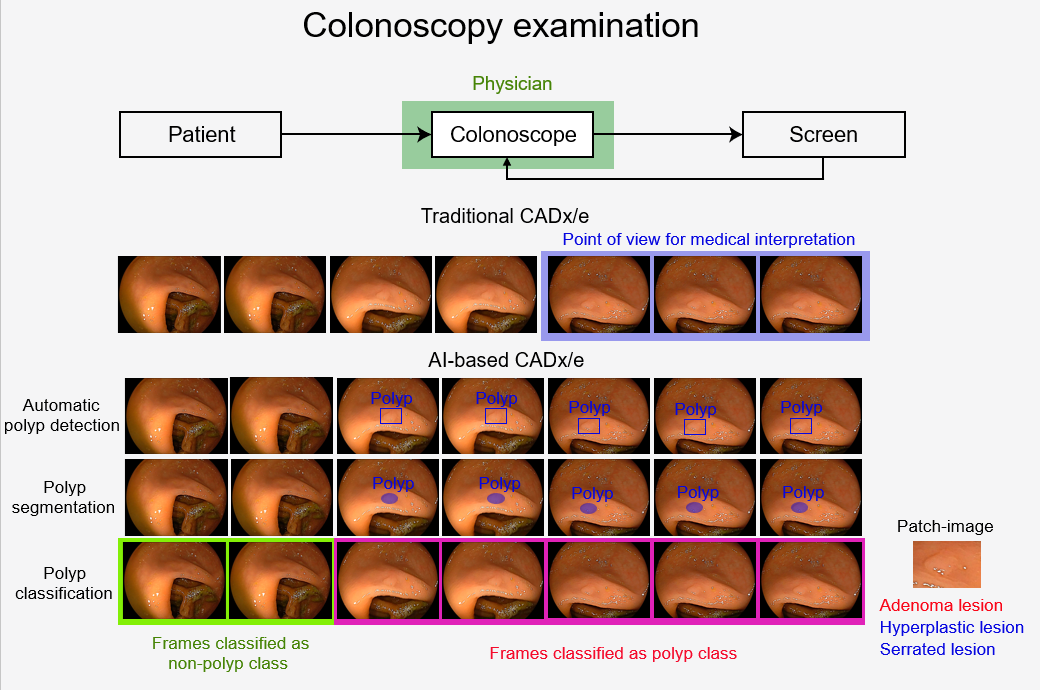
Grade E (Poor): 0

**P-Reviewer:** Ryan E **S-Editor:** Gong ZM **L-Editor: P-Editor:**

**Figure Legends**



**Figure 1 Artificial intelligence is a set of fields that are combining to improve tasks that involve human cognitive functions such as learning, reasoning and self-correction.**



**Figure 2** **Comparison of traditional and AI-based computer-aided systems on colonoscopy examination.**

**Table 1 Comparison between different types of machine learning approaches used in studies focused on polyp detection and classification**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Characteristics** | **Support vector machine** | **Random forest** | **Decision trees** | **Deep neural networks** | **Context** | **Ref.** |
| High dimensional data | High | High | Moderate | High | Performance | Shen *et al*[12]  Goodfellow *et al*[26] |
| Overlapped classes | Low | Low | Low | High |
| Imbalance datasets | Moderate | High | Low | Moderate |
| Non-linear data | Moderate | High | Moderate | High |
| Larger dataset | Moderate1 | High1 | Low | High |
| Outliers | Moderate | Moderate | Low | High | Robustness | Shen *et al*[12]  Yu *et al*[20] |
| Over-fitting | Moderate | High | Low | High |
| Handling of missing values | Poor | Good | Good | Good |
| Reproducibility | High | High | High | Moderate | Complexity | Yu *et al*[20] |
| Interpretability | Moderate | Moderate | High | Low |
| 1Consider that it leads to slow response time. | | | | | |  |

**Table 2 Most common evaluation metrics found in the state of the art for detection, segmentation and classification tasks**

|  |  |  |
| --- | --- | --- |
| **Term** | **Symbol** | **Description** |
| Positive | P | Number of real positive cases in the data |
| Negative | N | Number of real negative cases in the data |
| True positive | TP | Number of correct positive cases classified/detected |
| True negative | TN | Number of correct negative cases classified/detected |
| False positive | FP | Instances incorrectly classified/detected as positive |
| False negative | FN | Instances incorrectly classified/detected as negative |
| Area under curve | AUC | Area under the ROC plot |
| **Term** | **Task** | **Formulation** |
| Accuracy | C, D, S |  |
| Precision/PPV | C, D, S |  |
| Sensitivity/Recall/TPR | C, D, S |  |
| Specificity/TNR | C, D, S |  |
| FPR | C, D, S |  |
| FNR | C, D, S |  |
| f1-score/DICE index | C, D, S |  |
| f2-score | C, D, S |  |
| IoU/Jaccard index | D, S |  |
| AAC | D, S |  |

C: Classification; D: Detection; S: Segmentation. PPV: Positive predictive value; TPR: True positive rate; TNR: True negative rate. AAC: Annotated area covered.

**Table 3 Summary of studies focused on artificial intelligence applications for automatic polyp detection, classification, and segmentation**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Study** | **Screening test** | **Imaging modality** | **Data type** | **AI-based algorithm** | **Contribution** | **Acc** | **Sen** | **Spe** |
| Wimmer *et al*[46] | Colonoscopy | WL, NBI | Images | k-nearest neighbours | Polyp classification:  non-neoplastic, neoplastic | 80% | - | - |
| Tajbakhsh *et al*[22] | Colonoscopy | WL | Images | Decision trees  Random forest | Automatic polyp detection | - | 88% | - |
| Hu *et al*[21] | CT Colonography | Greyscale | Images | Random forest | Polyp classification:  non-neoplastic, neoplastic | - | - | - |
| Zhang *et al*[50] | Colonoscopy | WL, NBI | Images | CNN: Caffenet | Polyp detection and classification: benign from malignant | 86% | 88% | - |
| Shin *et al*[23] | Colonoscopy | WL | Images | Support vector machine | Whole image classification: polyps from non-polyps | 96% | 96% | 96% |
| Sánchez-González *et al*[32] | Colonoscopy | WL | Images | Random forest  CNN: Bayesnet | Polyp segmentation | 97% | 76% | 99% |
| Tan *et al*[52] | CT Colonography | Greyscale | Images | Customized CNN | Polyp classification: adenoma from adenocarcinoma | 87% | 90% | 71% |
| Fonolla *et al*[51] | Colonoscopy | WL, NBI, LCI | Images | CNN: EfficientNet | Polyp classification: benign from pre-malignant | 95% | 96% | 93% |
| Hwang *et al*[46] | Colonoscopy | WL | Images | Customized CNN | Polyp detection and segmentation | - | - | - |
| Park *et al*[53] | Colonoscopy | WL | Images | Customized CNN | Whole image classification: normal, adenoma and adenocarcinoma | 94% | ~94% | - |
| Viscaino *et al*[54] | Colonoscopy | Greyscale | Images | Support vector machine  Decision trees  k-nearest neighbours  Random forest | Whole image classification: polyp and non-polyp | 97% | 98% | 96% |
| WL: White light; NBI: Narrow-band imaging; LCI: Linked colour imaging; Acc: Accuracy; Sen: Sensitivity; Spe: Specificity. | | | | | | | | |

**Table 4** **Summary of publicly available colonoscopy datasets**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Dataset** | **Year** | **Description** | **Data type** | **Ground truth** |
| CVC-ColonDB[29,58] | 2012 | 380 sequential WL images from 15 videos | Images  (574 × 500 pixels) | Binary mask to locate the polyp |
| CVC-PolypHD[58,59] | 2012 | 56 WL images | Images  (1920 × 1080 pixels) | Binary mask to locate the polyp |
| ETIS-Larib[55] | 2014 | 196 WL images from 34 video sequences (44 different polyps) | Images  (1125 × 966) | Binary mask to locate the polyp |
| CVC-ClinicDB[37] | 2015 | 612 sequential WL images from 31 videos sequences (31 different polyps) | Images  (388 × 284 pixels) | Binary mask to locate the polyp |
| ASU-Mayo[22] | 2016 | 38 short video sequences (NBI, WL) | Video (SD and HD video) | Binary mask for 20 training videos |
| Colonoscopic dataset[49] | 2016 | 76 short video sequences (NBI, WL) | Video | Labels: hyperplastic, adenoma and serrated |
| Kvasir-SEG[60] | 2017 | 1000 images with polyps | Images | Binary mask to locate the polyp |
| CVC-ClinicVideoDB[61] | 2017 | 18 sequences | Video (SD video) | Binary mask to locate the polyp |
| CP-CHILD-A, CP-CHILD-B[62] | 2020 | 10000 images | Images (256 × 256) | Labels: polyp and non-polyp |

WL: White light; NBI: Narrow-band imaging.