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*World J Gastrointest Surg* 2022 April 27; 14(4): 271-373



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The primary aim of *World Journal of Gastrointestinal Surgery* (*WJGS, World J Gastrointest Surg*) is to provide scholars and readers from various fields of gastrointestinal surgery with a platform to publish high-quality basic and clinical research articles and communicate their research findings online.

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**INDEXING/ABSTRACTING**

The *WJGS* is now abstracted and indexed in Science Citation Index Expanded (SCIE, also known as SciSearch®), Current Contents/Clinical Medicine, Journal Citation Reports/Science Edition, PubMed, and PubMed Central. The 2021 edition of Journal Citation Reports® cites the 2020 impact factor (IF) for *WJGS* as 2.582; IF without journal self cites: 2.564; 5-year IF: 3.378; Journal Citation Indicator: 0.53; Ranking: 97 among 212 journals in surgery; Quartile category: Q2; Ranking: 73 among 92 journals in gastroenterology and hepatology; and Quartile category: Q4.

**RESPONSIBLE EDITORS FOR THIS ISSUE**

Production Editor: Rui-Rui Wu, Production Department Director: Xiang Li, Editorial Office Director: Ya-Juan Ma.

**NAME OF JOURNAL**

*World Journal of Gastrointestinal Surgery*

**ISSN**

ISSN 1948-9366 (online)

**LAUNCH DATE**

November 30, 2009

**FREQUENCY**

Monthly

**EDITORS-IN-CHIEF**

Peter Schemmer

**EDITORIAL BOARD MEMBERS**

<https://www.wjgnet.com/1948-9366/editorialboard.htm>

**PUBLICATION DATE**

April 27, 2022

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<https://www.wjgnet.com/bpg/gerinfo/208>

**ARTICLE PROCESSING CHARGE**

<https://www.wjgnet.com/bpg/gerinfo/242>

**STEPS FOR SUBMITTING MANUSCRIPTS**

<https://www.wjgnet.com/bpg/GerInfo/239>

**ONLINE SUBMISSION**

<https://www.f6publishing.com>

## Retrospective Cohort Study

# Laparoscopic-assisted vs open transhiatal gastrectomy for Siewert type II adenocarcinoma of the esophagogastric junction: A retrospective cohort study

Qi-Ying Song, Xiong-Guang Li, Li-Yu Zhang, Di Wu, Shuo Li, Ben-Long Zhang, Zi-Yao Xu, Ri-Li-Ge Wu, Xin Guo, Xin-Xin Wang

**Specialty type:** Gastroenterology and hepatology

**Provenance and peer review:**

Unsolicited article; Externally peer reviewed.

**Peer-review model:** Single blind

**Peer-review report's scientific quality classification**

Grade A (Excellent): A  
Grade B (Very good): B, B  
Grade C (Good): 0  
Grade D (Fair): 0  
Grade E (Poor): 0

**P-Reviewer:** Heath OM, United Kingdom; Levine Y, Israel; Ozawa S, Japan

**Received:** December 7, 2021

**Peer-review started:** December 7, 2021

**First decision:** January 12, 2022

**Revised:** January 15, 2022

**Accepted:** March 26, 2022

**Article in press:** March 26, 2022

**Published online:** April 27, 2022



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## Abstract

### BACKGROUND

The studies of laparoscopic-assisted transhiatal gastrectomy (LTG) in patients with Siewert type II adenocarcinoma of the esophagogastric junction (AEG) are scarce.

### AIM

To compare the surgical efficiency of LTG with the open transhiatal gastrectomy (OTG) for patients with Siewert type II AEG.

### METHODS

We retrospectively evaluated a total of 578 patients with Siewert type II AEG who have undergone LTG or OTG at the First Medical Center of the Chinese People's Liberation Army General Hospital from January 2014 to December 2019. The short-term and long-term outcomes were compared between the LTG ( $n = 382$ ) and OTG ( $n = 196$ ) groups.

## RESULTS

Compared with the OTG group, the LTG group had a longer operative time but less blood loss, shorter length of abdominal incision and an increased number of harvested lymph nodes ( $P < 0.05$ ). Patients in the LTG group were able to eat liquid food, ambulate, expel flatus and discharge sooner than the OTG group ( $P < 0.05$ ). No significant differences were found in postoperative complications and R0 resection. The 3-year overall survival and disease-free survival performed better in the LTG group compared with that in the OTG group (88.2% *vs* 79.2%,  $P = 0.011$ ; 79.7% *vs* 73.0%,  $P = 0.002$ , respectively). In the stratified analysis, both overall survival and disease-free survival were better in the LTG group than those in the OTG group for stage II/III patients ( $P < 0.05$ ) but not for stage I patients.

## CONCLUSION

For patients with Siewert type II AEG, LTG is associated with better short-term outcomes and similar oncology safety. In addition, patients with advanced stage AEG may benefit more from LTG in the long-term outcomes.

**Key Words:** Adenocarcinoma of the esophagogastric junction; Siewert type II; Laparoscopic-assisted transhiatal gastrectomy; Open transhiatal gastrectomy

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**Core Tip:** Our objective was to compare the surgical efficiency of laparoscopic-assisted transhiatal gastrectomy (LTG) with the open transhiatal gastrectomy in patients with Siewert type II adenocarcinoma of the esophagogastric junction. We found that LTG was associated with better short-term outcomes and similar oncology safety. In addition, patients with advanced stage adenocarcinoma of the esophagogastric junction may benefit more from LTG in 3-year overall survival and disease-free survival.

**Citation:** Song QY, Li XG, Zhang LY, Wu D, Li S, Zhang BL, Xu ZY, Wu RLG, Guo X, Wang XX. Laparoscopic-assisted *vs* open transhiatal gastrectomy for Siewert type II adenocarcinoma of the esophagogastric junction: A retrospective cohort study. *World J Gastrointest Surg* 2022; 14(4): 304-314

**URL:** <https://www.wjgnet.com/1948-9366/full/v14/i4/304.htm>

**DOI:** <https://dx.doi.org/10.4240/wjgs.v14.i4.304>

## INTRODUCTION

In recent decades, the global incidence of gastric cancer has declined annually while the incidence of adenocarcinoma of the esophagogastric junction (AEG) has presented an upward trend, especially in Asian countries[1-5]. Although there are many controversies concerning the optimal treatment for AEG patients, surgery is still the cornerstone of therapeutic strategies[6]. According to the results of the nationwide clinical trial (JCOG 9502) in Japan, the transhiatal approach is recommended for Siewert type II/III AEG patients with esophageal invasion within 3 cm[7,8]. Since the first report of laparoscopic-assisted transhiatal gastrectomy (LTG) by Kitano *et al*[9] in 1994, LTG has developed rapidly worldwide. With the improvement of laparoscopic technology and the optimization of equipment, a large number of countries have successively carried out LTG for gastric cancer because it provides not only better short-term outcomes but also comparable oncologic safety and survival in comparison with open transhiatal gastrectomy (OTG), especially in early-stage and distal gastric cancer[10-13]. Conversely, due to the lack of scientific evidence, the feasibility of LTG in proximal gastric cancer is still controversial. Moreover, peripheral lymphatic drainage pathways of Siewert type II AEG are more complicated as the particularity of the anatomical location, and LTG surgery with D2 lymphadenectomy remains more challenging than other gastric cancer sites[14,15].

At present, the studies on the short-term and long-term clinical effects of Siewert type II AEG regarding LTG and OTG are limited[16-20]. Thus, this study retrospectively analyzed the clinical data of Siewert type II AEG patients in our hospital, compared the short-term and long-term outcomes of LTG and traditional OTG and aimed to explore the feasibility of LTG treatment of Siewert type II AEG.

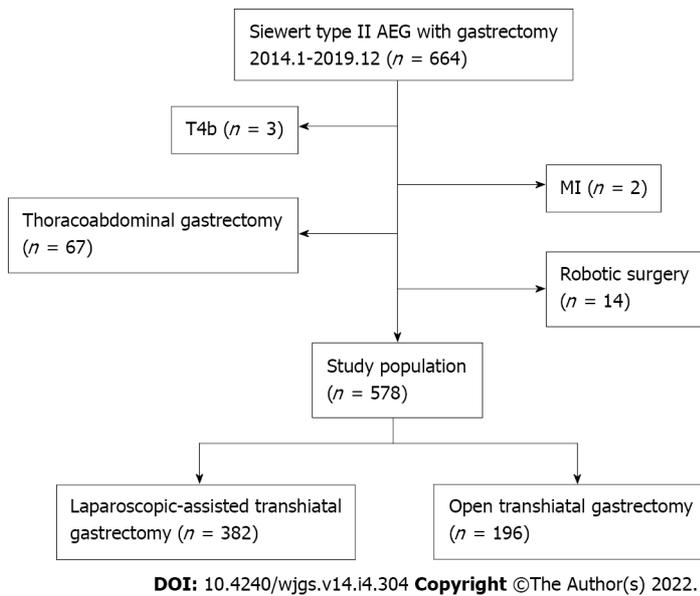


Figure 1 Flow chart of patient selection. AEG: Adenocarcinoma of the esophagogastric junction.

## MATERIALS AND METHODS

### Patients

This work retrospectively reviewed patients with Siewert II AEG who have undergone gastrectomy at the First Medical Center of Chinese PLA General Hospital in China from January 2014 to December 2019. The inclusion criteria contained: (1) Histologically proven Siewert type II AEG; (2) Surgery *via* either OTG or LTG with total or proximal gastrectomy with D2 lymphadenectomy; (3) Staging T1-4a, N0-3, M0 (according to the 8<sup>th</sup> edition of the TNM staging system of the American Joint Committee on Cancer)[21]; and (4) Esophageal invasion < 3 cm. The exclusion criteria were presented as following: (1) Patients with a secondary malignancy within 5 years; (2) American Society of Anesthesiologists physical status score > 3; (3) Only underwent palliative resection or combined organ resection; and (4) Received preoperative chemotherapy or radiotherapy. Finally, a total of 578 patients were pooled into the study (LTG = 382, OTG = 196).

This study has been registered on Clinical-Trial.gov (ChiCTR2100053647) and approved by the Ethics Committee of Chinese PLA General Hospital.

### Surgical procedures

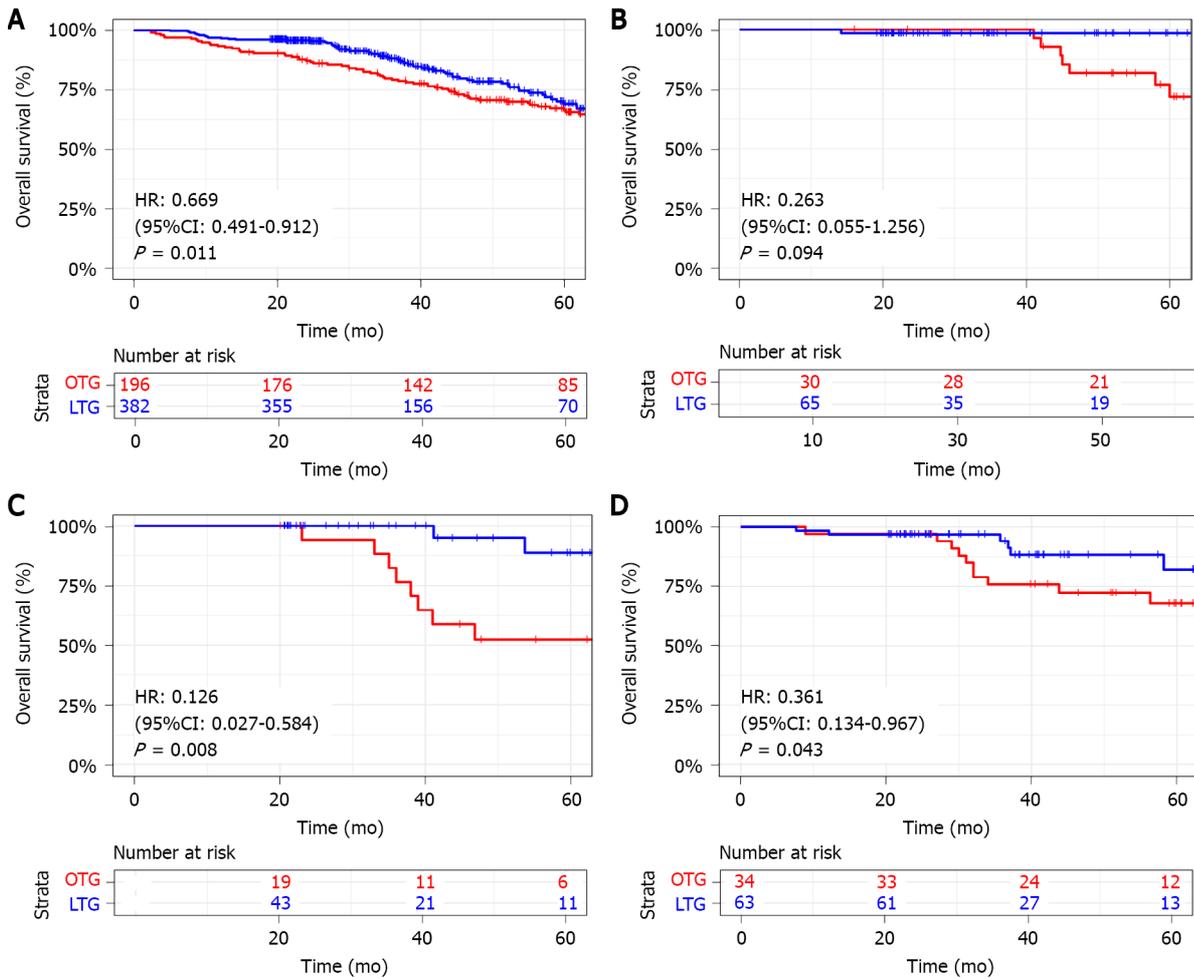
**LTG:** The patient was placed in a supine position and given general anesthesia by employing a 5-hole method. After exploring the relevant positions of various tissues in the abdominal cavity and the location and size of the tumor, a radical total and proximal gastrectomy was performed in this study. Gastrectomy and D2-lymphadenectomy were completed. Then, a small incision was made in the middle of the abdomen to reconstruct the digestive tract. Gastric tube construction and esophagogastrostomy were often performed after proximal gastrectomy. After total gastrectomy, most patients underwent esophagojejunostomy and jejunojunostomy (Roux-en-Y reconstruction).

**OTG:** The positioning and anesthesia of the patients remained the same as those of the LTG group. An incision was made in the middle of the abdomen to enter the abdominal cavity. Other operative details such as gastrectomy, lymphadenectomy and reconstruction were the same as those in the LTG group.

### Clinical parameters and follow-up

We retrospectively collected the following clinical and pathological factors available in our clinical database: Age, sex, body mass index, smoking/drinking history, American Society of Anesthesiologists score, tumor size, histopathological grade, TNM stage, operation time, intraoperative blood loss, length of abdominal incision, length of proximal margin, number of harvested lymph nodes (LNs), number of positive LNs, resection status (R-status) of margin, postoperative recovery (the time to liquid diet, ambulation, first flatus or defecation and discharge) and postoperative complications (anastomotic leakage, anastomotic stenosis, abdominal abscess, pneumonia, arrhythmia and wound infection). All postoperative complications were classified with the application of the Clavien-Dindo grading system [22].

In addition, postoperative patients were periodically followed up with blood tests, physical examinations and chest/abdominal computed tomography scans through outpatient visits. The follow-up



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**Figure 2 Comparison of overall survival rates between the laparoscopic-assisted transhiatal gastrectomy and open transhiatal gastrectomy groups.** A. Comparison of overall survival rates between the laparoscopic-assisted transhiatal gastrectomy (LTG) and open transhiatal gastrectomy (OTG) groups for all patients; B: Comparison of overall survival rates between the LTG and OTG groups for stage I patients; C: Comparison of overall survival rates between the LTG and OTG groups for stage II patients; D: Comparison of overall survival rates between the LTG and OTG groups for stage III patients. CI: Confidence interval; HR: Hazard ratio.

interval was every 3–6 mo for the first 2 years and every 6–12 mo for the subsequent 3 years. All surviving patients were followed up annually thereafter until death. Overall survival (OS) was calculated from the time of surgery to death due to any cause or latest follow-up. Disease-free survival (DFS) was calculated as the time from surgery to first recurrence or death because of any reason.

**Statistical analysis**

Continuous data were presented as mean ± standard deviation with *t* test if normally distributed or as the median (interquartile range) with Mann-Whitney *U* test if not normally distributed. Dichotomous variables were compared with the  $\chi^2$  test or Fisher test. Survival analysis was performed by the Kaplan-Meier curves based on the log-rank test. Statistical analysis was done by IBM SPSS (version 26.0.0.0). The figures were plotted with RStudio (version 1.4.1717). Bilateral *P* < 0.05 was considered to be statistically significant.

**RESULTS**

**Clinicopathological characteristics**

As shown in Figure 1, a total of 578 patients were eligible (512 male and 66 female) for our study, of which 382 (66.1%) patients underwent LTG and 196 (33.9%) patients underwent OTG. The demographic information of the participants was presented in Table 1. No significant difference could be observed in the distribution of baseline features between the two groups.

Table 1 Clinicopathological characteristics for patients in two cohorts

Characteristics	LTG, n = 382	OTG, n = 196	P value
Age in yr	64 (58, 69)	63 (59, 69)	0.816 <sup>a</sup>
Sex, n (%)			
Female	44 (11.5)	22 (11.2)	1.000
Male	338 (88.5)	174 (88.8)	
BMI (kg/m <sup>2</sup> )	24.45 (22.10, 26.70)	24.40 (22.50, 27.25)	0.389 <sup>a</sup>
Smoking history, n (%)			0.635
No	280 (73.3)	148 (75.5)	
Yes	102 (26.7)	48 (24.5)	
Drinking history, n (%)			0.773
No	212 (55.5)	112 (57.1)	
Yes	170 (44.5)	84 (42.9)	
ASA, n (%)			
1	201 (52.6)	100 (51.0)	0.396
2	164 (42.9)	82 (41.8)	
3	17 (4.5)	14 (7.1)	
Tumor size (cm)	3.49 ± 1.60	3.69 ± 1.62	0.161
Grade, n (%)			0.267
1-2	132 (34.6)	58 (29.6)	
3-4	250 (65.4)	138 (70.4)	
T stage, n (%)			0.860
T1-T2	129 (33.8)	64 (32.7)	
T3-4a	253 (66.2)	132 (67.3)	
N stage, n (%)			0.602
N0	168 (44.0)	81 (41.3)	
N1-N3	214 (56.0)	115 (58.7)	
TNM stage, n (%)			0.544
I	107 (28.0)	49 (25.0)	
II	120 (31.4)	70 (35.7)	
III	155 (40.6)	77 (39.3)	

<sup>a</sup>Mann-Whitney *U* test. ASA: American Society of Anesthesiologists; BMI: Body mass index; LTG: Laparoscopic-assisted transhiatal gastrectomy; OTG: Open transhiatal gastrectomy.

### Perioperative outcomes

Perioperative outcomes are shown in Table 2. The LTG group experienced a significantly longer operation time ( $230.14 \pm 58.92$  min *vs*  $198.4 \pm 56.76$  min,  $P < 0.001$ ) but significantly decreased blood loss ( $200.42 \pm 304.34$  mL *vs*  $275.77 \pm 384.72$  mL,  $P = 0.010$ ) and significantly shorter abdominal incision ( $9.66 \pm 1.73$  cm *vs*  $18.12 \pm 3.92$  cm,  $P < 0.001$ ) in comparison with the OTG group. Patients with LTG were sooner able to take a liquid diet ( $3.65 \pm 2.56$  d *vs*  $4.62 \pm 2.59$  d,  $P < 0.001$ ) and expel flatus or defecation ( $3.87 \pm 2.17$  d *vs*  $5.62 \pm 2.35$  d,  $P < 0.001$ ) after the operation, indicating the restoration of the intestinal function. Additionally, patients in the LTG group were able to ambulate after  $2.93 \pm 2.04$  d, which is fewer days than the OTG group required ( $4.13 \pm 2.55$  d) ( $P < 0.001$ ). In addition, the duration of postoperative hospitalization of the LTG group was significantly shorter than that in OTG groups [ $9$  (8, 11) d *vs*  $10$  (9, 12) d,  $P < 0.001$ ].

Postoperative complications occurred in 5.0% of patients after LTG and in 4.6% of patients after OTG ( $P = 0.840$ ). There existed no significant difference between the two groups in terms of anastomotic leakage, anastomotic stenosis, abdominal abscess, pneumonia, arrhythmia or wound infection ( $P > 0.05$ ).

**Table 2 Perioperative outcomes (mean ± SD)/median (interquartile range)**

	LTG, n = 382	OTG, n = 196	P value
Operation time in min	230.14 ± 58.92	198.4 ± 56.76	< 0.001
Blood loss in ml	200.42 ± 304.34	275.77 ± 384.72	0.010
Length of abdominal incision in cm	9.66 ± 1.73	18.12 ± 3.92	< 0.001
Length of proximal margin in cm	1.15 ± 0.72	1.16 ± 0.77	0.986
R-status, n (%)			0.879
R0	380 (99.5)	194 (99.0)	
R1/2	2 (0.5)	2 (1.0)	
Number of harvested LNs	28.81 ± 12.16	26.20 ± 12.23	0.015
Number of positive LNs	3.72 ± 6.33	3.61 ± 5.30	0.842
Time to liquid diet in d	3.65 ± 2.56	4.62 ± 2.49	< 0.001
Time to first flatus or defecation in d	3.87 ± 2.17	5.62 ± 2.35	< 0.001
Time to ambulation in d	2.93 ± 2.04	4.13 ± 2.55	< 0.001
Postoperative hospitalization in d	9 (8, 11)	10 (9, 12)	< 0.001 <sup>a</sup>
Postoperative complication, n (%)	19 (5.0)	9 (4.6)	0.840
Clavien–Dindo ≥ IIIa	18 (4.7)	8 (4.1)	0.729
Anastomotic leakage	13 (3.4)	5 (2.6)	0.577
Abdominal abscess	2 (0.5)	1 (0.5)	1.000
Anastomotic stenosis	2 (0.5)	1 (0.5)	1.000
Pneumonia	0	1 (0.5)	0.339 <sup>b</sup>
Arrhythmia	1 (0.3)	0	1.000 <sup>b</sup>
Wound infection	1 (0.3)	1 (0.5)	1.000 <sup>b</sup>
Mortality	0	0	

<sup>a</sup>Mann-Whitney *U* test.<sup>b</sup>Fisher's test.

LNs: Lymph nodes; LTG: Laparoscopic-assisted transhiatal gastrectomy; OTG: Open transhiatal gastrectomy.

Furthermore, the complications of Clavien-Dindo grade III or higher were comparable in both groups ( $P = 0.729$ ). No mortality existed within 30 d postoperatively in either group. Further details are presented in [Table 2](#).

According to the histopathological analysis, the rate of complete tumor resection (R0) could be achieved in 99.5% in the LTG group and 99.0% in the OTG group ( $P = 0.879$ ). The number of the harvested LNs was significantly higher in the LTG groups ( $28.81 \pm 12.16$  vs  $26.20 \pm 12.23$ ,  $P = 0.015$ ). In addition, the number of positive LNs was similar in the two groups ( $P > 0.05$ ). Apart from that, the length of the proximal margin was also comparable between the two groups ( $P = 0.597$ ).

### Survival

The median follow-up time was 38.94 mo (Interquartile range: 23.28-59.93) for all patients. In comparison with the OTG group, the LTG group showed a better 3-year OS (88.2% vs 79.2%,  $P = 0.011$ ) ([Figure 2A](#)). Then, we performed a stratified analysis of survival according to the TNM stage. For patients with stage I, there existed no significant difference in 3-year OS between the two groups, but patients in the LTG group with stage II and stage III had a better 3-year OS compared with that of the OTG group [Stage II: hazard ratio (HR): 0.126, 95% confidence interval (CI): 0.027-0.584,  $P = 0.008$ ; Stage III: HR: 0.361, 95% CI: 0.134-0.967,  $P = 0.043$ ] ([Figure 2B-D](#)).

### Recurrence

The rate of recurrence presented no significant difference in the LTG and OTG groups (12.8% vs 10.7%,  $P = 0.547$ ). The patterns of recurrence were listed in [Table 3](#). Distributions of recurrence for LTG were similar to that for OTG, and there existed no differences in organ metastasis (liver, lung, bone, brain, pancreas), anastomotic recurrence, peritoneal dissemination, lymph node metastasis or others ( $P > 0.05$ ).

Table 3 Patterns of recurrence

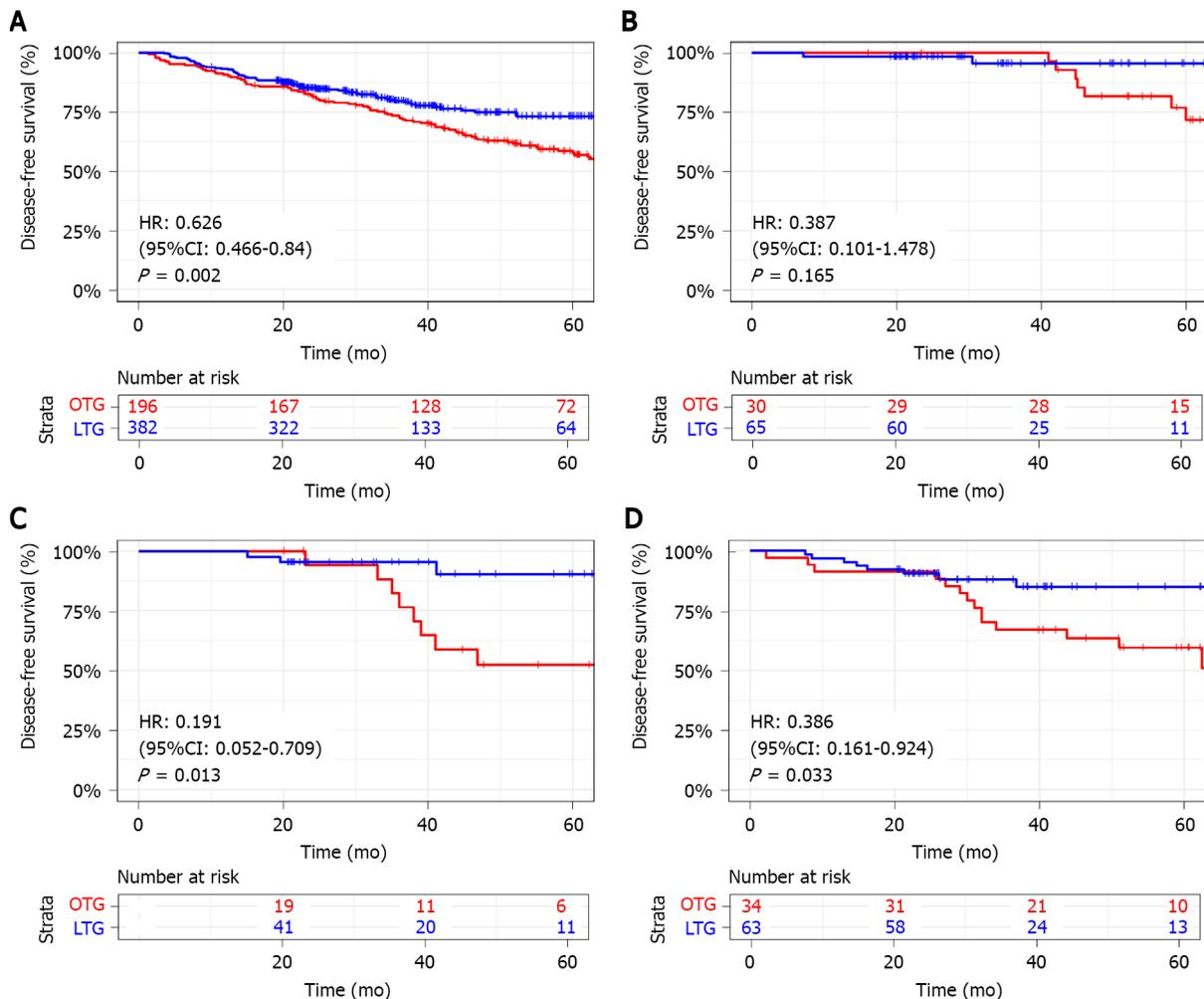
	LTG, n = 382	OTG, n = 196	P value
Recurrence, n (%)			
No	333 (87.2)	175 (89.3)	0.547
Yes	49 (12.8)	21 (10.7)	
Liver metastasis, n (%)			
No	372 (97.4)	193 (98.5)	0.590
Yes	10 (2.6)	3 (1.5)	
Lung metastasis, n (%)			
No	376 (98.4)	192 (98.0)	0.941
Yes	6 (1.6)	4 (2.0)	
Bone metastasis, n (%)			
No	377 (98.7)	193 (98.5)	1.000
Yes	5 (1.3)	3 (1.5)	
Brain metastasis, n (%)			
No	380 (99.5)	193 (98.5)	0.445
Yes	2 (0.5)	3 (1.5)	
Pancreas metastasis, n (%)			
No	381 (99.7)	194 (99.0)	0.555
Yes	1 (0.3)	2 (1.0)	
Anastomotic recurrence, n (%)			
No	369 (96.6)	189 (96.4)	1.000
Yes	13 (3.4)	7 (3.6)	
Peritoneal dissemination, n (%)			
No	377 (98.7)	196 (100.0)	0.257
Yes	5 (1.3)	0 (0.0)	
Lymph node metastasis, n (%)			
No	377 (98.7)	196 (100.0)	0.257
Yes	5 (1.3)	0 (0.0)	
Others, n (%)			
No	378 (99.0)	196 (100.0)	0.364
Yes	4 (1.0)	0 (0.0)	

LTG: Laparoscopic-assisted transhiatal gastrectomy; OTG: Open transhiatal gastrectomy.

The 3-year DFS was significantly better in the LTG group than that in the OTG group (79.7% vs 73.0%,  $P = 0.002$ ) (Figure 3A). After stratification by TNM stage, the 3-year DFS was similar between the two groups in stage I patients. However, for stage II and stage III patients, the 3-year DFS was better in the LTG group compared with that of OTG group with significant difference (Stage II: HR: 0.191, 95% CI: 0.052-0.709,  $P = 0.013$ ; Stage III: HR: 0.386, 95% CI: 0.161-0.924,  $P = 0.033$ ) (Figure 3B-D).

## DISCUSSION

Recently, the prevalence of Siewert type II AEG has risen rapidly, and most patients are diagnosed as an advanced stage with a poor prognosis at the first visit[23]. Complete removal of the tumor and adequate regional LN resection remains the only curative treatment for AEG[6]. Since the first report of laparoscopic-assisted gastrectomy, laparoscopic techniques have developed quickly in gastrointestinal tumors



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**Figure 3 Comparison of disease-free survival rates between the laparoscopic-assisted transhiatal gastrectomy and open transhiatal gastrectomy groups.** A: Comparison of disease-free survival rates between the laparoscopic-assisted transhiatal gastrectomy (LTG) and open transhiatal gastrectomy (OTG) groups for all patients; B: Comparison of disease-free survival rates between the LTG and OTG groups for stage I patients; C: Comparison of disease-free survival rates between the LTG and OTG groups for stage II patients; D: Comparison of disease-free survival rates between the LTG and OTG groups for stage III patients. CI: Confidence interval; HR: Hazard ratio.

[9,24]. However, due to the lack of scientific evidence, the safety and feasibility of LTG in the treatment of Siewert type II AEG still remain controversial[16,17]. In the present study, LTG for Siewert type II AEG showed longer operation times but less blood loss, shorter abdominal incision and faster recovery compared with OTG. The obtained results were similar to the previous studies[17,18,20]. A large number of studies have demonstrated that LTG was comparable for morbidity and mortality to OTG for gastric cancer while few of them were focused on AEG[25-28]. In this study, no significant difference was observed in postoperative complications between the LTG group and OTG group for Siewert type II AEG. Apart from that, the complications of Clavien-Dindo grade III or higher were comparable in both groups. These results suggested that LTG can be safely performed and provide better short-term outcomes for patients diagnosed with Siewert type II AEG.

Ensuring the safety of oncology is critical to the choice of surgical strategy. Shi *et al*[17] compared 132 patients with LTG and 264 patients with OTG. After propensity score matching, the number of harvested LNs showed no significant difference for AEG. By contrast, Sugita *et al*[18] suggested an increased number of dissected LNs in the LTG group compared with OTG for Siewert type II AEG[18]. In the current work, there existed a higher number of harvested LNs in the LTG group than that in the OTG group. The previous studies reported that the number of harvested LNs is an important prognostic factor for patients with AEG[29,30]. In addition, other oncological parameters in terms of length of proximal margin, R0 resection and the number of positive LNs were comparable between the two groups. As a result, the oncological safety of LTG is equivalent to OTG.

Regarding the long-term outcomes, we found that the distribution of recurrence patterns was similar in the two groups. Shi *et al*[17] reported that there existed no significant difference for OS between the LTG and OTG groups[17]. Nevertheless, their study population included not only Siewert type II but

also type III AEG. In addition, Huang *et al*[19] and Sugita *et al*[16] suggested that Siewert type II patients in the LTG group had significantly better OS than that in the OTG group[16,19]. The existing limitations included short observation period and small population, respectively. We observed a better 3-year OS and DFS of LTG for Siewert type II AEG patients compared with those treated with OTG. Moreover, we conducted a stratified analysis based on the TNM stage. Patients with stage I exhibited no survival benefit from LTG, while patients with stage II and III also revealed better survival outcomes in the LTG group.

Undoubtedly, our study has some limitations. First, this study was a single-center, retrospective cohort study. In addition, the follow-up compliance of patients is limited, and the specific death and the patterns of recurrence of some patients remain unknown. Thus, prospective randomized controlled studies are still needed.

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## CONCLUSION

In conclusion, LTG is a safe and feasible treatment for Siewert type II AEG. Meanwhile, patients with advanced stage AEG may benefit more from LTG in the long-term outcomes.

## ARTICLE HIGHLIGHTS

### Research background

Due to the lack of scientific evidence, the feasibility of laparoscopic-assist transhiatal gastrectomy (LTG) in patients with Siewert type II adenocarcinoma of the esophagogastric junction (AEG) is still controversial.

### Research motivation

To compare the feasibility of LTG with the traditional open transhiatal gastrectomy (OTG) in patients with Siewert type II AEG.

### Research objectives

We retrospectively evaluated and compared the short-term and long-term outcomes for patients with Siewert type II AEG treated with LTG and OTG and aimed to explore the feasibility of LTG treatment of Siewert type II AEG.

### Research methods

We retrospectively evaluated 578 patients with Siewert type II AEG who have undergone LTG or OTG at the First Medical Center of the Chinese People's Liberation Army General Hospital from January 2014 to December 2019. The short-term and long-term outcomes were compared between the LTG ( $n = 382$ ) and OTG ( $n = 196$ ) groups.

### Research results

Compared with the OTG group, the LTG group had less surgical trauma and a faster recovery after surgery. No significant difference was present between the two groups regarding oncological safety. The 3-year overall survival and disease-free survival were better in the LTG group than those in the OTG group (88.2% *vs* 79.2%,  $P = 0.011$ ; 79.7% *vs* 73.0%,  $P = 0.002$ , respectively). In the stratified analysis, both overall survival and disease-free survival were better in the LTG group than those in the OTG group for stage II/III patients ( $P < 0.05$ ) but not for stage I patients.

### Research conclusions

For patients with Siewert type II AEG, LTG is associated with better short-term outcomes and similar oncology safety. In addition, patients with advanced stage AEG may benefit more from LTG in the long-term outcomes.

### Research perspectives

Well-designed multicenter prospective randomized controlled studies are still needed.

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## FOOTNOTES

**Author contributions:** Song QY, Li XG and Zhang LY contributed equally to this article; Song QY and Wang XX designed the experiment; Li XG and Zhang LY performed the experiment; Li S and Zhang BL collected data; Wu D and Xu ZY analyzed the data; Song QY and Wu RLG created the tables and figures based on the data; Song QY, Li

XG and Zhang LY wrote the initial draft; Guo X and Wang XX modified the draft.

**Institutional review board statement:** The study was reviewed and approved by the Ethics Committee of Chinese PLA General Hospital, No. S-2021-593-01.

**Conflict-of-interest statement:** All authors declare that there is no conflict of interest.

**Data sharing statement:** No additional data are available.

**STROBE statement:** The authors have read the STROBE Statement – checklist of items, and the manuscript was prepared and revised according to the STROBE Statement – checklist of items.

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**S-Editor:** Fan JR

**L-Editor:** Filipodia

**P-Editor:** Fan JR

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