

## Prospective Study

**In vivo gastric mucosal histopathology using endocytoscopy**

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**Abstract**

**AIM:** To study the ability of endocytoscopy to identify normal gastric mucosa and to exclude *Helicobacter pylori* (*H. pylori*) infection.

**METHODS:** Endocytoscopic examination of the gastric corpus and antrum was performed in 70 consecutive patients. Target biopsy specimens were also obtained from the assessed region and multiple *H. pylori* tests were performed. The normal endocytoscopy patterns of the corpus and antrum were divided into the normal pit-dominant type (n-Pit) or the normal papilla-dominant type (n-Pap), respectively characterized as either regular pits with capillary networks or round, smooth papillary structures with spiral capillaries. On the other hand, normal mucosa was defined as mucosa not demonstrating histological abnormalities, including inflammation and atrophy.

**RESULTS:** The sensitivity and specificity of n-Pit for normal mucosa in the gastric corpus were 94.4% and 97.1%, respectively, whereas those of n-Pap for normal mucosa in the antrum were 92.0% and 86.7%, respectively. The positive predictive values of n-Pit and n-Pap for *H. pylori*-negative tissue were 88.6% and 93.1%, respectively, and their negative predictive values for *H. pylori*-negative tissues were 42.9% and 41.5%, respectively. The inter-observer agreement for determining n-Pit and n-Pap for normal mucosa were 0.857 and 0.769, respectively, which is considered reliable.

**CONCLUSION:** N-Pit and n-Pap, seen using EC, are considered useful predictors of normal mucosa and the

absence of *H. pylori* infection.

**Key words:** Atrophy; Endocytoscopy; Gastric mucosa; *Helicobacter pylori*; *In vivo* histopathology

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**Core tip:** The identification of minute inflammatory or atrophic changes is very difficult using conventional endoscopy. This is because these changes are usually predicted using non-specific endoscopic findings, such as superficial color, edema and erosions. However, endocytoscopy enables real-time histology, which corresponds well with conventional histopathology. The procedure is also simple (the endocytoscope only has to make contact with the gastric wall) and is therefore expected to be used regularly globally in the future.

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## INTRODUCTION

Endocytoscopy (EC) was developed as an ultra-magnifying technology for *in vivo* pathological diagnoses<sup>[1,2]</sup>. As a result of *in vivo* staining, EC enables detailed examinations, with images comparable to those obtained using microscopy. In our practice, EC is primarily used for the differential diagnosis of neoplastic and non-neoplastic lesions because the atypical cellular and nuclear structures can be clearly identified<sup>[3-10]</sup>.

Additionally, EC can be expected to definitively distinguish between normal mucosa and pathological gastritis [most commonly induced by *Helicobacter pylori* (*H. pylori*) infection]. Conventional endoscopy allows the recognition of gastritis dependent upon non-specific and indirect findings, such as changing patterns of superficial colors, edema and erosions. However, EC is expected to be able to more accurately identify minute changes than any other endoscopic modality. EC should also be able to differentiate clearly between the fundic and antral glands, similar to the capability of narrow band imaging (NBI).

Therefore, the purpose of the present study was to assess the ability of EC to identify normal gastric patterns, corresponding to histopathologically normal mucosa, as well as to exclude cells with possible *H. pylori* infections.

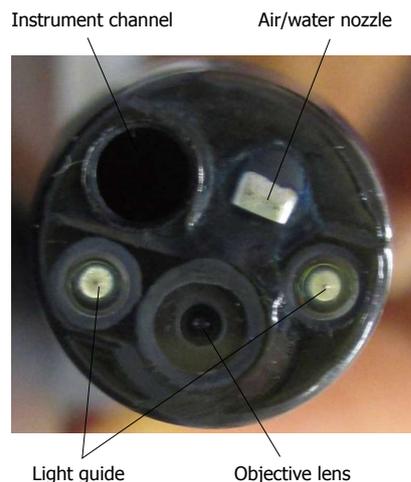


Figure 1 Single charged-couple device integrated type endocytoscope (GIF-Y0002, a prototype from Olympus, Tokyo, Japan).

## MATERIALS AND METHODS

### Patients

This study was performed at Showa University Northern Yokohama Hospital, a tertiary referral center in Japan, between December 2013 and February 2014. Study participants were prospectively and consecutively recruited from the cohort of patients undergoing endoscopic examination for any indication. Patients who had undergone gastric surgery, were receiving anticoagulant therapy, or had other significant co-morbidities that might affect endoscopic examination were excluded from the study.

The study was approved by our Institutional Review Board and conducted as part of a large study registered in the University Hospital Medical Information Network in Japan (UMIN000007745). Written informed consent was obtained from all patients.

### EC materials

All EC examinations were performed using an integrated-type endocytoscope (GIF-Y0002, a prototype from Olympus, Tokyo, Japan). The endocytoscope used has one lens that can increase the image magnification from that of a conventional endoscope to 380 × magnification (tissue field of view, 700 μm × 600 μm). A hand-operated lever is used to allow gradual magnification at the center of the monitor, ensuring that the area of interest is accurately located (Figure 1). The clinical use of the prototype endoscope was also approved by the hospital's ethics committee.

For EC examination, a previously reported mixture of 0.1% methylene blue and 0.05% crystal violet was used to stain *in vivo* tissues in a manner approximating conventional hematoxylin/eosin-stained histopathological specimens. Crystal violet effectively

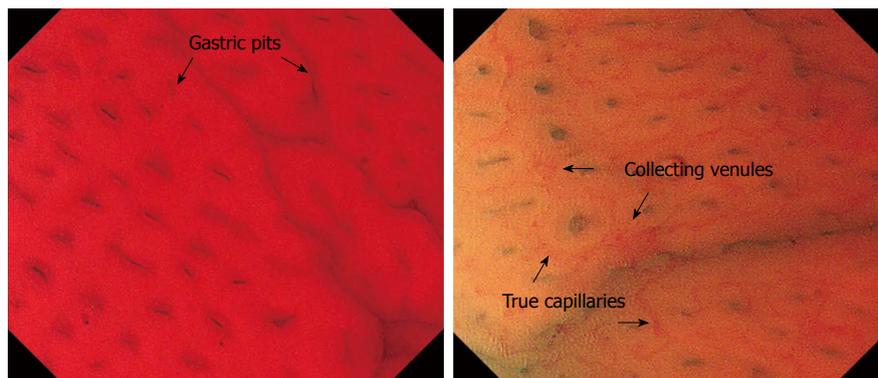


Figure 2 Normal pit-dominant type in the gastric corpus. A regular arrangement of gastric pits is observed, with true capillary network.

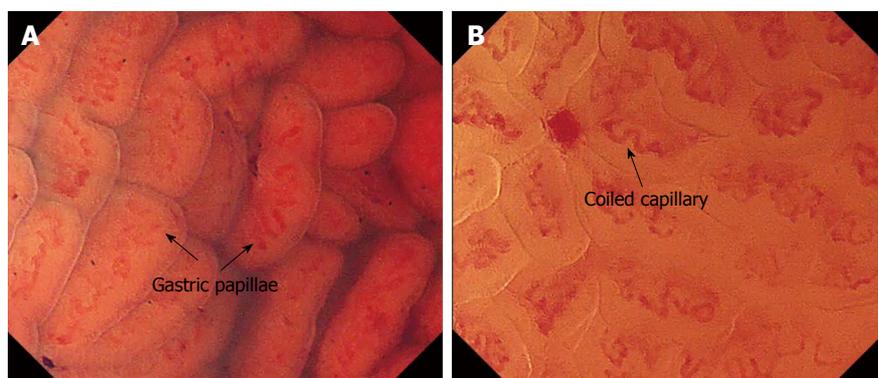


Figure 3 Normal papilla-dominant type in the gastric antrum (A) and the rounded papillae are tightly-packed and regularly arranged, surrounding coiled capillaries (B).

dyes the cytoplasm, while methylene blue staining reveals cell structure details, including the nucleus and cytoplasm<sup>[11]</sup>.

### EC criteria for normal gastric mucosa

The normal EC pattern was divided into two types: the normal pit-dominant type (n-Pit) and the normal papilla-dominant type (n-Pap). In the n-Pit type, regular pits are observed as “pin-holes” or short linear structures with capillary networks present around the pit, corresponding to a normal fundus gland (Figure 2). In the n-Pap type, each papillary structure has a round, smooth surface with a spiral capillary contained within the pit; these structures are regularly and closely arranged. The finding is considered to be indicative of a normal antral gland (Figure 3).

There are also some key points common to the both types. Normal gastric epithelium does not consist of intestinal epithelium and does not have an absorptive function; hence, dye uptake is poor. During EC of normal mucosa, the staining solution is observed to pool or accumulate within the gastric pits. Moreover, active mucus production also prevents staining. These characteristics are affected by pathological changes. Atrophic epithelium is superficially stained owing to the opening of the pit and to the decreased mucus production. In the presence of atrophic changes, the

gastric pits widen due to shortening of the secretory duct (the duct forming the pit) and shrinkage of the stroma (the stroma forms the papilla), allowing dye uptake. In the presence of intestinal metaplasia, the superficial epithelium is completely stained, allowing goblet cell identification. During active gastritis, necrotic tissue and bacterial infiltration can be appreciated following adequate removal of the surface mucus.

Abnormal EC images were classified as having irregular EC patterns: i-Pit or i-Pap, respectively (Figure 4).

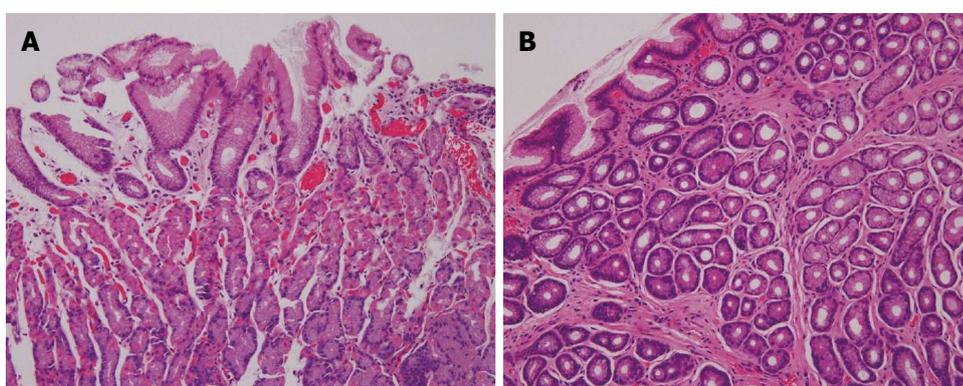
### Endoscopic procedure and assessment

All endoscopic procedures were carried out by one endoscopist with 2 years of experience involving more than 100 EC procedures. Conventional upper gastrointestinal tract endoscopy, using an H260Z (Olympus, Tokyo, Japan), was performed prior to EC under intravenous anesthesia. The surface mucus was cleared using dimethicone/water irrigation (Gascon, Kissei Medical, Tokyo, Japan).

Staining was then achieved using 2 mL of the methylene blue and crystal violet mixture, described above. The time interval between staining and EC examination was 5 s. EC of the antrum and corpus (greater curvature side) was performed at maximum magnification (380 ×) and recorded as high-definition video and image files. EC assessment was performed



**Figure 4 Irregular endoscopic patterns.** A: Unclear surface epithelium with necrotic tissue; B: Gastric pits (forming sulcus) are visualized when open and more easily stained; C: Completely stained epithelium, with goblet cells.



**Figure 5 Histopathology of normal fundus gland and normal antral gland.** A: Histopathology showing the arrangement of normal fundus gland in the corpus. The arrangement of the surface mucosal epithelium is regular, with little inflammation; B: Histopathology showing the arrangement of normal antral gland. There is no inflammation and the arrangement of the surface mucosal epithelium is regular.

in real time, with the corpus images being classified as demonstrating either n-Pit or i-Pit; images from the antral region were similarly classified as n-Pap or i-Pap.

EC assessment was performed in real-time by one reviewer. To calculate inter-observer agreement in image classification, the EC image files, excluding conventional endoscopy, were divided according to the area of observation (gastric corpus or antral region), with 2 EC images in each case. An independent external reviewer, blinded to the patient information, conventional endoscopy results and histopathological diagnosis, reviewed and reclassified the EC images (n-Pit/i-Pit or n-Pap/i-Pap in the corpus or antral regions, respectively).

After EC examination, two forceps biopsy specimens were obtained from each region, corresponding to the area of EC examination, for pathological assessment and for microscopic *H. pylori* examination. Two random biopsies of the antrum and corpus were also taken for use in the rapid urease test (Pyloritek, Eidia, Tokyo Japan). A total of four biopsies were performed in each case. A serum pepsinogen test<sup>[12,13]</sup> was concomitantly performed. A positive test result in any *H. pylori* test was interpreted as indicating the presence of an *H. pylori* infection.

To calculate inter-observer agreement, the EC image files not including conventional endoscopy were divided into two parts (gastric corpus and antral region), with two EC images in each. A second, independent external reviewer, blinded to the patient information, results of conventional endoscopy and histopathological diagnosis, reviewed the EC images, classifying them as n-Pit or i-Pit in the gastric corpus or as n-Pap or i-Pap in the antral region.

#### **Histopathological assessment**

Fixed biopsy specimens were assessed for the presence or absence of active inflammation and atrophic changes. *H. pylori* infection was evaluated according to the updated Sydney system<sup>[14]</sup>. Regular arrangement of the surface mucosal epithelium, with absent or few inflammatory cells, were the criteria for normal mucosal assessment of the histopathology specimens (Figure 5).

#### **Statistical analysis**

All analyses were performed using STATA, version 11.2 (Stata, College Station, TX, United States). Continuous variables are expressed as mean  $\pm$  SD and confidence intervals (CI) are given as 95%CI in parentheses.

**Table 1 Association between endocytoscopy findings and histological assessment and *Helicobacter pylori* infection - examination in gastric corpus**

EC findings (n)	Active inflammation		Atrophic change		<i>Helicobacter pylori</i> infection	
	(+)	(-)	(+)	(-)	(+)	(-)
n-Pit (35)	0	35	0	35	4	31
i-Pit (35)	19	16	16	19	15	20

The category in non-active inflammation and no atrophy is defined as normal mucosa. Sensitivity and specificity of n-Pit to detect normal mucosa was 94.4% (95%CI: 81.3-99.3), 97.1% (84.7-99.9), respectively. Sensitivity, specificity, positive predictive value and negative predictive value of n-Pit for *Helicobacter pylori*-negative was 60.8% (46.1-74.2), 78.9% (54.4-94.0), 88.6 (73.3-96.8) and 42.9% (26.3-60.6), respectively. EC: Endocytoscopy; n-Pit: Normal pit-dominant type.

Inter-observer agreement was assessed using kappa statistics and interpreted as proposed by Landis and Koch<sup>[15]</sup>. A kappa value = 0 demonstrated the absence of agreement; < 0.20, slight agreement; 0.21-0.40, fair; 0.41-0.60, moderate; 0.61-0.80, substantial; and > 0.81, almost perfect agreement.

## RESULTS

A total of 70 consecutive patients were enrolled in this study (mean age 66.5 ± 12.8 years, 45 males). Six participants had esophageal cancer and 15 had gastric cancer not involving the examined areas of the corpus and antrum; these individuals were referred to our hospital for treatment. The other indications for EC were achalasia (*n* = 6); screening for other diseases, *e.g.*, liver cirrhosis and preoperative testing due to colon cancer (*n* = 13), endoscopic surveillance following endoscopic treatment (*n* = 13), medical examinations (*n* = 8) and upper endoscopy due to non-specific abdominal symptoms (*n* = 9). Each EC procedure, including biopsy, was performed within 7 min; adverse events were not observed.

### EC correlation with histological assessment and *H. pylori* infection

The gastric corpus examination results are shown in Table 1. The sensitivity of the n-Pit classifications for determining a histopathologically normal mucosa (fundus gland) was 94.4% (range: 81.3%-99.3%), with a specificity of 97.1% (range: 84.7%-99.9%). The mean inter-observer kappa score between the two reviewers was 0.857 (range: 0.737- 0.978). Although 4 *H. pylori*-positive patients were included among the patients with n-Pit, they exhibited closed-type atrophic gastritis that was confined to the gastric antrum<sup>[16]</sup>. The mean sensitivity, specificity, positive predictive value (PPV) and negative predictive value (NPV) for n-Pit classifications corresponding to normal (*H. pylori*-negative) tissue were 60.8% (range: 46.1%-74.2%), 78.9% (range: 54.4%-94.0%), 88.6% (range:

**Table 2 Association between endocytoscopy findings and histological assessment and *Helicobacter pylori* infection - examination in antral region**

EC findings (n)	Active inflammation		Atrophic change		<i>Helicobacter pylori</i> infection	
	(+)	(-)	(+)	(-)	(+)	(-)
n-Pap (29)	2	27	4	25	2	27
i-Pap (41)	19	22	35	6	17	24

The category in non-active inflammation and no atrophy is defined as normal mucosa. Sensitivity and specificity of n-Pap to detect normal mucosa was 92.0% (95%CI: 74.0-99.0), 86.7% (73.2-95.0), respectively. Sensitivity, specificity, positive predictive value and negative predictive value of n-Pap for *Helicobacter pylori*-negative was 52.9% (38.5-67.1), 89.5% (66.9-98.7), 93.1% (77.2-99.2), 41.5 (26.3-57.9), respectively. EC: Endocytoscopy; n-Pit: Normal pit-dominant type.

73.3%-96.8%) and 42.9% (range: 26.3%-60.6%), respectively.

The results of the antral region examination are described in Table 2. The sensitivity and specificity of the n-Pap determinations corresponding to histopathologically normal mucosa were 92.0% (range: 73.4%-99.0%) and 86.7% (range: 73.2%-95.0%), respectively.

The mean kappa score for inter-observer agreement between the two independent reviewers was 0.769 (range: 0.620-0.919). The mean sensitivity, specificity, PPV and NPV for the n-Pap determinations corresponding to normal (*H. pylori*-negative) histopathology were 52.9% (range: 38.5%-67.1%), 89.5% (range: 66.9%-98.7%), 93.1% (range: 77.2%-99.2%) and 41.5% (range: 26.3%-57.9%), respectively.

## DISCUSSION

Endoscopic observation of microorganisms, including *H. pylori*, is technically difficult. In an *in vivo* setting, an EC magnification of 1100 × is reportedly needed to detect *H. pylori*<sup>[3]</sup>. Therefore, using microscopy or EC to look for *H. pylori* is impractical for the diagnosis of an *H. pylori* infection. Moreover, tissue stains, *e.g.*, Giemsa stain, used for the diagnosis of *H. pylori* infections have not yet been proven safe for *in vivo* use and further evaluation is required to address their toxicity and long-term effects prior to their clinical implementation. At present, other diagnostic tests for *H. pylori* infection are widely available commercially<sup>[17]</sup> (*i.e.*, urease test, serum pepsinogen, stool antigen test). These tests require that patients not be currently taking any proton pump inhibitors prior to testing. To date, the most widely used test, currently considered the gold standard, is the urea breath test. Although considered to be a non-invasive procedure, the breath test requires additional scheduling. Amongst its other attributes, EC has the potential to be a "one-step" diagnostic tool for diagnosing *H. pylori* infection.

The identification of minute changes in the microstructure of the gastric mucosa during endoscopy is a key for determining the status of an *H. pylori* infection<sup>[18-20]</sup>. Yagi *et al.*<sup>[21,22]</sup> reported that the regular arrangement of collecting venules (RAC) is a practical marker for differentiating normal mucosa from *H. pylori*-associated gastritis. RAC consists of collecting venules and true capillaries (which form networks) that are visible using conventional endoscopy. The authors also reported that the identification of a "well-defined ridge pattern" (wDRP) in the antral mucosa has 100% specificity for normal (*H. pylori*-negative) mucosa but a sensitivity of only 54.5%. Recently, a combination of magnifying endoscopy (ME) and NBI has been shown to allow the visualization of small, round pits with a regular arrangement of capillary networks, indicative of normal mucosa<sup>[23-26]</sup>. The presence of RAC and wDRP, observed using conventional endoscopy, and a normal pit pattern using ME-NBI are considered to be useful markers for identifying normal mucosa in the absence of an *H. pylori* infection.

EC of the gastric mucosa revealed two different patterns, n-Pit and n-Pap, in the gastric corpus and antral regions, respectively. These differences are considered to arise due to differences in the glandular structures and arrangements between the two regions<sup>[27]</sup>. In the gastric corpus, the collecting venules and the true capillaries form networks that surround the gastric glands, feeding into the collecting venules which descend vertically. In the antrum, the collecting venules tend to run obliquely and the capillaries are expanded. Furthermore, surface mucous cells form papillary structures and expand deeply into the lamina propria. We can also identify cellular level information about the goblet cells using endocytoscopy. Additionally, due to the higher magnification that is possible, more structural change can be seen than when using ME-NBI, although the microvessels are less clear than in ME-NBI.

Our results demonstrate that the presence of the n-Pit morphology in the gastric corpus, observed using EC, corresponds well with histopathologically proven normal mucosa, with a high sensitivity and specificity. Moreover, the excellent inter-observer agreement shows that the observation of the n-Pit mucosal pattern in the corpus is a clear-cut, practical indicator of normal mucosa, even for non-specialists. The sensitivity and specificity of n-Pap for identifying normal antral region mucosa were 92.0% and 86.7%, respectively. Although the sensitivity of n-Pap was much higher than that of wDRP, as reported by Yagi *et al.*, n-Pap was not as reliable for identifying normal mucosa as n-Pit in our series. However, for identifying closed-type gastritis<sup>[16,28,29]</sup>, n-Pap in the antral region appears to predict the presence of histological gastritis.

The specificities and PPVs of n-Pit and n-Pap for identifying *H. pylori*-negative mucosa were considered to be sufficient to be useful for excluding the presence of *H. pylori* infection. The sensitivities and NPVs of n-Pit

and n-Pap associated with normal mucosa were not as reliable, probably due to cases of natural *H. pylori* eradication or false negative *H. pylori* test results.

In conclusion, EC determinations of n-Pit and n-Pap are useful predictors of normal mucosa and the absence of *H. pylori* infection. The results also correspond well with the presence of normal fundic and antral glands. This was a pilot study and further study is needed.

## COMMENTS

### Background

Endocytoscopy (EC) was developed as an ultra-magnifying technology for *in vivo* pathological diagnoses.

### Research frontiers

EC enables detailed examinations, with images comparable to those obtained using microscopy.

### Applications

EC can differentiate gastric mucosal patterns of minimal change and appears to reliably exclude *Helicobacter pylori* (*H. pylori*) infection.

### Terminology

EC of the normal gastric mucosa revealed two different patterns, normal pit-dominant type (n-Pit) and normal papilla-dominant type (n-Pap), in the gastric corpus and antral regions, respectively.

### Peer-review

This is a study about endocytoscopic examination of gastric mucosa according to the presence of *H. pylori* infection. The results showed that EC determinations of n-Pit and n-Pap are useful predictors of normal mucosa and the absence of *H. pylori* infection.

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