

## Cutting-edge technologies for diagnosis and monitoring of snoring in children

Ioannis Vlastos, Ioannis Athanasopoulos

Ioannis Vlastos, ENT Surgeon-Pediatric Otolaryngology, 10563 Athens, Greece

Ioannis Athanasopoulos, Department of Pediatric Otolaryngology, Aghia Sophia Children's Hospital, 10563 Athens, Greece

**Author contributions:** Vlastos I was invited to write the review and wrote the initial draft; Athanasopoulos I revised the draft and contributed with several comments.

**Conflict-of-interest statement:** Vlastos I is a scientific adviser for Embiodiagnostics, a company specializing in molecular diagnostics.

**Open-Access:** This article is an open-access article which was selected by an in-house editor and fully peer-reviewed by external reviewers. It is distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: <http://creativecommons.org/licenses/by-nc/4.0/>

**Correspondence to:** Ioannis Vlastos, MD, PhD, ENT Surgeon-Pediatric Otolaryngology, 86 Vasilissis Sophias Ave, 10563 Athens, Greece. [giannisvlastos@yahoo.gr](mailto:giannisvlastos@yahoo.gr)  
Telephone: +30-69-76141680  
Fax: +30-21-55300877

Received: July 30, 2015  
Peer-review started: July 31, 2015  
First decision: September 27, 2015  
Revised: October 17, 2015  
Accepted: December 18, 2015  
Article in press: December 21, 2015  
Published online: February 8, 2016

### Abstract

Snoring is a very common problem in children and may be an indication of obstructive sleep apnea (OSA). Appropriate diagnosis is of importance due to detrimental effects of OSA. Polysomnography is considered the gold standard for

the diagnosis of OSA. However, it is impractical for several reasons and this is why other tests have been developed as alternatives to formal polysomnography (PSG) for the assessment of children with snoring. In this mini-review basic features of PSG as well as alternative tests are presented and future perspectives are provided in addition to current guideline for the diagnosis and monitoring of childhood snoring. The aim of this review is to highlight briefly currently developed technologies that seem promising for the evaluation of snoring.

**Key words:** Snoring; Sleep apnea; Polysomnography; Molecular markers; Microelectronics

© The Author(s) 2016. Published by Baishideng Publishing Group Inc. All rights reserved.

**Core tip:** There are several methods allowing for the screening of obstructive sleep apnea (OSA) patients in a large scale, either in the field of molecular diagnosis or in the field of microelectronics Miniaturization technology as well as advances in wireless devices connectivity and data processing allows for more affordable, convenient and reliable recording of parameters such as oxygen saturation, actigraphy and others. In addition, advances in molecular biology allows for the detection of genetic and non-genetic biomarkers of sleep apnea. However the aforementioned markers and their combinations remain to be validated. Until then polysomnography is considered the gold standard for the diagnosis of OSA.

Vlastos I, Athanasopoulos I. Cutting-edge technologies for diagnosis and monitoring of snoring in children. *World J Clin Pediatr* 2016; 5(1): 63-66 Available from: URL: <http://www.wjgnet.com/2219-2808/full/v5/i1/63.htm> DOI: <http://dx.doi.org/10.5409/wjcp.v5.i1.63>

### INTRODUCTION

Snoring is the most commonly presented symptom

of obstructive sleep apnea (OSA) in children. The estimated prevalence for snoring is 10% to 12%, whereas the estimated prevalence of OSA is only 1% to 3%<sup>[1-3]</sup>. However, due to the detrimental effects of OSA, children who snore need medical advice and possibly polysomnography (PSG), the sleep test that is considered the gold standard for the diagnosis of OSA<sup>[4]</sup>.

The American Academy of Pediatrics (AAP) clinical practice guideline on diagnosis and management of childhood obstructive sleep apnea syndrome recommends overnight PSG for the confirmation of OSA<sup>[5]</sup>. In addition to identifying the presence of OSA, PSG also helps define its severity, which can aid in perioperative planning. However, despite the AAP recommendations and documented utility of PSG, only about 10% of pediatric otolaryngologists in United States<sup>[6]</sup> and probably much less in the rest of the world, obtain a preoperative PSG before tonsillectomy for sleep disordered breathing.

There are several reasons that can explain the variability in obtaining PSG prior to tonsillectomy or for the evaluation of snoring in general. Lack of access, cost, time expended, and concern over the child's emotional distress are the main reasons that explain why other tests have been developed as alternatives to formal PSG for the assessment of children with snoring. However, their role is still controversial.

In this mini-review basic features of PSG as well as alternative tests are presented and future perspectives are provided in addition to current guideline for the diagnosis and monitoring of childhood snoring. The aim of this review is to highlight briefly currently developed technologies that seem promising for the evaluation of snoring even though they have not been proven and qualified in real field.

### **Polysomnography**

Formal PSG requires hospitalization or one night stay in a sleep laboratory. Several parameters are recorded simultaneously (Table 1) that allow for the estimation of specific indexes, with apnea-plus-hypopnea index (AHI) being the most utilized for diagnosis and staging of obstructive sleep apnea. Nevertheless, even the use of AHI is problematic in children since the clinically valid cut-off for normal AHI is unclear in this age group and no consensus has been achieved as to whether children with AHI values between the normal cut-off [ $< 1/h$  of total sleep time (TST)] and 5/h TST should undergo adenotonsillectomy<sup>[7,8]</sup>.

### **Portable monitoring devices**

Portable monitoring devices, also referred to as out-of-center sleep testing (OCST), have evolved as an alternative to overnight, attended, in-laboratory PSG in selected patients. Advantages of portable monitoring devices (PM) include its convenience (it can be performed in the patient's home) and its lower costs. However, the major disadvantage is that for most of these devices, fewer physiologic variables are measured than with PSG,

which can lead to misinterpretation of the results.

The United States Centers for Medicare and Medicaid Services have released guidelines that state that results from OCST can be used to support a prescription for positive airway pressure therapy in adults<sup>[9]</sup>. The American Academy of Sleep Medicine has also released clinical practice guidelines to guide clinicians in the use of OCST<sup>[10,11]</sup>. There are three types of PM: Type II device, which has a minimum of 7 channels (e.g., EEG, EOG, EMG, ECG-heart rate, airflow, respiratory effort, oxygen saturation). This type of device monitors sleep staging so the AHI can be calculated; Type III device which has a minimum of 4 channels and Type IV device which usually measure only 1-2 parameters (e.g., oxygen saturation); Type I refers to polysomnography which is not actually a portable monitoring device.

At minimum, PM must record airflow, respiratory effort, and blood oxygenation<sup>[10,12]</sup>, thus type IV devices are not supported officially. For example, oximetry has a high positive predictive value (97%) for diagnosis of obstructive sleep apnea, but because not all apneas result in a drop in saturations the negative predictive value is low (53%)<sup>[13]</sup>. This is because simple oximetry cannot detect: (1) events that result in arousal without desaturation; (2) how long the patient slept; (3) carbon dioxide elevation; (4) prolonged flow limitation without discrete desaturation; or (5) whether they achieved rapid eye movement sleep (the period when respiratory events are most common)<sup>[4,14]</sup>.

In general, false negative rates may be as high as 17% in unattended PM studies and the role of PM, as an alternative to formal PSG, in assessing children with sleep disordered breathing is controversial<sup>[10]</sup>. Unattended PM for the diagnosis of OSA should be performed only in conjunction with a comprehensive sleep evaluation. Moreover, in-laboratory polysomnography should be performed in case the PM test is technically inadequate or does not provide the expected result<sup>[10]</sup>.

Miniaturization technology as well as advances in wireless devices connectivity and data processing allows for more affordable, convenient and reliable recording of parameters such as oxygen saturation, actigraphy and others. Thus the future of portable monitoring, especially in conjunction with other tests, seems promising.

### **Additional tests**

An extended review of PSG and related monitoring is beyond the scope of this article. Furthermore, measures derived from PSG are poor predictors of OSA-associated morbidities<sup>[15]</sup>. The aforementioned tests can be combined with a validated questionnaire (e.g., health status or quality of life questionnaire). Such questionnaires have been shown recently to be of benefit for childhood obstructive sleep apnea course prognosis<sup>[16]</sup> and can be utilized in the decision making process, e.g., prior to a tonsillectomy.

Other modalities that are being investigated for the diagnosis and management of sleep apnea are genetic

**Table 1** Parameters most commonly recorded in a polysomnography study

Pulse oximetry
Airflow from nasal canula thermistor and/or X-flow (AASM recommends RIP technology)
Snoring
End-tidal CO <sub>2</sub>
Esophageal pressure and other methods for monitoring respiratory effort
ECG/heart rate or heart rate variability
Arterial tonometry
Electroencephalography
EOG
Actigraphy
Body position
Chin EMG
Limb EMG
Additional channels, <i>e.g.</i> , for CPAP/BiPAP levels, pH, <i>etc.</i>

AASM: American academy of sleep medicine; RIP: Routing information protocol; ECG: Electrocardiograph; EOG: Electro-oculogram; EMG: Electromyography; CPAP: Continuous positive airway pressure.

and non-genetic biomarkers (Table 2). As mentioned earlier, PSG results are poor predictors of OSA-related morbidities and there is the need of tests that can identify the most “vulnerable” patients, who would more likely benefit for specific therapeutic interventions<sup>[8]</sup>. Of the potentially promising morbidity biomarkers, plasma IL-6 and high sensitivity C-reactive protein appear to exhibit a favorable profile, and may discriminate OSA patients with and without morbidities in both adults and children. MRP 8/14 have been utilized as a cardiovascular morbidity-associated biomarker in children. In addition, urinary neurotransmitters may also provide a good tool for screening OSA cognitive morbidity in children<sup>[8]</sup>.

The above mentioned biomarkers are non-genetic, their concentrations can be measured with various methods, *e.g.*, ELISA, chromatography and others, and depend on the course of the disease, thus they have the potential to provide information related to prognosis and response to treatment. On the contrary, gene polymorphisms (single nucleotide polymorphisms, insertion/deletion and copy number variants) show the genetic predisposition for OSA and are independent of the course of the disease. Because of the lack of genome-wide studies on the field, especially in children, there are only very few SNPs (Single Nucleotide Polymorphisms) that have been associated with obstructive sleep apnea and its comorbidities<sup>[17-19]</sup> (Table 2). Since simple SNPs or sequences cannot be patented, what is expected in the near future is that panels (or combination) of them that can be IP protected, to arise and to be validated.

Later developments in the field of chromatography and molecular biology techniques, such as multiplex PCR and sequencing, allow for the detection of various markers not only in serum but also in other samples such as saliva, urine and exhaled breath condensate. This is of special important for children, because nonconvenient and painful blood tests can be avoided. Moreover, the cost of these

**Table 2** Potential biomarkers of obstructive sleep apnea and/or its comorbidities in children

Non-genetic	Genetic
8-isoprostane	CRP 1444C/T
Adiponectin	CRP 1919A/T
APOEε4	IL-6-174C/IL-6 597A
Catecholamines	NOS1 and NOS3 16SNPs
Catestatin	EDN2 and EDN3 5 SNPs
CRP	MIF gene SNP rs10433310
IL-6	NADPH oxidase (NOX) rs6520785 and rs4673
HOMA	ApoE rs405509
MRP8/14	
TNF-α	
Urinary neurotransmitters	

CRP: C-reactive protein; NADPH: Nicotinamide adenine dinucleotide phosphate; IL: Interleukin; TNF: Tumor necrosis factor.

methods has already been reduced and is almost certain that we will witness further costs reductions in the near future. Thus, methods allowing for the screening of OSA patients in a large scale already exist, either in the field of molecular diagnosis or in the field of microelectronics. These methods have the potential to provide us with affluent data. The field of sleep disorders will be revolutionized in case accurate verification of this data, probably in the form of validated and patented algorithms, is accomplished.

## REFERENCES

- 1 Ali NJ, Pitson DJ, Stradling JR. Snoring, sleep disturbance, and behaviour in 4-5 year olds. *Arch Dis Child* 1993; **68**: 360-366 [PMID: 8280201 DOI: 10.1136/adc.68.3.360]
- 2 Tarasiuk A, Greenberg-Dotan S, Simon-Tuval T, Freidman B, Goldbart AD, Tal A, Reuveni H. Elevated morbidity and health care use in children with obstructive sleep apnea syndrome. *Am J Respir Crit Care Med* 2007; **175**: 55-61 [PMID: 17038661 DOI: 10.1164/rccm.200604-577OC]
- 3 Schwengel DA, Sterni LM, Tunkel DE, Heitmiller ES. Perioperative management of children with obstructive sleep apnea. *Anesth Analg* 2009; **109**: 60-75 [PMID: 19535696 DOI: 10.1213/ane.0b013e3181a19e21]
- 4 Roland PS, Rosenfeld RM, Brooks LJ, Friedman NR, Jones J, Kim TW, Kuhar S, Mitchell RB, Seidman MD, Sheldon SH, Jones S, Robertson P. Clinical practice guideline: Polysomnography for sleep-disordered breathing prior to tonsillectomy in children. *Otolaryngol Head Neck Surg* 2011; **145**: S1-15 [PMID: 21676944 DOI: 10.1177/0194599811409837]
- 5 Marcus CL, Brooks LJ, Draper KA, Gozal D, Halbower AC, Jones J, Schechter MS, Ward SD, Sheldon SH, Shiffman RN, Lehmann C, Spruyt K. Diagnosis and management of childhood obstructive sleep apnea syndrome. *Pediatrics* 2012; **130**: e714-e755 [PMID: 22926176 DOI: 10.1542/peds.2012-1671]
- 6 Mitchell RB, Pereira KD, Friedman NR. Sleep-disordered breathing in children: survey of current practice. *Laryngoscope* 2006; **116**: 956-958 [PMID: 16735907 DOI: 10.1097/01.MLG.0000216413.22408.FD]
- 7 Wong TK. The search on an ideal disease marker for childhood obstructive sleep apnea syndrome. *Sleep* 2011; **34**: 133-134 [PMID: 21286229]
- 8 De Luca Canto G, Pachêco-Pereira C, Aydinov S, Major PW, Flores-Mir C, Gozal D. Biomarkers associated with obstructive sleep apnea and morbidities: a scoping review. *Sleep Med* 2015;

- 16: 347-357 [PMID: 25747333 DOI: 10.1016/j.sleep.2014.12.007]
- 9 **Department of Health and Human Services.** Center for Medicare and Medicaid Services. Decision Memo for Continuous Positive Airway Pressure (CPAP) Therapy for Obstructive Sleep Apnea (OSA). Available from: URL: [https://www.cms.gov/medicare-coverage-database/details/nca-decision-memo.aspx?NCAId=204&NcaName=Continuous+Positive+Airway+Pressure+\(CPAP\)+Therapy+for+Obstructive+Sleep+Apnea+\(OSA\)&NCDId=226&ncdver=3&IsPopup=y&bc=AAAAAAAAIAAA&](https://www.cms.gov/medicare-coverage-database/details/nca-decision-memo.aspx?NCAId=204&NcaName=Continuous+Positive+Airway+Pressure+(CPAP)+Therapy+for+Obstructive+Sleep+Apnea+(OSA)&NCDId=226&ncdver=3&IsPopup=y&bc=AAAAAAAAIAAA&)
- 10 **Collop NA,** Anderson WM, Boehlecke B, Claman D, Goldberg R, Gottlieb DJ, Hudgel D, Sateia M, Schwab R. Clinical guidelines for the use of unattended portable monitors in the diagnosis of obstructive sleep apnea in adult patients. Portable Monitoring Task Force of the American Academy of Sleep Medicine. *J Clin Sleep Med* 2007; **3**: 737-747 [PMID: 18198809]
- 11 **Epstein LJ,** Kristo D, Strollo PJ, Friedman N, Malhotra A, Patil SP, Ramar K, Rogers R, Schwab RJ, Weaver EM, Weinstein MD. Clinical guideline for the evaluation, management and long-term care of obstructive sleep apnea in adults. *J Clin Sleep Med* 2009; **5**: 263-276 [PMID: 19960649]
- 12 **Tan HL,** Kheirandish-Gozal L, Gozal D. Pediatric Home Sleep Apnea Testing: Slowly Getting There! *Chest* 2015; **148**: 1382-1395 [PMID: 26270608 DOI: 10.1378/chest.15-1365]
- 13 **Brouillette RT,** Morielli A, Leimanis A, Waters KA, Luciano R, Ducharme FM. Nocturnal pulse oximetry as an abbreviated testing modality for pediatric obstructive sleep apnea. *Pediatrics* 2000; **105**: 405-412 [PMID: 10654964 DOI: 10.1542/peds.105.2.405]
- 14 **Patel A,** Watson M, Habibi P. Unattended home sleep studies for the evaluation of suspected obstructive sleep apnoea syndrome in children. *J Telemed Telecare* 2005; **11** Suppl 1: 100-102 [PMID: 16036012 DOI: 10.1258/1357633054461570]
- 15 **Kheirandish-Gozal L.** What is "abnormal" in pediatric sleep? *Respir Care* 2010; **55**: 1366-1374 [PMID: 20875162]
- 16 **Chervin RD,** Ellenberg SS, Hou X, Marcus CL, Garetz SL, Katz ES, Hodges EK, Mitchell RB, Jones DT, Arens R, Amin R, Redline S, Rosen CL. Prognosis for Spontaneous Resolution of OSA in Children. *Chest* 2015; **148**: 1204-1213 [PMID: 25811889 DOI: 10.1378/chest.14-2873]
- 17 **Kaditis AG,** Gozal D, Khalyfa A, Kheirandish-Gozal L, Capdevila OS, Gourgoulis K, Alexopoulos EI, Chaidas K, Bhattacharjee R, Kim J, Rodopoulou P, Zintzaras E. Variants in C-reactive protein and IL-6 genes and susceptibility to obstructive sleep apnea in children: a candidate-gene association study in European American and Southeast European populations. *Sleep Med* 2014; **15**: 228-235 [PMID: 24380782 DOI: 10.1016/j.sleep.2013.08.795]
- 18 **Chatsuriyawong S,** Gozal D, Kheirandish-Gozal L, Bhattacharjee R, Khalyfa AA, Wang Y, Sukhumsirichart W, Khalyfa A. Polymorphisms in nitric oxide synthase and endothelin genes among children with obstructive sleep apnea. *BMC Med Genomics* 2013; **6**: 29 [PMID: 24010499 DOI: 10.1186/1755-8794-6-29]
- 19 **Khalyfa A,** Serpero LD, Kheirandish-Gozal L, Capdevila OS, Gozal D. TNF- $\alpha$  gene polymorphisms and excessive daytime sleepiness in pediatric obstructive sleep apnea. *J Pediatr* 2011; **158**: 77-82 [PMID: 20846669 DOI: 10.1016/j.jpeds.2010.07.032]

P- Reviewer: Sangkhathat S S- Editor: Qiu S L- Editor: A  
E- Editor: Lu YJ





Published by **Baishideng Publishing Group Inc**

8226 Regency Drive, Pleasanton, CA 94588, USA

Telephone: +1-925-223-8242

Fax: +1-925-223-8243

E-mail: [bpgoffice@wjgnet.com](mailto:bpgoffice@wjgnet.com)

Help Desk: <http://www.wjgnet.com/esps/helpdesk.aspx>

<http://www.wjgnet.com>

