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***Observational study***

**Autofluorescence imaging endoscopy can distinguish non-erosive reflux disease from functional heartburn: A pilot study**

Luo X *et al*. AFI to distinguish NERD from FH

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**Abstract**

**AIM:** To investigate whether autofluorescence imaging (AFI) endoscopy could distinguish non-erosive reflux disease (NERD) from functional heartburn (FH).

**METHODS:** In this prospective observational trial, 127 patients presenting with typical reflux symptoms for no less than half a year were screened. All the participants underwent endoscopy, during which white light imaging (WLI) was followed by AFI. Finally 84 patients with normal esophageal appearance on WLI were enrolled. It was defined as suggestive of NERD if one or more longitudinal purple lines longer than one centimeter were visualized in the distal part of the esophagus during AFI endoscopy. Ambulatory 24-h multichannel intraluminal impedance and pH monitoring was also performed. After standard proton-pump inhibitor tests, subjects were divided into a NERD group and an FH group and the diagnostic performance of AFI endoscopy to differentiate NERD from FH was evaluate.

**RESULTS:** Of 84 endoscopy-negative patients, 36 (42.9%) had a normal pH/impedance test. Of these, 26 patients with favorable responses to PPI tests were classified as having NERD. Finally 10 patients were diagnosed as FH and the others as NERD. Altogether, 68 of the 84 patients (81.0%) were positive on AFI endoscopy. In the NERD group, there were 67 (90.5%) patient with abnormal esophageal findings on AFI endoscopy while only 1 (10%) patient was positive on AFI endoscopy in the FH group. The sensitivity and specificity of AFI in differentiating NERD from FH were 90.5% (95%CI: 81.5%-96.1%) and 90.0% (95%CI: 55.5%-99.7%), respectively. Meanwhile, the accuracy, positive predictive value and negative predictive value of AFI in differentiating between NERD and FH was 90.5% (95%CI: 84.2%-96.8%), 98.5% (95%CI: 92.1%-99.9%) and 56.3% (95%CI: 30.0%-80.2%).

**CONCLUSION:** Autofluorescence imaging may serve as a complementary method in evaluating patients with non-erosive reflux disease and functional heartburn.

**Key words:** Gastroesophageal reflux disease; Non-erosive reflux disease; Functional heartburn; Autofluorescence imaging; White light imaging; Ambulatory 24-h pH/impedance monitoring; Endoscopy; Esophagitis

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**Core tip**: To date, few efforts have been put on the application of autofluorescence imaging (AFI) endoscopy in patients with non-malignant conditions such as gastrointestinal reflux disease (GERD). Our data showed that endoscopic features on AFI can distinguish non-erosive reflux disease (NERD) from functional heartburn (FH). Its real-time characteristics and simple endoscopic criteria may enhance the use of AFI as a complementary tool in the differentiation of NERD and FH. We believe that these findings have important implications for future research on the application of AFI endoscopy in patients with GERD.

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**Introduction**

Functional heartburn (FH) and non-erosive reflux disease (NERD) share common manifestations, including the presence of reflux symptoms and the absence of esophageal abnormalities on conventional endoscopy. These common manifestations make it difficult to distinguish between FH and NERD without invasive diagnostic tests[[1](#_ENREF_1),[2](#_ENREF_2)]. Autofluorescence imaging (AFI) is capable of identifying indistinct mucosal lesions[[3](#_ENREF_3),[4](#_ENREF_4)] invisible on conventional endoscopy. Tri-modal endoscopy, which combines AFI with white light imaging (WLI) and narrow band imaging, has been used to screen for early stage gastrointestinal cancer. Recently, AFI endoscopy was shown useful in predicting acid reflux[[5](#_ENREF_5)]. As is known to all, NERD is characterized etiologically of pathologic reflux, whereas FH is not. Whether AFI endoscopy is capable to differentiate NERD from FH has not yet been determined. This study aimed to investigate the diagnostic performance of AFI videoendoscopy to distinguish NERD from FH in patients with typical reflux symptoms but no mucosal damage in esophagus breaks on WLI.

**Materials and Methods**

***Study subjects***

The study protocol was approved by the Ethics Committee of Chinese PLA General Hospital and conformed to the principles of the Declaration of Helsinki. This trial has been registered at ClinicalTrials.gov (ID: NCT01504971). Written informed consent was obtained before each participant was enrolled.

In this prospective observational trial, consecutive patients with typical heartburn and/or regurgitation for more than 6 months were screened in Chinese PLA General Hospital between 2012 and 2014 (Figure 1). All patients underwent gastroscopy with both WLI and AFI functioning. Ambulatory impedance and pH monitoring was also performed. All participants were subsequently assessed by standard proton-pump inhibitor (PPI) tests. Patients with any symptom suggestive of esophageal motility disorders other than gastroesophageal reflux disease (GERD) were assessed by esophageal manometry.

Patients aged 18–75 years and with negative esophageal findings on WLI were eligible for this study. Patients would not be included if they had any known esophageal disease, including esophagitis or Barrett’s esophagus; gastric or duodenal ulcer (except scarring); a previous history of thoracic or upper gastrointestinal (GI) surgery; clinically significant heart, lung, liver, or kidney disease; or pregnancy.

***Esophagogastroduodenoscopy***

Before endoscopic examination, antisecretory therapy, including PPIs and histamine-2 receptor antagonists, was discontinued for no less than 1 mo. Oral antacid was allowed as rescue medication during wash-out period. Gastroscopy was performed using a FQ260Z endoscope (Olympus Inc., Tokyo, Japan), equipped with multiple charged coupled devices for both high-definition WLI and AFI. During endoscopic examination, the upper GI tract was carefully visualized using WLI, with the presence of a normal or abnormal esophagus documented. The video endoscope was subsequently switched to AFI mode and the esophagus again examined. It was defined as suggestive of NERD if one or more longitudinal purple lines longer than one centimeter were visualized in the distal part of the esophagus during AFI endoscopy.

***Ambulatory pH and impedance monitoring***

Ambulatory 24-h multichannel intraluminal impedance and pH monitoring was performed using the routine protocol of our department[[5](#_ENREF_5)]. Briefly, a catheter (Sierra Scientific Instruments Inc, Los Angeles, CA, United States) was inserted transnasally and the pH sensor was sited 5 cm above the lower esophageal sphincter (LES) with the impedance recording segments positioned at 3, 5, 7, 9, 15 and 17 cm above the upper border of the LES. The catheter was connected to a data storage device programmed by an AccuTrac pH-Z System (Sierra Scientific Instruments Inc, Los Angeles, CA, United States). Patients were asked to record a diary of their symptoms and activity, including the time of rising in the morning, times in the supine position and meal times, as well as the onset of symptoms. AccuView analysis software (Sierra Scientific Instruments Inc, Los Angeles, CA, United States) was used to identify acid and non-acid episodes. Symptom association probability (SAP) and symptom index (SI) were assessed to determine the relationship of symptoms with acid, weakly acid or weakly alkaline reflux during monitoring. A positive pH/impedance test was defined as 1) acid exposure time (AET) more than 4.2% of monitoring time; 2) SAP ≥ 95%; or 3) SI > 50%[[6](#_ENREF_6),[7](#_ENREF_7)].

***Diagnosis of NERD and FH***

A diagnosis of NERD was reached when the endoscopy-negative patients presented a positive pH/impedance or PPI test[[8](#_ENREF_8),[9](#_ENREF_9)]. Consistent with Rome III criteria[[8](#_ENREF_8)], patients with normal esophageal findings on WLI were diagnosed as FH if they had normal pH/impedance monitoring results and negative PPI tests.

***Statistical analysis***

Data were expressed as means and standard deviation. The subjects were divided into a NERD group and an FH group. The diagnostic performance of AFI for differentiating NERD from FH was calculated, using the sensitivity, specificity, accuracy, positive predictive value and negative predictive value with 95% confidence interval (CI). SPSS software (SPSS version 11.5, Chicago, IL, United States) was applied in the statistical analysis. The diagnostic performance of different measures was evaluated using the McNemar test.

**Results**

***Demographic features***

Of the 127 consecutive patients with typical reflux symptoms screened for this study, 43 were excluded due to a previous history of upper gastrointestinal surgery, erosive esophagitis, Barrett’s esophagus, peptic ulcer, upper gastrointestinal neoplasm, chronic liver or renal disease, or intolerance to pH-metry. Finally, 84 patients with negative esophageal findings on WLI were enrolled; their demographic and clinical characteristics are shown in Table 1.

***Yield of pH/impedance monitoring and PPI test***

Of 84 eligible participants, 48 (57.1%) were positive on pH/impedance tests (Figure 2), suggesting a diagnosis of NERD. Of 36 patients (42.9%) with normal pH/impedance results, 26 benefitted from PPI tests and were classified as having NERD and the remaining 10 patients were classified as having FH.

***Diagnostic value of AFI endoscopy***

Altogether, 68 of the 84 patients (81.0%) were positive on AFI endoscopy (Figure 3) and the others were negative (Figure 4). Of 74 patients diagnosed as NERD, 67 had abnormal AFI findings (Table 2), giving this test a sensitivity of 90.5% (95%CI: 81.5%-96.1%) and a specificity of 90.0% (95%CI: 55.5%-99.7%). Meanwhile, the accuracy, positive predictive value and negative predictive value of AFI in differentiating between NERD and FH was 90.5% (95%CI: 84.2%-96.8%), 98.5% (95%CI: 92.1%-99.9%) and 56.3% (95%CI: 30.0%-80.2%).

**Discussion**

In the present study, patients with typical reflux symptoms were investigated using AFI endoscopy as well as 24-h pH and impedance tests. Our results showed that AFI was able to identify differences in endoscopic features between NERD and FH. Thus, AFI endoscopy may have potential in distinguishing between these two diseases.

AFI, which was incorporated into a Tri-modal Imaging Endoscope system, has been increasingly used in the assessment of Barrett’s esophagus[[10-12](#_ENREF_10)] and the appearance of purple areas on a green background indicates the neoplastic changes that occur in Barrett’s esophagus. Changes in coloration of the gastrointestinal tract revealed by AFI, however, do not represent one type of neoplasia-specific manifestations, as AFI cannot identify the direct features of gastrointestinal neoplasms, such as loss of micro-architecture regularity and/or disruption of normal capillary patterns in the superficial layer of lesions. It was found that changes in tissue components, regardless of whether they are caused by neoplasia or inflammation, alter the density of autofluorescence emitted from lesions[[13-15](#_ENREF_13)]. These findings suggest that AFI may also be useful to evaluate diseases caused by inflammation, such as esophagitis[[5](#_ENREF_5)].

Differentiating NERD from FH remains challenging[[8](#_ENREF_8)], as both disorders share similar clinical manifestations, such as reflux symptoms and normal esophageal appearance on traditional endoscopy. The responsiveness to PPI tests is diagnostic of GERD and excludes the possibility of FH, but the converse is not necessarily true because the response rate of NERD to PPI therapy was reported to be low, around 37%–73%[[16-18](#_ENREF_16)]. These findings indicate that more than one quarter of patients with NERD are refractory to PPIs, making it difficult to distinguish between NERD and FH. Routine methods of evaluating patients with persistent reflux symptoms after proton-pump inhibitor tests include esophagogastroduodenoscopy and esophageal pH monitoring. Various new techniques have been introduced, including esophageal histopathological analysis and esophageal impedance monitoring, in order to improve the clinical management of patients suspicious for NERD and FH. This study describes a new method using AFI to differentiate between NERD and FH. The presence of purple lines in the distal esophagus on AFI, but which are indistinct on standard endoscopy, is considered diagnostic of GERD, including erosive esophagitis (EE) and NERD. Moreover, the endoscopic features identified by AFI were found to correlate with pathologic reflux[[5](#_ENREF_5)]. GERD is characteristic of reflux from the stomach to the esophagus, but no reflux underlies FH. Therefore positive findings on AFI may help distinguish NERD from FH.

Our results showed the diagnostic value of AFI in distinguishing between NERD and FH was promising, with a sensitivity of 90.5% and a specificity of 90%. These findings were comparable to results obtained with other new diagnostic modalities, such as identification of microscopic esophagitis and analysis of esophageal baseline impedance[[19](#_ENREF_19),[20](#_ENREF_20)]. Microscopic esophagitis, which is considered as a histological marker of both erosive esophagitis and NERD[[21](#_ENREF_21),[22](#_ENREF_22)], can be observed in the distal esophagus of almost all patients with erosive esophagitis and in 70%-76% of those with NERD[[21](#_ENREF_21),[23](#_ENREF_23)]. Recently, it was reported that histological evaluation of biopsy specimens from the distal esophagus of patients with reflux symptoms to show the presence or absence of microscopic esophagitis was capable of differentiating NERD from FH, with a sensitivity of 74% and an accuracy of 79%[[19](#_ENREF_19)]. Also, change in baseline impedance was found to be a marker of pathological reflux, which can distinguish NERD from FH with a sensitivity of 78% and a specificity of 71%[[20](#_ENREF_20)]. In addition, prolonged wireless esophageal pH monitoring was found to have higher sensitivity in identifying NERD than 24-h pH monitoring. Nearly one-third of patients who fulfilled the Rome III criteria for FH were found to have NERD after esophageal pH monitoring for longer than 48 hours[[24](#_ENREF_24)].

Although symptom-based approaches are favored in the initial diagnosis of GERD, endoscopic examination is always recommended for patients who do not respond to PPI tests, and patients suspicious for Barrett’s esophagus[[9](#_ENREF_9),[25](#_ENREF_25)]. AFI may improve the diagnostic yield of dysplasia or early stage malignancy in the esophagus. Recent studies showed both second and third generation AFI systems were more effective than first generation systems in detecting early neoplastic lesions[[26-29](#_ENREF_26)]. Our findings suggest that, in addition to neoplastic disease, AFI may also be helpful in the diagnosis of NERD. Its advantages, including real-time evaluation and simple diagnostic criteria, imply that AFI may serve as a complementary method in differentiating NERD from FH. As stated above, other new diagnostic methods, such as prolonged wireless esophageal pH monitoring[[24](#_ENREF_24)] and identification of microscopic esophagitis[[22](#_ENREF_22)], may also be useful in distinguishing between NERD and FH. However, esophageal impedance and wireless pH monitoring cannot be performed simultaneously[[24](#_ENREF_24)], reducing the ability to diagnose non-acid reflux[[30](#_ENREF_30)]. In addition, microscopic esophagitis has shown limitations in identifying NERD, as nearly 20% of patients with NERD showed no evidence of microscopic esophagitis[[22](#_ENREF_22)]. As none of the above diagnostic modalities is perfect, how to choose these methods is needed to be optimized for patients with suspicion of NERD or FH.

One limitation of this study is the lack of esophageal biopsies. Microscopic esophagitis in the distal esophagus due to reflux may change tissue components, such as collagen and other fluorescent substances[[31](#_ENREF_31)], attenuating AFI. However, we had no histopathological evidence to support this hypothesis, suggesting the need for further studies combining endoscopic and histopathological methods. Another limitation was that repeated AFI was not performed after PPI treatment in patients positive on AFI. It is unclear whether standard PPI treatment reverses abnormal findings on AFI. Previous data showed treatment with omeprazole for 6 months completely restored dilated intercellular spaces[[32](#_ENREF_32)], which are considered characteristic of microscopic esophagitis in NERD[[22](#_ENREF_22)]. It implied that positive AFI findings are likely to diminish after PPI therapy. Further studies are needed to clarify the duration of PPI treatment required to reverse positive AFI findings.

In summary, this prospective observational study showed that endoscopic features on AFI can distinguish NERD from FH. Its real-time characteristics and simple endoscopic criteria may enhance the use of AFI as a complementary tool in the differentiation of NERD and FH.

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**COMMENTS**

***Background***

It is difficult to differentiate between functional heartburn (FH) and non-erosive reflux disease (NERD) endoscopicaly. Autofluorescence imaging (AFI) was recently shown to reveal indistinct mucosal lesions invisible on conventional endoscopy. Moreover, endoscopy with AFI was shown to predict acid reflux in patients with gastroesophageal reflux disease (GERD). NERD is characterized etiologically by the presence of pathologic reflux, while FH is not. This study aimed to assess whether AFI endoscopy could distinguish NERD from FH.

***Research frontiers***

Reflux symptoms are common in the general population. GERD (including NERD) and FH may underlie these symptoms. In order to differentiate NERD from FH, esophagogastroduodenoscopy, esophageal pH monitoring and proton-pump inhibitor (PPI) test are often needed. Presently, various new techniques have been introduced, including esophageal histopathological analysis and esophageal impedance monitoring, in order to improve the clinical management of patients suspicious for NERD and FH.

***Innovations and breakthrough***

To date, few efforts have been put on the application of AFI endoscopy in patients with GERD. In this pilot study, the authors found that endoscopic features on AFI can distinguish NERD from FH.

***Applications***

This study suggested that AFI may serve as a complementary tool in the differentiation of NERD from FH. It provided a new method to improve the clinical management of patients with reflux symptoms.

***Terminology***

AFI is one kind of digital imaging techniques that detects autofluorescence that is emitted in response to light by endogenous fluorophores and cannot be observed by conventional endoscopy. NERD is a distinct pattern of GERD. It is caused by the reflux of gastric contents into the esophagus, but no mucosal damage is found at conventional endoscopy. FH is defined as retrosternal burning in the absence of GERD or other factors that can be detected in an objective manner.

***Peer-review***

The authors do an excellent job of assessing afi endoscopy for its utility in the evaluation of nerd *vs* fh. The flow diagram explaining patient recruitment and exclusions is extremely helpful and the color images are exceptionally well done, and very instructive.

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**Table 1 Demographics and clinical features**

|  |  |
| --- | --- |
| **Characteristic** | **patients *(n =* 84)** |
| Mean age (yr) | 49.0 ± 12.6 |
| Gender (Male:Female) | 39:45 |
| BMI (kg/m2) | 24.1 ± 3.7 |

Data are expressed as mean ± standard deviation. BMI: body mass index.

**Table 2 Results of autofluorescence imaging endoscopy in the differential diagnosis between non-erosive reflux disease and functional heartburn**

|  |  |  |  |
| --- | --- | --- | --- |
| **AFI** | **NERD** | **FH** | **Total** |
| Positive | 67 | 1 | 68 |
| Negative | 7 | 9 | 16 |
| Total | 74 | 10 | 84 |

McNemar test, *P* = 0.07. AFI: autofluorescence imaging; NERD: non-erosive reflux disease; FH: functional heartburn.

Excluded (*n* = 13) for a previous history of upper gastrointestinal surgery, chronic liver or renal disease, refusal to pH-metry

Inclusion (*n* = 84)

Excluded (*n* = 3) for intolerance to pH-metry

Excluded (*n* = 27) for erosive esophagitis, Barrett’s esophagus, peptic ulcer, upper gastrointestinal neoplasm

pH/impedance monitoring

Endoscopy (AFI + WLI)

Assessed for eligibility ( *n*= 127)

**Figure 1 Flow diagram illustrating patients enrolled in present study.** AFI: autofluorescence imaging; NERD: non-erosive reflux disease.

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**Figure 2 Categorization of patients by means of pH/impedance and proton-pump inhibitor test.** PPI: proton-pump inhibitor; FH: functional heartburn; NERD: non-erosive reflux disease.

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**Figure 3 Images in a patient with non-erosive reflux disease**. A: A normal appearance of esophageal mucosa on WLI; B: Longitudinal purple lines on AFI. AFI: autofluorescence imaging; WLI: white light imaging.

D:\WJG\编稿\WJG加工厂\2016-2-15\23825\Figure 4.tif

**Figure 4 Images in a patient with functional heartburn**. A: A normal appearance of esophageal mucosa on WLI; B: A normal esophagus on AFI. AFI: autofluorescence imaging; WLI: white light imaging.