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**Immunotherapy in human colorectal cancer: challenges and prospective**

Xuan S *et al.* Immunotherapy in Human Colorectal Cancer

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**Abstract**

Human colorectal cancer (CRC) is the third most commonly diagnosed malignancies and the prognosis for patients with recurrent or metastatic disease is extremely poor. Although new chemotherapeutic regimen improves survival rates, therapy with better efficacy and less adverse effects is drastically needed. Immunotherapy has been investigated in human CRC for decades with limited success. However, recent developments of immunotherapy, particularly immunocheckpoint inhibitor therapy, have achieved promising clinical benefits in many types of cancer and revived the hope for utilizing such therapy in human CRC. In this review, we will discuss important immunological landscape within the CRC microenvironment and introduce immunoscore system to better describe immunophenotyping in CRC. We will also discuss different immunotherapeutic approaches currently utilized in different phases of clinical trials. Some of those completed or ongoing trials are summarized. Finally, we provide a brief prospective on the future human CRC immunotherapy.

**Key words:** Immunotherapy; Humancolorectal cancer; Adoptive cell therapy;Immunocheckpoint inhibitor therapy; Immunosuppression

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**Core tip:** Immunotherapy has recently achieved great clinical objective response in multiple cancer types. However, immunotherapy in human colorectal cancer (CRC) is still in its infancy. Identifying CRC patients who are responding to different forms of immunotherapy is drastically needed. In this review, we will discuss important immunological landscape within the CRC microenvironment and introduce immunoscore system to better describe immunophenotyping in CRC. Knowledge gained from these studies may provide rational design for immunotherapy in human CRC patients.

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**INTRODUCTION**

Human colorectal cancer (CRC) is the third most commonly diagnosed malignancy and is the leading cause of death worldwide[[1](#_ENREF_1)]. The projected global burden of CRC is expected to increase by 60% to more than 2.2 million new cases and 1.1 million deaths by 2030[[2](#_ENREF_2)]. The combined use of irinotecan, oxaliplatin and oral form of 5-Fluorouracil (5-FU) has shown significant therapeutic efficacy in human CRC. In addition, the neoadjuvant treatment of radiotherapy with/without chemotherapy and radical surgery with complete mesorectal excision has become the standard treatment for locally advanced rectal cancer. However, the toxicity of radiotherapy and chemotherapy such as actinic colitis is substantial and is associated with considerable morbidity and mortality. Adverse effects including chronic diarrhea and potential intestinal obstructions seriously impair the quality of life of the patients with CRC[[3](#_ENREF_3)].

 Tumor immunotherapy has gained momentum in recent years and shown significant clinical benefits for many types of cancer. For example, Ipilimumab, a specific antibody (Ab) for cytotoxic T lymphocyte associated antigen 4 (CTLA-4) has been used as the first or second line of immunotherapy for advanced melanoma patients[[4](#_ENREF_4)]. In addition, anti-Program Death-1 (PD-1) Ab (nivolumab and pembrolizumab) was approved by the FDA in 2015 to treat patients with advanced non-small cell lung carcinoma (NSCLC) including squamous and non-squamous NSCLC[[5](#_ENREF_5)]. Combined anti-CTLA-1 and anti-PD-1 mAbs to treat metastatic melanoma have shown remarkable clinical benefits[[6](#_ENREF_6),[7](#_ENREF_7)]. The overall response rate reached over 60%. Therefore, tumor immunotherapy was proclaimed recently as “the Advance of the Year” by the American Society of Clinical Oncology (http://www.asco.org/press-center/asco-names-advance-year-cancer-immunotherapy). Despite great successes achieved in other cancer types, immunotherapy in human CRC is still in its infancy. This may be due to many factors such as lack of good ways to study colorectal cancer in humans and colorectal animal models are inadequate. In this review, we will examine an immunological landscape within the CRC microenvironment and summarize current major immunotherapies in human CRC. In addition, we will discuss potential challenges for CRC immunotherapies and offer our own prospective on this issue.

**IMMUNOLOGICAL LANDSCAPE IN HUMAN CRC**

The prognostic value of tumor infiltrating immune cells within the tumor microenvironment has been extensively studied and appreciated[[8](#_ENREF_8),[9](#_ENREF_9)]. A molecular classification of human CRC has characterized the group of patients with microsatellite-instable (MSI) and microsatellite-stable (MSS) tumors. In CRC, MSI is due to a DNA mismatch repair deficiency leading to accumulation of insertions and deletions in DNA repeat sequence. Consequently, this defect results in many mutations that may generate potential immunogenic neoantigens which can be recognized by the immune system[[10](#_ENREF_10)]. Studies analyzing patients with MSS tumors have shown associations of T cell subpopulations with prognosis. In addition, MSI colorectal cancer which comprises approximately 15% of sporadic colorectal cancer shows high levels of tumor-infiltrating T cells[[11](#_ENREF_11)]. This is correlated with high mutational load in MSI tumors, typically 10-50 times more than those of MSS tumors. The high gene mutational load may lead to more tumor-specific neoantigens which may provoke potent anti-tumor T cell responses despite their inability to eradicate cancer naturally. Indeed, recent studies have shown that cancers with high gene mutational load have better therapeutic outcomes in response to immunocheckpoint inhibitor therapy[[12-14](#_ENREF_12)]. MSI tumors display more infiltrations of CD8+ cytotoxic T lymphocytes (CTLs) as well as activated Th1 cells with more IFN-γ production and the Th1 transcription factor T-bet. In contrast, MSI tumors have less Th17 or Th2 cells. Therefore, in general, patients with MSI tumors have better survival than patients with MSS CRC. On the other hand, MSI CRC has high expression levels of immune checkpoint molecules including PD-1, PD-L1, CTLA-4, LAG-3, and IDO leading to an immunosuppressive microenvironment. This is also a good candidate for immunocheckpoint inhibitor therapy[[15](#_ENREF_15)]. In addition to DNA mismatch repair status, recent studies show that immunoscore is a better predictor for patient survival than microsatellite instability in human CRC[[16](#_ENREF_16),[17](#_ENREF_17)]. Immunoscore is a scoring system based on the quantitated numbers of cytotoxic and memory T cells infiltrating in the core of the tumor and in the invasive margins of the tumor[[8](#_ENREF_8),[18](#_ENREF_18)]. Previous studies have shown that immunoscore is the strongest survival prognostic factor in CRC[[19](#_ENREF_19)]. More importantly, immunoscore may be used as a criteria parameter to choose patients with CRC for immunotherapy since a pre-existing anti-tumor T cells in the tumor is critical for tumor immunotherapy such as anti-PD-1 immunocheckpoint inhibitor therapy. Indeed, it appears that patients with MSI CRC could be more responsiveness to such therapy than MSS patients[[15](#_ENREF_15)]. However, a recent study using integrative analyses in CRC found that patients with MSI CRC and a subgroup of MSS have high intratumoral immune gene expression which correlates with prolonged survival, suggesting that immunoscore may be a better indicator to predict patient survival[[16](#_ENREF_16)].

**GENETIC MUTATIONS IN HUMAN CRC**

Genetic mutational loads have been linked to immunocheckpoint inhibitors therapeutic efficacy[[14](#_ENREF_14),[20](#_ENREF_20)]. In human non-small cell lung cancer (NSCLC) patients, anti-PD-1 therapeutic efficacy is associated with a higher number of mutational alterations in the tumors[[12](#_ENREF_12)]. As described above, the MSI CRC microenvironment displays highly infiltrated activated CD8+ cytotoxic T cells. In human CRC, it also shows that mismatch repair-deficient tumors are more responsive to PD-1 blockade treatment than mismatch repair-proficient tumors. This is correlated with mutational loads in the tumors as mismatch repair-deficient tumors have a mean of 1782 somatic mutations while 73 mutations in mismatch repair-proficient tumors[[21](#_ENREF_21)]. Table 1 summarizes different gene mutations through different pathways in human CRC.

**IMMUNOTHERAPY IN HUMAN CRC**

Currently, there are many immunotherapies under clinical investigation in human CRC. Immunotherapy in CRC contains different approaches, including monoclonal antibody (mAb) therapy, cancer vaccines, chemoimmunotherapy, immune checkpoint inhibitors therapy, adoptive cell therapy, immune modulators, oncolytic virus therapy, adjuvant immunotherapy and cytokines treatment. Most immunotherapies are still in early-stage clinical development (phase I and II) for CRC treatment and some of these trials showed promising results. Until now, more than 15 immunotherapy clinical trials for human CRC have been completed and more than 20 clinical trials are recruiting or about to recruit patients (<https://www.clinicaltrials.gov/ct2/results?term=colorectal+cancer+and+immunotherapy&no_unk=Y&pg=3>). Some of those completed trials are summarized in Table 2 and ongoing trials are listed in Table 3. We will also briefly discuss five major immunotherapeutic approaches in CRC.

 ***mAb THERAPY***

Cetuximab and Panitumumab which target the epidermal growth factor receptor (EGFR) are humanized Ab and have been approved to treat metastatic CRC either alone or in combination with chemotherapeutic drugs[[22](#_ENREF_22)]. However, approximately 4 out of 10 CRC patients have KRAS or NRAS mutations which make these Ab therapy ineffective. Therefore, testing for these gene mutations before treatment is necessary[[23](#_ENREF_23)]. The mechanism of action for Cetuximab is mainly via blockade of EGFR signaling which is vital for tumor cell growth. In addition, Centuximab also engages immune mechanisms such as antibody-dependent cellular cytotoxicity (ADCC) and/or complement-dependent cytotoxicity (CDC) for tumor killing[[24](#_ENREF_24),[25](#_ENREF_25)]. Vascular endothelial growth factor (VEGF) Ab (Bevacizumab) was also approved initially for the first-line treatment of patients with metastatic CRC in combination with 5-fluorouracil (5-FU)-based chemotherapy[[26](#_ENREF_26)]. However, the overall response rate is limited and adverse effects are substantial including increased risk for cardiac ischemic events. There are more humanized Abs currently in different phases of clinical trials such as adecatumumab against EpCAM, labetuzumab against CEA, and pemtumomab against Mucins.

**IMMUNOCHECKPOINT INHIBITORS THERAPY**

CTLA-4 is an immune checkpoint molecule that downregulates T cell activation by binding to CD80/CD86 molecules on antigen-presenting cells (APC). Programmed death receptor ligand 1/2 (PD-L1/L2) also negatively regulates effector T cell function by binding to PD-1 receptor on T cells. Generally induced by their respective ligands that are expressed on either tumor cells (*e.g.,* PDL1/ L2→PD-1) or APCs (*e.g.,* CD80/86→CTLA‐4; PD-L1/L2→PD-1), activated CTLA-4 and PD-1 immune checkpoint pathways are potent inhibitors of tumor-reactive T cell activation, clonal expansion and subsequent tumor rejection[[27](#_ENREF_27),[28](#_ENREF_28)]. Anti-CTLA-4 and anti-PD-1 mAbs effectively block these pathways resulting in the re-activation and clonal expansion of tumor-reactive lymphocytes. Until recently, clinical trials with immunocheckpoint inhibitors showed remarkable success in patients with different cancers, including metastatic melanoma and NSCLC patients[[5](#_ENREF_5),[29](#_ENREF_29)]. Anti-CTLA mAb Yervoy (ipilimumab) was originally approved to treat non-resectable, late stage melanoma in 2011. Anti-PD-1 Ab (nivolumab and pembrolizumab) was approved by the FDA in 2015 to treat patients with advanced NSCLC including squamous and non-squamous NSCLC. Intriguingly, a new Phase 1/2 study (CheckMate 069) evaluating concurrent ipilimumab/nivolumab versus ipilimuab alone in chemotherapy naïve advanced melanoma patients reported a remarkable 61% objective response rate (34). Thus, combined ipilimumab and nivolumab was approved by the FDA for the frontline treatment of advanced melanoma in 2015.

 In human CRC, a phase II clinical trial was conducted to use pembrolizumab for the treatment of mismatch repair-deficient CRC and mismatch repair-proficient CRC[[21](#_ENREF_21)]. Pembrolizumab was administered intravenously at a dose of 10 mg/kg every 14 d. A total of 32 patients with CRC were enrolled in this trial. Among them, 10 patients had mismatch repair-deficient tumors and 18 had mismatch repair-proficient tumors. In the patients with mismatch repair-deficient CRC, the immune-related objective response rate was 40% and the immune-related progression-free survival rate at 30 wk was 78%. In a sharp contrast, patients with mismatch repair-proficient tumors had 0% immune-related objective response rate and the immune-related progression free survival rate was 11%. More importantly, in the patients with mismatch repair-deficient CRC, the median progression-free survival and medial media overall survival were not reached while among the patients with mismatch repair-proficient tumors, the median progression-free survival was only 2.2 mo and the median overall survival was 5.0 mo. Although this trial has a relative small cohort of CRC patients, the findings are significant and further support the idea that mutation-associated neoantigen recognition is a critical component of the endogenous antitumor immune response. Thus patients with mismatch repair-deficient tumors may benefit greatly with anti-PD-1 immunocheckpoint inhibitor therapy. In this trial, the investigators also analyzed the number of somatic mutations in tumors using whole-exome sequencing. They suggested that patients with mismatch repair-deficient tumors that have more than 20 times higher of mutation-associated neoantigens than in tumors without this deficiency should be the basis for the anti-PD-1 therapy. However, more studies need to be done to further support this conclusion.

 Tremelimumab, another CTLA-4 inhibitor, is presently under clinical investigation in patients with advanced melanoma, hepatocellular carcinoma, non-small cell lung cancer and metastatic colorectal cancer[[30-33](#_ENREF_30)]. However, the results of one study did not show clinical benefit from the single-agent administration to the patients with treatment-refractory colorectal cancer[[33](#_ENREF_33)]. Previous studies also indicate that administrate anti-CTLA-4 Ab combined with other agents significantly improve the treatment effect in colon cancer[[34-37](#_ENREF_34)]. However, CTLA-4 Ab treatment has reported previously that 43% of patients suffered from grades 3 to 4 autoimmune responses, such as enterocolitis, hypophysitis, dermatitis and hepatitis[[38](#_ENREF_38)]. [A phase II clinical trial of Nivolumab and Nivolumab plus Ipilimumab in recurrent and metastatic microsatellite high colon cancer](http://www.clinicaltrials.gov/ct2/show/study/NCT02060188) is underway (ClinicalTrials.gov Identifier: NCT02060188).

**CANCER VACCINES**

Cancer vaccines are designed to stimulate antigen-specific T-cell or B-cell response against cancer by providing antigens to professional antigen-presenting cells (APC) such as dendritic cells (DCs). In addition, vaccines also include components intended to activate DCs pulsed with antigens and program them to migrate to a local lymph node.

Dendritic cell (DC) vaccine: DC-based cancer vaccine has been previously approved by the FDA for the treatment of metastatic castrate-resistant prostate cancer[[39](#_ENREF_39)]. Since most of colorectal cancers express carcinoembryonic antigen (CEA) which is a tumor-associated antigen (TAA), DCs can be pulsed with CEA mRNA[[40](#_ENREF_40)] or CEA peptides[[41](#_ENREF_41)]. In these early clinical trials, CEA-specific T cell immune responses were elicited in most of DC vaccinated CRC patients. The vaccines were well-tolerated and safe administration. Despite induced T cell responses, these clinical trials did not show significant objective tumor regression[[42](#_ENREF_42)]. It may be rationale to combine DC-based vaccine with immunocheckpoint inhibitor therapy to boost both endogenous and TAA-specific antitumor T cell response. In addition, some limitations of DC vaccines need to overcome, such as DC vaccine quality control, migration after vaccine injection, the specificity of tumor targets, and the expenses of clinical utilization[[43](#_ENREF_43)].

 OncoVAX: OncoVAX is designed to utilize patients’ own cancer cells with an immunostimulating adjuvant to elicit antitumor immune responses against the recurrence of colon cancer after surgery. This patient-specific vaccine is composed of metabolically-active, sterile, irradiated, and non-tumorigenic autologous cancer cells, with or without fresh frozen Bacillus Calmette-Guerin (BCG) bacteria as an adjuvant. In this phase III study, 254 patients were enrolled and randomized into two groups: active specific immunotherapy (ASI) with autologous tumor cell-BCG vaccine with tumor resection and resection alone. ASI was given three weekly vaccinations starting 4 wk after surgery. Patients were boosted at 6 months with irradiated autologous tumor cells. It appears that OncoVAX has the major impact on stage II disease with a significantly longer recurrence-free period and 61% risk reduction for recurrences. Recurrence-free survival was also significantly longer with ASI. However, the overall survival was not significantly improved[[44](#_ENREF_44)]. Longer follow-up studies reveal that a beneficial effect of OncoVAX is statistically significant for all endpoints including recurrence-free interval, overall survival, and recurrence-free survival but only in Stage II colon cancer but not in Stage III patients[[45](#_ENREF_45)]. A recent meta-analysis also suggests that combined ASI therapy with surgery showed a significantly survival benefit [[46](#_ENREF_46)]. However, it is unclear whether this benefit is associated with CRC patients with different stages.

**ADOPTIVE T CELL THERAPY**

Adoptive T cell therapy has the potential to enhance antitumor immunity and augment vaccine efficacy. Indeed adoptive cell immunotherapy based on the transfusion of genetically re-directed autologous T cells has demonstrated clinical promise for the treatment of both hematologic malignancies and solid tumors. Particularly, recent developments have been focused on gain-of-function strategy to endow effector T cells with desired antigen receptors, such as chimeric antigen receptor (CAR) T cells[[47](#_ENREF_47)]. Interestingly, recent studies showed that modulating T cell metabolic pathways significantly augments effect T cell antitumor responses[[48](#_ENREF_48)] and synergizes with immunocheckpoint inhibitor therapy in cancer[[49](#_ENREF_49)].

In a phase I clinical trial in CRC patients, genetically engineered T cells were adoptively transferred into three patients with metastatic CRC refractory to standard treatment. Those autologous T cells were genetically engineered to express murine TCR against human CEA[[50](#_ENREF_50)]. Serum CEA levels dramatically decreased after treatment in all three patients (74%-99%) and one patient had an objective regression of tumor metastatic to the lung and liver. However, severe transient inflammatory colitis developed in all three patients. More clinical studies need to be done to demonstrate true benefit of CAR T cells in human CRC.

In addition to adaptive αβ T cell therapy in cancer, innate γδ T cells have also been shown to elicit potent antitumor immunity[[51](#_ENREF_51),[52](#_ENREF_52)]. Innate γδ T cells have two major subsets with one predominately producing IFN-γ and another one secreting large amounts of IL-17. Although IL-17-producing γδ T cells play critical roles in cancer progression and metastasis[[53-56](#_ENREF_53)], IFN-γ-producing γδ T cells have potent antitumor effect. Indeed, adoptive transfer of Vγ4 γδ T cells into tumor-bearing mice has shown a therapeutic effect[[57](#_ENREF_57)]. In addition, we showed recently that ex vivo expanded human Vδ1 γδ T cells exhibited therapeutic effect in human colon cancer xenografted mouse model[[58](#_ENREF_58)]. These expanded γδ T cells predominately express granzyme B, perforin, and IFN-γ. Adoptive transfer of γδ T cell therapy has been done in many types of cancer with varying efficacy[[59](#_ENREF_59)].

**CHEMOIMMUNOTHERAPY**

Chemoimmunotherapy is chemotherapy combined with immunotherapy. Although chemotherapy and immunotherapy appear to be antagonistic as chemotherapeutic drugs not only kill tumor cells leading to dying tumor cells but also impact on immune cells, chemotherapy also depletes immune suppressive cells such as regulatory T cells, potentially enhancing antitumor immune response. In addition, lymphodepletion stimulates homeostatic T cell proliferation thus providing a unique opportunity for tumor immunotherapy. Chemoimmunotherapy has been widely used for hematological malignancy treatment[[60](#_ENREF_60)]. The first chemoimmunotherapy clinical trial conducted in human colon cancer patients was reported in 2008[[61](#_ENREF_61)]. Total 46 CRC patients with advanced disease were enrolled and received chemodrugs gemcitabine, oxaliplatin, levofolinic acid, and 5-FU followed by granulocyte macrophage colony-stimulating factor (GM-CSF) and IL-2 (GOLFIG-1 trial). In this trial, six patients showed a prolonged time to progression and survival. In addition, these patients had decreased Treg cells but increased central memory T cells as well as colon cancer-specific cytotoxic T cells. Interestingly, it appears that tumor-infiltrating Treg cells were associated with a better prognosis in patients received chemoimmunotherapy[[62](#_ENREF_62)]. Recently, a multicenter open label phase III trial was conducted as a frontline treatment of metastatic CRC[[63](#_ENREF_63)]. Patients receiving chemoimmunotherapy (GOLFIG-2 trial) showed prolonged progression-free survival and increased overall response rate. However, this study was discontinued due to poor recruitment in the control arm. Thus it is too early to make any recommendation whether chemoimmunotherapy has any therapeutic efficacy for advanced CRC treatment. Nevertheless, these clinical studies provide “proof-of-principle” suggesting that GOLFIG chemoimmunotherapy may be used as a novel regimen for the first-line treatment of advanced CRC.

**CONCLUSION**

Immunotherapies have been investigated in human CRC for decades[[64](#_ENREF_64)] although there is no approved immunotherapy for human CRC up to date. As described above, different immune-directed approaches have been developed such as DC-based vaccines and genetically engineered CAR T cells. However, these developments are still in the early stages. One promising approach for CRC immunotherapy may lie in immunocheckpoint inhibitor therapy given the encouraging phase II clinical trial data[[21](#_ENREF_21)]. This is particularly important for patients harboring MSI tumors since more mutational loads lead to more neoantigens that can be recognized by effector T cells. Therefore, identifying proper criteria to choose eligible patients thus getting maximum therapeutic benefits is essential. It may be critical to assess immunological profiles of human CRC. In this context, immunoscore system seems critical, which should be included in the eligibility criteria for patients receiving such therapy. In addition, more research needs to be done to identify more Ag targets that can be used for cancer vaccine development and/or CAR T cells. Increasing studies have identified new immune components which maybe the potential targets for CRC immunotherapy, such as GUCY2C, HHLA2, OR7C1, and MAGE-D4[[53](#_ENREF_53),[65-70](#_ENREF_65)]. Furthermore, combined immunotherapies such as combined anti-CTLA-4 with anti-PD-1 or combined cancer vaccines with immunocheckpoint inhibitor therapy may yield better therapeutic efficacy. Certainly, cautions are needed to avoid severe adverse effects that could be elicited by such combination therapy. Nevertheless, this is an exciting time for immunotherapy in human CRC.

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**Table 1 Gene mutations in human** **colorectal cancer**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Pathways | Cell cycle | WNT | MAPK | TGF | P53 | PI3K-Akt | Apoptosis |
| Genes | MLH1[[71](#_ENREF_71)] | APC[[71](#_ENREF_71)] | KRAS[[72](#_ENREF_72)] | SMAD4[[73](#_ENREF_73)] | P53[[74](#_ENREF_74)] | PTEN[[75](#_ENREF_75)] | DCC[[76](#_ENREF_76)] |
|  | MSH2[[71](#_ENREF_71)] | CTNNB1[[77](#_ENREF_77)] | PLA2G2A[[78](#_ENREF_78)] |  |  | NRAS[[79](#_ENREF_79)] | BAX[[80](#_ENREF_80)] |
|  | MSH6[[81](#_ENREF_81)] | MCC[[82](#_ENREF_82)] | PTPN12[[83](#_ENREF_83)] |  |  | PIK3CA[[84](#_ENREF_84)] |  |
|  | RAD54B[[85](#_ENREF_85)] | AXIN2[[86](#_ENREF_86)] |  |  |  | FGFR3[[87](#_ENREF_87)] |  |
|  | CCND1[[88](#_ENREF_88)] | ARID1A |  |  |  | AKT1[[89](#_ENREF_89)] |  |
|  | BUB1B[[90](#_ENREF_90)] | SOX9 |  |  |  |  |  |
|  | AURKA[[91](#_ENREF_91)] |  |  |  |  |  |  |
|  | EP300[[92](#_ENREF_92)] |  |  |  |  |  |  |

MLH1: MutL homolog 1; MSH2: MutS homolog 2; MSH6: MutS homolog 6; RAD54B: RAD54 homolog B (S. Cerevisiae); CCND1: Cyclin D1; BUB1B: BUB1 mitotic checkpoint serine/threonine kinase B; AURKA: Aurora kinase A; EP300: E1A binding protein P300; PC: Adenomatous polyposis coli; CTNNB1: Catenin (cadherin-associated protein), Beta 1, 88 kDa; MCC: Mutated in colorectal cancers; AXIN2: Axin 2; ARID1A: AT rich interactive domain 1A (SWI-Like); SOX9: SRY (sex determining region Y)-Box 9; KRAS: Kirsten rat sarcoma viral oncogene homolog; PLA2G2A: Phospholipase A2, group IIA (platelets, synovial fluid); PTPN12: Protein tyrosine phosphatase, non-receptor type 12; SMAD4: SMAD family member 4; P53: Tumor protein P53; PTEN: Phosphatase and tensin homolog; NRAS: Neuroblastoma RAS viral (V-Ras) oncogene homolog; PIK3CA: Phosphatidylinositol-4,5-bisphosphate 3-kinase, catalytic subunit alpha; FGFR3: Fibroblast growth factor receptor 3; AKT1: V-Akt murine thymoma viral oncogene homolog 1; DCC: DCC netrin 1 receptor; BAX: BCL2-associated x protein.

**Table 2 Completed clinical trials of immunotherapy in human** **colorectal cancer**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| --- | --- | --- | --- | --- | --- |
| Ref. | Clinical phase | No. patients | Clinical setting | Immunotherapy | Comments |
| Michael Morse *et al*[[93](#_ENREF_93)] | II | 74 | Liver or lung metastases from CRC removed by surgery | PANVAC-V + PANVAC-F + DC / PANVAC-V + PANVAC-F + GM-CSF | Good safety record |
| [Jan B Vermorken](http://www.sciencedirect.com/science/article/pii/S0140673698071864) *et al*[[44](#_ENREF_44)] | II and III | 254 | Colon cancer | Active specific immunotherapy (ASI) with an autologous tumor cell- bacillus Calmette-Guérin (BCG) vaccine with surgical resection/resection alone | ASI gave significant clinical benefit in surgically resected patients in stage II colon cancer |
|  | Dukes' stage B2-C3 | 80 | Colon or rectal cancer | ASI with an autologous tumor cell-BCG vaccine | ASI may be beneficial to patients with colon cancer |
| Michael G. Hanna Jr *et al*[[94](#_ENREF_94)] | Stage II and Stage III |  | Colon cancer | ASI consisting of autologous tumor cells mixed with BCG (OncoVAX™) | Increase 5-year survival rate and 5-year disease-free survival rate, reduce recurrence rate |
| [Marshall JL](http://www.ncbi.nlm.nih.gov/pubmed/?term=Marshall%20JL%5BAuthor%5D&cauthor=true&cauthor_uid=10458251) *et al*[[95](#_ENREF_95)] | Stage IV |  | Colon cancer | ALVAC-CEA | Safe and can elicit CEA-specific CTL responses |
| [Jules E Harris](http://jco.ascopubs.org/search?author1=Jules+E.+Harris&sortspec=date&submit=Submit) *et al*[[96](#_ENREF_96)] | Stage II and stage III | 412 | Colon cancer | Adjuvant active specific immunotherapy with an autologous tumor cell-BCG vaccine | More beneficial than resection alone |

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| **Table 3 Ongoing immunotherapy clinical trials in human** **colorectal cancer**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Type | Cancer | Phase | Name | Identifier | Start time |
| Monoclonal Antibodies | Untreated Metastatic Colorectal Cancer | II | RO5520985(a bispecific anti-ANG-2/anti-VEGF-A  | NCT02141295 | 5/15/2014 |
|  | Colorectal Cancer | I/II | IMMU-132 (an Ab-drug conjugate targeting Τrop-2) | NCT01631552 | 6/26/2012 |
|  | Metastatic Colorectal Cancer | I/II | IMMU-130 (an Ab-drug conjugate targeting CEACAM5) | NCT01605318 | 5/22/2012 |
|  | Metastatic Colorectal Carcinoma | I | MGD007 (a dual-affinity re-targeting DART protein designed to target the glycoprotein A33) | NCT02248805 | 9/18/2014 |
|  | Metastatic Colorectal Cancer | I | OMP-131R10 (an anti-RSPO3 Ab) | NCT02482441 | 6/19/2015 |
| Immune Checkpoint Inhibitors  | MSI Positive /Negative Colorectal Cancer | II | MK-3475 (an Ab that blocks negative signals on T cells) | NCT01876511 | 6/10/2013 |
|  | MSI Positive Colorectal Cancer | I | Ipilimumab | NCT02060188 | 12/18/2013 |
|  | MSI Negative Colorectal Cancer | II | Nivolumab | NCT02060188 | 12/18/2013 |
|  | Colorectal Cancer | I | MED14736 | NCT01975831 | 10/29/2013 |
|  | Colon Cancer | I/II | Anti-CD27 (Varlilumab) and Nivolumab | NCT02335918 | 12/18/2014 |
| Cancer Vaccines | Metastatic Colorectal Cancer | II | DC vaccine | NCT02615574 | 11/24/2015 |
|  | Colorectal Cancer | I | AVX701 (targets CEA)  | NCT01890213 | 6/26/2013 |
|  | Metastatic Colorectal Carcinoma | I | SGI-110 in combination with an allogeneic colon cancer cell vaccine (GVAX) and cyclophosphamide(CY) | NCT01966289 | 10/10/2013 |
|  | Colorectal Cancer | I | HER-2 vaccine | NCT01376505 | 6/9/2011 |
| Adoptive Cell Therapy | Metastatic Colorectal Cancer | II | TIL(tumor-infiltrating lymphocytes) | NCT01174121 | 7/31/2010 |
|  | Colorectal Cancer | I/II | Anti-MAGE-A3-DP4 TCR | NCT02111850 | 4/9/2014 |
|  | Colorectal Carcinoma | I/II | CAR T cells | NCT02617134 | 11/26/2015 |
|  | Colorectal Cancer | I | NK cells + CliniMACs CD3 and CD56 systems | NCT00720785 | 7/22/2008 |
| Oncolytic Virus Therapies | KRAS Mutant Metastatic Colorectal Cancer | I | REOLYSIN in combination with FOLFIRI and Bevacizumab | NCT01274624 | 1/7/2011 |
| Adjuvant Immunotherapies | Recurrent Colorectal Cancer | I/II | Chemokine modulatory regimen | NCT01545141 | 2/29/2012 |
| Cytokines | Colorectal Carcinoma | I | AM0010 (a recombinant human interleukin 10) | NCT02009449 | 12/2/2013 |
|  | Colorectal Carcinoma | I | Rh IL-15 | NCT01572493 | 4/5/2012 |

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