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T: Application Type; Act: Activity Code; Project: Admin IC; Serial No.; Year: Support Year/Supplement/Amendment

T	Act	Project	Year	Sub #	Project Title	Contact P/I Project Leader	Organization	FY	Admin IC	Funding IC	FY Total Cost by IC	Similar Projects
<input type="checkbox"/>	5	R01	DK083890	07	GUT INFLAMMATION FROM EMULSIFIER PERTURBATIONS OF MICROBIOTA-HOST INTERACTIONS	GEWIRTZ, ANDREW I	GEORGIA STATE UNIVERSITY	2016	NIDDK	NIDDK	\$340,875	
<input type="checkbox"/>	2	R01	DK099071	04	DECONSTRUCTING INFLAMMATION AND ALTERED MICROBIOTA IN METABOLIC SYNDROME	GEWIRTZ, ANDREW I	GEORGIA STATE UNIVERSITY	2016	NIDDK	NIDDK	\$445,142	



# CROHN'S & COLITIS FOUNDATION OF AMERICA

NATIONAL HEADQUARTERS

December 8, 2015

Beoit Chassaing  
Georgia State University Research Foundation, Inc.

**Re: CAREER DEVELOPMENT AWARD: "Adaptive-immunity mediated modulation of the intestinal microbiota to prevent intestinal inflammation" - CCFA Ref. 370295**

Dear Dr. Chassaing :

It gives us great pleasure to advise you that the Board of Trustees of the Crohn's & Colitis Foundation of America (CCFA) has approved funding for the above mentioned scientific proposal in the amount of \$270,000 as follows:

Year 1 total funding: \$90,000

Year 2 total funding: \$90,000

Year 3 total funding: \$90,000

Please note the following

- This support has been made possible by voluntary contributions received by the Foundation.
- Please note that Funding for year two and year three, respectively, will be authorized upon the favorable evaluation of a progress report, (see reporting requirements listed below).
- Budget changes recommended by the review committee, if any, are included with this letter.
- The award includes all allowable overhead costs.
- The first payment of 80% of Year 1 will be released upon our receipt of the completed acceptance form as well as a signed copy of this award letter. Payments will be made annually after the first payment.
- This letter should be signed by both the principal investigator and an institutional authority, signifying acceptance of this Agreement and compliance with all published guidelines and policies governing CCFA research awards, and returned to CCFA within 30 days of the date of this letter. Failure to return this form in a timely manner may result in the withdrawal of this award.

733 Third Avenue, Suite 510, New York, NY 10017

Tel: 800.932.2423 212.685.3440 E-mail: [info@ccfa.org](mailto:info@ccfa.org) Internet: [www.ccfa.org](http://www.ccfa.org)

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#### Reporting Requirements:

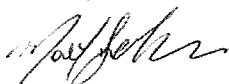
1. IRB approval for human or animal studies must be received before the start date of the approved grant.
2. As Grantee, you are required to submit an annual progress report (the first report is due nine months after the award starting date), which will be reviewed by the members of the National Scientific Advisory Committee. As mentioned above, second and third year funding will be released only upon the favorable review of the annual progress report. Of special note for your first year progress report: We will be sending a summary of the comments and discussion prepared prior to the meeting without significant modification or editing by the CCFA staff.
3. You are required to include a response to this critique, indicating any modifications to your protocol, which will be factored into the overall review of your first year progress report. The foundation retains the exclusive right to terminate the award if the progress report is deemed to be unsatisfactory. If you fail to comply with all of the terms of this Grant Agreement, CCFA reserves the right after good faith discussions with the Grantee, to demand immediate repayment of any and all unexpended grant funds pursuant to this Agreement.
4. The recipient institution shall also have the right to terminate this Grant Agreement with prior written notice. CCFA reserves the right within its sole discretion, after good faith discussions with the Grantee, to determine the amount of final grant payment to the recipient institution based on the termination date and shall determine whether any refund owed to CCFA as of the date of termination.
5. A financial accounting of funds expended for each calendar year, must be received in this office on or before March 1 of the following year. In addition, CCFA reserves the right to audit any related financial records or accounting of such grant funds at any time, upon reasonable notice to your institution, as Grantee.
6. A final report and final financial accounting are required within two months after the termination of the grant. The last payment will be withheld until the final report is received, reviewed and approved.
7. CCFA requires early notification of any publications resulting from this study. CCFA's support shall be acknowledged appropriately in all publications resulting from this study. An electronic copy of the manuscript should be forwarded to the foundation when the paper is published.

8. You, through the investigator, must notify CCFA in writing immediately in the event that support for this project is received from another funding agency. CCFA policy strictly forbids scientific or budgetary overlap and therefore reserves the right to review the extent of its support and terminate this grant if funding is obtained from another source for this project.
9. Neither party shall use the name of the other party or any adaptation thereof of the name of any staff member, employee, agent or student of the other party in any advertising, promotional, or sales literature or publicity without the prior written approval of the party or individual whose name is to be used. CCFA may list recipients of funding on its website, in press releases and in print materials without seeking prior approval.
10. As Grantee, you further agree to comply with the following provisions:
  - jj. To at all times conduct all research for this grant and funded by this grant in full compliance with all applicable federal, state and local laws and regulations.
  - kk. To obtain and maintain all relevant and appropriate liability insurance against liability for injury to persons or damage to property arising out of all activities and research relating to this grant in an amount that is reasonable and customary for similar organizations conducting research or maintains a comparable program of self-insurance.
  - ll. By accepting this grant, you agree, as Grantee, through your institution, to accept any and all liability for the negligent acts or omissions of your officers, directors, and employees arising out of in any way related to this grant, including but not limited to breach by you, as Grantee, of any obligation pursuant to this grant, to the extent allowed by law.
  - mm. Grantee represents and warrants that the execution of this agreement has been authorized by its authorized official and is enforceable in any court of competent jurisdiction.
  - nn. Grantee represents and warrants its compliance, throughout the term of this grant, with federal and state law, anti-terrorism laws and anti-money laundering laws including, but not limited to the USA PATRIOT Act and any local laws that apply in the jurisdiction in which Grantee is operating or doing business. This provision must be included by Grantee in all contracts and/or letter agreements and letters of intent issued and/or entered into that relating to this Grant Agreement.

If you have any questions, please do not hesitate to contact Moustafa Ibrahim, Manager of Grants Administration by phone at (646) 943-7505 or by email at [mibrahim@ccfa.org](mailto:mibrahim@ccfa.org) or Nicole Milano, Grants Administrative by phone at (646) 943-7476 or by email at [nmilano@ccfa.org](mailto:nmilano@ccfa.org).

On behalf of the Board of Trustees and our National Scientific Advisory Committee, congratulations on this outstanding achievement. We wish you much success in your work.


Sincerely,

A handwritten signature in black ink, appearing to be "M. Ibrahim", written in a cursive style.

Moustafa Ibrahim  
Manager of Grants and Contracts

**Re: RESEARCH FELLOWSHIP AWARD: "Ginger Derived Nanoparticles  
Reduce Intestinal Inflammation" - CCFA Ref. # 370295**

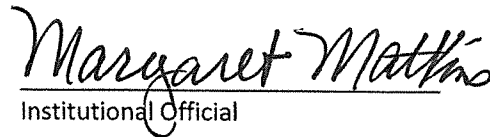
Accepted and Agreed to by,

  
Principal Investigator

2-1-2016  
Date

Benoit Chassaing  
Print Name

Accepted and Agreed to by,

  
Institutional Official

2-1-2016  
Date

Margaret Matkins  
Print Name



# CROHN'S & COLITIS FOUNDATION OF AMERICA

NATIONAL HEADQUARTERS

## CCFA PATENT AND INTELLECTUAL PROPERTY POLICY

All inventions or intellectual property ("Property") that results from research supported, in whole or in part, by grant awards from the Crohn's & Colitis Foundation of America ("CCFA") must be reported in writing at the earliest possible time to CCFA. The grantee institution agrees to notify CCFA within a reasonable time, preferably within 30 days, of receiving an invention disclosure or other notice indicating existence of a Property and to notify CCFA immediately of the decision to apply for letters of patent or other legal protection for the Property. CCFA agrees to keep all information confidential and not to release any information relating to such inventions, intellectual property or applications for protection to any third party, except as specifically set forth below or upon written agreement with the grantee institution, which consent can not be unreasonably withheld. All patenting expenses or intellectual property application expenses shall be borne by the grantee institution.

Title to all Property shall reside with the grantee institution to the extent that such title is claimed by the institution under its institutional patent policy or procedure. If a grantee institution has no established institutional patent policy or procedure for administering inventions or intellectual property, or if the institutional patent policy or procedure does not claim rights for the institution or individual inventor, then CCFA shall have the right to determine the disposition of the Property rights in accordance with the provisions set forth below.

Distribution of income derived from any Property, which might include equity disposition, shall be shared by the grantee institution and CCFA on mutually agreeable terms, such terms to be determined as soon as practicable, preferably prior to any licensing or commercial exploitation of the Property, and in any event no later than 6 months after first receipt of income. Such distribution shall be guided by the principle that CCFA's proportion of the income shall be reasonably related to CCFA's proportion of support for the research leading to the Property. The grantee institution agrees to notify CCFA within a reasonable time of beginning negotiations with potential licensees and to notify CCFA upon execution of any license or other agreement to commercialize the Property. The grantee institution will provide a copy of the license or other agreement, or an excerpt of the financial terms relevant to CCFA's right to income from the Property together with the name of the licensee, the subject matter of the license and any other terms relevant to CCFA, including without limitation whether such license is exclusive or nonexclusive.

If any Property is made with or results from the joint support of CCFA and another organization, that organization, the grantee institution, and CCFA will confer, in good faith, to arrive at a mutually satisfactory disposition of the Property rights guided by the principle that distributions of income be made in proportion to each party's contribution of support for the research leading to the Property.

No patent, patent application or other type of protection for a Property shall be abandoned without first notifying CCFA and giving CCFA a reasonable opportunity to take title to the Property.

If grantee institution does not effectuate a license to Property within four (4) years from the date that such Property is disclosed in writing through an invention disclosure or similar form to the grantee

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institution by the principal investigator, then CCFA shall have the right to introduce to the grantee institution one or more bona fide potential licensees and the grantee institution shall enter into good faith negotiations for license of the Property with such potential licensee(s). Upon consummation of any such license, CCFA's introduction of the licensee to the grantee institution shall be counted to the benefit of CCFA in calculating its share of any income from the Property.

The grantee institution agrees that when it licenses a Property, it will use reasonable efforts to obligate the licensee to exert its best efforts to commercialize or cause to be commercialized the Property as rapidly as practical, consistent with sound and reasonable business practices and judgment and reserve the right to terminate the license upon a failure by licensee to do so. If the grantee institution relicenses any Property, CCFA shall be entitled to a share of any relicensed Property income according to the principles set forth above.

CCFA reserves the right to public acknowledgment for Property resulting from research supported by CCFA. However, CCFA's name and logo may not be used in association with any Property without the prior written approval of CCFA.

CCFA shall have use of the Property without payment of royalties or license fees solely for the use by CCFA for its own intramural or public education purposes, but not for any of its grantee institutions.

Awardees and grantee institutions are responsible for ensuring that there are no inconsistencies in their consulting or business agreements that conflict with this policy.