

# World Journal of *Gastroenterology*

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## Retrospective Study

**Rate of local tumor progression following radiofrequency ablation of pathologically early hepatocellular carcinoma**

Yoshiteru Hao, Kazushi Numata, Tomohiro Ishii, Hiroyuki Fukuda, Shin Maeda, Masayuki Nakano, Katsuaki Tanaka

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**Author contributions:** Hao Y designed and performed the research and wrote the article; Numata K designed the research and supervised the report; Ishii T, Fukuda H, Maeda S and Nakano M provided clinical advice; Tanaka K supervised the report.

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**Abstract****AIM**

To evaluate whether pathologically early hepatocellular carcinoma (HCC) exhibited local tumor progression after radiofrequency ablation (RFA) less often than typical HCC.

**METHODS**

Fifty pathologically early HCCs [tumor diameter (mm): mean, 15.8; range, 10-23; follow-up days after RFA: median, 1213; range, 216-2137] and 187 typical HCCs [tumor diameter (mm): mean, 15.6; range, 6-30; follow-up days after RFA: median, 1116; range, 190-2328] were enrolled in this retrospective study. The presence of stromal invasion (namely, tumor cell invasion into the intratumoral portal tracts) was considered to be the most important pathologic finding for the diagnosis of early HCCs. Typical HCC was defined as the presence of a hyper-vascular lesion accompanied by delayed washout using contrast-enhanced computed tomography or contrast-enhanced magnetic resonance imaging. Follow-up examinations were performed at 3-mo intervals to monitor for signs

of local tumor progression. The local tumor progression rates of pathologically early HCCs and typical HCCs were then determined using the Kaplan-Meier method.

### RESULTS

During the follow-up period for the 50 pathologically early HCCs, 49 (98%) of the nodules did not exhibit local tumor progression. However, 1 nodule (2%) was associated with a local tumor progression found 636 d after RFA. For the 187 typical HCCs, 46 (24.6%) of the nodules exhibited local recurrence after RFA. The follow-up period until the local tumor progression of typical HCC was a median of 605 d, ranging from 181 to 1741 d. Among the cases with typical HCCs, local tumor progression had occurred in 7.0% (7/187), 16.0% (30/187), 21.9% (41/187) and 24.6% (46/187) of the cases at 1, 2, 3 and 4 years, respectively. Pathologically early HCC was statistically associated with a lower rate of local tumor progression, compared with typical HCC, when evaluated using a log-rank test ( $P = 0.002$ ).

### CONCLUSION

The rate of local tumor progression for pathologically early HCCs after RFA was significantly lower than that for typical HCCs.

**Key words:** Early hepatocellular carcinoma; Local tumor progression; Radiofrequency ablation; Ablative margin; Contrast-enhanced ultrasonography

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**Core tip:** This retrospective study evaluated whether pathologically early hepatocellular carcinoma (HCC) exhibited local tumor progression after radiofrequency ablation (RFA) less often than typical HCC. Among the 50 pathologically early HCCs, 49 (98%) of the nodules did not exhibit local tumor progression. However, 1 nodule (2%) was associated with a local tumor progression found 636 d after RFA. Among the 187 typical HCCs, 46 (24.6%) of the nodules exhibited local tumor progression after RFA. Pathologically early HCC was significantly associated with a lower rate of local tumor progression, compared with typical HCC, when evaluated using a log-rank test ( $P = 0.002$ ).

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## INTRODUCTION

Depending on whether the term “early” is used

pathologically or clinically, “early” hepatocellular carcinoma (HCC) can have different definitions. Clinically, the term “early” HCC refers to tumors that are smaller than 3 cm and three or fewer in number at stage A (early stage) according to the Barcelona Clinic Liver Cancer (BCLC) staging, although it sometimes includes solitary HCC  $\leq 5$  cm as defined according to the Milan criteria. In BCLC staging, very early and early stage tumors are relatively small, but both lesions are hyper-vascular during the arterial phase and wash out during the hepatic venous phase or the equilibrium phase of contrast-enhanced computed tomography (CT). On the other hand, pathologically “early” HCC defined as HCC in the early stage of carcinogenesis<sup>[1-3]</sup> appears as hypo-vascular or iso-vascular lesions with irregular boundaries using contrast-enhanced CT<sup>[4]</sup> and contains stromal invasion, namely tumor cell invasion into the intratumoral portal tracts without any significant effect on the original structure of the liver<sup>[1]</sup>. Accordingly, the accurate diagnosis and adequate treatment of pathologically early HCC, which is a precursor of typical HCC, is very important<sup>[5]</sup>.

The development of modern imaging methods, especially contrast-enhanced ultrasonography (US) with perflubutane microbubble agent (Sonazoid; Daiichi Sankyo, Tokyo, Japan) and contrast-enhanced magnetic resonance imaging (MRI) with gadolinium ethoxybenzyl diethylenetriamine pentaacetic acid (Gd-EOB-DTPA; Primovist; Bayer Schering Pharma AG, Berlin, Germany), have played a very important role in the imaging of multistep hepatocarcinogenesis<sup>[4,6]</sup>. Pathologically early HCCs are often recognized as hypo-intense masses during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA<sup>[4]</sup>.

Radiofrequency ablation (RFA) is low-invasive percutaneous local treatment for patients with early-stage HCC, and this procedure can be expected to achieve complete necrosis. RFA is increasingly being used when surgical resection or liver transplantation is not feasible or available. Adequate tumor ablation significantly improves the survival outcomes of patients<sup>[7,8]</sup>. However, the 10-year cumulative rate of local tumor progression after RFA has been reported to be 3.2% or 36.9%<sup>[9,10]</sup>. Previous studies have reported possible risk factors for local tumor progression, including a pathological diagnosis of poorly differentiated tumor, a large tumor diameter, the presence of vessels adjacent to the tumor, the lack of an ablative margin (AM), a high degree of arterial enhancement, and an elevated tumor marker level<sup>[11-14]</sup>.

Early-stage typical HCC lesions are often accompanied by micrometastases and microvascular invasion, despite their small size<sup>[15]</sup>. In contrast, pathologically early HCC lesions are generally not accompanied by these features<sup>[1]</sup>. Therefore, pathologically early HCC lesions are expected to exhibit a longer period until local tumor progression after curative treatment, such as resection or RFA, compared with typical HCC lesions. However, Midorikawa *et al.*<sup>[16]</sup> reported that the

**Table 1 Comparison of clinical characteristics between patients with early hepatocellular carcinoma and patients with typical hepatocellular carcinoma**

|   | eHCC            | tHCC            | P value            |
|---|-----------------|-----------------|--------------------|
| Number of nodules                             | 50              | 187             |                    |
| Follow-up days <sup>1</sup> , median (range)  | 1213 (216-2137) | 1116 (181-2328) | 0.158 <sup>2</sup> |
| Age, mean (range)                             | 69.8 (50-87)    | 71.6 (54-84)    | 0.200 <sup>2</sup> |
| Child-Pugh score, A/B                         | 49/1            | 179/8           | 0.099 <sup>2</sup> |
| Tumor diameter in mm, mean (range)            | 15.8 (10-23)    | 15.6 (6-30)     | 0.154 <sup>2</sup> |
| Sex, male/female                              | 35/15           | 125/58          | 0.834 <sup>3</sup> |
| Etiology, HCV/HBV/alcohol/others <sup>4</sup> | 41/4/6/1        | 139/29/8/11     | 0.198 <sup>5</sup> |

<sup>1</sup>The follow-up days lasted until local tumor recurrence or the last examination using either contrast-enhanced CT or contrast-enhanced MRI; <sup>2</sup>According to the Mann-Whitney *U* test; <sup>3</sup>According to the  $\chi^2$  test; <sup>4</sup>Others: non-alcoholic steatohepatitis, primary biliary cirrhosis, or unknown; <sup>5</sup>According to the  $\chi^2$  test, compared between HCV and not HCV. CT: Computed tomography; eHCC: Early hepatocellular carcinoma; HBV: Hepatitis B virus; HCV: Hepatitis C virus; MRI: Magnetic resonance imaging; tHCC: Typical hepatocellular carcinoma.

survival benefit of resection for pathologically early HCCs is marginal because of a long lead-time bias; therefore, they suggested that surgery is not indicated for pathologically early HCC. In contrast, RFA is less invasive than resection for the curative treatment of small HCCs. To our knowledge, however, the local tumor progression rate of pathologically early HCC after adequate RFA, compared with that of typical HCC, has not been previously studied.

The purpose of the present study was, therefore, to evaluate whether local tumor progression after adequate RFA occurs less frequently for pathologically early HCC than for typical HCC.

## MATERIALS AND METHODS

### Subjects

Institutional review board approval and informed consent from all the patients were obtained for this retrospective study. From December 2009 to July 2014, we performed percutaneous RFA for the treatment of 221 consecutive patients with 480 HCCs at our institution. Overall, 136 patients with 237 HCCs were enrolled in this retrospective study.

The inclusion criteria for this study were as follows: (1) a maximum of three HCC lesions with diameters within 3 cm detected using contrast-enhanced CT or contrast-enhanced MRI with Gd-EOB-DTPA; (2) a platelet count of more than  $5 \times 10^4$ /mL; (3) hepatitis or cirrhosis with Child-Pugh grade A or B; (4) no eligibility for surgical resection or refusal of surgery; (5) evaluation of AM 1 d after RFA using fusion image combining contrast-enhanced US and contrast-enhanced CT or contrast-enhanced MRI with Gd-EOB-DTPA; and (6) treatment with RFA alone.

The exclusion criteria for this study were as follows: (1) patients who were unable to undergo contrast-enhanced CT or contrast-enhanced MRI with Gd-EOB-DTPA because of a contraindication for the use of intravenous contrast agents (allergic reaction or impaired renal function); (2) patients in whom contrast-enhanced US was impossible because of insufficient breath holding or contraindications for

contrast-enhanced US with Sonazoid (*e.g.*, egg allergy, severe pulmonary or cardiac disease); (3) lesions for which detailed evaluations using contrast-enhanced US were difficult because of non-visualization as a result of bowel gas or a location more than 12 cm from the skin surface; and (4) incomplete ablation of HCC, defined as the absence of an AM at any point surrounding the tumor as evaluated using contrast-enhanced US.

Overall, 243 HCCs that were treated with RFA during the registration period were excluded for the following reasons: the AM had not been evaluated using contrast-enhanced US after treatment ( $n = 203$ ), the follow-up period was less than 6 mo because the patient had moved or died and had been lost to follow-up ( $n = 20$ ), the use of an intravenous contrast agent was contraindicated ( $n = 12$ ), or tumor ablation was incomplete because the HCC was located close to the lung, gallbladder, liver surface, or a combination of these areas, requiring the use of additional therapies ( $n = 8$ ). Finally, 139 patients with 237 HCCs underwent technically successful and adequate ablation procedures and were included in this study.

The baseline characteristics of the study population are summarized in Table 1. The follow-up days, age, Child-Pugh score, tumor diameter, sex, and etiology did not differ significantly between patients with pathologically early HCC and patients with typical HCC. None of the patients experienced major complications after ablation.

### Diagnosis of HCC

**Diagnosis of pathologically early HCC:** A diagnosis of early HCC was based on pathologic features. All the biopsies were obtained after contrast-enhanced US. At least two specimens were taken from each of the nodules to ensure an accurate histological diagnosis using a 21-gauge fine needle biopsy (SONOPSY; Hakko, Tokyo, Japan) or an 18-gauge biopsy needle (Biopsy-Cut; Bard, Covington, GA, United States) under US guidance<sup>[17]</sup>. The international consensus group for hepatocellular neoplasia stated that the presence of stromal invasion (namely, tumor cell invasion into the intratumoral portal tracts)<sup>[18]</sup> should be recognized as

the most important pathologic finding for the diagnosis of early HCCs. The diagnosis of stromal invasion is subjective and may require the assistance of Victoria blue staining<sup>[19]</sup> and immunohistochemical stains (cytokeratin 7)<sup>[19,20]</sup> to differentiate stromal invasion from pseudo-invasion. Thirty-nine patients with 54 nodules were diagnosed as having pathologically early HCC between December 2009 and July 2014, and 35 patients with 50 nodules who meet the study criteria were enrolled in the present study.

**Diagnosis of typical HCC:** When a lesion was visualized as a hyper-vascular area during the arterial phase of contrast-enhanced CT or dynamic contrast-enhanced MRI with Gd-EOB-DTPA and as a hypo-vascular area (washout) during the hepatic venous phase or equilibrium phase or during both phases of contrast-enhanced CT or the delayed phase of dynamic contrast-enhanced MRI with Gd-EOB-DTPA, we considered this enhancement pattern to represent a vascular pattern characteristic of typical HCC. The diagnosis of typical HCC was established based on these radiologic features<sup>[21]</sup>.

#### Imaging method

**CT:** CT was performed using a 16-MDCT scanner (Aquilion 16; Toshiba Medical, Otawara, Japan) with a tube voltage of 120 kV, a tube current setting at the automatic milliampere exposure setting, a reconstruction section and interval thickness of 5 mm, a pitch of 15, and a gantry speed of 0.5 seconds per rotation. A nonionic contrast agent [iopamidol (Iopamiron 300 or 370; Bayer HealthCare, Berlin, Germany)] was injected. Patients weighing less than 70 kg received 300 mgI/mL, whereas those weighing 70 kg or more received 370 mgI/mL. After a power injector (Dual Shot GX; Nemoto Kyorindo) was used to inject 100 mL of iopamidol at 3 mL/s through a catheter placed in the antecubital vein, the scanning time in the arterial phase was confirmed using an automatic bolus-tracking program (RealPrep; Toshiba Medical). The trigger point for starting arterial phase scanning was set at an attenuation of 230 HU from the baseline attenuation of the abdominal aorta. Hepatic venous phase scanning was performed 70 s after contrast injection, and equilibrium phase images were acquired 180 s after injection. CT data were transferred to a computer workstation (Zio M900; Zio Software, San Francisco, CA, United States).

**MRI:** MRI was performed using a 1.5-T whole-body imager (Avant; Siemens Medical System, Erlangen, Germany). At the same time as the arrival of Gd-EOB-DTPA in the celiac artery, a power injector (Spectris Solaris EP; MEDRAD, Bayer Schering Pharma AG, Berlin, Germany) was used to inject 0.1 mmol/kg of Gd-EOB-DTPA at 1 mL/s through a catheter placed in the antecubital vein, followed by flushing with

20 mL of sterile saline solution at 2 mL/s. Arterial phase scanning was performed 13 s after contrast injection, portal phase scanning was performed 70-85 s after contrast injection, delay phase scanning was performed 180 s after contrast injection, and hepatobiliary phase scanning was performed 20 min after contrast injection. The images were obtained using fat-suppressed volumetric interpolated breath-hold examination (FS VIBE) T1-weighted sequences (TR, 6.2 ms; TE, 3.15 ms; flip angle, 20°; band width, 260 Hz/pix; matrix, 166 × 320; acquisition time, 20 s). In addition, a fast low angle shot (FLASH) T1-weighted sequence (TR, 115 ms; TE, 4.76 ms; flip angle, 70°; band width, 260 Hz/pix; matrix, 192 × 256; acquisition time, 20 s × 3) and turbo spin-echo (TSE) phase respiratory-triggered T2-weighted sequence as well as an echo planar imaging (EPI) diffusion-weighted sequence were also obtained.

**US imaging:** (1) Conventional US. First, we assessed the detection of hepatic lesions using the LOGIQ 7 or LOGIQ E9 ultrasound system (GE Healthcare, Milwaukee, WI, United States) with native tissue harmonic gray-scale imaging using a convex probe with a frequency of 2-5 MHz and a micro-convex probe with a frequency of 2-5 MHz (hereafter referred to as conventional US). If the detection of hepatic lesions by the procedures described above was difficult, we used fusion imaging combining conventional US and contrast-enhanced CT or the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA<sup>[21]</sup>; and (2) Contrast-enhanced US procedures. The procedures used for both contrast-enhanced US with a low mechanical index (MI) mode and that with a high MI mode were essentially the same. A 0.2-mL dose of Sonazoid was injected into an antecubital vein at 0.2 mL/sec *via* a 24-gauge cannula followed by 2 mL of 5% glucose after the Sonazoid injection. Contrast-enhanced US images were acquired during three contrast phases, consisting of an arterial phase (about 10-50 s after injection), a portal phase (about 80-120 s after injection), and a post-vascular phase (about 10 min after injection).

Contrast-enhanced US using a low MI mode at a low MI (0.2-0.3) can provide a real-time evaluation of tumor vessels and tumor enhancement at 11 frames per second during the arterial phase and tumor enhancement during the portal and post-vascular phases. On the other hand, when contrast-enhanced US was performed using the coded harmonic angio (CHA) mode at a high MI (0.7-1.0), the lesion was scanned at 2-8 frames per s after the injection. We usually used 8 frames per s to observe the tumor vessels by eliminating microbubbles in the microvessels but not in relatively large vessels, such as the tumor vessels and portal veins, thereby prolonging the observation time for the tumor vessels during the arterial phase. A rate of 2 frames per second was

used to observe tumor enhancement as a result of microbubble destruction within and around the tumor during the three phases. The transmission power was 70%-100%, and the MI ranged from 0.7-1.0. Using the CHA mode at a high MI at 2 frames per s with the focus point just beneath the lesion, we manually scanned the whole lesion to destroy any microbubbles within or around the tumor. We called this method "high MI intermittent imaging"<sup>[22]</sup>. This procedure enabled the evaluation of tumor vascularity in hyper-echoic nodules or lesions located deep within the liver (between 10 and 12 cm from the skin surface) during the three phases<sup>[22]</sup>.

**Fusion imaging:** We used a fusion imaging system to detect the precise location of HCCs<sup>[17,23]</sup>. As mentioned in previous reports<sup>[17,23]</sup>, after successful registration of the conventional US and MR images obtained during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA, the results of real-time ultrasound scanning were then viewed simultaneously with the corresponding multiplanar reconstruction slice from the pre-acquired volumetric MR DICOM data. These procedures were also used to perform fusion imaging, in which contrast-enhanced US was combined with the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA.

In addition, a subset of the fusion imaging modality, known as the "overlay image" tool, enabled a reference MR image to be overlaid on the US image. After the correct registration of the US image and the MR image, we overlaid the MR image on the US image. This method can display the location of a tumor as it appears on a MR image directly on a US image. Moreover, another subset of the fusion imaging modality, known as the global positioning system (GPS) tool, enables a marked position to be visually tracked during scanning. For example, after the registration of the US image and the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA, we set the GPS mark at an HCC lesion, which appeared as a low-intensity area on the hepatobiliary phase of contrast-enhanced MRI. This mark then simultaneously appeared as a small green cross graphic on the contrast-enhanced MR image and the US image. Both the "overlay image" and the "GPS mark" were used to confirm the location of the HCC lesions<sup>[23]</sup>.

### RFA

RFA was performed under real-time US guidance using the LOGIQ 7 or LOGIQ E9 ultrasound system and a convex probe with a frequency of 2.5 MHz and a micro-convex probe with a frequency of 2.5 MHz. One physician who had 10 years of experience performing RFA for the treatment of HCC performed all the procedures. To ablate all the tumors, a 20-cm-long, 17-gauge cool-tip radio frequency electrode with a 2- or 3-cm-long exposed metallic tip (Cool-tip Needle;

COVIDIEN Valleylab, Boulder, CO, United States) was promptly inserted into the targeted tumor, and RFA was performed using an RFA generator system (COVIDIEN Valleylab). RFA was performed under local anesthesia in all the cases. The electrode was inserted at different sites and overlapping ablations were performed until the entire lesion was ablated, as determined using fusion imaging. The ablation algorithm was based on elevations of tissue impedance. Successful ablations usually increased the temperature of the ablated tissue to above 60 °C. Among the 136 patients with 237 HCCs enrolled in this study, fever, abdominal pain and elevated liver enzyme levels were observed after treatment, but no serious complications requiring an extended hospital stay occurred.

### Post-treatment assessment

**Evaluation of AM using fusion imaging:** At 1 d after ablation, the location of the HCCs could not be precisely confirmed using contrast-enhanced US because of the disappearance of the enhancement of the HCC lesion itself and the surrounding non-tumor area. Using fusion imaging with overlay imaging and GPS marks, we were able to confirm the location of the ablated HCC, enabling the ablated HCC to be differentiated from the ablated adjacent liver parenchyma and allowing the thinnest AM to be measured (Figure 1).

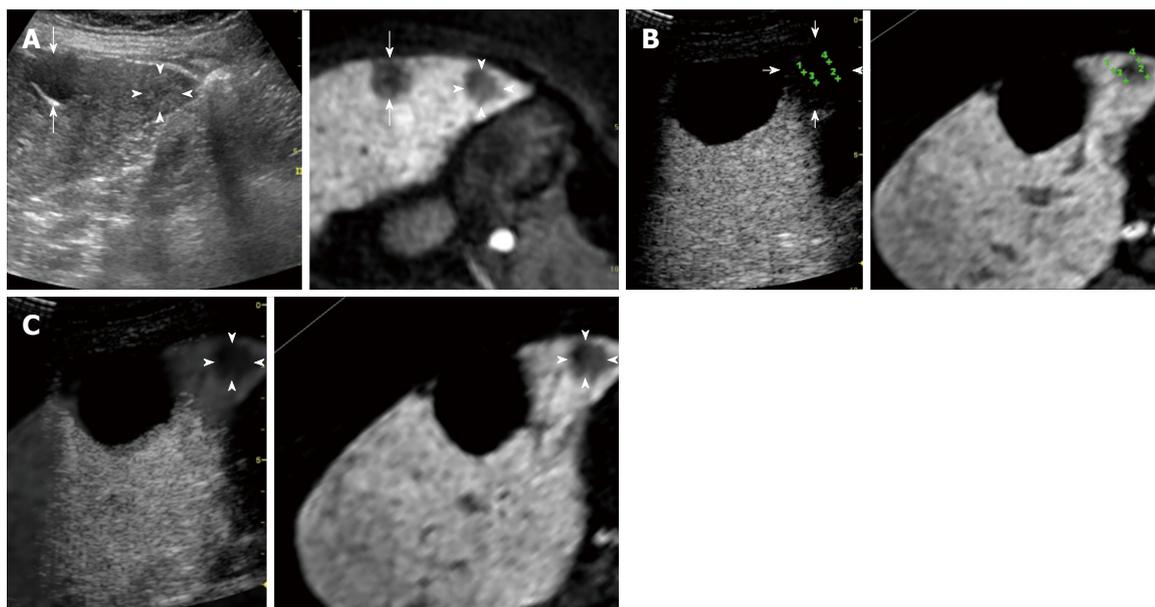
The thinnest AM was classified into two groups with reference to a previous report<sup>[13]</sup>: (1) AM of 0 to < 5 mm: although complete tumor ablation had been achieved, the AM was less than 5 mm; (2) AM of  $\geq$  5: an AM of 5 mm or more. When the HCC lesions were located on the liver surface or adjacent to relatively large vessels, such as the portal or hepatic vein, we considered the thinnest AM to be 0 to < 5 mm even if the other AMs were more than 5 mm.

**Follow-up protocol:** Subsequent diagnostic findings using either contrast-enhanced CT or contrast-enhanced MRI with Gd-EOB-DTPA were obtained after 1 mo.

The follow-up protocol for early HCC included the acquisition of contrast-enhanced MRI with Gd-EOB-DTPA at 3-mo intervals to monitor for signs of local tumor recurrence. Meanwhile, typical HCC was followed by the acquisition of either contrast-enhanced CT or contrast-enhanced MRI with Gd-EOB-DTPA at 3-mo intervals.

The follow-up period was considered to last until local tumor recurrence. The follow-up period of this study was considered to end with the incidence of local tumor recurrence or the last examination using either contrast-enhanced CT or contrast-enhanced MRI as of June 2016.

**Local tumor progression:** The local tumor progression of pathologically early HCC was defined as the incidence of a hypo-intense lesion occurring



**Figure 1** A 70-year-old woman with an early hepatocellular carcinoma lesion (maximum diameter, 18 mm) in segment V of the liver. A: A fusion image combining conventional ultrasonography (US) (left side) and the hepatobiliary phase of contrast-enhanced magnetic resonance imaging (MRI) with Gd-EOB-DTPA (right side) shows the targeted early hepatocellular carcinoma (HCC) lesion (arrowheads), which appears as an unclear slightly hyper-echoic lesion on conventional US and as a hypo-intense area on the hepatobiliary phase. The arrows indicate the margin of the liver cyst; B: Transcostal fusion imaging, obtained 1 d after radiofrequency ablation (RFA), combining the portal phase of contrast-enhanced US (left side) and the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA obtained before RFA (right side) with GPS marks on a single screen. These GPS marks appeared within the ablated areas (arrow), appearing as unenhanced areas on the contrast-enhanced US images. The ablation of the lesion was evaluated as being adequate because of the readily apparent ablative margin; C: Transcostal fusion imaging, obtained 1 d after RFA, combining an overlay of a hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA on a portal phase of contrast-enhanced US image (left side) and a hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA obtained before RFA (right side). The edge between the ablated HCC and the ablated adjacent liver parenchyma (arrowheads) is easy to identify with an overlay image.

adjacent to the ablation zone as evaluated using contrast-enhanced MRI with Gd-EOB-DTPA during the hepatobiliary phase. The local tumor progression of typical HCC was defined as the presence of a hypervascular lesion accompanied by delayed washout and occurring adjacent to the ablation zone as evaluated using either contrast-enhanced CT or contrast-enhanced MRI<sup>[12,13]</sup>.

### Image evaluation

The image evaluations were performed independently by four experienced radiologists who were blinded to the final diagnoses; each of the radiologists had at least 5 years of clinical experience performing US, CT, and MRI. The first and second radiologists reviewed all the US images, including the conventional US and contrast-enhanced US findings recorded on still images and cine clips. The third and fourth radiologists reviewed all the contrast-enhanced CT and contrast-enhanced MRI findings using a commercially available viewer system or a picture archiving and communication system (Synapse; Fujifilm Medical, Tokyo, Japan). Each of the two groups of readers met to arrive at a consensus for the image evaluation.

### Statistical analysis

The Mann-Whitney *U* test and  $\chi^2$  test were used to compare the clinical characteristics of patients with

pathologically early HCCs and those with typical HCCs. The  $\chi^2$  test was also used to compare the AMs between pathologically early HCCs and typical HCCs. The Fisher's exact test was used to compare the frequency of local tumor progression classified into two groups according to the AM for pathologically early HCCs and typical HCCs. The Kaplan-Meier method was used to estimate the interval from RFA until local tumor progression. The independent risk factors as determinants of local tumor progression were analyzed using the log-rank test. A *P* value < 0.05 was considered to indicate a statistically significant difference. The statistical analyses were performed using SPSS, version 22 (IBM SPSS, Inc., Chicago, IL, United States) for Windows (Microsoft).

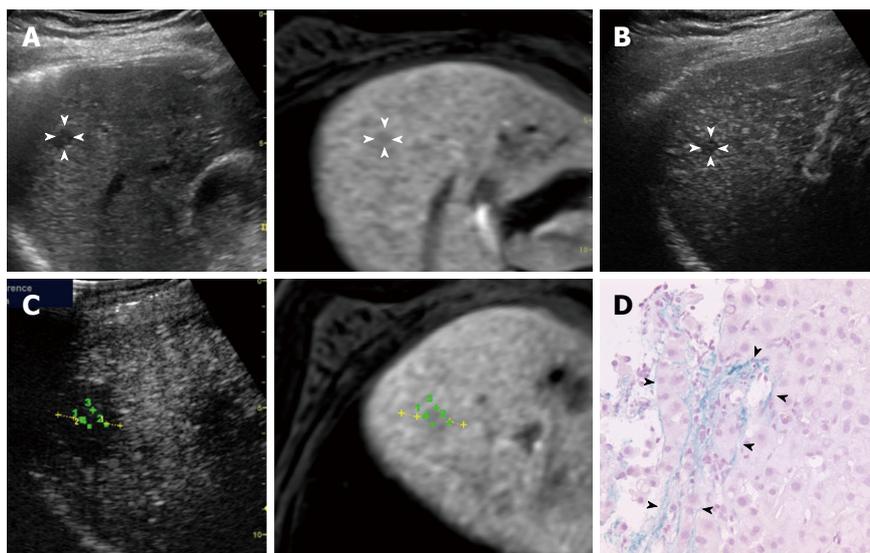
## RESULTS

### Local tumor progression of pathologically early HCCs

During the follow-up period for the 50 pathologically early HCCs (median, 1213 d; range, 216-2137 d), 49 (98%) of the nodules did not exhibit local tumor progression. However, 1 nodule (2%) was associated with a local recurrence found 636 d after ablation.

### Local tumor progression of typical HCCs

During the follow-up period for the 187 typical HCCs (median, 1116 d; range, 190-2328 d), 46 (24.6%)



**Figure 2** A 78-year-old man with an early hepatocellular carcinoma lesion (maximum diameter, 11 mm) in segment VIII of the liver. A: The fusion image combining conventional ultrasonography (US) (left side) and the hepatobiliary phase of contrast-enhanced magnetic resonance imaging (MRI) with Gd-EOB-DTPA (right side) shows the targeted early hepatocellular carcinoma (HCC) lesion (arrowheads), which appears as a hypo-echoic lesion on conventional US and as a slightly hypo-intense area during the hepatobiliary phase; B: A contrast-enhanced US image obtained during the arterial phase shows a hypo-vascular lesion (arrowheads); C: Transcostal fusion imaging, obtained 1 d after radiofrequency ablation (RFA), combining a portal phase of contrast-enhanced US (left side) and a hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA obtained before RFA as a reference (right side) on a single screen. When evaluated using only contrast-enhanced US, the edge between the ablated HCC and the ablated adjacent liver parenchyma is difficult to identify. Using fusion imaging with GPS marks, we confirmed the edge of the ablated HCC clearly on a portal phase of contrast-enhanced US, and the ablative margin was able to be measured as 5.3 mm (yellow dotted line); D: Victoria blue staining, showing elastic fibers surrounding the portal tract in blue, reveals stromal (portal tract) invasion compatible with a diagnosis of early HCC. The arrowheads indicate the portal tract, and cancer cells are present within the portal tract.

**Table 2** Evaluation of thinnest ablative margins for early hepatocellular carcinoma ( $n = 50$ ) and typical hepatocellular carcinoma ( $n = 187$ ) classified into two groups according to contrast-enhanced ultrasonography

|                | eHCC        | tHCC            | <i>P</i> value <sup>1</sup> |
|----------------|-------------|-----------------|-----------------------------|
| AM 0 to < 5 mm | 80% (40/50) | 85.5% (160/187) | NS                          |
| AM $\geq$ 5 mm | 20% (10/50) | 14.4% (27/187)  | NS                          |

<sup>1</sup>Comparison between percentage of each classification of eHCC and tHCC according to  $\chi^2$  test. AM 0 to < 5 mm: thinnest AM equal to 0 mm or more and less than 5 mm; AM  $\geq$  5 mm: thinnest AM equal to 5 mm or more. AM: Ablative margin; eHCC: Early hepatocellular carcinoma; NS: Not significant; tHCC: Typical hepatocellular carcinoma.

of the nodules exhibited local tumor progression after ablation. The follow-up period until the local tumor progression of typical HCC was a median of 605 d, ranging from 181 to 1741 d. Local tumor progression had occurred in 7.0%, 16.0%, 21.9% and 24.6% of the cases at 1, 2, 3 and 4 years, respectively.

### AM

Table 2 shows the thinnest AM for each pathologically early HCC and typical HCC, as evaluated using contrast-enhanced US. Among the 50 pathologically early HCCs, 10 (20.0%) had an AM  $\geq$  5 mm (Figures 2 and 3). Among the 187 typical HCCs, 27 (14.4%) had an AM  $\geq$  5 mm. The percentage of lesions with an AM  $\geq$  5 mm was not significantly different between the pathologically early HCCs and the typical HCCs

when evaluated using the  $\chi^2$  test ( $P = 0.336$ ).

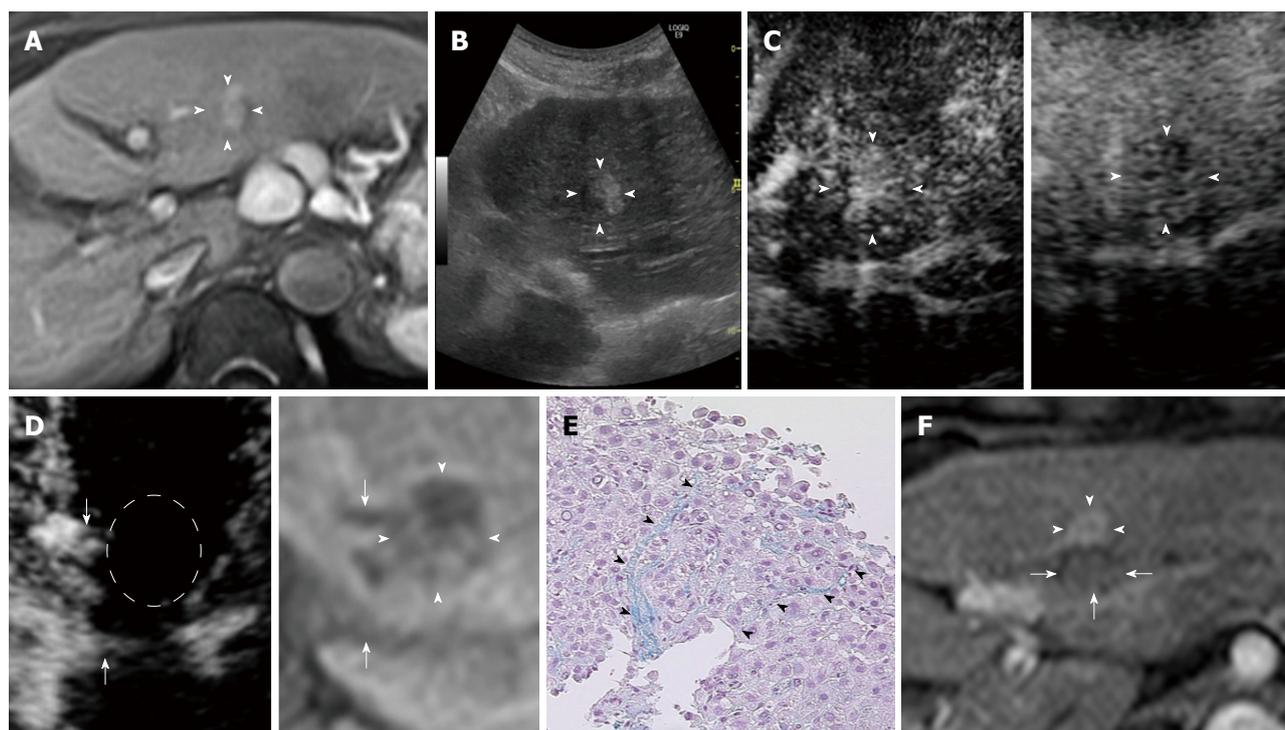
### Analysis of local tumor progression

The frequencies of local tumor progression after classifying both the pathologically early HCCs and the typical HCCs into two groups according to the acquisition of an ablative margin are shown in Table 3. Whether a pathologically early HCC versus a typical HCC status influenced the risk of local tumor progression after RFA was examined using a log-rank test (Figure 4), and a significant difference ( $P = 0.002$ ) was observed.

Among the pathologically early HCCs, an AM  $\geq$  5 mm versus an AM of 0 to < 5 mm was compared to determine whether such factors influenced the rate of local tumor progression when examined using a log-rank test. However, no significant difference was observed ( $P = 0.338$ ). Among the typical HCCs, however, an AM  $\geq$  5 mm versus an AM of 0 to < 5 mm was associated with a lower rate of local tumor progression ( $P = 0.032$ ).

## DISCUSSION

We performed adequate RFA for 50 pathologically early HCCs and demonstrated that the frequency of local tumor progression of pathologically early HCCs after adequate RFA was significantly lower than that of typical HCCs, although the present study was a pilot study, and a multicenter study is needed to confirm



**Figure 3** A 74-year-old man with an early hepatocellular carcinoma lesion (maximum diameter, 20 mm) in segment III of the liver. A: Pretreatment contrast-enhanced magnetic resonance imaging (MRI) with Gd-EOB-DTPA obtained during the arterial phase shows the tumor (arrowheads), with the tumor appearing as a partially hyper-intense area; B: Conventional ultrasonography (US) shows the tumor as an almost hyper-echoic and a partially hypo-echoic lesion (arrowheads); C: Contrast-enhanced US image obtained during the arterial phase (left side) shows the enhancement of almost the entire tumor (arrowheads). The contrast-enhanced US image obtained during the post-vascular phase (right) shows a partially hypo-echoic lesion (arrowheads); D: Contrast-enhanced US obtained during the arterial phase 1 d after radiofrequency ablation (RFA) shows the ablated lesion as an avascular area, and the dotted-line circle shows the site of the tumor (left side). A contrast-enhanced MRI image with Gd-EOB-DTPA obtained during the hepatobiliary phase before RFA is shown as a reference (right side). The ablative margin was evaluated as less than 5 mm because the portal vein was near (arrows); E: Victoria blue staining, showing elastic fibers surrounding the portal tract in blue, reveals stromal (portal tract) invasion compatible with a diagnosis of early hepatocellular carcinoma (arrowheads); F: Post-treatment contrast-enhanced MRI with Gd-EOB-DTPA shows local tumor progression at 636 d after ablation. The arrows indicate the ablative zone, and the arrowheads indicate the recurrence of the tumor, which appeared as a hyper-intense area during the arterial-phase. HCC: Hepatocellular carcinoma.

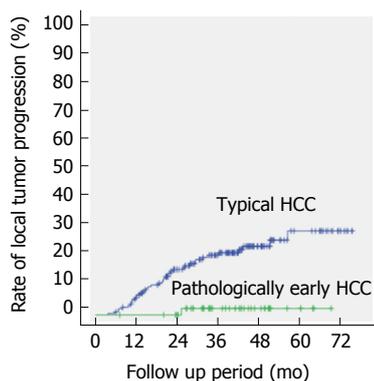
the presently reported results.

For the differential diagnosis of pathologically early HCC and dysplastic nodules (DN), the current algorithm used for the diagnosis of HCC in Japan recommends that a biopsy be performed for 1-1.5 cm or larger lesions appearing as hypo-intense masses during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA when the mass is hypo-vascular during the arterial phase of contrast-enhanced US and iso-vascular during the post-vascular phase of contrast-enhanced US<sup>[21]</sup>. Meanwhile, the optimal timing for the treatment of pathologically early HCC currently remains unclear. However, we have been aggressively using RFA for pathologically early HCC after providing an adequate explanation and obtaining the patient's agreement, since these nodules are known to progress to typical HCC relatively frequently<sup>[24-26]</sup>.

RFA is required to acquire an AM, since the liver tissues surrounding typical HCC can include micrometastases and microvascular invasion<sup>[15,27]</sup>. An AM of 5 mm or more for typical HCC is reportedly associated with a lower rate of local tumor progression<sup>[13]</sup>. Similarly, in our study, an AM of 5 mm or more for typical HCCs was associated with a lower

rate of local tumor progression during the follow-up period. However, in assessments made using Sonazoid-enhanced three-dimensional US, many HCCs are located close to surrounding vessels<sup>[28]</sup>. In fact, an AM of 5 mm or more (as evaluated using contrast-enhanced US) was only secured in 15.6% of the cases in the present study. Therefore, RFA for pathologically early HCC lesions without micrometastases and microvascular invasion<sup>[1]</sup> may contribute to a reduction in local tumor progression after ablation. Nakazawa *et al.*<sup>[13]</sup> reported that an ablation zone that included an AM of less than 5 mm from the tumor border and the presence of blood vessels contiguous with the tumors was significantly correlated with local tumor progression. If an AM of 5 mm or more is difficult to acquire because of the presence of blood vessels contiguous with the tumors, the benefit of early treatment for pathologically early HCC may be even more significant.

Hypo-vascular masses with hypo-intensity during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA have a high risk of progressing to typical HCC<sup>[26]</sup>. While pathologically early HCC itself is not a target lesion for hepatic resection<sup>[16]</sup>, identifying



**Figure 4** Curves for local tumor progression, as calculated using the Kaplan-Meier method, for pathologically early hepatocellular carcinoma and typical hepatocellular carcinoma. The graph shows the log-rank test results, indicating that pathologically early HCC was associated with a significantly lower rate of local tumor progression, compared with typical HCC ( $P = 0.002$ ). HCC: Hepatocellular carcinoma.

**Table 3** Frequency of local tumor progression classified into two groups according to ablative margin for early hepatocellular carcinoma ( $n = 50$ ) and typical hepatocellular carcinoma ( $n = 187$ )

|                             | AM 0 to < 5 mm | AM $\geq$ 5 mm |
|-----------------------------|----------------|----------------|
| Local tumor progression     |                |                |
| eHCC                        | 2.5% (1/40)    | 0% (0/10)      |
| tHCC                        | 27.5% (44/160) | 7.4% (2/27)    |
| <i>P</i> value <sup>1</sup> | 0.01           | NS             |

<sup>1</sup>Comparison between percentage of each classification of eHCC and tHCC according to Fisher's exact test. AM 0 to < 5 mm: thinnest AM equal to 0 mm or more and less than 5 mm; AM  $\geq$  5 mm: thinnest AM equal to 5 mm or more. AM: Ablative margin; eHCC: Early hepatocellular carcinoma; NS: Not significant; tHCC: Typical hepatocellular carcinoma.

the risk factors for the hypervascularization of these nodules, most of which are pathologically early HCCs, is important for making decisions regarding the timing of treatment<sup>[29]</sup>. Although the risk of hypervascularization of pathologically early HCC has not been previously studied, a risk of hypo-vascular masses with hypo-intensity during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA has been reported in some studies<sup>[24,25,30-33]</sup>. The findings of the diagnostic performance analysis showed that hypo-intensity during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA had an excellent sensitivity (97%) for the detection of early HCC and an exceptional specificity (100%) for distinguishing early HCC from DN<sup>[4]</sup>. Motosugi *et al*<sup>[24]</sup> reported that nodules that were more than 10 mm in diameter and contained fat were associated with a higher risk of developing hypervascularization. In addition, a maximum diameter of more than 10 mm<sup>[30]</sup> or 15 mm or greater<sup>[25]</sup>, hyper-intensity on T1-weighted images<sup>[31]</sup>, hyper-intensity on T2-weighted and diffusion-weighted images<sup>[32]</sup>, and a tumor volume doubling time of less than 542 d<sup>[33]</sup> have been

identified as risk factors for the hyper-vascularization of lesions appearing as hypo-intense masses during the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA. Of course, the detection of early hypervascularization in pathologically early HCC is important for identifying nodules requiring early treatment. Statistically, contrast-enhanced US (32.7%) was more sensitive than contrast-enhanced CT (21.2%) for the detection of hypervascularization in pathologically early HCCs<sup>[34]</sup>.

In this study, only one pathologically early HCC was associated with a local tumor progression (Figure 3). Similar to typical HCC, pathologically early HCC also exhibits an internal histological heterogeneity<sup>[2]</sup>, and the pathological diagnosis based on needle biopsied specimens might have resulted in an underestimation of the histological grade. This recurrent case might have contained internal dedifferentiated foci, and the needle biopsied specimens might not have been sufficiently acquired from these areas, leading to an underestimated diagnosis of pathologically early HCC. Furthermore, the thinnest AM of this recurrent case was less than 5 mm. To prevent local tumor progression, a larger AM might have been preferable in this case.

Contrast-enhanced CT is the most widely used imaging technique for evaluating the efficacy of HCC ablation<sup>[35,36]</sup>. Otherwise, contrast-enhanced US is useful for the early evaluation of the therapeutic response to RFA<sup>[28]</sup>. However, the boundary of the tumor is obfuscated after RFA in some cases<sup>[37]</sup>. Fusion imaging combining contrast-enhanced US images and the arterial-phase of contrast-enhanced CT images is useful for evaluating the efficacy of RFA for the treatment of hypervascular HCC<sup>[23]</sup>. The AM could be evaluated in detail using fusion imaging with GPS marks and an overlay image. Meanwhile, pathologically early HCCs are often recognized as an absence of hypervascularization during the arterial-phase of contrast-enhanced CT or MR images<sup>[4]</sup>. In the present study, we used fusion imaging combining contrast-enhanced US images and the hepatobiliary phase of contrast-enhanced MRI with Gd-EOB-DTPA obtained before RFA to evaluate the efficacy of RFA for pathologically early HCCs.

Our study had several limitations. First, as mentioned above, the pathological diagnosis of early HCC was based on criteria obtained using needle biopsied specimens. Second, different definitions for evaluating local tumor progression after RFA were used for pathologically early HCC and typical HCC, since we wished to avoid a long lead-time bias. Third, patients who had both pathologically early HCC and typical HCC simultaneously were not excluded, and only 10 of the 50 pathologically early HCC cases had primary pathologically early HCC; therefore, the rates of intra-hepatic recurrence-free survival and of overall survival after ablation were not evaluated for cases with primary pathologically early HCC or for those with

primary typical HCC. Further studies examining overall survival will be essential for defining the survival benefit of RFA for pathologically early HCC.

In conclusion, pathologically early HCC was rarely associated with local tumor progression after adequate RFA, compared with typical HCC, in the present study. We hope that the lower local tumor progression rate of pathologically early HCC after adequate RFA may lead to an improved patient prognosis.

## COMMENTS

### Background

The International Consensus Group for Hepatocellular Neoplasia announced a consensus on the pathological criteria for early hepatocellular carcinoma (HCC) (small well-differentiated HCC of the vaguely nodular type) in 2009. Midorikawa *et al* reported that the survival benefit associated with the resection of pathologically early HCC was marginal because of a long lead-time bias; therefore, they suggested that surgery is not indicated for pathologically early HCC. However, radiofrequency ablation (RFA) is expected to be an effective treatment for pathologically early HCC, which is known to progress to typical HCC relatively frequently. In this study, we evaluated whether pathologically early HCC exhibited local tumor progression after RFA less often than typical HCC.

### Research frontiers

Early-stage typical HCC lesions are often accompanied by micrometastases and microvascular invasion, despite their small size. In contrast, pathologically early HCC lesions are not accompanied by these features. Therefore, pathologically early HCC lesions are expected to exhibit a longer period until local tumor progression after RFA than typical HCC lesions. However, to our knowledge, the local tumor progression rate of pathologically early HCC after adequate RFA, compared with that of typical HCC, has not been previously studied.

### Innovations and breakthroughs

In this study, 1/50 pathologically early HCC and 46/187 typical HCC exhibited local tumor progression after adequate RFA. Pathologically early HCC was statistically associated with a lower rate of local tumor progression after adequate RFA, compared with typical HCC.

### Applications

Although further studies examining overall survival will be essential for defining the survival benefit of RFA for pathologically early HCC, the rate of local tumor progression after adequate RFA was relatively low when pathologically early HCC was treated before progression to typical HCC.

### Terminology

Early HCC was characterized by various combinations of the following major pathological features: (1) a cell density more than 2-fold higher than that of the surrounding tissue, with a higher nuclear/cytoplasm ratio and irregularly thin trabecular pattern; (2) varying numbers of portal tracts within the nodule (intra-tumoral portal tracts); (3) a pseudoglandular pattern; (4) diffuse fatty changes; and (5) varying numbers of unpaired arteries.

### Peer-review

This is an interesting paper on an important argument. Very early HCC defined by Barcelona Clinic Liver Cancer, commonly known as BCLC, staging classification could be also an invasive tumor. On the other hand, pathologically early HCC should represent a less invasive neoplastic lesion. RFA could be the ideal treatment.

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