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*World J Gastroenterol* 2018 October 28; 24(40): 4519-4616



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*World Journal of Gastroenterology* (*WJG*) is now indexed in Current Contents<sup>®</sup>/Clinical Medicine, Science Citation Index Expanded (also known as SciSearch<sup>®</sup>), Journal Citation Reports<sup>®</sup>, Index Medicus, MEDLINE, PubMed, PubMed Central and Directory of Open Access Journals. The 2018 edition of Journal Citation Reports<sup>®</sup> cites the 2017 impact factor for *WJG* as 3.300 (5-year impact factor: 3.387), ranking *WJG* as 35<sup>th</sup> among 80 journals in gastroenterology and hepatology (quartile in category Q2).

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**NAME OF JOURNAL**  
*World Journal of Gastroenterology*

**ISSN**  
ISSN 1007-9327 (print)  
ISSN 2219-2840 (online)

**LAUNCH DATE**  
October 1, 1995

**FREQUENCY**  
Weekly

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**PUBLISHER**  
Baishideng Publishing Group Inc  
7901 Stoneridge Drive, Suite 501,  
Pleasanton, CA 94588, USA  
Telephone: +1-925-2238242  
Fax: +1-925-2238243  
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**PUBLICATION DATE**  
October 28, 2018

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## Retrospective Study

# Second primary malignancy risk after radiotherapy in rectal cancer survivors

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**Author contributions:** Wang TH, Liu CJ, Chao TF, Chen TJ and Hu YW designed research; Wang TH, Liu CJ and Chao TF performed research; Wang TH, Chen TJ and Hu YW contributed new reagents or analytic tools; Wang TH and Hu YW analyzed data; Wang TH wrote the paper.

**Institutional review board statement:** This study was exempted from full review by the Institutional Review Board (No. 2016-05-007BC).

**Conflict-of-interest statement:** None.

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**Manuscript source:** Unsolicited manuscript

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**Received:** June 30, 2018

**Peer-review started:** July 2, 2018

**First decision:** July 18, 2018

**Revised:** August 14, 2018

**Accepted:** October 5, 2018

**Article in press:** October 5, 2018

**Published online:** October 28, 2018

## Abstract

### AIM

To investigate second primary malignancy (SPM) risk after radiotherapy in rectal cancer survivors

### METHODS

We used Taiwan's National Health Insurance Research Database to identify rectal cancer patients between 1996 and 2011. Surgery-alone, preoperative short course, preoperative long course, and post-operative radiotherapy groups were defined. The overall and site-specific SPM incidence rates were compared among the radiotherapy groups by multivariate Cox regression, taking chemotherapy and comorbidities into account. Sensitivity tests were performed for attained-year adjustment and long-term survivors analysis.

### RESULTS

A total of 28220 patients were analyzed. The 10-year cumulative SPM incidence was 7.8% [95% confidence



interval (CI): 7.2%-8.2%] using a competing risk model. The most common sites of SPM were the lung, liver, and prostate. Radiotherapy was not associated with increased SPM risk in multi-variate Cox model (hazard ratio = 1.05, 95%CI: 0.91-1.21,  $P = 0.494$ ). The SPM hazard remained unchanged in 10-year-survivors. In addition, no SPM risk difference was found between the preoperative radiotherapy and postoperative radiotherapy groups.

### CONCLUSION

In this large population-based cohort study, we demonstrated that radiotherapy had no increase in SPM.

**Key words:** Radiotherapy; Second primary malignancy; Rectal cancer; Preoperative long-course; Preoperative short-course

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**Core tip:** Developing a second primary malignancy (SPM) after radiotherapy represents a major problem for long-term cancer survivors. In this large population-based study, no increased risk of developing SPM was found in rectal cancer patients who received pelvic radiotherapy in their initial treatment after carefully adjusted baseline confounders. Also, the SPM risk remained the same among the preoperative long-course, preoperative short-course, and postoperative radiotherapy groups. However, rectal cancer survivors, similarly to other cancer survivors, are burdened with an overall higher probability of developing a second primary cancer. Life-long follow-up is recommended.

Wang TH, Liu CJ, Chao TF, Chen TJ, Hu YW. Second primary malignancy risk after radiotherapy in rectal cancer survivors. *World J Gastroenterol* 2018; 24(40): 4586-4595 Available from: URL: <http://www.wjgnet.com/1007-9327/full/v24/i40/4586.htm> DOI: <http://dx.doi.org/10.3748/wjg.v24.i40.4586>

### INTRODUCTION

Thanks to the progress in early detection and treatment, rectal cancer survival has increased steadily over time<sup>[1]</sup>. Death rates due to colorectal cancer have declined by approximately 3% per year during the past decade<sup>[2]</sup>. Undoubtedly, radiotherapy has an established role in the multi-modal treatment of this disease<sup>[3,4]</sup>. However, radiotherapy may be related to several late adverse effects, which represents a major problem for long-term cancer survivors<sup>[5]</sup>. One of these effects, the risk of developing a second primary malignancy (SPM), has received greater attention in clinical practice. Rectal cancer survivors have a 4%-8% higher background rate of SPM compared with the normal population<sup>[6,7]</sup>. This higher rate may reflect the patients' genetic back-

grounds, cancer-related treatments, lifestyles, and environmental risk factors<sup>[8]</sup>. Although several studies have investigated the relationship between radiotherapy and SPM in rectal cancer patients, the conclusions have been diverse<sup>[9-12]</sup>. Most studies have only addressed the initial treatment, which leads to results that are affected by potential confounders, such as comorbidities and other treatments during follow-up. Furthermore, whether preoperative long-course radiotherapy, preoperative short-course radiotherapy, or postoperative radiotherapy has a different contribution in increasing SPM risk is not clear. Here, we used Taiwan's National Health Insurance Research Database (NHIRD), which provides detailed diagnosis and treatment data, to assess the association between SPM and radiotherapy, taking chemotherapy and comorbidities into account.

### MATERIALS AND METHODS

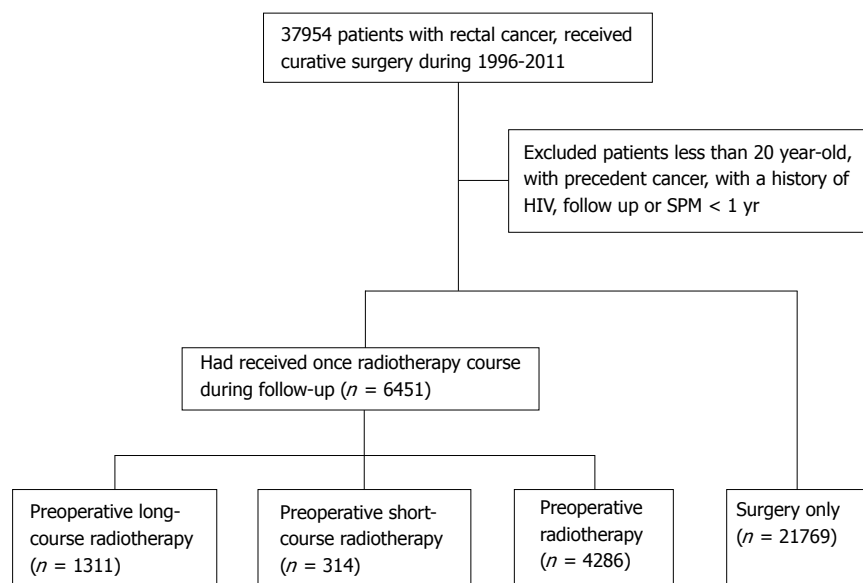
#### Data source

Taiwan's National Health Insurance, established in 1995, covers the comprehensive medical care of > 99% Taiwanese residents<sup>[13]</sup>. Taiwan's NHIRD provides encrypted nationwide data for health research, including inpatient and outpatient diagnoses, claimed procedures and drug prescriptions. The Registry of Catastrophic Illness Database (RCID), a subpart of the NHIRD, provides information on patients with a confirmed malignancy. The certification of both first primary rectal cancer and SPM requires tissue pathologic proof for peer review. This study was exempted from full review by the Institutional Review Board (No. 2016-05-007BC).

#### Cohort selection

The cohort was composed of patients aged 20 years or older who were diagnosed with a first primary rectal cancer (ICD-9-CM 154.0 and 154.1) from the RCID between Jan 1, 1996, and Dec 31, 2011. Because there is a lag time between radiation and SPM, we excluded patients who had SPMs within the first year of treatment or survived less than one year after treatment<sup>[14]</sup>. We also excluded patients with HIV infection. Because synchronous and metachronous colorectal cancers (CRCs) were difficult to distinguish, second primary CRCs were not analyzed. We also excluded neoplasms of the small intestine to avoid misclassification. The follow-up time for each individual began one year after the initial treatment and ended on the date of diagnosis of any SPM, death, or the end of study (Dec 31, 2011), whichever came first.

The patients were classified into four groups. The surgery-only group was composed of patients who underwent radical rectal surgery, such as abdominoperineal resection of the rectum, low anterior resection, local excision, transsacral rectosigmoidectomy, or posterior resection of the rectum, and who never received radiotherapy within the follow-up time. The postoperative radiotherapy group was composed of patients who underwent radical rectal surgery followed by radiotherapy



**Figure 1 Study inclusion flowchart.** HIV: Human immunodeficiency virus; SPM: Second primary malignancy.

within one year after surgery (considering that the radiotherapy may have been administered after 6 mo of chemotherapy). The preoperative radiotherapy group was composed of patients who received radiotherapy within 6 mo prior to radical rectal surgery. The preoperative radiotherapy group was further categorized into the short-course and the long-course radiotherapy groups according to their radiotherapy regimen, judging by claimed radiation portals. The exact dose of radiation used was not available in the NHIRD. However, the typical radiation regimen for preoperative long-course radiotherapy and postoperative radiotherapy is 45-50.4 Gy in 25-28 fractions, while 25 Gy in 5 fractions is used for preoperative short course radiotherapy. Patients who received incomplete radiotherapy regimens or re-irradiation during the follow-up period were excluded.

### Treatment factors

We collected all cancer treatment information within the first 2 years after diagnosis, including surgery, radiation, and chemotherapy. The surgery procedures were coded using ICD-9-CM codes. The chemotherapy agents were classified by their Anatomical Therapeutic Chemical (ATC) code. Chemotherapy administered after and within one year of an SPM was omitted due to possible treatment of a second cancer. Demographic data such as age at rectal cancer diagnosis, year of diagnosis, attained age and year of SPM diagnosis, sex, and comorbidities, including autoimmune diseases, chronic obstructive pulmonary disease (COPD), diabetes mellitus (DM), dyslipidemia, end-stage renal disease (ESRD), liver cirrhosis, and hypertension (HTN), were collected from the NHIRD.

### Statistical analysis

Because death could be considered a competing event to SPM during follow-up, a competing-risk model was used to estimate the cumulative incidence of SPM in each

radiotherapy group. We used univariate and multivariate Cox proportional hazards models to identify possible risk factors for SPM development. The final Cox proportional hazard model was used to assess the significant difference between the relative risk of an SPM across the four groups after adjustment for age at and year of rectal cancer diagnosis, sex, chemotherapy, and comorbidities. A two-sided *P*-value less than 0.05 was considered statistically significant.

The data processing was performed with Microsoft SQL Server 2012 (Microsoft Corp., Redmond, WA, United States). All analyses were computed in R (version R-2.15.3; <http://www.r-project.org>). The *cmprsk* library in R was used for competing-risk analyses.

### Sensitivity analysis

In addition to the final Cox model, a SPM attained-calendar-year stratified Cox proportional hazards model was tested to assess for adjusted radiotherapy effects. Subgroup analyses were also undertaken to investigate the consistency of the conclusion among different subpopulations. We generated Cox models in patients who survived more than 5 years and more than 10 years.

## RESULTS

### Population demographics

We identified a total of 28220 eligible rectal cancer patients based on our criteria. There were 21769, 1311, 314, and 4826 patients in the surgery-only, preoperative long-course, preoperative short-course, and postoperative radiotherapy groups, respectively. The cohort selection flow chart is shown in Figure 1.

The median follow-up for all patients was 5.2 years (range: 1 to 16.0 years) and was 5.5 years (range, 1 to 15.3 years) in the surgery-only group, 4.2 years (range,

**Table 1 Patient characters and treatment factors**

	All patients	Surgery-only	All radiotherapy	Postoperative	Preoperative	Long	Short
Patient number	28220	21769	6451	4826	1625	1311	314
Male (%)	16297 (58%)	12323 (57%)	3974 (62%)	2940 (61%)	1034 (64%)	831 (63%)	203 (65%)
Median follow-up (IQR), yr	5.19 (5.02)	5.47 (5.18)	4.25 (3.98)	4.29 (4.10)	4.16 (3.61)	4.18 (3.76)	4.10 (3.01)
Median rectal cancer diagnosis age (IQR)	65 (18)	66 (18)	62 (17)	62 (18)	61 (19)	60 (18)	64 (18)
Median rectal cancer diagnosis year (IQR)	2005 (7)	2004 (6)	2006 (6)	2005 (6)	2007 (5)	2007 (5)	2007 (4)
Surgery							
LAR	20416	16253	4163	2953	1210	950	260
APR	6285	4453	1832	1471	361	311	50
Other surgery	1519	1063	456	402	54	50	4
Chemotherapy							
All chemotherapy (%)	18236 (65%)	12310 (57%)	5926 (92%)	4445 (92%)	1481 (91%)	1276 (97%)	205 (65%)
Fluorouracil	12063	7399	4664	3428	1236	1105	131
Tegafur	11324	8139	3185	2547	638	517	121
Oxaliplatin	4033	2460	1573	1273	300	262	38
Irinotecan	3273	2020	1253	1069	184	151	33
Capecitabine	2620	1632	988	773	215	185	30
Comorbidities							
DM	10802	8560	2242	1696	546	427	119
Hypertension	18096	14438	3658	2742	916	720	196
Liver cirrhosis	1521	1227	294	225	69	46	23
Autoimmune disease	1763	1372	391	298	93	76	17
End stage renal disease	5456	4402	1054	825	229	168	61
COPD	10762	8709	2053	1585	468	368	100
Dyslipidemia	11695	9246	2449	1779	670	534	136

IQR: Inter-quantile range; LAR: Low anterior resection; APR: Abdominoperineal resection of rectum; DM: Diabetes mellitus; COPD: Chronic obstructive pulmonary disease.

1 to 13.2 years) in the preoperative long-course group, 4.1 years (range, 1 to 10.5 years) in the preoperative short-course group, and 4.3 years (range, 1 to 16.0 years) in the postoperative radiotherapy group. The patients in the radiotherapy group were slightly younger (mean age 61 years vs 66 years in those without radiotherapy), had a more recent diagnosis year (median year 2006 versus 2004 in those without radiotherapy), and had a higher chance of receiving chemotherapy (92% vs 56% in those without radiotherapy). The most commonly used chemotherapy agents were fluorouracil, tegafur/uracil, oxaliplatin, irinotecan, and capecitabine. Table 1 summarizes the patient and treatment characteristics.

### SPM result

During the follow-up period, 1270 of the 28220 patients (4.5%) developed a SPM. In the surgery-only group, 1056 patients (8.6%) developed a second cancer, compared with 49 (3.7%) in the preoperative long-course group, 10 (3.2%) in the preoperative short-course group, and 182 (3.2%) in the postoperative radiotherapy group. The most common sites of SPM were lung ( $n = 284$ ), liver ( $n = 183$ ), and prostate ( $n = 129$ ). The distributions of the SPMs in each group are listed in Table 2. The cumulative incidences of SPM and mortality rate are shown in Figure 2. Death is a strong competitor for SPM in both non-irradiated and irradiated patients. The cumulative incidence of mortality is higher in the irradiated patients because these patients

generally had more advanced disease. The estimated cumulative incidence of SPM in the competing-risk model at the 5 year, 10 year, and 15 year marks was 3.7% (95%CI: 3.4%-3.9%), 7.8% (95%CI: 7.2%-8.2%), and 12.4% (95%CI: 10.5%-14.6%) in the surgery-only group and 3.2% (95%CI: 2.7%-3.7%), 6.7% (95%CI: 5.8%-7.6%), and 8.3% (95%CI: 7.1%-9.7%) in the irradiated groups, respectively.

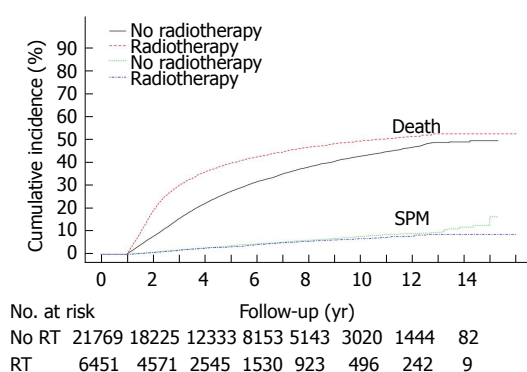
### Other risk factors

A univariate Cox regression model was used to test the potential risk factors for SPM. The results showed that male sex, age, liver cirrhosis, autoimmune disease, and COPD were significantly associated with a higher risk for SPMs, while dyslipidemia was significantly associated with a lower risk for SPMs. Chemotherapy and radiotherapy were not significantly associated with SPMs, although preoperative long-course radiotherapy had a trend toward increasing risk [hazard ratio (HR) = 1.25, 95%CI: 0.97-1.62;  $P = 0.090$ ]. To better clarify the risk of radiotherapy for SPM, the final Cox regression model contained the covariates gender, age at and year of rectal cancer diagnosis, the use of radiotherapy, the use of chemotherapy, DM, HTN, liver cirrhosis, autoimmune disease, COPD, ESRD, and dyslipidemia. In multi-variate analysis, age (HR = 1.02 per one-year increment, 95%CI: 1.01-1.02;  $P < 0.001$ ), male sex (HR = 1.47, 95%CI: 1.32-1.65;  $P < 0.001$ ), DM (HR = 1.14, 95%CI: 1.02-1.28;  $P = 0.027$ ), liver cirrhosis (HR = 2.40, 95%CI: 2.03-2.82;  $P < 0.001$ ),

**Table 2** Second primary malignancy of different treatment groups

	All patients	Surgery-only	Long	Short	Post
All SPM	1270 (100)	1056 (100)	49 (100)	10 (100)	155 (100)
Head and neck	89 (7)	69 (6.5)	7 (14.3)	2 (20)	11 (7.1)
Esophagus	31 (2.4)	28 (2.7)	0 (0)	0 (0)	3 (1.9)
Stomach	82 (6.5)	68 (6.4)	4 (8.2)	1 (10)	9 (5.8)
Liver	183 (14.4)	162 (15.3)	3 (6.1)	3 (30)	15 (9.7)
Pancreas	31 (2.4)	26 (2.5)	1 (2)	0 (0)	4 (2.6)
Lung	284 (22.4)	224 (21.2)	16 (32.7)	0 (0)	44 (28.4)
Bone	17 (1.3)	14 (1.3)	0 (0)	0 (0)	3 (1.9)
Skin	31 (2.4)	23 (2.2)	1 (2)	2 (20)	5 (3.2)
Breast	82 (6.5)	71 (6.7)	3 (6.1)	0 (0)	8 (5.2)
Cervix	18 (1.4)	17 (1.6)	0 (0)	0 (0)	1 (0.6)
Uterus	15 (1.2)	10 (0.9)	2 (4.1)	0 (0)	3 (1.9)
Ovary	10 (0.8)	10 (0.9)	0 (0)	0 (0)	0 (0)
Prostate	129 (10.2)	116 (11)	2 (4.1)	1 (10)	10 (6.5)
Bladder	83 (6.5)	63 (6)	2 (4.1)	0 (0)	18 (11.6)
Kidney	45 (3.5)	40 (3.8)	1 (2)	1 (10)	3 (1.9)
Thyroid	18 (1.4)	15 (1.4)	0 (0)	0 (0)	3 (1.9)
Hematologic	59 (4.6)	46 (4.4)	3 (6.1)	0 (0)	10 (6.5)
Others	63 (5)	54 (5.1)	4 (8.2)	0 (0)	5 (3.2)

SPM: Second primary malignancy.

**Figure 2** Competing-risk model plot for cumulative incidence of death and secondary primary malignancy, stratified by with/without radiotherapy. SPM: Secondary primary malignancy; RT: Radiotherapy.

and COPD (HR = 1.19, 95%CI: 1.06-1.33;  $P = 0.003$ ) were significantly associated with a higher risk for SPMs. Hypertension (HR = 0.86, 95%CI: 0.75-0.97;  $P = 0.017$ ) and dyslipidemia (HR = 0.85, 95%CI: 0.76-0.95;  $P = 0.006$ ) were significantly associated with a lower risk for SPMs (Table 3). Again, no significantly elevated HR was observed among the different radiotherapy groups compared with the surgery-alone group.

### Second cancer site analysis

A similar covariate-adjusted Cox model was applied to the individual SPM sites. Compared with the surgery-only group, a significantly increased HR for SPM in the radiotherapy group was only evident for lung cancer (HR = 1.42, 95%CI: 1.04-1.93;  $P < 0.001$ ). The risk of bladder, uterus, skin, and hematologic cancer was elevated in irradiated patients, but the difference was not statistically significant. Irradiated patient also had less prostate and liver cancer, but again, the difference was not statistically significant (Figure 3A). We fur-

ther compared the preoperative and postoperative radiotherapy groups. Due to relatively few events in each of the preoperative long/short-course groups, we combined these two groups in the second primary sites analysis. Among all SPM, the HR of the preoperative and postoperative groups compared with the surgery-only group was 1.20 (95%CI: 0.93-1.53) and 1.01 (95%CI: 0.85-1.18), respectively. Across the second cancer sites, the risk associated with radiotherapy was generally consistent between the preoperative and postoperative groups, except that patients in the preoperative radiotherapy group had a higher risk of head and neck cancers ( $P = 0.042$ ) (Figure 3B).

### Sensitivity analysis

A stratified Cox proportional hazards model showed the HR of radiotherapy remained unchanged after considering second primary cancer attained year (Supplementary Table 1). There were 12064 patients surviving without a SPM after 5 years of follow-up, and 3516 patients after 10 years. The HR of radiotherapy in all patients, > 5 year survivors, and > 10 year survivors was 1.05 (95%CI: 0.91-1.21), 1.17 (95%CI: 0.92-1.47), and 1.03 (95%CI: 0.56-1.89), respectively. None of these HRs was statistically significant, as listed in Supplementary Table 2.

## DISCUSSION

The aim of radiotherapy in rectal cancer is to reduce the recurrence risk, and this benefit is well documented<sup>[15]</sup>. Clinical practice has shifted from postoperative chemoradiotherapy to preoperative radiotherapy as encouraging results with preoperative radiotherapy have emerged over the last decade<sup>[4]</sup>. Still, there is debate regarding short-course preoperative radiation and the



**Table 3** Cox regression of second primary malignancy

	Univariate Cox regression		Multi-variate Cox regression	
	Hazard ratio (95%CI)	P value	Hazard ratio (95%CI)	P value
Sex(M)	1.57 (1.40-1.75) <sup>a</sup>	< 0.001	1.47 (1.32-1.65) <sup>a</sup>	< 0.001
Diagnosis age (1 yr increment)	1.02 (1.01-1.02) <sup>a</sup>	< 0.001	1.02 (1.01-1.02) <sup>a</sup>	< 0.001
Diagnosis year	1.06 (1.04-1.08) <sup>a</sup>	< 0.001	1.06 (1.04-1.08) <sup>a</sup>	< 0.001
Chemotherapy	0.95 (0.86-1.06)	0.371	0.97 (0.87-1.08)	0.562
DM	1.11 (0.99-1.23)	0.062	1.14 (1.02-1.28) <sup>a</sup>	0.027
Hypertension	1.01 (0.90-1.13)	0.849	0.86 (0.75-0.97) <sup>a</sup>	0.017
Liver cirrhosis	2.47 (2.10-2.90) <sup>a</sup>	< 0.001	2.40 (2.03-2.82) <sup>a</sup>	< 0.001
Rheumatologic disease	0.78 (0.62-0.99) <sup>a</sup>	0.038	0.81 (0.64-1.03)	0.080
End stage renal disease	1.01 (0.89-1.16)	0.828	0.91 (0.80-1.05)	0.192
COPD	1.33 (1.20-1.48) <sup>a</sup>	< 0.001	1.19 (1.06-1.33) <sup>a</sup>	0.003
Dyslipidemia	0.87 (0.78-0.96) <sup>a</sup>	0.008	0.85 (0.76-0.95) <sup>a</sup>	0.006
Radiotherapy <sup>1</sup>	1.04 (0.90-1.19)	0.625	1.05 (0.91-1.21)	0.494
Long course RT <sup>1</sup>	1.25 (0.97-1.62)	0.090	1.28 (0.98-1.67) <sup>2</sup>	0.071
Short course RT <sup>1</sup>	1.01 (0.56-1.83)	0.976	0.91 (0.50-1.64) <sup>2</sup>	0.742
Post-OP RT <sup>1</sup>	0.98 (0.84-1.15)	0.801	1.01 (0.86-1.18) <sup>2</sup>	0.941

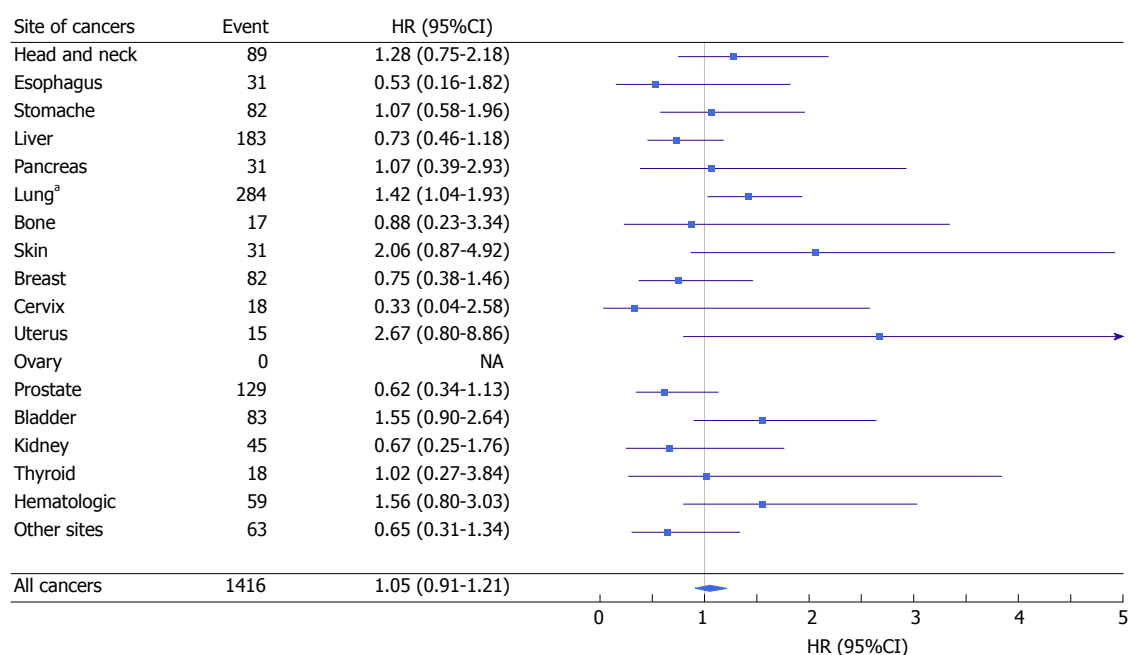
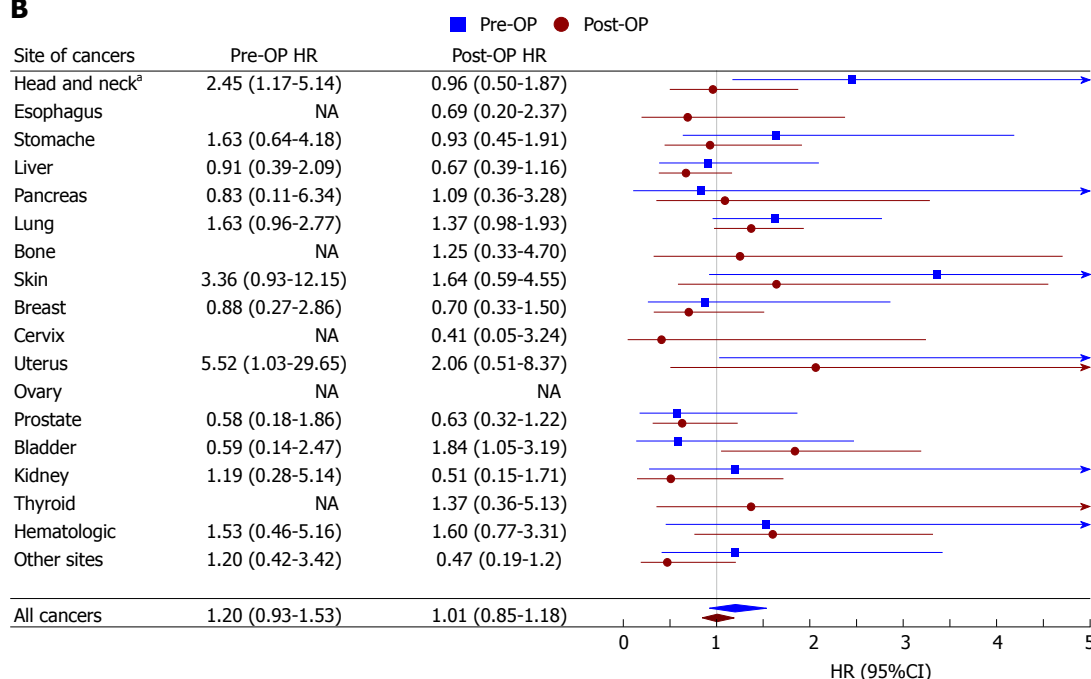
<sup>1</sup>Indicates surgery-only as reference; <sup>2</sup>Indicates calculated separately with "Radiotherapy" using same model. <sup>a</sup>P < 0.05. DM: Diabetes mellitus; COPD: Chronic obstructive pulmonary disease; Post-OP: Postoperative.

more conventional approach of long-course neoadjuvant chemoradiation. The reported efficacy of these two regimens is comparable, yet there appears to be more late gastrointestinal toxicity in short-course studies<sup>[16]</sup>. Whether different radiotherapy regimens result in different SPM risks has not been investigated. Our results showed no differences in overall SPM probability between patients in each radiotherapy regimen. To our knowledge, this is the first report to directly compare the risk of SPM among preoperative long-course radiotherapy, preoperative short-course radiotherapy, and postoperative radiotherapy.

Four previous studies have addressed the issue of SPM after rectal irradiation. Birgisson *et al*<sup>[12]</sup> analyzed pooled data from the Uppsala Trial and the Swedish Rectal Cancer Trial, and they reported an overall relative risk of 1.85 for developing a second cancer in irradiated patients. However, their results were limited by the relatively small cohort size. More recently, Martling *et al*<sup>[17]</sup> analyzed Swedish ColoRectal Cancer Registry data and reported no increased risk of second primary cancer following RT for rectal cancer within or outside of the irradiated volume up to 20 years of follow-up. Two groups have taken advantage of the large Surveillance, Epidemiology, and End Results (SEER) registry database to exam this issue, but their efforts yielded opposite results. It is noteworthy that neither of the SEER-based studies reported the radiotherapy regimen. Kendal *et al*<sup>[11]</sup> used Kaplan-Meier and Cox analyses and demonstrated no significant difference in SPM occurrence between irradiated and non-irradiated cohorts, comprising a total of 20910 patients. In a subpart of Berrington's comprehensive study, they reported that the relative risk was 1.15 in irradiated patients using a Poisson regression analysis. Although radiation-induced malignancy is a stochastic effect and risk increases in a linear-quadratic fashion with dose and exposure at younger ages, they

found neither a dose response nor a correlation with patient's age at rectal cancer diagnosis. This lack may harm the validity of the casual association. In addition, the two SEER studies may have been negatively affected by occult confounding factors. For example, certain comorbidities may have a strong correlation to SPM. Liver cirrhosis is strongly associated with hepatocellular carcinoma. COPD is not only linked with smoking history but also acts as an independent risk factor for lung cancer<sup>[18]</sup>. In the present study, we demonstrated that several comorbidities were significantly associated with SPM on multivariate analysis. Any conclusion regarding radiotherapy made without adjustment for these factors is vulnerable to bias. Finally, Wiltink examined the Total Mesorectal Excision trial data<sup>[10]</sup>. They used a competing-risk model and Gray's test and found that the 10-year SPM rates were 14.8% and 15.3% in patients with and without radiotherapy, respectively. No significant difference was noted. The competing-risk model is more accurate in estimating SPM probability than the Kaplan-Meier model in that the competing circumstance is death. However, for etiological research, a proportional cause-specific hazards model may be more appropriate than the competing-risk model<sup>[19]</sup>. Here, we used competing-risk model to report the cumulative incidence of SPM and applied a Cox model to compare the HRs for different treatment groups.

Another limitation of these four studies is the lack of chemotherapy analysis. Chemotherapy is associated with SPM risk, mainly leukemias but also solid tumors<sup>[14]</sup>. However, most data on chemotherapy are derived from studies on Hodgkin lymphoma<sup>[20,21]</sup> and breast cancer<sup>[22]</sup>. The association between chemotherapy and SPM in rectal cancers has not been studied. In our study, the use of chemotherapy was not associated with increased SPM. After controlling for chemotherapy and other comorbidities, we could assess the absolute excess risk

**A****B**

**Figure 3** Secondary cancer site analysis for (A) with/without radiotherapy, and (B) preoperative and postoperative radiotherapy. Referenced by surgery-alone group, <sup>a</sup> $P < 0.05$ ; HR: Hazard ratio; NA: Not applicable.

of the radiotherapy effect. We found that the overall SPM risk did not increase in irradiated patients. Considering the diagnosis age of rectal cancer patients tends to be older, we would expect to find less radiation-induced cancers than in younger cancer patients<sup>[23]</sup>. In our sensitivity test, we added attained cancer year into the model and performed a subgroup analysis focused on long-term survivors. The absence of a radiotherapy effect was still in consistent in these analyses. Considering age is not an exclusive factor that affect surgical complication

in colo-rectal cancer patients<sup>[24,25]</sup>, we suggest irradiation should not be avoided either in the elderly rectal cancer patients.

In Berrington's SEER study, the relative risk of second lung cancer in irradiated rectal cancer patients was 1.27<sup>[9]</sup>. Additionally, second primary lung cancer has been reported to increase after irradiation in prostate cancer patients<sup>[26,27]</sup>. In our second cancer site analysis, lung cancer was the only increased SPM subsite that was associated with radiotherapy. One reason for this

relationship may be that lung cancer can be induced efficiently by relatively low doses of radiation, which has been shown in breast cancer and Hodgkin lymphoma survivors<sup>[28,29]</sup>. Another explanation is the possible uneven distribution of patients who smoke. Of the other specific solid tumor sites, both bladder carcinoma and uterus carcinoma showed non-significant increasing trend, which was broadly consistent with previous studies. We found that the risk of subsequent prostate cancer was decreased in irradiated patients, although again the difference was not statistically significant (HR = 0.62, 95%CI: 0.34-1.13). A recent meta-analysis supported this finding that radiotherapy for rectal cancer is associated with a decreased prostate cancer risk<sup>[30]</sup>. However, the mechanism is still unclear.

The strength of our study is that these data were derived from population-based registries, which permits a powerful evaluation of SPM risk according to a variety of relevant variables. By controlling for treatment and patient characteristics, we can minimize the potential for bias. We also performed a sensitivity analysis to test the robustness of our conclusions. Nonetheless, our study had several limitations. The main limitation was the relatively short mean follow-up. However, there were still more than 3000 patients followed up for more than 10 years. In the sensitivity analysis, the HR of radiotherapy in patients followed more than 5 or 10 years remained statistically insignificant. This conclusion is not likely to be altered after even longer follow-up periods. Second, the radiotherapy dose and volume were not available in the NHIRD, which made it impossible to analyze the radiotherapy dose response. Instead of the dose, we used radiation portals as a surrogate and applied strict criteria for the different radiotherapy regimens to ensure that the radiotherapy dose was consistent in each regimen group. Radiation techniques have evolved in the past decades, but we could not ascertain the radiotherapy technique information used for each patient. The use of the intensity-modulated radiation therapy (IMRT) technique may result in a greater volume of low-dose irradiated tissue and therefore more SPM<sup>[31]</sup>. However, the three-dimensional conformal radiation therapy (3DCRT) technique was still the standard treatment for rectal cancer during the study period. We also adjusted for the diagnosis year, which may have helped to eliminate this bias. Third, the lack of data on smoking and other lifestyle information likely suggests that there is residual confounding.

In the future, we advocate that study regards to SPM related to radiotherapy should carefully adjust comorbidities, chemotherapy, and use competing risk model to yield true effect of radiotherapy. Also studies should focused on the mechanisms by which radiation may produce carcinogenic changes, especially in SPM outside irradiation volume.

In conclusion, in this population-based study, no increased risk of developing SPM was found in rectal cancer patients who received pelvic radiotherapy in their

initial treatment. The SPM risk remained the same among the preoperative long-course, preoperative short-course, and postoperative radiotherapy groups. Therefore, the SPM risk should not be a major consideration in treatment decisions. However, rectal cancer survivors, similarly to other cancer survivors, are burdened with an overall higher probability of developing a second primary cancer. Life-long follow-up is recommended.

## ARTICLE HIGHLIGHTS

### Research background

Previous literature on second primary malignancy (SPM) risk after radiotherapy in rectal cancer survivors yielded controversial results. Also, lack of comorbidities, chemotherapy, and competing risk adjustment may cause biased conclusion. In addition, whether different radiotherapy regimens results in different SPM risk has not been investigated. In this study, we meticulously collected and analyzed all factors may contribute in SPM, and yielded true radiotherapy effect.

### Research motivation

The risk of developing an SPM has received greater attention in clinical practice. Although several studies have investigated the relationship between radiotherapy and SPM in rectal cancer patients, the conclusions have been diverse.

### Research objectives

To analyze true radiotherapy effect on developing an SPM in rectal cancer patients.

### Research methods

We used Taiwan's National Health Insurance Research Database to identify rectal cancer patients between 1996 and 2011. The cohort was composed of patients aged 20 years or older who were diagnosed with a first primary rectal cancer. SPM risk was analyzed by competing risk model. The overall and site-specific SPM incidence rates were compared among the radiotherapy groups by multivariate Cox regression, taking chemotherapy and comorbidities into account. Sensitivity tests were performed for attained-year adjustment and long-term survivor analysis.

### Research results

In this large-scale population-based cohort study, we found no increase of SPM due to radiotherapy in rectal patients. Different radiotherapy regimens results in same SPM risk. Factors that were significantly associated with a higher risk for SPMs included male sex, age, liver cirrhosis, autoimmune disease, and COPD. Compared with the surgery-only group, a significantly increased HR for SPM in the radiotherapy group was only evident for lung cancer (HR = 1.42, 95%CI: 1.04-1.93;  $P < 0.001$ ). The risk of bladder, uterus, skin, and hematologic cancer was elevated in irradiated patients, but the difference was not statistically significant.

### Research conclusions

This study confirmed no increased risk of SPM due to radiotherapy in rectal patients. Many secondary malignancy may only reflect the patients' genetic backgrounds, cancer-related treatments, lifestyles, and environmental risk factors. After careful confounder adjustment and appropriate statistical analysis, no radiotherapy effect on SPM can be drawn. This is an important conclusion to both patients and physicians.

### Research perspectives

Some comorbidities confounders have profound effects on developing secondary malignancy. Also, death is a strong competing risk need to handle. In future, we need to explore and investigate the mechanism of oncogenic effect of radiotherapy, especially in cancer outside radiation volume.

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**P- Reviewer:** Biondi A, Kin SH, Velenik V **S- Editor:** Gong ZM  
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ISSN 1007-9327

