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**Burden and outcomes for complex perianal fistulas in Crohn’s disease: Systematic review**

PanesJ *et al*. CPF in Crohn’s disease

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# Abstract

***AIM***

To systematically review the literature on epidemiology, disease burden, and treatment outcomes for Crohn’s disease (CD) patients with complex perianal fistulas.

***METHODS***

PubMed, Embase, and Cochrane were searched for relevant articles (published 2000-November 2016) and congress abstracts (published 2011-November 2016).

***RESULTS***

Of 535 records reviewed, 62 relevant sources were identified (mostly small observational studies). The cumulative incidence of complex perianal fistulas in CD from two referral-centre studies was 12%-14% (follow-up time, 12 years in one study; not reported in the second study). Complex perianal fistulas result in greatly diminished quality of life; up to 59% of patients are at risk of faecal incontinence. Treatments include combinations of medical and surgical interventions and expanded allogeneic adipose-derived stem cells. High proportions of patients experience lack of or inadequate response to treatment (failure and relapse rates, respectively: Medical, 12%-73% and 0%-41%; surgical: 0%-100% and 11%‑20%; combined medical/surgical: 0%-80% and 0%-50%; stem cells: 29%-47% and not reported). Few studies (1 of infliximab; 3 of surgical interventions) have been conducted in treatment-refractory patients, a population with high unmet needs. Limited data exist on the clinical value of anti–tumour necrosis factor–α dose escalation in patients with complex perianal fistulas in CD.

***CONCLUSION***

Complex perianal fistulas in CD pose substantial clinical and humanistic burden. There is a need for effective treatments, especially for patients refractory to anti–tumour necrosis factor–α agents, as evidenced by high failure and relapse rates.

**Key words:** Burden;Complex perianal fistulas; Crohn’s disease; Epidemiology; Outcomes; Systematic literature review; Treatment

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**Core tip:** Complex perianal fistulas in Crohn’s disease (CD) impose considerable burden. Rates of failure and relapse are generally high with currently available treatments. Effective treatment options for complex perianal fistulas in patients with CD, especially those who are refractory to anti–tumour necrosis factor-α agents, are needed.

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# INTRODUCTION

Crohn’s disease (CD) is a chronic inflammatory disease of the gastrointestinal tract characterised by transmural inflammation that can disrupt the mucosal integrity of the intestine and anal canal, leading to complications such as abscesses and fistulas (*i.e.*, tracts between intestinal segments and other organs or adjacent tissue or skin)[[1](#_ENREF_1)]. It is estimated that 26% of patients with CD experience perianal fistulas in the two decades after diagnosis[[2](#_ENREF_2)]. An estimated 70% to 80% of perianal fistulas are complex perianal fistulas (CPF)[[3](#_ENREF_3)], defined by the American Gastroenterological Association as those involving the upper part of the sphincter complex (*i.e.*, high intersphincteric, high transsphincteric, suprasphincteric, or extrasphincteric origin of the fistula tract); have multiple external openings (tracts); are associated with pain or fluctuation suggesting a perianal abscess; and/or are associated with a rectovaginal fistula or anorectal stricture[[4](#_ENREF_4)]. The available literature indicates that CPF can result in a high disease burden, greatly diminished health-related quality of life (HRQOL), and increased health care resource use and costs[[5](#_ENREF_5)].

Treatment approaches for CPF in patients with CD include combinations of medical and surgical options, with the aims of achieving healing of the fistula, treating and preventing further septic complications, resolving fistula discharge, and improving patients’ HRQOL[[6](#_ENREF_6)]. Currently, anti-tumour necrosis factor alpha (TNF-α) agents, particularly infliximab, are generally recommended as the first-line medical therapy for CPF in patients with CD[[5](#_ENREF_5),[6](#_ENREF_6)]. Adjuvant use of antibiotics at induction and thiopurines during maintenance are additional options[[5](#_ENREF_5)]. Relapse is common after stopping medical treatment, and it is generally estimated that only one-third of patients achieve remission, often defined as closure of external openings and a lack of drainage (although definitions of remission vary across studies)[[3](#_ENREF_3),[7](#_ENREF_7)].

To our knowledge, the literature on epidemiology, burden, and management of CPF in patients with CD has not been systematically reviewed. The objective of this study was to conduct a systematic review of the literature on the epidemiology and disease burden of CPF in Europe and treatment outcomes for CPF in patients with CD globally.

# MATERIALS AND METHODS

A systematic search of electronic medical literature databases and relevant conferences (*i.e.*, Crohn’s and Colitis Foundation of America’s clinical and research conference/Advances in Inflammatory Bowel Diseases, Digestive Disease Week, European Crohn’s and Colitis Organisation, American College of Gastroenterology, United European Gastroenterology Week, and International Society for Pharmacoepidemiology; 2011 forward) was conducted in November 2016. The MEDLINE, MEDLINE In-Process, Embase, and Cochrane Library (including Central Register of Controlled Trials, Cochrane Database of Systematic Reviews, and Database of Abstracts of Reviews of Effects) databases were searched (2000 forward for full articles; 2011 forward for conference abstracts) using combinations of free text and Medical Subject Headings terms. The population of interest was adult (≥ 18 years) patients with CD and/or CPF undergoing medical or surgical therapy. CPF were generally defined as fistulas with intersphincteric, transsphincteric, suprasphincteric, extrasphincteric, or horseshoe tracts, although definitions of CPF varied between studies. Rectovaginal fistulas were included if they were included by authors as part of their definition of CPF; however, we did not specifically focus on rectovaginal fistulas. Studies were included if they reported the epidemiology, burden, costs, treatment patterns, and/or treatment outcomes. Studies not distinguishing between simple perianal fistulas and CPF were excluded if outcomes were not reported separately for the CPF population. Comments, case reports, and studies in animals were excluded. For a full list of the predefined inclusion and exclusion criteria see Supplementary Table 1. Supplementary Table 2 presents the MEDLINE search strategy. Additional studies were identified *via* the bibliographies of relevant systematic literature reviews and conference abstracts. Searches of professional association websites, and the National Guidelines Clearinghouse and Turning Research into Practice databases were also conducted to capture clinical guidelines.

Articles were selected by two independent researchers *via* a two-step screening process. Titles and abstracts of identified articles were first reviewed for relevance according to the inclusion and exclusion criteria. Full-text articles selected were then reviewed for relevance using the same criteria. Any disagreements regarding the inclusion of an article were resolved by consensus, using a third reviewer to reach a final decision. For information about epidemiology, HRQOL and economic burden, and treatment guidelines and patterns for CPF in patients with CD, we focused on European studies. Because clinical studies are not prone to change from country to country, we also included clinical studies conducted in any country if they reported on relevant treatment outcomes. For the purposes of this review, we classified surgical procedures as major or minor, as outlined in Supplementary Table 3.

# RESULTS

## *Literature search results*

The search of the medical literature databases yielded 535 records, from which 116 sources were selected after abstract review, and 33 sources were deemed eligible for inclusion after full-text review. An additional 29 sources were identified from the search of conference abstracts and the grey literature, for a total of 62 articles and abstracts (Figure 1).

## *Epidemiology of complex perianal fistulas in CD (n = 2 studies)*

The cumulative incidence of CPF in patients with CD reported in two separate retrospective Spanish studies (including 2391 and 350 distinct CD patients) was 12% and 14% (follow-up: Mean 12 years per patient and total 15 years within institution, respectively)[[8](#_ENREF_8),[9](#_ENREF_9)] (Figure 2). In the larger study, the authors reported an annual incidence of CPF of 0.7 per 100 patient-years[[8](#_ENREF_8)]. No epidemiologic studies presenting data on the proportion of CPF among CD patients with perianal fistulas were identified. However, in clinical studies, CPF accounted for 52% to 88% of the total number of perianal fistulas among patients with CD[[10-16](#_ENREF_10)] (Table 1).

## *Health-related quality of life burden of CD patients with perianal fistulas (n = 1 study)*

A postal HRQOL questionnaire was administered to 69 perianal CD patients (84% with CPF) with no current stoma, identified retrospectively from a single surgical department in Austria, who underwent surgical treatment[[12](#_ENREF_12)]. (Surgical treatments were fistulotomy, loose seton drainage, advancement flap, or stoma.) HRQOL was statistically significantly reduced compared with age- and sex-matched healthy controls[[12](#_ENREF_12)]. Median SF-12 physical health score was 47.9 (range, 25.5-57.2) for patients compared with 54.3 (range, 34.6-61.8) for controls (*P* = 0.03). Patients’ Inflammatory Bowel Disease Questionnaire (IBDQ) score was 157 (range, 60-199.5) compared with 188.5 (range, 125-206.5) for controls (*P* < 0.0001). Faecal incontinence, defined as the involuntary leakage of solid stool, liquid stool, or gas at the time of follow-up, was observed in 59% of patients (incontinence of solid stool: 30%, liquid stool: 54%, gas: 52%) and had a negative impact on HRQOL (IBDQ; *P* = 0.0006 *vs* healthy age-matched controls without faecal incontinence)[[12](#_ENREF_12)].

## *Treatment of complex perianal fistulas in CD patients treatment goals (n = 1 study)*

The short-term goals in the treatment of perianal CD, including CPF, are abscess drainage (to manage or prevent sepsis) and reduction of symptoms[[17](#_ENREF_17)]. The long-term goals are resolving fistula discharge, improvement in HRQOL, fistula healing, preserving continence, and avoiding proctectomy with stoma[[17](#_ENREF_17)].

### Treatment options, choices, and guidelines (n = 6 studies)

Treatment options for CPF include a combination of medical (*e.g.*, antibiotics, immunosuppressants, and anti–TNF-α drugs) and surgical interventions (*e.g.*, plugs, glue, fistulotomy, fistulectomy, placement of setons, stoma, ligation of the intersphincteric fistula tract, advancement flap, colectomy, proctectomy), as well as stem cell therapy. Figure 3 presents real-world treatment patterns as identified in two retrospective studies - one conducted in Spain and one in the Netherlands[[13](#_ENREF_13),[18](#_ENREF_18)].

Global, European, Italian, and German treatment guidelines vary with respect to treatment of CPF in patients with CD; however, drainage of sepsis is generally recommended as first‑line intervention before initiating immunosuppressive treatment[[17](#_ENREF_17),[19-21](#_ENREF_19)]. Recommended first-line medical treatments include anti–TNF-α agents, particularly infliximab (the only anti-TNF-α agent approved for fistulas in patients with CD), sometimes in combination with antibiotics and/or thiopurines; plugs are recommended as first-line surgical therapy[[17](#_ENREF_17),[19](#_ENREF_19),[20](#_ENREF_20)]. For maintenance therapy following induction, guidelines generally recommend azathioprine/6‑mercaptopurine, often combined with infliximab or adalimumab, seton drainage, or a combination of drainage and medical therapy[[19](#_ENREF_19),[20](#_ENREF_20)]. Upon failure of anti-TNF-α agents, treatment options include azathioprine/6-mercaptopurine, methotrexate, or tacrolimus, with antibiotics as adjunctive treatment[[17](#_ENREF_17),[20](#_ENREF_20)]. Ustekinumab is now also available for treatment of CD after failure of anti-TNF-α agents but has not been specifically studied in CD patients with CPF[[22](#_ENREF_22),[23](#_ENREF_23)]. Proctectomy or diverting stoma is the last resort for severe, therapy-refractory disease[[17](#_ENREF_17),[19](#_ENREF_19),[20](#_ENREF_20)].

### Clinical treatment outcomes (n = 45 studies)

Clinical treatment outcomes (*e.g.*, response, relapse, and maintenance of healing) were described in 20 prospective studies and 24 retrospective studies (design was unclear in one study). Supplementary Table 4 presents information on each study, including study design, definitions of CPF, and study endpoints (*e.g.*, response and relapse). All included studies presented information on the treatment of patients with CPF and CD. (Three studies - [Alessandroni *et al*[11] (2013),](#_ENREF_11) [Arberas-Diez *et al*[18] (2013),](#_ENREF_18) and [Haennig *et al*[10] (2015)](#_ENREF_10) - reported results for CD patients with any fistula, but the majority (≥ 80%) of the population had CPF). Therefore, studies of infliximab and other treatment options were not included if they enrolled patients with any perianal fistulising CD but relevant outcomes were not reported separately for patients with CPF. Treatment options investigated in the included studies were anti-TNF-α agents (infliximab, adalimumab, or unspecified anti-TNF-α agent) (*n* = 10), major and minor surgery (as defined in Supplementary Table 3) with (*n* = 15) or without (*n* = 12) concurrent medical treatment, stem cell therapy (*n* = 4), and "usual care" (defined as a standard medical care delivered at a study author’s institution, consisting of medical and/or surgical approaches) (*n* = 4) (Figure 4; Supplementary Table 4). One study investigating "usual care" reported response rates for patients treated with antibiotics and thiopurines without concurrent surgical or anti-TNF-α therapies[[18](#_ENREF_18)].

#### *Rates of treatment failure: Lack of response or inadequate response*

Across studies and treatment options reviewed, treatment failures (calculated based on lack of or inadequate response, as presented in the included studies) and/or relapses were common (Figure 4).

#### *Rates of remission*

Three studies (3 retrospective reviews of prospectively collected data reported by the same first author, perhaps with overlapping populations, and examining treatment with some combination of an anti-TNF-α [agent not specified] plus azathioprine and/or antibiotics[[24-26](#_ENREF_24)]) reported clinical remission rate and radiological remission rate, one retrospective study (examining fibrin glue with or without adult stem cells) included Magnetic resonance imaging (MRI) results as part of their definition of remission[[27](#_ENREF_27)], and two studies (one prospective trial of adalimumab and one retrospective study examining infliximab plus other medications such as 6-mercatopurine, azathioprine, methotrexate, and/or antibiotics) provided rates for both clinical and endoscopic ultrasound-confirmed remission[[28](#_ENREF_28),[29](#_ENREF_29)]. Overall, only a small proportion of patients with CD and CPF treated with anti-TNF-α (agent not specified) achieved radiological healing. Specifically, among 39 patients with mean follow-up of 31 mo, 54% were in clinical remission, but only 10% with radiological closure[[24](#_ENREF_24)]; among 51 patients with mean follow-up time of 42 mo, 53% were in clinical remission, but only 14% with radiological closure[[25](#_ENREF_25)]; and among 66 patients with mean follow-up of 48.50 mo, 40% were in clinical remission, but only 24% had radiological closure[[26](#_ENREF_26)]. The rate of combined clinical and radiological remission was comparable to the rate of clinical remission for patients who received darvadstrocel (Cx601) stem cell therapy (50% *vs* 53% of 107 patients receiving Cx601 plus standard of care followed up for 24 wk compared with 34% *vs* 41% of 105 patients receiving placebo plus standard of care[[3](#_ENREF_3)]).

#### *Anti-TNF-α treatment response rates*

Ten studies reported outcomes after anti-TNF-α treatment (4 for infliximab [infusions or local injections], 3 for adalimumab, and 3 for unspecified anti-TNF-α treatment; 5 were retrospective, 4 were prospective, and 1 had an unclear study design; follow-up ranged from 4 wk to a mean of 48 mo across studies; see Supplementary Table 4 for details). In studies evaluating infliximab induction treatment, complete response was not consistently achieved (Figure 4). In a retrospective United Kingdom (UK) study, 42.3% of 52 CD patients with CPF had complete response (defined as complete closure of the fistula with no further drainage) and 44.2% had a partial response (defined as reduction in number, size, or drainage of fistulas) to infliximab after a median follow-up of 41 mo[[30](#_ENREF_30)]. A prospective Italian study found that 3 of 6 CD patients with CPF experienced clinical closure of the CPF at the end of the induction period (*i.e.*, the week 10 visit)[[31](#_ENREF_31)]. Moreover, relapse is common. In the aforementioned UK study, the relapse rate at the median follow-up time of 36.5 mo was 41% among patients with initial complete response and 47.8% among those with initial partial response[[30](#_ENREF_30)]. In another prospective Italian cohort study, the initial rate of persistent closure at 12 mo among 12 CD patients with CPF was 87.5% but was 62.5% at the end of the follow-up (median, 35 mo)[[32](#_ENREF_32)].

The proportion of adalimumab-treated patients that achieved complete cessation of drainage varied. The complete response rate after adalimumab treatment was 88% at 48 wk among a subset of nine patients enrolled in a randomised prospective study conducted in the United States (US) who underwent assessments by endoscopic ultrasound every 12 wk and was 73% among 11 control patients who received standard of care without endoscopic ultrasound[[28](#_ENREF_28)]. Complete response was 28% among 38 CD patients with CPF at 12 mo in a retrospective multicentre study conducted in Spain[[33](#_ENREF_33)]. In a retrospective assessment of data gathered prospectively in Turkey, clinical response after an anti-TNF-α–based triple treatment (unspecified anti-TNF-α agent, azathioprine, and antibiotic) among 66 CD patients with CPF after a mean follow-up of 48.50 mo was 40%[[26](#_ENREF_26)].

#### *Surgical treatment response rates*

Twelve studies reported outcomes after surgical interventions without combined medical treatment (8 retrospective and 4 prospective; duration of follow-up across studies ranged from 2 mo to 7 years). Ten studies focused on major surgical procedures, one focused on minor surgical procedures, and one did not report the type of procedure (see Figure 4 and Supplementary Table 4 for details of each procedure). Across all 12 studies, response rates with surgical procedures ranged from 31% to 100%. In a retrospective Canadian study, the healing rate among patients who underwent seton drain placement was 31.3% (10 of 32 patients; assessment at 12 wk), 33.3% (1 of 3 patients) among patients who underwent advancement flaps, and 75% (3 of 4 patients) among patients who had fistula plugs inserted[[34](#_ENREF_34)]. In an Austrian retrospective cohort study, all five patients who underwent myocutaneous flaps and proctocolectomy with permanent ileostomy experienced healing at 3 mo, although one patient relapsed at 6 mo[[35](#_ENREF_35)]. Similarly, in a retrospective Brazilian study, at a median follow-up of 15.2 mo, 1 of 5 patients who underwent fistula tract transposition experienced a recurrence associated with a new tract formation[[36](#_ENREF_36)].

#### *Combined medical and surgical response rates*

Fifteen studies reported outcomes after combined medical and surgical treatments (10 prospective and 5 retrospective studies; follow-up ranged from 3 mo to 5 years across studies; 2 studies reported outcomes for major surgical procedures combined with medical treatment, 12 for minor surgical procedures combined with medical treatment, and 1 for unspecified surgery type combined with medical treatment; see Figure 4 and Supplementary Table 4 for details). Overall, response rates ranged from 20% to 100%. Response rates to combined infliximab and seton drainage therapy ranged from 43% to 100%[[10](#_ENREF_10),[29](#_ENREF_29),[37-42](#_ENREF_37)]. ([Haennig *et al*[10] (2015)](#_ENREF_10) included 71 patients with CD with CPF of 81 patients total). In a retrospective observational study, relapse was reported in 41% of 71 CD patients (with CPF) who underwent combined infliximab and seton drainage therapy[[10](#_ENREF_10)].

The results of three comparative studies suggested that combined medical and surgical treatment may have clinical outcome benefits over medical (drug) or surgical treatment alone. In a retrospective US study of 20 CD patients with CPF, outcomes were significantly better in patients who had an examination and seton placement under anaesthesia prior to infliximab compared with patients receiving infliximab alone in terms of initial response (within 3 mo of third infusion; 100% *vs* 71.5%, *P* = 0.026), recurrence rate (50% *vs* 100%, *P* = 0.036), and mean time to recurrence (13 mo *vs* 2.1 mo, *P* = 0.001)[[41](#_ENREF_41)]. Similarly, in a retrospective Korean study of 85 CD patients with CPF[[43](#_ENREF_43)], patients receiving combined medical (antibiotics and/or immunomodulators; no anti-TNF-α) and fistulotomy and/or seton drainage showed significantly better response rates at 3 mo (68%) than patients receiving medical treatment alone (40.9%) or surgical treatment alone (38.5%) (*P* = 0.03). In a prospective Italian study of 35 CD patients with CPF, although the rates of response and relapse were not significantly different among patients who received infliximab only, surgery (*i.e.*, draining seton) only, or infliximab combined with surgery, patients in the latter group showed significantly shorter mean (standard deviation [SD]) time to healing of fistulas [3.1 (0.8) mo] than those who received surgery alone [mean (SD), 4.2 (1.3) mo; *P* = 0.041] and significantly longer mean time to relapse than those who received infliximab only [mean (SD), 2.6 (0.7) mo; *P* = 0.012] or surgery only [mean (SD), 3.6 (0.5) mo; *P* = 0.016][[38](#_ENREF_38)].

#### *Response rates after allogeneic adipose-derived stem cell treatment*

Expanded allogeneic adipose-derived stem cells (eASCs) are a novel treatment option for patients with CD and CPF who do not respond to conventional and/or biological treatments[[44](#_ENREF_44)] or in whom systemic immunosuppression needs to be avoided. Across studies of eASCs, response (defined as ≥ 50% reduction in the number of draining fistulas) has been achieved in 66% to 71% of patients and combined clinical and radiological remission (defined as absence of abscess ≥ 2 cm in diameter) has been achieved in 30% to 50% of patients[[3](#_ENREF_3),[45](#_ENREF_45),[46](#_ENREF_46)]. In a phase 3 trial of darvadstrocel, a suspension of eASCs, in 212 CD patients with CPF, significantly more patients treated with darvadstrocel achieved combined remission 24 wk after receiving a single injection compared with control patients’ (50% *vs* 34%, *P* = 0.024); numerical improvements in response and clinical remission rates were also observed[[3](#_ENREF_3)]. Moreover, the median time to clinical remission was shorter with darvadstrocel than with control (6.7 wk *vs* 14.6 wk; hazard ratio, 0.57; 95CI: 0.41-0.79), as was the median time to response (6.3 wk *vs* 11.7 wk; hazard ratio, 0.59; 95%CI, 0.43-0.81)[[3](#_ENREF_3)].

#### *“Usual care” response rates*

Four studies presenting outcomes after usual care were identified. Results by specific treatment were not consistently available in these studies. Usual care varied among countries and included both medical interventions (usual medical care included anti-TNF-α agents in the Netherlands[[13](#_ENREF_13)] and Spain[[18](#_ENREF_18)] but not did not include anti-TNF-α agents in the UK[[15](#_ENREF_15)] or Ukraine[[47](#_ENREF_47)]) and surgical interventions (see Supplementary Table 4). Overall, complete response after usual care was achieved in 32% to 77% of patients, with higher response rates generally reported when “usual care” included anti-TNF-α therapy. This wide range in response rates is likely due to variations in the treatment options considered usual care in the studies. In a retrospective Dutch study including 181 patients with CD and CPF, although the initial remission rate was 64.6%, at a median time of follow-up of 10 years, remission was maintained in only 37% of patients[[13](#_ENREF_13)]. In a retrospective Spanish study, complete response rates appeared to be more favourable with an anti–TNF-α agent combined with thiopurines (77% of patients) than with anti-TNF-α monotherapy (59%); however, outcomes were reported only for patients with any type of fistula [~250 of 313 patients (80%) had complex fistulas][[18](#_ENREF_18)]. Corresponding complete response rates for patients with complex fistulas treated with only thiopurines, antibiotics, or surgical procedures were 32%, 37%, and 50%, respectively. Treatment outcomes for surgery combined with an anti-TNF-α agent were not reported[[18](#_ENREF_18)]. A retrospective UK study reported that the overall healing rate for CPF treated with standard care was 70% (55/79 fistulas); median time to healing of CPF was 42.8 mo (range, 1.8‑397 mo), with a median of 6 treatment episodes (range, 1-23 episodes); the majority of episodes leading to healing consisted of surgical treatment[[15](#_ENREF_15)]. In a Ukrainian study, 11 of 15 patients with complex fistulas underwent transanal advancement flap, and 4 of 15 patients underwent non-cutting seton procedure (both procedures were followed by medical treatment); of these, 5 of 11 (45%) and 2 of 4 (50%) healed, respectively[[47](#_ENREF_47)].

### Outcomes in treatment-refractory patients

Although most patients in the studies identified received previous or concurrent medical and surgical therapies, only four studies were conducted specifically in patients refractory to medical and/or surgical therapies. In a prospective study of perifistular injections of infliximab in 12 CD patients with CPF refractory to medical therapy with immunomodulators and/or intravenous infliximab, persistent closure (*i.e.*, 12 mo after treatment) occurred in 62.5% of patients (5/8) at the end of follow-up (median, 35 mo)[[32](#_ENREF_32)]. Three retrospective studies of patients refractory to some combination of medical (*e.g.*, anti-TNF-α agents, azathioprine, steroids) and surgical interventions evaluated specific surgical interventions (myocutaneous flaps and proctocolectomy with permanent ileostomy, gracilis muscle transposition, or over‑the‑scope-clip proctology), with healing rates ranging from 64% of patients to 100% of patients[[35](#_ENREF_35),[48](#_ENREF_48),[49](#_ENREF_49)].

### Outcomes after anti-TNF-α dose escalation or switching

There is limited evidence on anti-TNF-α dose escalation in this population. One small retrospective study showed that adalimumab dose escalation to 40 mg weekly (from 160 + 80 mg or 80 + 40 mg induction) in patients who had no response (*n* = 3 patients) or inadequate response (*n* = 6 patients) to adalimumab led to remission in only 3 of 9 patients[[33](#_ENREF_33)]. No studies reporting outcomes after switching between different anti-TNF-α agents for the treatment of CPF were identified.

### Predictors of treatment outcomes

Evidence of correlation between patient characteristics and CPF treatment response is limited and inconsistent. One retrospective study of 210 CD patients with perianal fistulas found that the risk of poor outcomes after treatment of CPF in patients with CD was related significantly to disease location, rectal involvement, and need for more than one surgical procedure[[11](#_ENREF_11)]. ([*Alessandroni et al*[11] (2013)](#_ENREF_11) included 210 patients with perianal fistulas [181 (86%) with CPF]. Another retrospective study reported no correlation between fistula response and disease location, duration, number of fistulas, or treatment modality[[24](#_ENREF_24)].

### Other outcomes

Few studies (*n* = 11) reported patients’ continence after surgical procedures, and in most of these studies no negative effect on continence was observed[[27](#_ENREF_27),[34](#_ENREF_34),[36](#_ENREF_36),[46](#_ENREF_46),[47](#_ENREF_47),[49](#_ENREF_49)-[54](#_ENREF_50)]. In addition, the need for colostomy or ileostomy after treatment failure generally was not consistently reported across studies. In a Dutch study, 33.1% of 181 patients with CD and CPF and 15.7% of 51 patients with simple fistulas underwent faecal diversion (stoma; not reported if transient or permanent) during a median follow-up of 10.0 years[[13](#_ENREF_13)]. Among 210 patients with CD and any perianal fistulas (86% with CPF), the cumulative probability of defunctioning stoma or proctectomy during 72 mo of follow-up was 0.3. This probability was not influenced by patients’ type of fistula, age at diagnosis, or sex and not significantly reduced by immunosuppressive or biological therapy; however, the risk was significantly related to disease localisation, rectal involvement, and the need for more than one surgical procedure[[11](#_ENREF_11)].

# DISCUSSION

This systematic literature review revealed that published data on the epidemiology and burden of CPF in patients with CD are scarce. The cumulative incidence of CPF in patients with CD ranged from 12% to 14% (follow-up: mean 12 years per patient and total 15 years within institution, respectively)[[8](#_ENREF_8),[9](#_ENREF_9)]. These findings are aligned with the results of previous studies of all perianal fistulas in CD. In a US-population based study, the frequency of perianal fistulas in CD was reported as 12% at 1 year, 15% at 5 years, 21% at 10 years, and 26% at 20 years[[2](#_ENREF_2)]. In New Zealand, the cumulative incidence at 20 years of any perianal fistula in CD was reported as 28.3%[[55](#_ENREF_55)]. No published studies on the prevalence of CPF in CD were identified in this review.

This review identified only one study evaluating the costs of CPF in patients with CD in Europe, highlighting a significant gap in the literature. A 2010 retrospective study conducted in Spain estimated the direct costs of CPF to be €8289 per patient per year, with biologic treatment costs a main cost driver, representing 61.4% of direct costs[[56](#_ENREF_56)]; however, it is difficult to separate the costs attributable directly to CPF *vs* costs related to the underlying CD. A 2008 US study found that patients with fistulising CD had higher mean direct costs than patients without fistula ($35,373 *vs* $15,564 per patient per year; *P* < 0.0001)[[57](#_ENREF_57)]. As both studies considered only direct health care costs and are outdated, the reported costs are likely an underestimation of current total costs of CPF in patients with CD. Moreover, because the resolution of CPF in CD may take years, long-term total costs are likely to exceed published estimates of annual estimated costs. Further research is needed to characterise the economic burden of CPF in patients with CD.

Several European clinical guidelines related to treatment of CPF in patients with CD are currently available. Although recommendations vary slightly between guidelines, a combination of surgical and medical treatment is generally recommended. Recently, a new treatment algorithm for perianal fistulising disease, including CPF, in CD has been proposed that recommends a top-down approach for patients with CPF and/or proctitis[[58](#_ENREF_58)]. The authors suggest local injection of mesenchymal stem cells can be considered in patients without proctitis who do not respond to conventional therapies. In general, surgery may still be required in a high proportion of patients and should not be delayed when criteria of drug failure are met. Timely assessment of response to medical therapy is essential to initiate alternative therapies or surgery[[58](#_ENREF_58)].

This review also highlights low response rates with most treatments, and the considerable unmet needs that remain for patients with CD and CPF. A total of 45 studies reporting treatment outcomes for this population was identified, most of which were small observational studies. Differences in study methodologies, populations, definition of endpoints, and duration of follow-up in each study make comparison across studies difficult and emphasise the need for studies in larger CPF populations with long-term follow-up. Definitions for outcomes assessment differed considerably between studies, and few studies included assessments of response by MRI (a recently published review details the issues in assessment of fistula disease activity[[58](#_ENREF_58)]). Due to large differences in the follow-up time and lack of specificity in published results, it is not possible to differentiate between primary failure and loss of response with the treatments evaluated in this review. Moreover, the effect of placebo response must be considered when interpreting response rates[55] Bearing in mind these challenges in interpretation, response rates ranged from 20% to 100% across all studies; for most studies, they were between 50% and 85% (Supplementary Table 4). Treatment failure rates varied greatly, ranging from 0% to 80% across studies; rates of relapse also varied, ranging from 0% to 66% (Figure 4). These findings suggest that many patients experience inadequate response to treatment, fail treatment altogether, or go on to experience relapse, highlighting the need for more effective treatments.

Expanded ASCs may be a viable treatment option for patients with CD and treatment-refractory CPF - a group of patients for whom treatment options are currently limited. In a randomised controlled trial, the eASC treatment darvadstrocel has shown significant improvement in combined remission rates relative to control treatment at 24 wks in patients who were treatment refractory[[3](#_ENREF_3)]. Importantly, the response was durable; a study of the long-term efficacy of eASCs (published after this review was conducted) found that the proportion of patients in combined remission 52 wk after the single injection remained stable (eASCs 56%, placebo 39%, *P* = 0.010)[[59](#_ENREF_59)].

In addition, there is evidence from a recent study that higher infliximab trough levels are associated with perianal fistula healing in patients with CD[[60](#_ENREF_60)]. In this cross-sectional study enrolling 117 patients with CD who had (any) perianal fistula and were treated with infliximab for at least 24 wk, patients who achieved fistula healing had significantly higher median serum infliximab levels (≥ 10.1 µg/mL) compared with patients with active fistulas. Moreover, there was an incremental gain in fistula healing with higher infliximab levels[[60](#_ENREF_60)]. Further research is needed to estimate clinical utility of infliximab trough levels and costs associated with dose escalation in the treatment of CPF in patients with CD.

Evidence on the efficacy of thiopurines for the treatment of CPF in CD is limited. Most studies evaluating thiopurines in this review evaluated them in combination with an anti-TNF-α agent. Earlier studies of thiopurines conducted before anti-TNF-α agents were marketed are inconclusive regarding the efficacy of thiopurines for fistula healing in general and provide no outcomes data for CPF patients or use as surgical pre-treatment[[61](#_ENREF_61)]. Moreover, a Cochrane systematic literature review and meta-analysis of randomised controlled trials for azathioprine or 6-mercaptopurine induction therapy, focusing on subgroups of CD patients with perianal fistulas, indicated a lack of efficacy in terms of fistula improvement or healing[[61](#_ENREF_61)] (fistula healing was not assessed in a parallel Cochrane review of use of thiopurine in maintenance[[62](#_ENREF_62)]).

No identified studies presented specific data for dose escalations with infliximab, and there is a lack of evidence on how biologics impact the remission of CPF in real-world settings. Recent published evidence suggests that a treat-to-target approach using dose escalation may improve outcomes in patients with CD[[60](#_ENREF_60)]. Moreover, there is a need for randomised controlled trials assessing outcomes of medical, surgical, and combination treatment of CPF in patients with CD. Few studies assessed factors predicting response to therapy (including activity of luminal CD), and those that did found few or no correlations[[58](#_ENREF_58)]. No identified studies assessed the influence of active proctitis on treatment decisions and outcomes in patients with CD and CPF, although the association of proctitis with negative outcomes is well-documented for patients with CD and any perianal fistula[[17](#_ENREF_17),[58](#_ENREF_58)]. Additionally, few studies reported the proportion of patients with CD with CPF who developed incontinence. It has previously been reported that HRQOL is significantly affected by faecal incontinence related to inflammatory bowel disease[[63](#_ENREF_63)]. In another study, patients were highly motivated to relieve anal incontinence, with 85% inclined to accept a stoma for relief of the symptom[[64](#_ENREF_64)]. Finally, time between diagnosis of CD and development of CPF was not frequently reported. In a Dutch retrospective single-centre study, 66 of 232 (28.4%) patients with CD and perianal fistulas (78% had CPF) were diagnosed with perianal fistulas within 6 mo after diagnosis of CD[[13](#_ENREF_13)]. Among patients who were diagnosed after 6 mo, median time to diagnosis of perianal CD was 7.0 (range, 0.7-38.0) years (although it must be noted that this study excluded patients who were diagnosed with perianal disease before diagnosis of CD, which was approximately 10% of the 436 patients initially assessed for eligibility)[[13](#_ENREF_13)]. In a retrospective Israeli study, diagnosis of perianal disease preceded diagnosis of CD in 5 of 52 (9.6%) patients, was evident at presentation (it is assumed this refers to diagnosis of CD) in 27 of 52 (52%) patients, and was confirmed during disease course in 20 of 52 (38.4%) patients[[14](#_ENREF_14)].

The study results should be interpreted in view of several strengths and limitations. The study followed the robust methodology of a systematic literature review, and the scope was broad and included all treatment types and clinical studies across regions. Nevertheless, a particular limitation of this review is that CD patients with CPF constitute a rare, small, geographically disparate, and clinically heterogenous patient population, and it is challenging to evaluate outcomes in a large number of patients and control for their heterogeneity. Accordingly, many identified studies on treatment outcomes were small, retrospective, and/or non-comparative. As study methods, populations, definition of endpoints, and duration of follow-up varied widely, meaningful comparison among these studies is difficult. Definitions of CPF were particularly variable across studies (see Supplementary Table 4 for details); for instance, some studies included rectovaginal fistulas as part of their definition of CPF, whereas others did not (outcomes of rectovaginal fistulas, if not included as part of the overall definition of CPF, were not a specific focus of this review). Consensus on valid definitions for CPF and CPF outcomes would be valuable for future studies. Articles were categorised as reporting outcomes for medical therapy only, surgical therapy only (with major and minor surgeries classified accordingly for the purposes of this review), or combination therapy (and in refractory patients or not) as reported by the author; however, across all studies, most patients received multiple prior/current treatments.

The identification of a lack of quality evidence and high variation in response rates is consistent with previous reviews of publications in fistulising CD[[7](#_ENREF_7),[65](#_ENREF_65)]. An American Gastroenterological Association review of 29 studies involving patients with high or complex fistulas reported largely heterogeneous results, with postoperative healing rates ranging from 0% to 100%, recurrence rates from 0% to 75%, and proctectomy rates from 0% to 60%[[65](#_ENREF_65)-74].

In conclusion, there is a paucity of data on the epidemiology and burden of CPF in patients with CD. CPF is associated with reduced HRQOL in patients with CD. Current treatment options include a combination of medical and surgical interventions. Based on results from various small observational studies reviewed, rates of failure and relapse are generally high. Consequently, there is a need for effective treatment options for CPF in patients with CD, especially those refractory to anti-TNF-α agents.

**ARTICLE HIGHLIGHTS**

***Research background***

Perianal fistulas occur in an estimated 26% of patients with Crohn’s disease (CD) in the two decades following diagnosis, and 70% to 80% of these fistulas are complex perianal fistulas (CPF). CPF involve the upper part of the sphincter complex, have multiple external openings (tracts), are associated with pain or fluctuation suggesting a perianal abscess, and/or are associated with a rectovaginal fistula or anorectal stricture. The available evidence, while limited, suggests that CPF can result in significantly diminished health-related quality of life (HRQOL) and considerable disease burden. Understanding disease burden and unmet needs for patients with CPF may inform the development of more effective treatment strategies in this population.

***Research motivation***

To date, no systematic literature review evaluating the epidemiology, burden, and management of CPF in patients with CD has been conducted.

***Research objectives***

The objective of this study was to systematically review the literature on epidemiology, disease burden, and treatment outcomes for CD patients with CPF, thus improving understanding of the burden of CPF.

***Research methods***

A systematic search of electronic medical literature databases and relevant conferences was conducted in November 2016. Combinations of free text and Medical Subject Headings terms were used for the searches. The population of interest was adult (≥ 18 years) patients with CD and/or CPF undergoing medical or surgical therapy. CPF were generally defined as fistulas with intersphincteric, transsphincteric, suprasphincteric, extrasphincteric, or horseshoe tracts, although definitions of CPF varied between studies. Relevant studies reported on the epidemiology, burden, costs, treatment patterns, and/or treatment outcomes. Articles were selected by two independent researchers. Titles and abstracts of identified articles were first reviewed for relevance according to the inclusion and exclusion criteria. Full-text articles selected were then reviewed for relevance using the same criteria. Data were extracted from the included studies.

***Research results***

A total of 62 relevant studies were identified. Most included studies were small observational studies. The cumulative incidence of CPF in CD from two referral-centre studies was 12%-14%. CPF result in significant impairments in HRQOL. Up to 59% of patients are at risk of faecal incontinence, an outcome with significant effects on HRQOL in inflammatory bowel disease. Treatments for CPF include combinations of medical and surgical interventions and expanded allogeneic adipose-derived stem cells. High proportions of patients experience lack of or inadequate response to treatments commonly reported in the literature. Failure and relapse rates, respectively, for medical therapies were 12%-73% and 0%-41%; for surgical therapies were 0%-100% and 11%‑20%; for combined medical and surgical therapies were 0%-80% and 0%-50%; and for allogenic adipose-derived stem cell therapies were 29%-47% and not reported). Few studies (1 of infliximab; 3 of surgical interventions) have been conducted in treatment-refractory patients, a population with considerable unmet needs.

***Research conclusions***

CPF in patients with CD are associated with considerable clinical and humanistic burden. Effective treatments are needed, especially for patients refractory to anti- tumour necrosis factor alpha agents, as evidenced by high failure and relapse rates with therapies evaluated in the literature.

***Research perspectives***

Most studies reviewed were small, observational studies. Based on the available evidence, patients with CPF face significant burden; however future research should more fully characterize the epidemiology and burden of CPF in patients with CD.

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Table 1 Epidemiology of complex perianal fistula in Crohn’s disease from non-epidemiologic studies

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Reference | Country, study type | Definition of complex perianal fistula | Sample Size | Mean (SD) age | Sex, % female | Mean CD duration (SD) | Mean fistulising CD duration (SD) | Disease phenotype, % | Proportion of CPF among total fistulas, % |
| [Haennig *et al*[10]](#_ENREF_10)  2015 | France, retrospective | According to AGA | 81 | 31 (13) yr; median: 26.9 yr | 52% | NR | Newly referred patients | Perineum: 69%  Rectum: 42%  Ileum: 7%  Colon: 40%  Ileum-colon: 52%  Active proctitis: 80% | 88% |
| [Alessandroni *et al*[11]](#_ENREF_11)  2013 | Italy, retrospective | Not provided (rectovaginal and rectourethral fistulas were excluded) | 210 | Median, 34 (range 9-74) yr1 | 47%1 | NR | NR | Ileal disease1: 27%, colonic1: 26%, ileocolonic involvement1: 47% | 86% |
| [Riss *et al*[12]](#_ENREF_12)  2013 | Austria, Retrospective | Transsphincteric, extrasphincteric, suprasphincteric, and rectovaginal fistulas were classified as complex | 69 | Median, 46.5 (range 18-64) yr | 68% | Median, 202.2 mo (range 29-406.5 mo) | NR | NR | 84% |
| [Molendijk *et al*[13]](#_ENREF_13)  2014 | Holland, Retrospective | High intersphincteric, transsphincteric, extrasphincteric, or suprasphincteric were classified as complex | 232 | Median, 29.4 (9.1-77.3) yr | 58% | NR; median age at diagnosis: 22.8 yr (4.0-68.7) | Newly diagnosed patients | Upper GI: 5%  Small bowel: 7%  Ileocecal: 15%  Large bowel: 38%  Small + large bowel: 29%  Whole GI tract: 1%  Isolated perianal disease: 6%  Rectal involvement: 41% | 78% |
| [Lahat *et al*[14]](#_ENREF_14)  2012 | Israel, Retrospective | According to AGA | 52 |  |  | 10 yr (9.2; range, 1-37) | 5.3 (6.5; range, 1-29) | Terminal  Ileum: 35%  Colon: 27%  Ileocolon: 39% | 75%2 |
| [Bell *et al*[15]](#_ENREF_15)  2003 | United Kingdom, Retrospective | Transsphincteric, translevator, supralevator and extrasphincteric perianal fistulas were classified as complex | 110 perianal fistulas3 | Median, 35 (range, 20-91) yr | 53% | Median, 8 (range, 0-32) yr | Median, 3 yr (range,  0-32 yr) | Ileocolonic or colonic: 85%,  Rectal involvement: 65% | 72% of fistulas |
| [Mueller *et al*[16]](#_ENREF_16)  2007 | Germany, Prospective | Complex fistula was defined as rectovaginal or fistula with three or more perianal openings | 88 | Median, 23  (range 8-51) yr4 | 52%¶ | NR | NR | Isolated small intestinal disease4: 4%, isolated colonic disease4: 11%, small intestinal and colonic disease4: 85% | 52% |

1Baseline patient characteristics were only reported for 229 patients of whom 19 patients with rectovaginal or rectourethral fistulas were then excluded from the study; 274% of patients with ileocolonic or colonic disease had complex fistula, compared to 72% of patients with ileal disease only (*P* = NS); 3NR how many patients had perianal fistulas or CPF; a total of 87 patients with CD and active fistulas were enrolled; 34 patients (39%) had a single fistula, 24 (28%) had two fistulas and 29 (33%) had three or more fistulas during the course of their disease, giving a total number of fistulas of 169 fistulas, of which 110 were perianal fistulas (79 complex perianal and 31 simple perianal fistulas); 4Reported for all 97 patients with perianal disease. Baseline characteristics not reported separately for 88 patients with CD and perianal fistulas. AGA: American Gastroenterological Association; CD: Crohn’s disease; CPF: Complex perianal fistula; GI: Gastrointestinal; NR: Not reported; NS: Not significant.

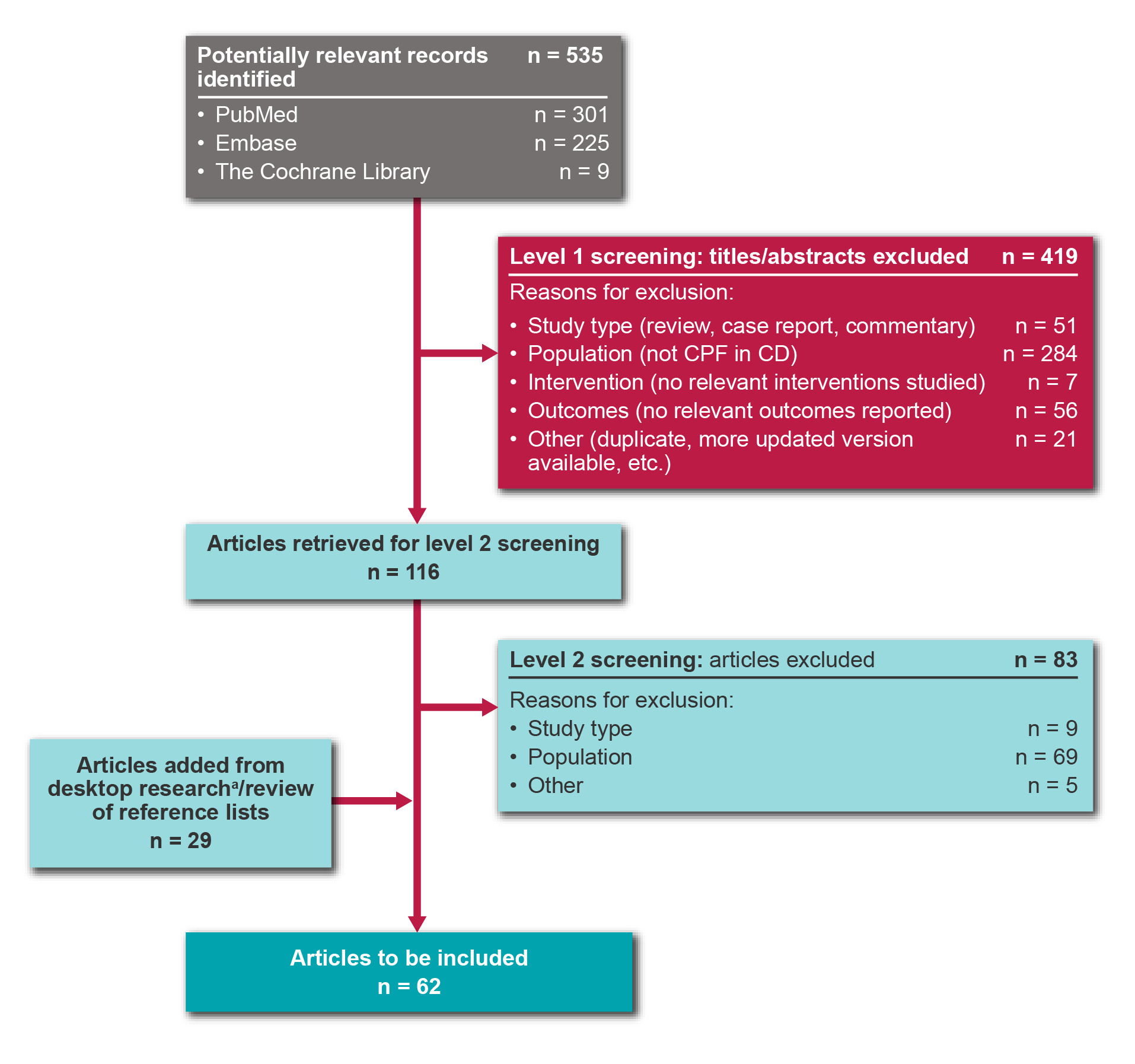
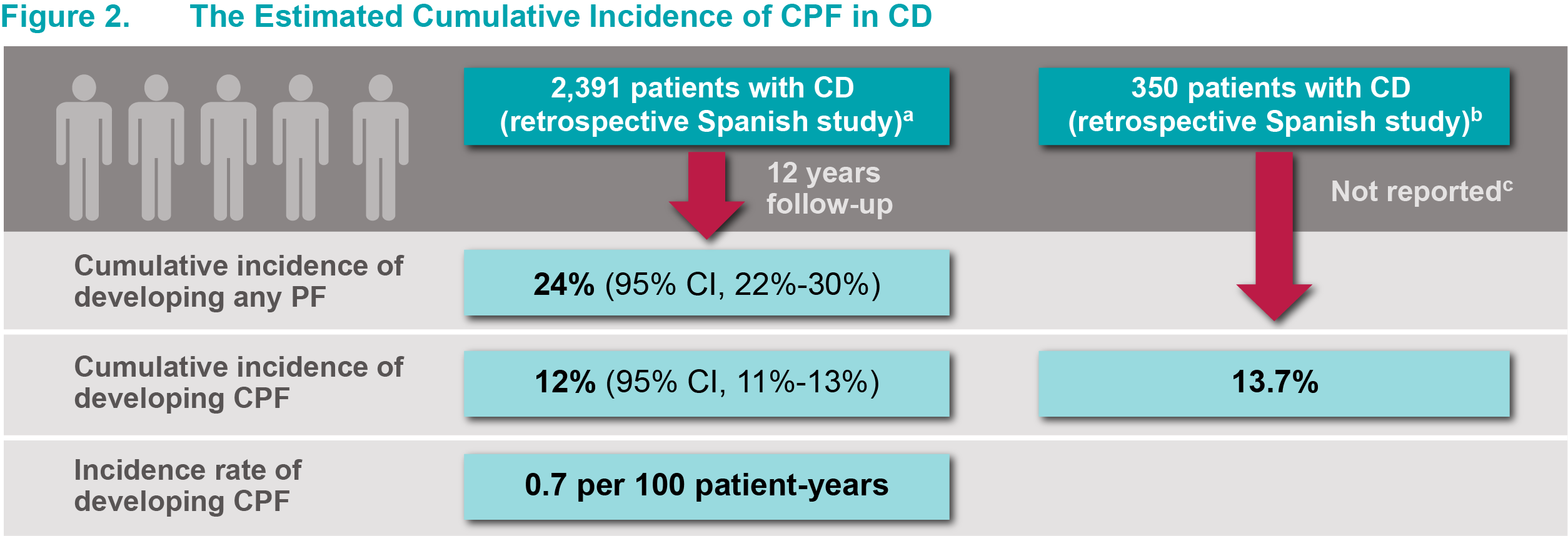


Figure 1 Preferred Reporting Items for Systematic Reviews and Meta-Analyses diagram. 1Includes 12 studies identified *via* search of congress abstracts, and not published elsewhere. CD: Crohn’s disease; CPF: Complex perianal fistula; PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analyses.



**Figure 2 Epidemiology of complex perianal fistula in Crohn’s disease.** 1[Chaparro *et al*[8]](#_ENREF_8) published in 2011. Definition of complex perianal fistulas (CPF): A fistula meeting any of the following criteria: High location (high intersphincteric, high transsphincteric, extrasphincteric, or suprasphincteric), multiple external openings, perianal abscess, anal stenosis, or proctitis. Retrospective multicentre study conducted in 11 hospitals in Madrid, Spain (study period was not reported); 2[Morete *et al*[9]](#_ENREF_9) published in 2013. Definition of CPF not given. Retrospective single-centre study (Ferrol, Spain) with 15 yr of follow-up; 3Analysis of patients followed up at reporting institution over 15 yr; mean per-patient follow-up not noted. Note: Both studies were presented as conference abstracts only and were not published in a peer-reviewed journal. Thus, data are limited and difficult to assess for robustness. CD: Crohn’s disease; CI: Confidence interval; CPF: Complex perianal fistula; PF: Perianal fistula.

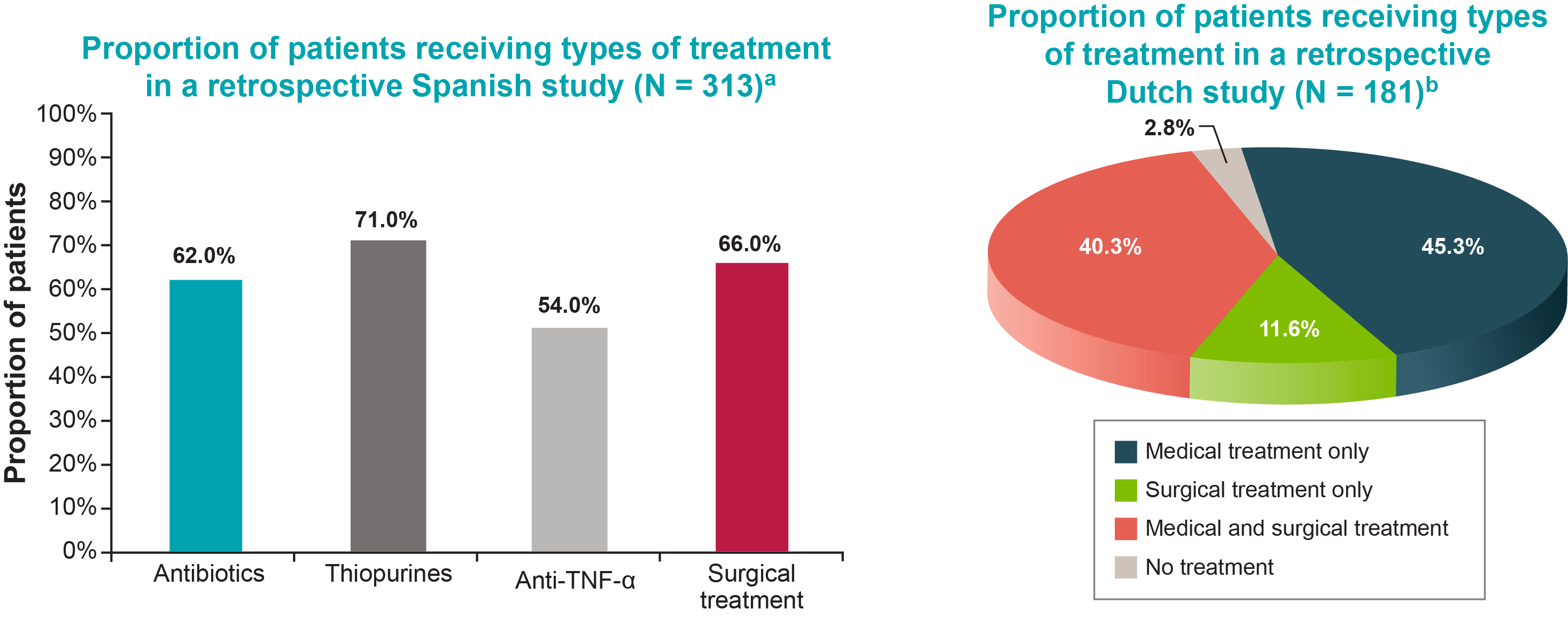


Figure 3 Treatment choices. 1Retrospective multicentre study (study period or median follow-up time were not reported) enrolling patients with Crohn’s disease (CD) and PFs; 80% had complex perianal fistulas (CPF). The graph presents treatment selection for patients with any fistula. Patients could have received multiple treatments (proportion of patients who received combination therapy was not reported). The most common surgical intervention was fistulotomy (37%), followed by placement of setons (32%)[[18](#_ENREF_18)]. 2Retrospective single-centre study enrolling patients with CD and any perianal fistula [*n* = 232 patients, of which 181 (78%) had CPF]; patient identification: 1980-2000, follow-up through January 1, 2010; median follow-up was 10.0 yr (range, 0.5-37.5 yr). The graph presents treatment choices for 181 patients with CD and CPF. The most common type of surgery (alone or in combination with medical treatment) was faecal diversion (stoma; 63.6% of 94 patients with CPF who underwent surgery; 33.1% of all 181 patients with CPF), followed by colectomy (55.3% of patients with CPF who underwent surgery; 28.7% of all patients with CPF), fistulectomy (42.6% of patients with CPF who underwent surgery; 22.1% of all patients with CPF), and rectum amputation (proctectomy; 25.5% of patients with CPF who underwent surgery; 13.3% of all patients with CPF)[[13](#_ENREF_13)].

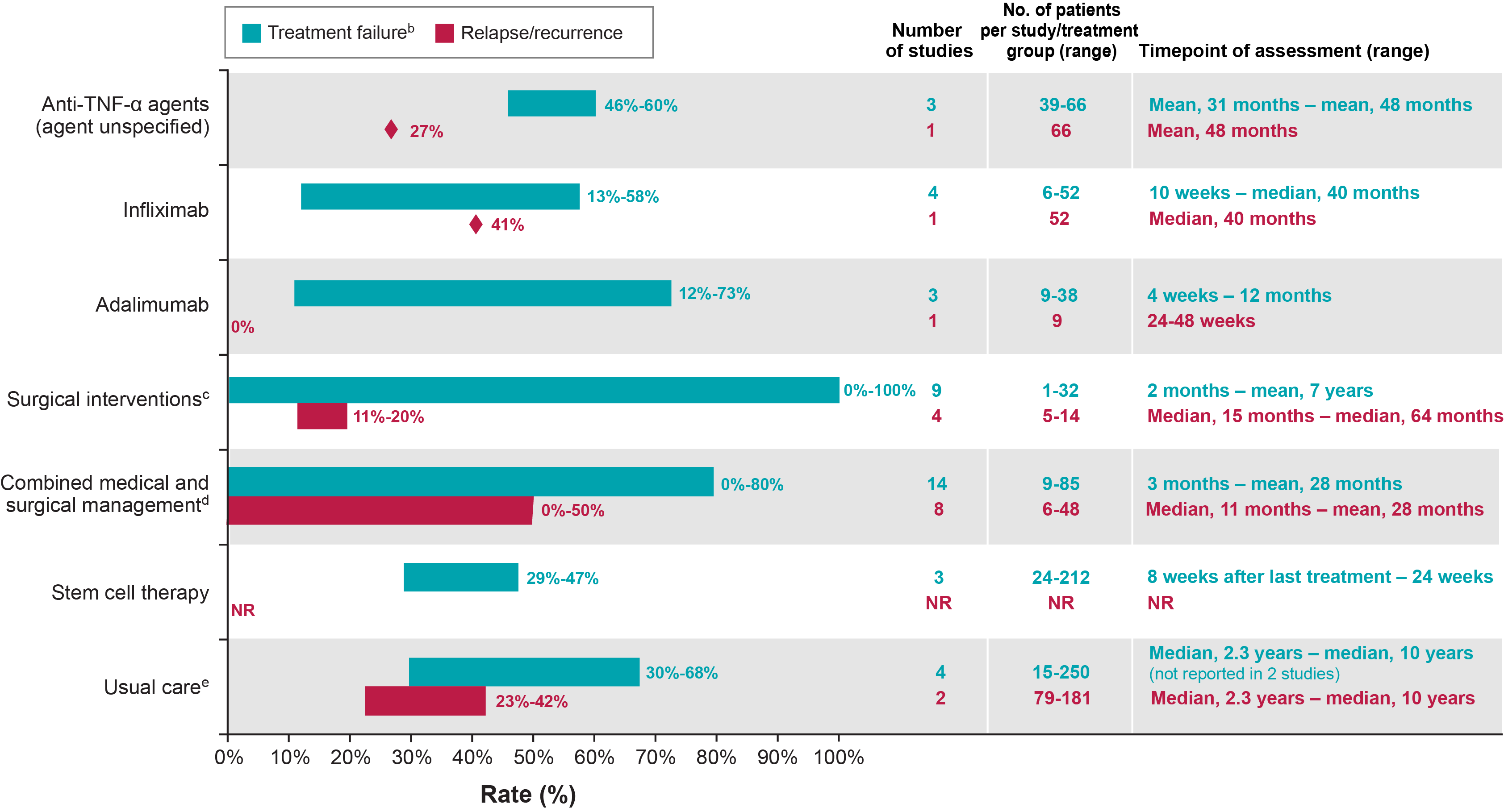


Figure 4 Rates of treatment failure and relapse or recurrence among Crohn’s disease patients with complex perianal fistula1. 1For studies with mixed populations (*i.e.*, patients with any fistula and those with complex fistula), only results for patients with Crohn’s disease and complex perianal fistulas were considered; 2Defined as lack of or inadequate response to therapy (*i.e.*, lack of complete response or lack of healing response); 3Most studies (10 of 12) reported outcomes for surgical procedures that were considered major procedures in this review, ligation of intersphincteric fistula tract, advancement flap repair, mucosal advancement flap with injection of platelet-rich plasma into the fistula tract, myocutaneous flaps and proctocolectomy with permanent ileostomy, gracilis muscle transposition, over-the-scope-clip proctology, fistula tract transposition or standard surgical management (including both major and minor procedures)[[34-36](#_ENREF_34),[42](#_ENREF_42),[48-50](#_ENREF_48),[53](#_ENREF_53),[54](#_ENREF_54),[66](#_ENREF_66)]. One study reported outcomes with permanent seton (minor procedure; other minor procedures reported in studies mentioned above included biologic fistula plug, and fibrin glue)[[52](#_ENREF_52)]. One study did not specify the type of surgery[[11](#_ENREF_11)]; 4Most (12 of 15) studies assessed surgical procedures that are considered minor procedures (seton drainage (most frequently), abscess drainage, fistulotomy, fibrin glue)[[10](#_ENREF_10),[29](#_ENREF_29),[38-41](#_ENREF_38),[43](#_ENREF_43),[67-71](#_ENREF_67)]. Two studies assessed surgical procedures that are considered major procedures (mucosal advancement flap, resection, stoma, proctectomy)[[37](#_ENREF_37),[51](#_ENREF_51)]. Surgery type was not defined in one study[[72](#_ENREF_72)]; 5Defined as usual care used at each centre [standard medical care (excluding anti-tumour necrosis factors) and surgery in two studies, and standard medical care (including anti-tumour necrosis factor alpha agents) and surgery in two studies]. TNF-α: Tumour necrosis factor alpha.