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**AIMS AND SCOPE**

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## Retrospective Study

 **$\Delta$ 4-3-oxosteroid-5 $\beta$ -reductase deficiency: Responses to oral bile acid therapy and long-term outcomes**

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**Abstract****BACKGROUND**

Disorders of primary bile acid synthesis may be life-threatening if undiagnosed, or not treated with primary bile acid replacement therapy. To date, there are few reports on the management and follow-up of patients with  $\Delta$ 4-3-oxosteroid 5 $\beta$ -reductase (AKR1D1) deficiency. We hypothesized that a retrospective analysis of the responses to oral bile acid replacement therapy with chenodeoxycholic acid (CDCA) in patients with this bile acid synthesis disorder will increase our understanding of the disease progression and permit evaluation of this treatment regimen as an alternative to the Food and Drug Administration (FDA) approved drug cholic acid, which is currently unavailable in China.

**AIM**

To evaluate the therapeutic responses of patients with AKR1D1 deficiency to oral bile acid therapy, specifically CDCA.

**METHODS**

Twelve patients with AKR1D1 deficiency, confirmed by fast atom bombardment ionization-mass spectrometry analysis of urine and by gene sequencing for mutations in *AKR1D1*, were treated with differing doses of CDCA or ursodeoxycholic acid (UDCA). The clinical and biochemical responses to therapy were monitored over a period ranging 0.5-6.4 years. Dose adjustment, to optimize the therapeutic dose, was based on changes in serum biochemistry parameters,

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notably liver function tests, and suppression of the urinary levels of atypical hepatotoxic 3-oxo- $\Delta$ 4-bile acids measured by mass spectrometry.

## RESULTS

Physical examination, serum biochemistry parameters, and sonographic findings improved in all 12 patients during bile acid therapy, except one who underwent liver transplantation. Urine bile acid analysis confirmed a significant reduction in atypical hepatotoxic 3-oxo- $\Delta$ 4 bile acids concomitant with clinical and biochemical improvements in those patients treated with CDCA. UDCA was ineffective in down-regulating endogenous bile acid synthesis as evidenced from the inability to suppress the urinary excretion of atypical 3-oxo- $\Delta$ 4-bile acids. The dose of CDCA required for optimal clinical and biochemical responses varied from 5.5-10 mg/kg per day among patients based on maximum suppression of the atypical bile acids and improvement in serum biochemistry parameters, and careful titration of the dose was necessary to avoid side effects from CDCA.

## CONCLUSION

The primary bile acid CDCA is effective in treating AKR1D1 deficiency but the therapeutic dose requires individualized optimization. UDCA is not recommended for long-term management.

**Key words:**  $\Delta$ 4-3-oxosteroid-5 $\beta$ -reductase deficiency; Mass spectrometry; Bile acid synthesis disorder; Chenodeoxycholic acid; Ursodeoxycholic acid

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**Core tip:**  $\Delta$ 4-3-oxosteroid 5 $\beta$ -reductase (AKR1D1) deficiency presents as particularly severe and rapidly progressive cholestasis. Treatment with oral primary bile acid has been shown to be effective in normalizing liver function, circumventing the only alternative treatment of liver transplantation. The primary bile acid cholic acid is an approved drug for treating this genetic defect but is not available in China and many other countries. Here we report on the use of the alternative primary bile acid, chenodeoxycholic acid, in the largest cohort of patients with AKR1D1 deficiency studied to date, showing beneficial effects in a personalized regimen approach.

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## INTRODUCTION

Inborn errors in primary bile acid synthesis from cholesterol now represent a specific category of metabolic liver disease<sup>[1,2]</sup>. The  $\Delta$ 4-3-oxosteroid 5 $\beta$ -reductase (AKR1D1) deficiency<sup>[3]</sup> [also referred to as 5 $\beta$ -reductase deficiency, congenital bile acid synthesis defect type 2 (CBAS 2), MIM604741] is the second most common of these bile acid synthesis disorders. Unlike the more commonly diagnosed 3 $\beta$ -hydroxy- $\Delta$ 5-C27-steroid oxidoreductase (HSD3B7) deficiency which frequently accounts for late-onset chronic cholestasis<sup>[4,5]</sup>, the AKR1D1 deficiency tends to be diagnosed in early infancy and presents as particularly severe and rapidly progressive cholestasis<sup>[6]</sup>. Early diagnosis of these defects is consequently crucial, because the related liver disease may be life-threatening, but is treatable by oral primary bile acid replacement<sup>[2,4,7-11]</sup>.

Due to the failure to synthesize primary bile acids in the AKR1D1 deficiency and the production instead of a spectrum of highly cholestatic and hepatotoxic 3-oxo- $\Delta$ 4 bile acids<sup>[3]</sup>, bile flow is impaired, leading to cholestasis. Since bile acids are essential for micellar solubilization of lipids, fat and fat-soluble vitamin deficiency occurs quite often.

The AKR1D1 deficiency was first discovered by the application of fast atom bombardment ionization mass spectrometry (FAB-MS) and based on the detection of increased concentrations of 3-oxo- $\Delta$ 4 bile acids in urine concomitant with a lack of

normal primary bile acid conjugates<sup>[11]</sup>. Following the cloning of the *AKR1D1* gene<sup>[7]</sup>, genetic analysis is now a clinically useful tool for confirming the diagnosis based on identified mutations in the *AKR1D1* gene<sup>[7]</sup>. Oral primary bile acid therapy is now the therapy of choice for treating bile acid synthesis disorders, circumventing the need for liver transplantation, which is the only alternative in these progressive and frequently fatal forms of cholestasis. Although cholic acid (CA) has been approved by the United States Food and Drug Administration (FDA) and by the European Medicines Agency (EMA) for the treatment of bile acid synthesis disorders, the data of its effects on patients with AKR1D1 deficiency is very limited<sup>[7,8]</sup>. At the same time, this drug is presently unavailable to many patients due to the limit of accessibility and affordability. We now describe the clinical and biochemical responses to another primary bile acid - chenodeoxycholic acid (CDCA) therapy in 12 patients, the largest cohort to date, diagnosed with AKR1D1 deficiency based on genetic and mass spectrometric identifications. We report on the effectiveness of CDCA therapy in this bile acid synthesis disorder, and the lack of effect of ursodeoxycholic acid (UDCA) in patients treated with the latter.

## MATERIALS AND METHODS

### **Patients and study design**

From December 2012 to April 2017, 12 patients with AKR1D1 deficiency (Table 1) were identified by urinary FAB-MS analysis at Cincinnati Children's Hospital Medical Center from the screening of 193 patients with unexplained cholestasis. Gene sequencing performed at the Department of Pediatrics of Jinshan Hospital of Fudan University and the Center for Pediatric Liver Disease of the Children's Hospital of Fudan University confirmed mutations in *AKR1D1*, in all 12 patients (Table 1).

With the exception of patients 2 and 8, the other ten patients were from non-consanguineous healthy families. Blood samples were collected after parenteral administration of vitamin K when they were transferred to our hospitals for evaluation. Investigations of all patients for congenital infection, including toxoplasmosis, rubella, cytomegalovirus, herpes simplex and hepatotropic virus, and metabolic disease, including tyrosinaemia, galactosaemia and  $\alpha$ 1-antitrypsin deficiency, were negative. All patients had jaundice and dark urine. The clinical, biochemical, and physical information at referral is summarized in Table 1. All presented with varying degrees of conjugated hyperbilirubinemia, elevated serum transaminases, normal or slightly elevated  $\gamma$ -glutamyltranspeptidase (GGT), and low or normal serum total bile acids. All patients had hepatomegaly, and three had splenomegaly (Table 1). Evaluations at the beginning and follow-up included physical examination, serum biochemistry tests, and urinary bile acid analysis by FAB-MS. After initiating bile acid therapy, the patients were seen on a weekly basis in order to make dose adjustments based on the serum biochemistry parameters to minimize possible hepatotoxicity of the medications. When their clinical status stabilized, the patients were seen every 2-4 wk and finally after normalization of serum biochemistries, every 6 mo thereafter.

### **MS bile acid analysis**

Urine samples were collected before treatment with primary bile acid. In those patients that had been treated with UDCA prior to the initial presentation, the therapy was stopped for 5-7 d before urine was collected. The initial negative ion FAB-MS spectrum of the urine was used to confirm the diagnosis of an AKR1D1 deficiency before treatment was started with CDCA. Confirmation of the AKR1D1 deficiency was based on the finding of a lack or absence of normal primary bile acids and the presence of elevated levels of taurine and/or glycine conjugated  $\Delta$ 4-3-oxo bile acids<sup>[2,3]</sup>. Urine was collected at frequent intervals during the course of therapy with CDCA in order to monitor the extent of suppression of atypical  $\Delta$ 4-3-oxo bile acids and to confirm compliance to therapy. Dose adjustments were optimized to achieve maximum suppression of atypical bile acids.

### **Genetic analysis**

With approval by the Ethics Committees on Human Research of the Jinshan Hospital of Fudan University, and of the Children's Hospital of Fudan University, and after obtaining informed consent from the parents, a 1.5-mL peripheral blood sample was obtained. To confirm the diagnosis and establish the molecular basis of the disorder, genomic DNA was isolated from white blood cells. Prior to December 2015, all of the coding exons and adjacent introns of the *AKR1D1* gene were Sanger sequenced. After January 2016, panel sequencing<sup>[12]</sup> and Sanger confirmation were performed.

**Table 1** Clinical and laboratory<sup>1</sup> features of the 12 patients diagnosed with  $\Delta 4$ -3-oxosteroid 5 $\beta$ -reductase deficiency at first presentation

Patient No.	Sex	Age at first symptom	Age at first visit (mo)	TB ( $\mu$ mol/L)	DB ( $\mu$ mol/L)	ALT (U/L)	AST (U/L)	GGT (U/L)	TBA ( $\mu$ mol/L)	Organomegaly	INR <sup>2</sup>	Molecular defect <sup>3</sup>
1	F	14 d	2.5	292	214	169	309	46	4.6	Hepatomegaly	1.23	c.933delG /c.1023+5A>C
2	M	1 mo	11	146	108	101	405	40	1.6	Hepatosplenomegaly	1.17	c.158A>G /c.158A>G
3	M	3 d	2	96	64	176	183	33	3	Hepatomegaly	1.03	c.396C>A /c.722A>T
4	M	3 d	1	310	218	76	213	51	5.5	Hepatomegaly	1.52	c.919G>T /c.919G>T
5	M	10 d	10	146	113	210	207	65	6.1	Hepatosplenomegaly	1.14	c.797G>A /-
6	F	1 mo	8	324	252	267	584	108	1.4	Hepatomegaly	2.17	c.148C>T /-
7	M	1 mo	8	211	126	234	734	66	7.9	Hepatomegaly	1.1	c.797G>A /C.797G>A
8	M	3 d	1	320	158	523	703	51	2.5	Hepatomegaly	1.36	c.797G>A /C.797G>A
9	F	10 d	1	378	232	1317	1416	62	8.5	Hepatomegaly	1.9	c.614delT /C.797G>A
10	M	3 d	2	160	126	531	896	64	5.9	Hepatosplenomegaly	1.28	c.593C>T /c.797G>A
11	M	3 d	3	125	76	79	161	38	1.4	Hepatomegaly	1.02	c.278delA /-
12	M	1 mo	2.5	204	112	339	619	50	1.8	Hepatomegaly	2.11	c.919C>T /C.919C>T
				Normal range								
				5-21	0-3.4	0-40	0-40	7-50	0-10		0.8-1.2	

<sup>1</sup>At least 5 d after cessation of bile acid administration;<sup>2</sup>After vitamin K1 parenteral administration;<sup>3</sup>NM\_005989 was used as reference. TB: Total bilirubin; DB: Direct bilirubin; ALT: Alanine aminotransferase; AST: Aspartate transferase; GGT: Gamma-glutamyl transferase; ALB: Albumin.Molecular data are listed in [Table 1](#) with NM\_005989 as reference.

### Treatment

With the exception of patients 2, 10, and 12, all other patients had received UDCA therapy before being referred for evaluation ([Table 2](#)). Fat-soluble vitamins were routinely supplemented for all patients after admission. With the informed consent from the parents, UDCA therapy was terminated, urine collections were obtained, and patients were then switched to the primary bile acid CDCA at an initial dose of 5-12 mg/kg bw/d (usually lower initial dose in more severe cases), taken in two divided doses. Baseline urine samples were not available for mass spectrometric analysis from patients 3 and 5. Patient 5 declined treatment with CDCA and continued with a high dose of UDCA (40 mg/kg per day). Patient 3 also continued to take UDCA (30 mg/kg per day) but after 4 mo was switched to CDCA because of abnormal bile acid profiles, even though the biochemistries improved. The initial dose of CDCA was adjusted based on the findings from the urine bile acid analyses and serum biochemistry parameters, including transaminases and GGT. Generally, the dose was reduced if serum alanine aminotransferase (ALT) and aspartic transaminase (AST) became elevated with concomitant elevated serum total bile acids level, and conversely was increased if there was inadequate suppression of atypical bile acids as demonstrated by FAB-MS, even after the resolution of jaundice. The primary bile acid administration dosage and duration of treatment for each patient are summarized in [Tables 2 and 3](#).

**Table 2** Evolution of liver function tests and international normalized ratio during oral ursodeoxycholic acid therapy in nine children<sup>1</sup> with  $\Delta^4$ -3-oxosteroid 5 $\beta$ -reductase deficiency

Patient No.	Starting age	Dosage (d.kg)/duration of therapy	Before UDCA administration				At the end of UDCA therapy			
			TB / DB ( $\mu$ mol/L)	ALT/AST (U/L)	ALB (g/L)	INR	TB/DB ( $\mu$ mol/L)	ALT/AST (U/L)	ALB (g/L)	INR
1	2.5 mo	20 mg/3 mo	241/177	73/172	38	1.23	292/214	169/309	33	1.46
3	2 mo	30 mg/4 mo	96/64	176/183	43	1.03	8.7/5.9	51/29	44	n.a.
4	1 mo	20 mg/1.5 mo; 40 mg/1 wk	150/58	76/159	35	1.43	310/218	76/273	36	1.52
5	10 mo	18 mg/1 mo; 40 mg/4 mo	126/66	340/262	49	n.a.	6.7/2.9	8/16	42	n.a.
6	8 mo	20 mg/6 mo	221/142	235/204	32	1.24	324/252	267/584	33	2.17
7	8 mo	10 mg/2 mo	268/121	532/897	38	1.16	19/15	100/124	52	0.9
8	1 mo	16 mg/6 mo	308/131	150/187	41	1.13	273/148	448/410	28	1.36
9	1 mo	18 mg/3 mo	316/243	1240/1565	37	1.71	358/258	195/283	32	n.a.
11	3 mo	18 mg/2 mo	122/87	106/155	n.a.	1.02	85/68	83/167	39	n.a.
		Normal range	5-21/0-3.4	0-40	35-52	0.8-1.2	5-21/0-3.4	0-40	35-52	0.8-1.2

<sup>1</sup>Patients 2, 10, and 12 did not receive ursodeoxycholic acid therapy. n.a.: Not available; UDCA: Ursodeoxycholic acid; ALT: Alanine aminotransferase; AST: Aspartic transaminase; TB: Total bilirubin; DB: Direct bilirubin; INR: International normalized ratio; ALB: Albumin.

## RESULTS

### Biochemistry and clinical improvements

Nine patients (Table 2) had received UDCA therapy prior to diagnosis of AKR1D1 deficiency, of whom three (patients 3, 4, and 5) were administered with a period of high dose UDCA (30-40 mg/kg bw/d). Complete normalization of liver function tests (LFTs) was seen only in patient 5, and in patients 3 and 7 serum bilirubin levels almost normalized but serum aminotransferases levels remained elevated. Biochemistry parameters did not improve or worsen in the remaining patients. Overall, UDCA was largely ineffective in treating AKR1D1 deficiency.

Eleven patients received CDCA therapy at an initial dose of 5-12 mg/kg bw/d (Table 3). Five patients (patients 2, 4, 6, 7, and 8) had to temporarily stop or have the dose reduced because of a marked elevation of serum ALT, AST, and total bile acid levels after beginning the therapy. This was believed due to the intrinsic hepatotoxicity of CDCA. However, with the exception of patient 8, the clinical status of all the other patients gradually improved during CDCA administration after dose adjustment. Jaundice disappeared after several months and hepatomegaly improved gradually. After the resolution of jaundice, the dose of CDCA was increased in some cases due to the insufficient suppression of atypical bile acids as determined from the follow-up urine analysis. The duration of therapy ranged from 5.5 mo to 6.4 years (median = 1.5 years) in the 11 patients undergoing CDCA therapy. At the last follow-up of these patients (median age, 2.3 years; range: 0.7-6.6 years;  $n = 11$ ), the liver function tests had normalized and all were clinically well (Table 3). When the dose of CDCA was optimized, no obvious side effects were subsequently reported or observed with continued treatment. Patient 8 showed hepatotoxicity to a dose of 10 mg/kg bw/d CDCA, and still no improvement on a low dose of CDCA (4-6 mg/kg bw/d), and 9 mo later, after further deterioration in liver function tests, the patient underwent liver transplantation at the age of 17 mo. At the time of writing, all the remaining patients are thriving with continued CDCA therapy.

### Urine bile acid analysis

Urine bile acid analysis by FAB-MS conclusively established a deficiency in the activity of AKR1D1 in all patients prior to initiation of bile acid therapy. The negative ion mass spectra of the urines were characterized by an absence of ions representing normal primary bile acid conjugates of CDCA and CA ( $m/z$  448, 464, 498, and 514), and the presence of intense ions at  $m/z$  444, 460, 494 and 510. These represent molecular ions for glycine and taurine conjugated forms of 3-oxo- $\Delta^4$  bile acids that are the biomarkers for the AKR1D1 deficiency<sup>[2,3,13]</sup>. When diagnosed in the first few months of life, it is the taurine conjugated 3-oxo- $\Delta^4$  bile acids ( $m/z$  494 and 510) that

**Table 3** Evolution of clinical feature or liver function tests during oral chenodeoxycholic acid therapy in 11 children with  $\Delta$ 4-3-oxosteroid 5 $\beta$ -reductase deficiency

Patient No.	Starting age (mo)	Starting dosage (mg/kg per day)	Dosage adjustment (mg/kg per day $\times$ duration)	Age (mo) at LFTs normalization	Dosage maintaining normal LFTs and suppressing atypical bile acids (mg/kg per day)	Status/age at last follow-up
1	5.5	8	8 mo $\times$ 7 mo; 10 $\times$ -	9	10	Normal/4 yr 11 mo
2	11	12	12 mo $\times$ 1 mo; 8 mo $\times$ 0.75 mo; 4.5 mo $\times$ 5 mo; 5.5 $\times$ -	31	5.5	Normal/4 yr
3	6	10	10 mo $\times$ 24 mo; 9 mo $\times$ 12 mo; 8 $\times$ -	13	8	Normal/4 yr 9 mo
4	3	5	5 mo $\times$ 1mo; 3 mo $\times$ 2 mo; 7 mo $\times$ 4.5 mo; 8 mo $\times$ 2.5 mo; 11 mo $\times$ 13 mo; 8 $\times$ -	26	8	Normal/6 yr 7 mo
6	14	8	8 wk $\times$ 3 wk; 6 wk $\times$ 1 wk; 5 mo $\times$ 2.5 mo; 6 mo $\times$ 3 mo; 7 $\times$ -	18	7	Normal/2 yr 11 mo
7	10	10	10 wk $\times$ 1 wk; 8 mo $\times$ 1 mo; 0 wk $\times$ 1 wk; 5 $\times$ -	14	5	Normal/2 yr 4 mo
8	7	10	10 wk $\times$ 1 wk; 4 mo $\times$ 2 mo; 5 mo $\times$ 6 mo; 6 mo $\times$ 2 mo	N.A.	N.A.	Transplanted/1 yr 6 mo
9	4	8	8 mo $\times$ 2 mo; 9 mo $\times$ 2 mo; 10 $\times$ -	10	10	Normal/1 yr 5 mo
10	3.5	10	10 $\times$ -	6.5	10	Normal/11 mo
11	5	10	10 mo $\times$ 13 mo; 7 $\times$ -	8	7	Normal/2 yr
12	2.5	10	10 $\times$ -	5	10	Normal/8 mo

The dosage of chenodeoxycholic acid was reduced if serum alanine aminotransferase and aspartic transaminase obviously elevated with obviously elevated total bile acid level; increased if not sufficient suppression of atypical bile acid demonstrated by fast atom bombardment ionization mass spectrometry after the resolution of jaundice. N.A.: Not applicable; LFT: Liver function test.

generally dominate the mass spectra because in early life bile acids are predominantly conjugated with taurine<sup>[3,14]</sup>. After weaning and in older infants, the glycine conjugated forms of these atypical bile acids (*m/z* 444 and 460) become the predominant species present.

The biochemical response to CDCA therapy was confirmed by the successful reduction in the intensity of the ions for these 3-oxo- $\Delta$ 4 bile acid conjugates (Figure 1), and on this basis, the dose of CDCA was adjusted to optimize maximum suppression. With optimized CDCA therapy, most patients showed a marked suppression, or complete disappearance of the atypical bile acids (Figure 1), although it should be noted that it can be difficult to attain complete disappearance of these metabolites. The presence of ions in the mass spectrum that reflect metabolites of CDCA was a common observation and served to confirm compliance to therapy. Ions at *m/z* 448 (glyco-CDCA), *m/z* 498 (tauro-CDCA), *m/z* 471 (CDCA-sulfate), and *m/z* 528 (glyco-CDCA-sulfate) represent major metabolites of CDCA (Figure 1). Those patients showing the best clinical response to CDCA therapy had the greatest suppression in the urinary excretion of atypical 3-oxo- $\Delta$ 4 bile acids. Patient 2 did not show adequate suppression of 3-oxo- $\Delta$ 4 bile acids initially, presumed to be due to poor compliance. Likewise, the urinary FAB-MS analysis for patient 8, who was on a relatively low dose of CDCA (4-6 mg/kg bw/d), failed to show adequate suppression of the 3-oxo- $\Delta$ 4 bile acids, and this patient's serum transaminases progressively increased and liver function deteriorated to the point of requiring a liver transplant. In patient 5, who refused CDCA therapy and was maintained on high dose UDCA, the FAB-MS showed no reduction in the intensity of the 3-oxo- $\Delta$ 4 bile acids even in the face of improvements in serum biochemistries. Figure 1 shows the mass spectra of the urine after UDCA treatment and these findings are consistent with the inability of UDCA to suppress endogenous bile acid synthesis. Ions consistent with the atypical 3-oxo- $\Delta$ 4 bile acids remained in the mass spectra but were inter-dispersed with ions reflecting

metabolites of exogenous UDCA.

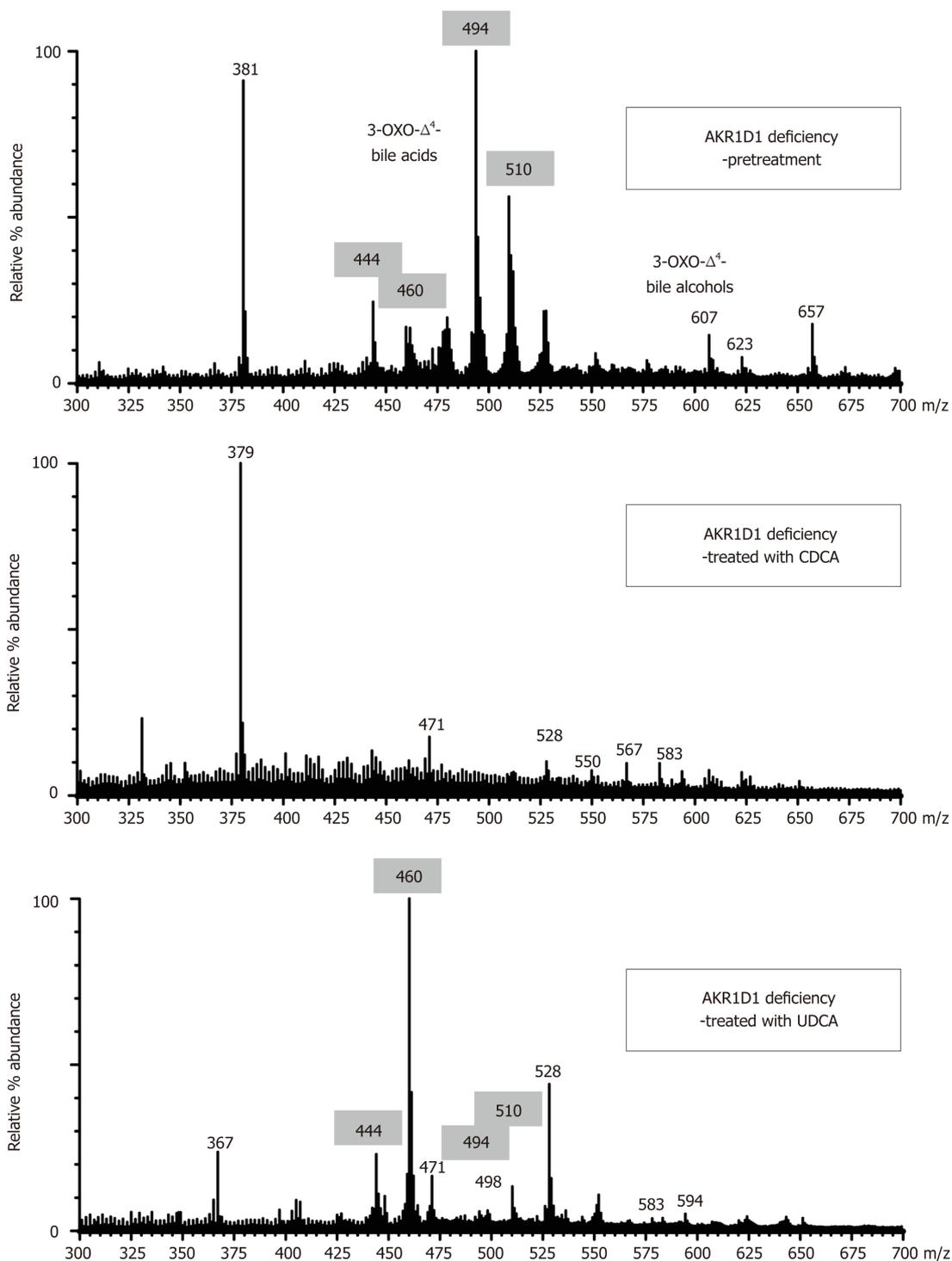
## DISCUSSION

The *AKR1D1* gene encodes the enzyme AKR1D1, which is essential for the synthesis of the primary bile acids, cholic acid and CDCA. This NADPH-dependent enzyme, first isolated from rat liver by Berséus and Björkhem in 1967<sup>[14,15]</sup>, catalyzes the reduction of the  $\Delta^4$ -bond of the sterol intermediate 7 $\alpha$ -hydroxy-4-cholesten-3-one (often now referred to as C4 or sterol-C4, and used as a surrogate marker for bile acid synthesis) to give rise to a 7 $\alpha$ -hydroxy-5-cholestan-3-one intermediate in the pathway to cholic acid and CDCA synthesis. When the activity of AKR1D1 enzyme is deficient or reduced, the liver synthesizes a spectrum of atypical bile acids that retain this 3-oxo- $\Delta^4$  structure. Since the description of a primary enzyme defect in twins by Setchell *et al.*<sup>[3]</sup>, there have been a number of reports of patients with liver disease exhibiting elevated levels of  $\Delta^4$ -3-oxo bile acids<sup>[8,16-20]</sup>, but discerning whether these were due to a 'primary' genetic defect or 'secondary' to reduced enzyme activity because of loss of hepatic synthetic function as occurs in end-stage liver disease is challenging. Furthermore,  $\Delta^4$ -3-oxo bile acids are typically found in urine of all healthy neonates<sup>[21-23]</sup> due to developmental immaturity in bile acid synthesis and transport in a period of physiological cholestasis that is a natural phenomenon at this time of life<sup>[24]</sup>. These atypical bile acids usually disappear with time so that in the absence of genetic testing, repeat testing of urine is important to enable differential diagnosis of a primary *vs* secondary AKR1D1 deficiency. Elevated levels of  $\Delta^4$ -3-oxo bile acids have been reported in patients with neonatal hemochromatosis and tyrosinemia<sup>[17-20]</sup>. However, when arising from a 'secondary' defect, these are usually accompanied by the presence of primary bile acids. In the original description of the AKR1D1 deficiency<sup>[3]</sup>, primary bile acids were absent but the 5 $\beta$ -reduced metabolites, allo-cholic acid and allo-CDCA, were elevated and used at the time to differentiate a 'primary' *vs* 'secondary' enzyme defect. The original description of the AKR1D1 deficiency as a cause of cholestasis predated by 6 years the cloning and expression of a cDNA for AKR1D1 by Kondo *et al.*<sup>[25]</sup>, so genetic confirmation was not possible. Now, complementing MS analysis with genetic analysis of *AKR1D1* (and *vice versa*) permits an accurate diagnosis by identifying specific gene mutations for this autosomal recessive disorder.

Over a period of 4-5 years, urine samples from 193 cholestatic patients presenting at Jinshan Hospital and Children's Hospital of Fudan University were screened for CBAS and 12 were positive for AKR1D1 deficiency. In the 12 patients described here, an AKR1D1 deficiency was conclusively established from MS by the finding of predominantly  $\Delta^4$ -3-oxo-bile acids in urine (Figure 1), and confirmed by gene sequencing analysis (Table 1).

Molecular studies identified homozygous or compound heterozygous mutations in nine patients and simple heterozygous mutations in three patients. A total of 11 different mutations were identified, including three frame-shifting (c.278delA, c.614delT, and c.933delG), two stop code (c.148C>T, c.396C>A), and six missense mutations (c.158A>G, c.472A>G, c.593C>T, c.722A>T, c.797G>A, and c.919G>A) (Table 1, Supplementary Table 1). Among them, c.148C>T<sup>[26]</sup> and c.593 C>T<sup>[7]</sup> were reported previously as disease-causing mutations, and c.797G>A is recorded as an SNP (rs182820353) but predicted as disease-causing by mutation taster. The other eight were novel and predicted as disease-causing. All five new missense mutations were in highly conserved residues. All mutations were seen in one allele each, except for two recurrent mutations (c.797G>A and c.919C>T). Mutation c.797G>A was seen in seven alleles (two homozygous and three heterozygous). Its frequency in patients (7/24) was much higher than that in 1000 Genomes (1/5008,  $P = 5.77308222349e-17$ ) and ExAC (8/121368,  $P = 2.88738419819e-23$ ). c.919C>T was seen in four alleles (two homozygous). For the three patients (patients 5, 6, and 11) in whom only one single heterozygous mutation was found, we speculate that there might be a large fragment deletion or duplication or mutation in the intron region leading to splice site changes.

All 12 patients presented with neonatal onset cholestasis with markedly elevated serum transaminases, normal or slightly elevated GGT, and low levels of serum total bile acids (sTBA) when measured with routine enzyme/immunoassay kits. PT was prolonged and not corrected by parenteral vitamin K in seven patients. A routine sTBA was not included in the initial battery of tests in some patients, which may have a delayed diagnosis because a low or normal sTBA when combined with a low serum GGT can be a helpful indicator of a possible bile acid synthesis disorder<sup>[27]</sup>, particularly in the case of the HSD3B7 and AKR1D1 deficiencies. Most of our patients had been placed on UDCA therapy for their cholestasis prior to referral for



**Figure 1** Typical negative ion fast atom bombardment ionization mass spectrometry spectrum of a patient with  $\Delta^4$ -3-oxosteroid 5 $\beta$ -reductase deficiency before and after treatment with bile acids. AKR1D1:  $\Delta^4$ -3-oxosteroid 5 $\beta$ -reductase; CDCA: Chenodeoxycholic acid; UDCA: Ursodeoxycholic acid.

evaluation, and it is worth cautioning that routine sTBA measurements in UDCA treated patients are of little guidance, or may even mislead, as these will be elevated due to cross-reactivity in the assay from UDCA and its metabolites. In contrast to the HSD3B7 deficiency, which is frequently diagnosed as a cause of late-onset chronic cholestasis in older children<sup>[28,29]</sup> and even some adults<sup>[30]</sup>, the AKR1D1 deficiency is usually diagnosed early in life. In the 12 patients described, the first symptoms of cholestasis were evident from 3 d-1 mo of age. The average age at diagnosis was 4.3 mo (range, 1-11 mo) and the rate of progression of liver disease was rapid, even to dysfunction.

Given the rapid onset of liver failure in the AKR1D1 defect, early diagnosis is crucial. Limited reports showed that treatment with primary bile acids was extremely

effective in patients with AKR1D1 deficiency<sup>[3,7,31,32]</sup>. Primary bile acids inhibit cholesterol 7 $\alpha$ -hydroxylase expression to down-regulate bile acid synthesis through feedback inhibition, leading to a decrease in production of atypical  $\Delta$ 4-3-oxo-bile acids, while additionally stimulating bile acid-dependent bile flow. In a number of patients with AKR1D1 deficiency, CA and CDCA<sup>[2,7,9,33]</sup> were combined. However, in some young infants, CDCA may be cathartic and lead to diarrhea. Furthermore, an increase in serum transaminases was observed in the National Cooperative Gallstone Trial when CDCA was evaluated for the dissolution of cholesterol gallstones<sup>[34]</sup>. For these reasons, CA monotherapy was favored and the FDA in 2015 approved CA for the treatment of bile acid synthesis disorders, including the AKR1D1 deficiency with evidence from a very limited number of cases due to the rarity of the disease<sup>[8,9]</sup>. In China, CA has to date been unavailable for patients with bile acid synthesis disorders and is currently unapproved by the Chinese FDA. All of the patients apart from one were therefore treated with CDCA, despite several reports indicating that CDCA should not be recommended for patients with AKR1D1 deficiency<sup>[4,14]</sup>. In our patients, although significant elevations in serum ALT and AST occurred in some patients, after temporarily stopping therapy and/or making dose adjustments, we finally achieved normalization of LFTs. These patients became symptom free from CDCA treatment over periods ranging 0.5-7.0 years and there were no observed serious adverse effects reported, even in those with an initial abnormal international normalized ratio (INR), save one patient that underwent liver transplantation. The optimal dose of CDCA administered varied from 4-10 mg/kg per day among the patients and was assessed by monitoring the disappearance of the atypical  $\Delta$ 4-3-oxo-bile acids in urine and evaluating the improvement in liver function. During CDCA therapy, LFTs remained within the normal range and FAB-MS analysis confirmed concomitant reductions, although variably, in the levels of urinary  $\Delta$ 4-3-oxo-bile acids. Those patients showing good compliance to therapy had almost normal development and the lack of reported side effects supports the safety of CDCA provided that doses are individually optimized.

UDCA, a potent choleric widely used in the treatment of cholestatic liver diseases, has been used to treat some patients with the HSD3B7 deficiency<sup>[8,11,35]</sup>. It stimulates bile flow, lowers serum transaminases, and may improve liver histology<sup>[11,30]</sup>. However, its inability to suppress bile acid synthesis (it is slightly stimulatory) makes it unsuitable for long-term use in patients with bile acid synthesis disorders because the common therapeutic goal in these patients is to stop the production and accumulation of the hepatotoxic and cholestatic atypical bile acids being synthesized. Nine of our patients had been placed on UDCA prior to diagnosis of AKR1D1 deficiency, and this was stopped in all but one. In that patient, even though LFTs normalized with UDCA, the urinary levels of  $\Delta$ 4-3-oxo-bile acids increased over time (Figure 1). Thus, UDCA may be helpful in the short-term but it is not recommended for long-term therapy.

In conclusion, early diagnosis of the AKR1D1 deficiency by biochemical and genetic testing is critical because this bile acid synthesis disorder manifests as a particularly severe and rapidly progressive cholestatic disease with fatal outcome. CDCA, despite earlier reports cautioning against its use<sup>[29,31]</sup>, was effective in the treatment of our patients (up to now the largest cohort) with AKR1D1 deficiency provided that the dose was optimized in individual patients. UDCA is not recommended as the long-term treatment as it is unable to suppress the production of the atypical hepatotoxic  $\Delta$ 4-3-oxo-bile acids and did not improve the clinical outcome of these patients.

## ARTICLE HIGHLIGHTS

### Research background

The  $\Delta$ 4-3-oxosteroid 5 $\beta$ -reductase (AKR1D1) deficiency is a rare bile acid synthesis disorder. There have been few reports describing the effectiveness of treatment regimens for this rare genetic disease and the long-term outcomes of oral primary bile acid therapy are unclear. This study provides evidence on how these patients should ideally be managed.

### Research motivation

Oral cholic acid (CA), one of the two primary bile acids synthesized by the liver, is an approved therapy for the treatment of bile acid synthesis disorders but is not available to many patients. Chenodeoxycholic acid (CDCA), the other primary bile acid, offers an alternative potential therapy but has been contraindicated in a few reported cases of AKR1D1 deficiency due to its more hydrophobic and potentially hepatotoxic effects. However, in the absence of access to CA in China, we evaluated the effectiveness of CDCA in patients with AKR1D1 deficiency and showed that provided that the therapeutic doses is individually optimized, it is an effective treatment for this disorder.

### Research objectives

Through retrospective analysis of the clinical and biochemical responses to bile acid therapy with CDCA in patients with AKR1D1 deficiency, our objective was to better understand the disease progression and long-term outcomes of treatment in order to make recommendations regarding its effectiveness and safety.

### Research methods

Twelve patients with confirmed AKR1D1 deficiency, diagnosed by fast atom bombardment ionization-mass spectrometry analysis of the urinary bile acid profile and by gene sequencing of *AKR1D1*, were treated with oral bile acids. The clinical and biochemical responses to CDCA therapy were retrospectively evaluated and analyzed, including the results on urinary bile acid profiles and serum liver function indices.

### Research results

Physical examination, biochemistry parameters, and sonographic findings improved in the patients during CDCA therapy, except for one who underwent liver transplantation. The urinary levels of atypical hepatotoxic 3-oxo- $\Delta$ 4 bile acids were suppressed concomitantly with clinical improvements in those patients treated with CDCA, but not with ursodeoxycholic acid (UDCA). The dose of CDCA varied from 5.5-10 mg/kg per day among patients based on maximum suppression of the atypical bile acids.

### Research conclusions

CDCA is an effective alternative therapy to CA, provided that the dose is carefully optimized on an individual patient basis to minimize side effects. UDCA does not achieve the therapeutic goal of suppressing the production of atypical hepatotoxic bile acids and is not recommended for long-term treatment.

### Research perspectives

CDCA is effective in the treatment of the patients with AKR1D1 deficiency. However, CDCA is intrinsically hepatotoxic, and therefore its dose must be optimized to individual patients. Future studies should focus on continued long-term monitoring of these patients to provide more detailed information of the natural history of this rare metabolic liver disease and to determine long-term outcomes of therapy with primary bile acids.

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