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Prevention of overuse: A view on upper gastrointestinal endoscopy

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Abstract

Many upper gastrointestinal (GI) endoscopies worldwide are performed for inappropriate indications. This overuse of healthcare negatively affects healthcare quality and puts pressure on endoscopy services. Dyspepsia is one of the most common inappropriate indications for upper GI endoscopy as diagnostic yield is low. Reasons for untimely referral are: unfamiliarity with dyspepsia guidelines, uncertainty about etiology of symptoms, and therapy failure. Unfiltered open-access referrals feed upper GI endoscopy overuse. This review highlights strategies applied to diminish use of upper GI endoscopies for dyspepsia. First, we describe the impact of active guideline implementation. We found improved guideline adherence, but resistance was encountered in the process. Secondly, we show several forms of clinical assessment. While algorithm use reduced upper GI endoscopy volume, effects of referral assessment of individual patients were minor. A third strategy proposed *Helicobacter pylori* test and treat for all dyspeptic patients. Many upper GI endoscopies can be avoided using this strategy, but outcomes may be prevalence dependent. Lastly, empirical treatment with Proton pump inhibitors achieved symptom relief for dyspepsia and avoided upper GI endoscopies in about two thirds of patients. Changing referral behavior is complex as contributing factors are manifold. A collaboration of multiple strategies is most likely to succeed.

Key words: Endoscopy; Dyspepsia; Medical Overuse; Guidelines; Proton Pump Inhibitors; *Helicobacter pylori*

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Core tip: Strategies to halt overuse of upper gastrointestinal (GI) endoscopies are called for. Dyspepsia represents the indication for the majority of inappropriate upper GI endoscopies and provides a target for intervention. In this review, we describe four strategies that can be used to reduce upper GI endoscopies. While all strategies individually impact the number of performed endoscopies, a collaboration of improved

guideline adherence, decision-making assistance, symptom management and *Helicobacter pylori* screening is most likely to change referral practice.

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INTRODUCTION

Overuse of upper gastrointestinal (GI) endoscopy is emerging as a global concern^[1-3]. It is estimated that up to 56% of diagnostic upper GI endoscopy procedures are inappropriate^[4,5], *i.e.*, not according to guidelines.

Inappropriate use is a major source of unnecessary costs, risk of complications, and are associated with reduced diagnostic yield^[6]. One of the most frequent indications for upper GI endoscopy is dyspepsia but diagnostic yield is limited, especially for patients younger than 60 years of age and for those patients without 'alarm symptoms' such as unintended weight loss, hematemesis, melena, dysphagia, anemia and persistent vomiting^[4]. However, physicians still rely on upper GI endoscopy to assign a suitable treatment for dyspeptic patients. Patients hope that endoscopic procedures will reveal (or exclude) a cause for their symptoms. Reassurance of negative endoscopy may have beneficial effects on symptoms in some, although no long-term improvement in health related quality of life is seen^[7].

The open-access system, which allows upper GI endoscopy referral without prior specialist consultation, has fueled the increase in referrals. Despite curtailed criteria for upper GI endoscopy referral by evidence-based guidelines, recent studies continue to report overuse^[8,9].

It is evident that mere publication of guidelines and highlighting overuse is not sufficient to change referral practice. In this review we discuss several strategies applied to reduce the overuse of upper GI endoscopies for dyspepsia. We review pitfalls and elements of success of these strategies in order to aid design of future interventions.

Summary of clinical guidelines

Clinical guidelines are recommendations that assist clinicians in decision-making and have the ability to improve quality of care^[10]. Care given according to guidelines is more efficient and consistent^[11,12]. Several up-to-date practice guidelines recommend diagnostic and therapeutic pathways for dyspepsia management. Widely used are the American Society for Gastrointestinal Endoscopy (ASGE) guideline, 'the role of endoscopy in dyspepsia' (2015)^[13]; two NICE guidelines, 'Dyspepsia and gastroesophageal reflux disease in adults' (2015)^[14] and 'suspected cancer: recognition and referral' (2017)^[15]; and the joint American College of Gastroenterology (ACG) and the Canadian Association of Gastroenterology (CAG) guideline, 'ACG and CAG clinical guideline: management of dyspepsia' (2017)^[16]. Locally adapted versions of these guidelines may be used per region.

While the ASGE advises upper GI endoscopy for all with new onset dyspepsia after 50 years of age and all with alarm symptoms, the ACG&CAG refrain from recommending endoscopic investigation for those < 60 years of age, even in the presence of alarm symptoms, so long as epigastric pain is the predominant symptom. The NICE guideline recommends urgent endoscopy for dyspepsia for those under 55 years of age, only in the case of co-existent dysphagia. For patients over 55 years of age, endoscopy is justified in the presence of weight loss and should be considered in the case of therapy resistance, or anemia or raised platelet count combined with specific symptoms.

For those not eligible for endoscopy empirical Proton Pump Inhibitors (PPI) treatment is advised as first choice over Histamine2-antagonists (H2-antagonists) in all guidelines. Prokinetics or tricyclic antidepressants are second choice after acid-suppressive therapy in the ACG&CAG guideline and are considered for endoscopy-negative dyspeptics by the ASGE. *H. pylori* testing is advised dependent on prevalence (ASGE), when infection is suspected (NICE) or for all dyspeptic patients (ACG&CAG).

In several areas with high gastric cancer incidence, for example Japan and Korea, a

screening program for gastric cancer exists, which is outside the scope of the current review.

GUIDELINE IMPLEMENTATION

Many, if not all, dyspepsia guidelines offer strict advice of when to use upper GI endoscopies. Despite these recommendations, there is a global overuse of upper GI endoscopies, which mirrors poor adherence to practice guidelines^[1,3,4,17]. Reasons for non-adherence are manifold and include a disconnect between guidelines and local situation, failure to reach the target audience, or the absence of a specific implementation strategy. Specifically, the overuse of upper GI endoscopies continues because of a lack of a filter or specific feedback to the referring physicians.

This review highlights the measures and strategies that have been taken to improve guideline adherence to reduce inappropriate upper GI endoscopy for dyspepsia.

We identified four studies that assessed the effect of guideline implementation to reduce the volume of inappropriate referrals. Details of the included studies are summarized in [Table 1](#).

An Italian field study examined the effects of implementation of an adapted version of the 'European Society of Primary Care Gastroenterology (ESPCG) dyspepsia and *H. pylori* infection management guideline'^[18]. This local version recommended prompt upper GI endoscopy for uninvestigated dyspepsia for patients with alarm symptoms or for patients > 45 years of age after repeat presentation. This contrasts with the original ESPCG guideline which additionally recommends endoscopy for the latter scenario at first presentation^[19]. Implementation comprised three phases: selection of the most suitable guideline by a dedicated group, adaptation based on prospectively collected prescribing data, and assessment of prescribing behavior. Over six months, 2098 patients were treated for dyspepsia and 11.7% were ≤ 45 years of age. Referrals for this group fell significantly with 63% at first and with 42% at repeat presentation. There was no effect for the age group >45 years of age, explained by under-referral of this group prior to guideline implementation.

A British group designed a different strategy to improve guideline adherence^[20]. They took the following steps: hospital based (*n* = 359) and primary care based (*n* = 215) physicians received the 'All Wales Dyspepsia Management guideline'. Recipients were informed that specific feedback would be given on referrals outside the remit of the guideline. Referrals were processed, irrespective of appropriateness. After five months adherence rates to the dyspepsia guideline increased with 36% for primary care physicians and that coincided with a (non-significant) 9% decrease in average weekly referral rate. The opposite was true for hospital-based physicians. Adherence did not change, but weekly referral rate fell by 31%.

Effects of passive guideline dissemination on the appropriateness of open-access upper GI endoscopy referrals was compared with an educational program in a cluster randomized controlled trial^[21]. First, the 'British Society of Gastroenterology dyspepsia management guideline' was adapted to local use and all 114 selected primary care practices received a copy^[22]. Subsequently, an educational program containing educational workshops, hand-outs and a reinforcement visit after three months was compared with no education. After seven months, appropriateness of upper GI endoscopy referrals was higher in the group that attended the education workshop (73%) compared to controls (50%). Interestingly, participation in the education program did not influence the appropriateness of referrals within the intervention group. This might be explained by the observation that appropriateness was based on referral letters, which is less accurate than assessment of the indication through interview or questionnaires.

In a pragmatic randomized controlled study, 47 primary care practices received a summary of the 'Maastricht consensus statement on management of *H. pylori*'^[23,24]. In addition, the intervention group received information actively promoting *H. pylori* testing instead of upper GI endoscopy in patients < 55 years of age, serology service was made available, and therapy was advised for every serology positive result. The control group received information stating the lack of evidence for *H. pylori* testing for dyspepsia. After 1 year, upper GI endoscopy referrals had fallen by 27% in the intervention group compared to 4% in the control group.

CLINICAL ASSESSMENT

Clinical assessment of upper GI endoscopy referrals by a gastroenterologist at the outpatient clinic is time consuming. Since endoscopy is considered a safe procedure, it

Table 1 Description of studies using a guideline implementation strategy to reduce upper gastrointestinal endoscopies

| Study | Country | Sample | Strategy | Effect on UGIE referrals |
|--|----------------|-----------------------------------|--|---|
| Cardin <i>et al</i> ^[18] , 2005 | Italy | 2098 patients | Distribution of a locally adapted international guideline, changing referral criteria. | Reduction: 63% (at first) and 42% (at repeat presentation) |
| Elwyn <i>et al</i> ^[20] , 2007 | United Kingdom | 215 PCPh, 359 hospital physicians | Feedback on referrals after distribution of adapted guideline. | Reduction: PCPh 9% (NS), Hospital physicians 31% |
| Banait <i>et al</i> ^[21] , 2003 | United Kingdom | 114 PCPr | Educational program, including workshops, hand-outs, and reinforcement visit (intervention), <i>vs</i> passive guideline dissemination (control) | Appropriateness of referrals: Intervention 73%, Control 50% |
| Shaw <i>et al</i> ^[22] , 2006 | United Kingdom | 47 PCPr | Promotion of HP testing, serology service, and treatment advice (intervention), <i>vs</i> reserved approach to HP testing | Reduction: Intervention: 27%, Control: 4% |

PCPh: Primary care physicians; PCPr: Primary care practices; NS: Non-significant; HP: *Helicobacter pylori*; UGIE: Upper gastrointestinal endoscopy.

was deemed safe to short-circuit the gastroenterologist from the referral pathway^[25,26]. Hence, the open-access system was launched and is by now adopted by many health care organizations.

The concept of open-access referral was promoted for its potential to reduce costs and waiting lists and improve early cancer detection rates. Indeed, open-access led to a reduction of gastroenterologist consultations, but studies consistently failed to detect improved cancer detection rates^[27]. Many studies have documented that the diagnostic yield of open-access upper GI endoscopies is low, while costs have increased^[28,29]. In the absence of a filter, referrals through the open-access system are liable to be performed for inappropriate reasons.

Adding an evaluation of all referrals to the system requires an additional step, which abolishes the attractiveness of the refer-and-scope open-access system. On the other hand, a low-cost filter, effectively reducing inappropriate referrals, may serve to enhance rather than obstruct the existing system. Indeed, censoring is probably needed to lower the high proportion of negative endoscopies^[4].

There are fine examples of efforts to reduce the number of (inappropriate) upper GI endoscopies using a method of clinical assessment.

Already in the late 90s of the last century, the idea arose that some form of censoring of open-access referrals was necessary. Two one-stop dyspepsia clinics in the UK assessed the appropriateness of referrals through history and physical examination, for 12 and 22 mo^[30,31]. Both clinics found a high level of agreement with the original referral. Only 6%-14% of upper GI endoscopy referrals were cancelled which is considerably lower than recent data shows.

An Italian study evaluated 5192 referral letters and ~10% of referrals were judged as inappropriate^[32]. Interestingly, this study used a biomarker panel in selected patients that indicates the presence of chronic atrophic gastritis. A recent meta-analysis of 27 studies found a good specificity which supports the use of this biomarker panel^[33]. An additional 0.7% of initially judged inappropriate referrals were reselected for upper GI endoscopy because of presumed chronic atrophic gastritis. Six percent of referrals were deemed inappropriate in view of the absence of a referral letter.

A recent study introduced a 'virtual clinic' to decide on the best pathway for patients referred to the gastroenterology outpatient clinic for five common indications, including dyspepsia^[34]. Based on age, symptoms and previous diagnostics and treatment, the system decided on the need for (fast-track) upper GI endoscopy or sent the primary care physician an advisory letter. While impact on upper GI endoscopy volume was not described, the strategy is a good example of a self-supporting clinical assessment system. Of all 14245 patients assessed, 32% were managed without a face-to-face appointment.

A different approach of clinical assessment is 'self-assessment' through use of decision trees. A randomized controlled trial demonstrated the use of such an algorithm to distinguish patients with appropriate indications for upper GI endoscopy according to locally adapted clinical guidelines, from those that would

benefit from PPI treatment or *H. pylori* testing, based on presenting symptoms^[35]. The use of an algorithm resulted in a reduction of 35% of referrals for upper GI endoscopy compared to usual care.

Details of the included studies are summarized in [Table 2](#).

HELICOBACTER TEST AND TREAT

Dyspeptic symptoms such as postprandial fullness, early satiety and epigastric pain or burning, could be attributed to *Helicobacter pylori* (*H. pylori*) infection. *H. Pylori* elicits inflammation of the gastric mucosa, and chronic gastritis^[36-38]. Mucosal damage reduces ghrelin levels, which leads to acid hypersecretion and deterioration of gastrointestinal motility. Several studies have documented higher prevalences of *H. pylori* infection in patients with dyspepsia^[39,40]. It is reasonable to postulate the *H. pylori* eradication would result in improvement of symptoms in dyspepsia.

Disappointingly, meta-analyses of RCTs fail to show beneficial effects of *H. pylori* eradication in dyspepsia, although the risk of having symptoms after 12 mo reduces^[41,42]. Still, in view of the potential beneficial effects of *H. pylori* on dyspeptic symptoms, the impact of test and treat strategies on the number of performed upper GI endoscopies is of interest. Several studies have attempted to quantify this impact.

We found nine randomized controlled trials (RCTs) reporting on reduction of upper GI endoscopies through testing for and treatment of *H. pylori*. Details of the included studies are summarized in [Table 3](#). Two came from Asia (China^[43] and Malaysia^[44]), and seven were performed in Western Europe (two in the Netherlands^[45,46], four in the UK^[47-50], one in Denmark^[51]). Reductions of upper GI endoscopies ranged from 68% to as high as 91.8%.

In six RCTs, primary care practitioners were free to refer test and treat patients for upper GI endoscopy after randomization if symptoms persisted^[44-47,50,52]. A considerable proportion (68%-94%) did not need additional upper GI endoscopy after either *H. pylori* eradication or, if uninfected, empirical treatment with PPIs, H₂-antagonists, prokinetics or no treatment. Three RCTs used a time delimited period after which upper GI endoscopy was allowed, if symptoms persisted^[43,49,51]. The predefined period varied between 2-6 wk and the proportion of avoided endoscopies was high (72%, 82% and 76%).

A Chinese study subjected all patients to upper GI endoscopy after 6 weeks, irrespective of presence or absence of symptoms^[43]. Four gastric erosions and three duodenal ulcers were the only tissue abnormalities found in a cohort of 78 asymptomatic, post-eradication patients.

Keeping many doctors from deciding against endoscopy for dyspeptic patients is a fear of missing out upper gastrointestinal cancers. The prevalence of cancers in all RCTs was extremely low. Across all studies, together representing 1531 patients, only three (0.2%) cancers were found in test & treat patients. The majority (60%) of upper GI endoscopies performed for persistent symptoms, did not reveal any abnormalities.

In two prospective studies, all dyspeptic patients without alarm symptoms referred for upper GI endoscopy followed a test and treat strategy for *H. pylori*^[48,53]. This avoided about 75% of upper GI endoscopies. A third prospective study similarly tested all dyspeptic patients for the presence of *H. pylori* and treated those with positive tests^[54]. Upper GI endoscopies were avoided for all *H. pylori* negative, asymptomatic patients (37%), and all *H. pylori* positive patients or symptomatic patients were subjected to upper GI endoscopy. No upper gastrointestinal cancer was found in the 588 patients included in the three studies.

EMPIRICAL TREATMENT

PPIs are being used to treat patients with dyspepsia, especially those with acid-related symptoms^[55]. Indeed, a meta-analysis revealed that PPIs are more effective than placebo to ameliorate symptoms in functional dyspepsia^[56].

Prokinetic drugs might be helpful for patients with impaired gastric emptying^[57]. Beneficial effects of prokinetics for dyspepsia were seen across studies, but a robust relationship with specific dysmotility-like symptoms is absent^[58].

Currently, PPIs are the therapeutic front runners in dyspepsia. Achieving symptom relief is an important factor to battle inappropriate use of upper GI endoscopies, and there is evidence that empirical treatment may be effective.

Three studies have examined the concept of empirical drug treatment against prompt upper GI endoscopy. Details of the included studies are summarized in [Table 4](#).

Table 2 Description of studies using a clinical assessment strategy to reduce upper gastrointestinal endoscopies

| Study | Country | Sample | Strategy | Effect on UGIE referrals |
|--|----------------|-----------------|--|---|
| Rutter <i>et al</i> ^[30] , 1998 | United Kingdom | 485 visits | Patient assessment in one-stop dyspepsia clinic | Cancelled: 6% |
| Mourad <i>et al</i> ^[31] , 1998 | United Kingdom | 272 visits | Patient assessment in one-stop dyspepsia clinic | Cancelled: 14% |
| Baldasarre <i>et al</i> ^[32] , 2016 | Italy | 5192 referrals | Assessment of referral letters and biomarker panel for atrophic gastritis | Cancelled: 10% |
| Pelitari <i>et al</i> ^[33] , 2017 | United Kingdom | 14,245 patients | Virtual assessment system to decide on best pathway for dyspeptic patients | 32% no face-to-face appointment (not UGIE specific) |
| Horowitz <i>et al</i> ^[34] , 2007 | Israel | 138 patients | Decision tree for symptom management, based on presenting symptoms | Reduction: 35% |

UGIE: Upper gastrointestinal endoscopy.

One study treated 80 patients with empirical PPI for 2 wk and offered those with persistent symptoms, subsequent *H. pylori* screening^[59]. Upper GI endoscopies were avoided in 69%. This result accords with a Danish study using a similar treatment regime and prevented 63% ($n = 184$) of listed upper gastrointestinal endoscopies^[60]. The Dutch study revisited their cohort after 1 year and found that 6.5% ($n = 77$) of empirically treated patients were scoped^[61]. One patient had developed gastric cancer within the first year of follow-up.

Another Danish study offered 206 patients with dyspepsia 4 weeks of H2-antagonists as initial empirical treatment^[62]. After one year, upper GI endoscopy was avoided in only 34% of patients.

CONCLUSION

Four strategies reveal four important targets in the trajectory of dyspepsia management that can be addressed to reduce inappropriate upper GI endoscopies (see **Figure 1**). Whereas guideline implementation strategies focus on changing physicians' behavior, a second strategy intervenes further in the referral process and assesses correctness of made referrals. A third strategy aims at detection and treatment of *H. pylori*, and lastly a strategy attempts to relieve symptoms through empirical treatment.

Guideline implementation is a low-cost intervention using existing infrastructure and resources. A lasting effect can be expected, due to its educational nature. Adjusting guidelines to local situations prior to implementation may be time consuming, but is of major importance for guideline acceptance^[10].

Behavioral change is the basis for improvement in healthcare quality, but its delicate nature complicates strategy implementation. Indeed, in the studies highlighted in this review, resistance was encountered when feedback on referrals was given. Disagreement with guidelines and fear of losing clinical freedom were two most frequently used arguments^[20]. One study notes that 15% of physicians cited lack of fit with clinical practice as a cause of non-adherence to guidelines^[18]. And nearly 40% of primary care physicians failed to attend educational workshops organized in the context of guideline implementation^[21]. Despite this disappointing data, active conveying of information about appropriate clinical behavior, including feedback, educational meetings and reminders, increases guideline adherence and results in a modest decline in upper GI endoscopy referrals.

Guideline implementation strategies are entirely directed at changing physicians' behavior, which means that patient, institution, and finance-related factors are not taken into account. Amongst others, these are factors which often play a role in the level of guideline adherence.

All in all, strategies that actively implement guidelines improve appropriateness of upper GI endoscopies referrals for dyspepsia. However, this strategy will meet resistance among referring physicians and, alone, is unlikely to be able to achieve the desired effect on referral behavior. Comprehensive, inclusive, and multilevel approaches will be needed to truly affect appropriateness of referrals.

Strategies involving clinical assessment ensure proper selection of patients with

Table 3 Description of studies using a *Helicobacter pylori* test and treat strategy to reduce upper gastrointestinal endoscopies

| Study | Country | Sample | Strategy | No endoscopy after HP test and treat |
|--|----------------|--------------|--|---|
| Hu <i>et al</i> ^[43] , 2006 | China | 161 patients | UGIE <i>vs</i> HP testing (UBT) + eradication (HP+) or Cisapride (HP-). | Symptom relief: 44%. Endoscopy for all after 6 weeks. |
| Mahadeva <i>et al</i> ^[44] , 2008 | Malaysia | 432 patients | UGIE <i>vs</i> HP testing (UBT) + eradication (HP+) or reassurance and/or empirical treatment (HP-) | 89% |
| Arents <i>et al</i> ^[45] , 2003 | Netherlands | 270 patients | UGIE <i>vs</i> HP testing (serology) + eradication (HP+) or Cisapride (HP-) | 68% |
| Laheij <i>et al</i> ^[46] , 2004 | Netherlands | 199 patients | UGIE <i>vs</i> omeprazole 2 wk followed by UGIE (no improvement) or HP serology testing (relapse) + eradication (HP+) | 94% |
| Duggan <i>et al</i> ^[47] , 2008 | United Kingdom | 584 patients | UGIE <i>vs</i> HP test + endoscopy (HP+) <i>vs</i> HP testing (serology) + eradication and/or endoscopy (HP+) or Lanzoprazole (HP-). | 73% |
| Heaney <i>et al</i> ^[49] , 1999 | United Kingdom | 104 patients | All received HP testing (UBT). Randomization of HP+ patients: UGIE or eradication therapy. | 73% |
| McColl <i>et al</i> ^[50] , 2002 | United Kingdom | 708 patients | UGIE <i>vs</i> HP testing (UBT) + eradication (HP+). | 92% |
| Lassen <i>et al</i> ^[51] , 2000 | Denmark | 500 patients | UGIE <i>vs</i> HP testing (UBT) + eradication (HP+), PPI (HP-, NSAID-, reflux+), or lifestyle advice (HP-, NSAID-, reflux-) | 60% |
| Fraser <i>et al</i> ^[48] , 2003 | New Zealand | 173 patients | All received UBT and eradication if HP+ | 76% |
| Slade <i>et al</i> ^[53] , 1999 | United Kingdom | 232 patients | All received HP serology testing + UGIE (HP equivocal), eradication (HP+), or usual care (HP-). | 73% |
| Patel <i>et al</i> ^[54] , 1995 | United Kingdom | 183 patients | All received serology testing + UGIE for HP+, sinister symptoms, or NSAID use. | 37% (after HP-) |

UGIE: Upper gastrointestinal endoscopy; HP: *Helicobacter pylori*; UBT: Urea breath test, NSAID: Nonsteroidal anti-inflammatory drugs.

indication for upper GI endoscopy. However, most clinical assessment strategies have logistical challenges, as new steps are introduced within a well-established open-access system, available in most healthcare settings. Also, extra costs and working hours of a one-stop clinic or clinical assessment by an endoscopist neutralizes the originally anticipated effects of an open-access system.

Low levels of inappropriateness were seen in studies that involved face-to-face assessment of patients referred for upper GI endoscopy^[30,31]. However, these studies date from 1998, and different criteria for appropriateness of referrals were used at that time. Also, patients' fear of serious disease or lack of confidence in conservative treatment may have played a role^[63]. In a face-to-face dyspepsia clinic, these factors may not be changed, leading to upper GI endoscopy although not strictly appropriate. Arguing against patients' influence is the equally low reduction of upper GI endoscopies found in the study evaluating referral letters^[32].

Algorithms follow a more rigid approach, purely based on presence of variables, such as symptoms. Algorithms are useful in decision-making and to guide clinicians through the clutter of available evidence, as is the case for dyspepsia management. Leaving out individual patient factors using an algorithm, resulted in a considerable reduction of upper GI endoscopies compared to usual care^[34]. However, the rigidity of such aids is an important drawback as it negates sound clinical judgment.

The use of an extensive virtual assessor to select the right pathway for patients effectively reduces the number of referrals to outpatient clinics^[33]. Standardized feedback was given, aiding physicians in their decision making, and patients in

Table 4 Description of studies using an empirical treatment strategy to reduce upper gastrointestinal endoscopies

| Study | Country | Sample | Strategy | UGIE avoided |
|--|-------------|--------------|--|--------------|
| Laheij <i>et al</i> ^[59] , 1998 | Netherlands | 80 patients | 2-wk PPI treatment. HP test and treat for persistent symptoms. | 69% |
| Kjeldsen <i>et al</i> ^[60] , 2007 | Denmark | 184 patients | UGIE <i>vs</i> 2-wk PPI treatment and HP testing + UGIE (no improvement, recurrence at age ≥ 45 , or HP- and age < 45 yr), eradication (HP+ and age < 45 yr), or PPI 2 additional weeks (HP-, age < 45 yr, and reflux+) | 63% |
| Bytzet <i>et al</i> ^[62] , 1994 | Denmark | 206 patients | 4 weeks H2RA treatment | 34% |

UGIE: Upper Gastrointestinal endoscopy; PPI: Proton pump inhibitor; HP: *Helicobacter pylori*; H2RA: Histamine-2 receptor antagonists.

management of their disease. Key elements for success in this study were patient involvement and the use of feedback in combination with the liberty of physicians to overrule the system, if deemed appropriate.

Future studies should explore combinations of clinical assessment strategies. An algorithm integrated in the referral process that gives feedback on, but does not reject, referrals would be an effective form of clinical assessment, while maintaining patient centered care.

H. pylori testing is a simple procedure that can easily be performed in primary care. Cost effectiveness of *H. pylori* test and treat is dependent on several local factors. Overall, test and treat after failure of acid-suppression therapy is more cost effective than prompt endoscopy, providing that *H. pylori* prevalence is at least $\geq 10\%$, and availability of endoscopy is good and costs $> \$200$ ^[64-66].

The prevalence of *H. pylori* is subject to considerable regional variation^[67,68]. Highest prevalence is generally found in Africa, Latin America and the Caribbean, and Asia. Northern America features the lowest prevalence. However, even in low prevalence countries, the bacteria affects a substantial population and should always be considered when upper GI symptoms arise. Additionally, *H. pylori* is known to cause non-cardia gastric cancer, and peptic ulcers, emphasizing the benefits of *H. pylori* eradication^[69,70].

Substantial numbers of upper GI endoscopies were avoided in trials that adopted a test and treat strategy for dyspepsia, both in high and low *H. pylori* prevalence countries. Upper GI endoscopies performed for persistent symptoms usually resulted in normal results and upper GI cancers were only incidentally found. This confirms that with this strategy the probability of missing significant abnormalities is low.

Arguing against widespread use of a test and treat strategy is the disappointing reduction of dyspeptic symptoms after eradication as reported by several clinical trials^[42]. *H. pylori* treatment, traditionally consisting of dual antibiotics with a PPI, may result in novel on-treatment symptoms and carries the risk of antibiotic resistance^[41]. It is advisable to take these factors into account when screening for *H. pylori* is considered in patients at low risk of infection.

In view of the ability to reduce the volume of upper GI endoscopies, *H. pylori* test and treat should be part of the diagnostic work-up of dyspepsia.

Most guidelines recommend empirical treatment with PPI or H2-antagonists. These drugs are well-suited for primary care-based use, as they are safe, especially with short-term use, and costs are low^[71,72]. Monitoring of drug-use duration is often integrated in primary care systems, preventing undesired prolonged use.

A pre-emptive treatment of dyspepsia patients avoids two-thirds of upper GI endoscopies^[59,60]. Risk of missing malignancies is extremely low using this approach. Which strategy (PPI, H2-antagonists) avoids most upper GI endoscopies is still unclear, as there have not been any head-to-head trials studying this outcome. A superior effect of PPI over H2-antagonists for symptom reduction is consistently shown.

Concerns have been raised about long-term safety of PPI use. However, these were based on weak evidence, concerning solely observational studies^[73]. Robust, randomized studies are needed to establish whether a causal relationship exists. Benefits of PPI treatment often outweigh the potential risk of adverse effects and patients in need of acid-suppressive therapy should not be denied treatment. As with any other drug, PPIs should be prescribed in the lowest possible dose for the shortest

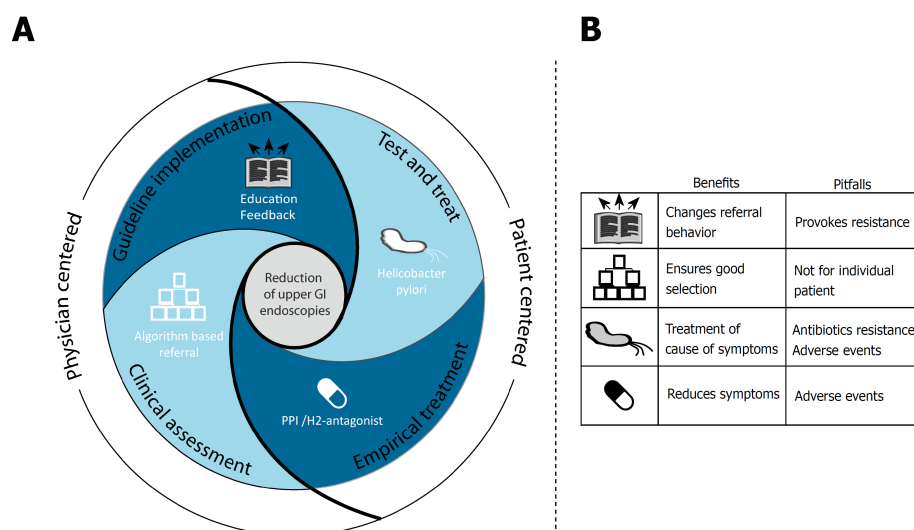


Figure 1 Model of four strategies applied to reduce overuse of upper gastrointestinal endoscopies, with key elements per strategy and target audience (A); and benefits and pitfalls encountered per strategy to reduce overuse of upper gastrointestinal endoscopies (B). PPI: Proton pump inhibitor; H2-antagonist: Histamine H2-receptor antagonist.

possible time. Frequent re-evaluation of the appropriateness of use and, if not appropriate, careful stepwise discontinuation to prevent a rebound effect is paramount.

Empirical treatment of uninvestigated dyspepsia has been feared to ‘mask’ gastric cancer^[74]. Based on the declining incidence of gastric cancer in the Western world it is unlikely that this is the case in low to moderate (e.g. < 15 per 100.000) prevalence countries. In particular, when adequate *H. pylori* testing is performed, and risk factors are observed.

Empirical treatment with PPI and *H. pylori* test and treat strategies greatly reduces the need for upper GI endoscopy. Individual choices for a suitable drug may be made and switched if the initial choice fails.

In this review we report four strategies that reduce the volume of upper GI endoscopies for dyspepsia. Dyspepsia is a multifactorial disorder and requires a matching multi-level approach. Therefore, no single best strategy is the panacea for all dyspepsia patients. Each intervention has its benefits and drawbacks and the key to success are multiple rather than individual strategies.

Current research

A randomized clinical trial (TRIODE) is currently ongoing that compares a multifactorial strategy involving e-learning with upper GI endoscopy (www.ClinicalTrials.gov NCT03205319). The aim of this pragmatic study is to reduce the volume of upper GI endoscopies through a combination of increased patient and physician awareness and lifestyle interventions. The e-learning is a home-based tool that addresses the limited value of upper GI endoscopies for dyspepsia, explains etiology of dyspepsia and guides patients through lifestyle interventions. We will enroll 119 patients from four district general hospitals in the Netherlands. With this trial, we aim to provide a framework that can be used to improve appropriateness of upper GI endoscopy use.

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