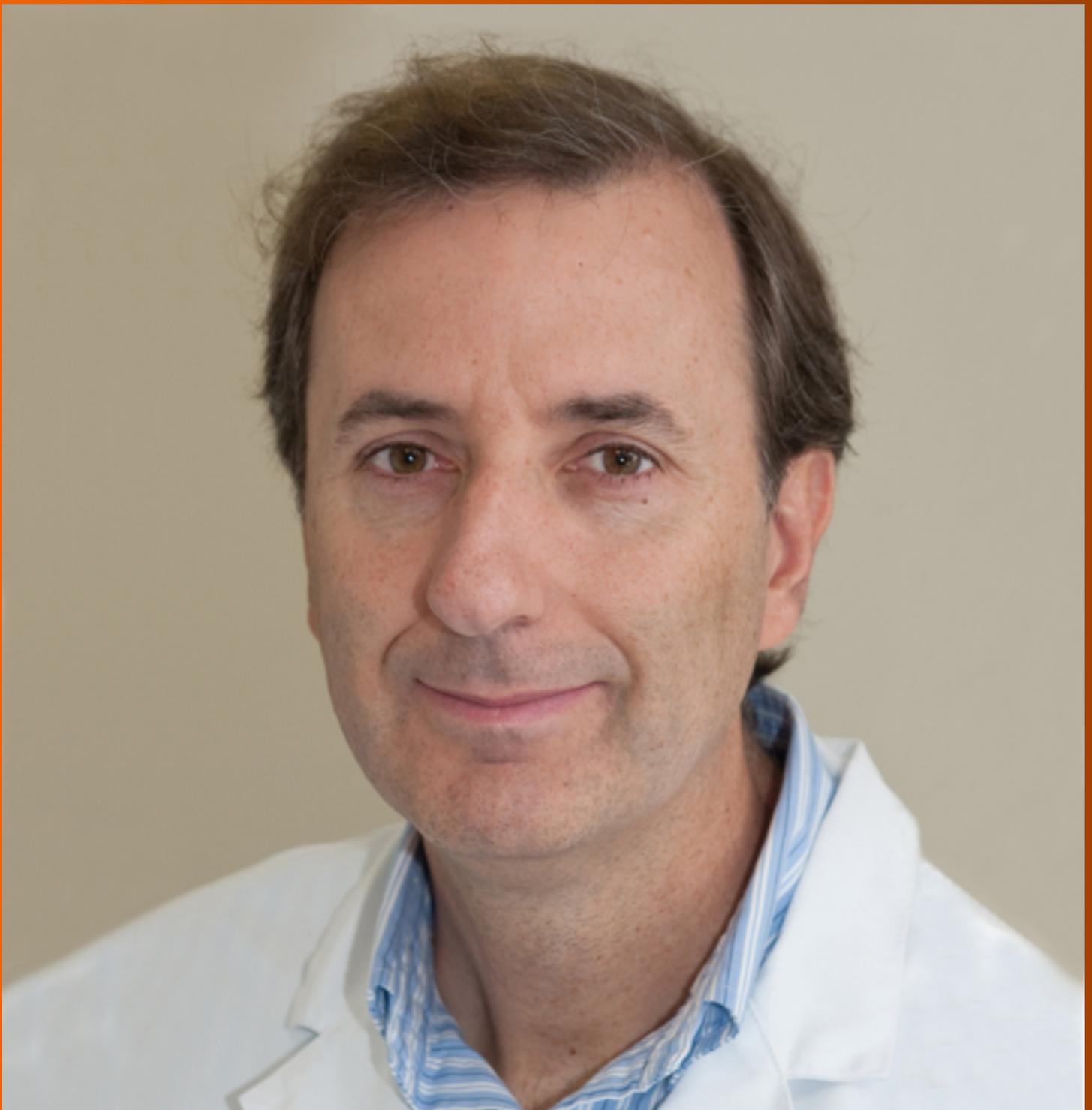


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## Use of tunnel endoscopy for diagnosis of obscure submucosal esophageal adenocarcinoma: A case report and review of the literature with emphasis on causes of esophageal stenosis

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**Author contributions:** Liu S and Wang N contributed to study conception and design, data collection, assembly, and analysis, manuscript writing, and literature search, and they contributed equally to this work; Yang J contributed to the collection and assembly of data; Yang JY contributed to data analysis and study conception; Shi ZH contributed to valuable suggestions; all authors reviewed and approved the manuscript.

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### Abstract

#### BACKGROUND

The tunnel endoscopic technique is the treatment of choice for submucosal tumors. However, the use of tunnel endoscopy to diagnose adenocarcinoma of the esophagus originating from the submucosa has not been well studied.

#### CASE SUMMARY

A 74-year-old man who presented with dysphagia for half a year underwent a series of checks, such as gastroendoscopy, X-ray contrast examination of the upper digestive tract, endoscopic ultrasonography, high-resolution esophageal manometry, and positron emission computed tomography. It should be noted that the stenosis of the esophagus was too narrow for endoscopic ultrasound-guided fine needle aspiration. The cause remained undiagnosed. Eventually, the tunnel endoscopic technique was performed for the pathological examination in the submucosa and the final diagnosis was adenocarcinoma of the esophagus. The patient and family members chose expectant treatment due to the patient's age and the high costs of surgical treatment.

#### CONCLUSION

Tunnel endoscopy could be used to diagnose tumors. Moreover, we review the literature to provide guidance regarding the causes of esophagostenosis.

**Key words:** Esophageal stenosis; Adenocarcinoma of the esophagus; Tunnel endoscopic technique; Case report

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**Core tip:** Tunnel endoscopy is not only effective as a treatment for submucosal tumors, but also as a means of performing pathological examination for diagnosing tumors.

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## INTRODUCTION

Tumors of the esophagus are a severe health problem worldwide with crypticity and high mortality. According to the different pathologic types, tumors of the esophagus have been divided into esophageal squamous cell carcinoma and esophageal adenocarcinoma<sup>[1]</sup>. Esophageal squamous cell carcinoma is the predominant histologic type while esophageal adenocarcinoma remains rare<sup>[2]</sup>. It is generally accepted that pathological examination is the "gold standard" for the diagnosis of esophageal tumors. Moreover, imaging modalities such as endoscopy, endoscopic ultrasonography (EUS), and computed tomography (CT) have been applied to measure the depth of the invasion of the esophageal wall, tumor size, the presence of invasion into adjacent organs, lymph node metastasis, and distant metastasis<sup>[3]</sup>. The high mortality rate has been attributed to the fact that half of patients have a locally-advanced form of the disease at diagnosis<sup>[4]</sup>. Therefore, early pathological diagnosis can effectively improve patient prognosis.

The tunnel endoscopic technique is an innovative and minimally-invasive endoscopic surgical procedure. The first submucosal tunnel endoscopic resection in the world was conducted in China, when it was used to remove submucosal tumors (SMTs) originating from the muscularis propria of the esophagus<sup>[5]</sup>. Nevertheless, biopsy in a submucosal tunnel has not been well studied. We report herein an unusual case involving diagnosis of esophageal stenosis caused by adenocarcinoma through the tunnel endoscopic technique, and review the causes of esophageal stenosis. The patient has signed an informed consent form and data had been anonymized and unidentified.

## CASE PRESENTATION

### Chief complaints

A 74-year-old male patient visited our hospital complaining of dysphagia which he had experienced for half a year with no clear trigger.

### History of present illness

He vomited after eating, bringing up the contents of the stomach. There was no obvious chest pain, hematemesis, or weight loss. He was in good physical condition.

### Personal and family history

There were no special circumstances in personal and family history.

### Physical examination upon admission

On physical examination, he showed no evident positive characteristics.

### Laboratory examinations

With regard to laboratory values, only the serum tumor marker CA199 (47.85 U/mL; normal reference range: < 39 U/mL), albumin (35.6 g/L; normal reference range: 40-50 g/L), and hemoglobin (124 g/L; normal reference range: 130-175 g/L) were moderately changed. Renal function, electrolytes, blood sugar, cholesterol, erythrocyte sedimentation rate, blood coagulation, humoral immune function, antiphospholipid antibody, anti-autoantibody, antineutrophil cytoplasmic antibody, urine, and conventional stool concentration were all within normal limits.

### Imaging examinations

Color Doppler ultrasonography revealed chronic cholecystolithiasis. A chest CT scan

revealed thickening of the esophageal wall (Figure 1A and B). Barium meal X-ray showed that the lower esophagus presented the beak sign, suggesting achalasia (Figure 1C and D). Regarding condition, no ulceration, prominence lesions, or Barrett's esophagus manifestation was found by endoscopy (Figure 2A-D). In addition, routine gastroscopy was performed at a local hospital, and no tumor cell was detected by pathological examination at esophageal stenosis. EUS was performed to clarify the cause of the narrow esophageal structure. On EUS, esophageal cavity stenosis was visible at a distance of 37 cm from the incisors, along with obvious thickening of the intrinsic muscularis which reached 0.8 cm, and the first to third layer structure was not clear (Figure 2E and F). High-resolution esophageal manometry suggested lower esophageal outflow obstruction (Figure 3). Based on these findings, a tumor that originated from the tunica muscularis esophagi was highly suspected. Therefore, we recommended the patient to undergo a positron emission CT (PET-CT) examination. The PET-CT results from Wuhan Tongji Hospital indicated dilatation of the entire esophagus, stenosis of the esophagus and cardia junction, and increased local metabolism. However, it was difficult to obtain pathological evidence as the esophageal mucosa was only roughened, with no ulceration, erosion, or bleeding. We invited a general thoracic vascular surgeon and a gastrointestinal surgeon to assist in the diagnosis and treatment. Eventually, a multidisciplinary consultation recommended that tunnel endoscopy was performed for biopsy. Therefore, the tunnel endoscopic technique was chosen for pathological examination. We created a submucosal tunnel, advanced towards the stenosis of the esophagus, and obtained muscularis tissues (Figure 4).

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## FINAL DIAGNOSIS

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The final diagnosis was adenocarcinoma of the esophagus (Figure 5).

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## TREATMENT

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Regretfully, the patient and family members chose expectant treatment due to the patient's age and the high costs of surgical treatment. The flow chart of disease diagnosis can be referred to Figure 6.

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## DISCUSSION

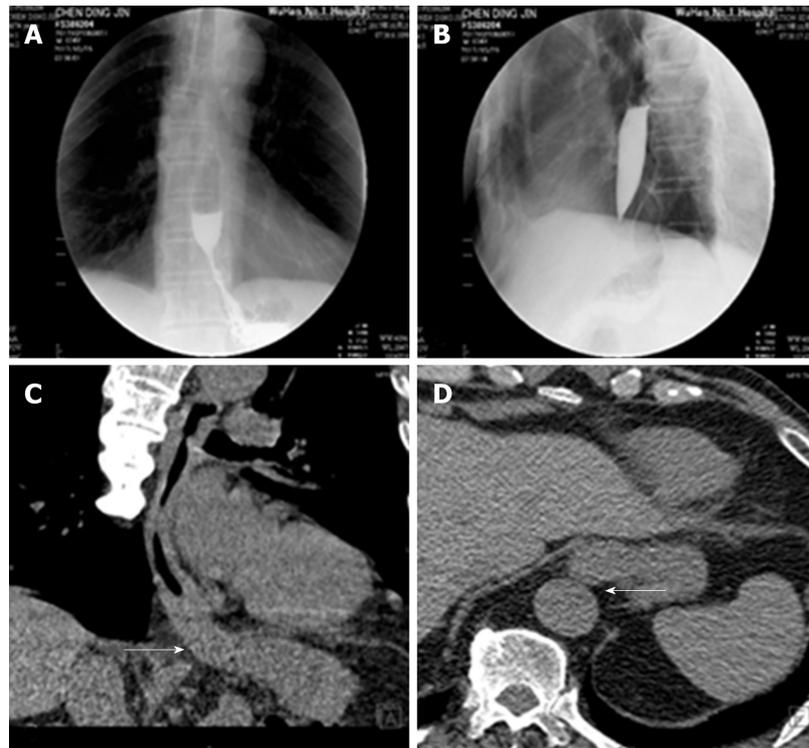
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Adenocarcinoma of the esophagus is a malignant tumor arising from the submucosal tissue of the esophagus or from the glands of the cardia. However, because early disease is asymptomatic in most patients, timely diagnosis of esophageal adenocarcinoma (especially arising from the submucosa) is relatively difficult. Even when treated by radical surgery combined with radiotherapy and chemotherapy, the 5-year survival rate remains low<sup>[6,7]</sup>. Thus, early diagnosis and treatment of esophageal tumors are of great significance.

Esophageal strictures can result from a wide variety of benign and malignant conditions. Meanwhile, dysphagia is the most common symptom which urges patients to seek medical treatment. Benign esophageal strictures can occur following peptic strictures<sup>[8]</sup>, eosinophilic esophagitis<sup>[9]</sup>, achalasia<sup>[10]</sup>, pill-injury esophageal strictures<sup>[11]</sup>, caustic strictures<sup>[12]</sup>, anastomotic strictures<sup>[13]</sup>, Crohn's disease-associated esophageal stricture<sup>[14]</sup>, IgG4-related esophagitis<sup>[15]</sup>, radiation-induced esophageal strictures<sup>[16]</sup>, esophageal intramural pseudodiverticulosis<sup>[17]</sup>, or epidermolysis bullosa<sup>[18]</sup> (Table 1). It is generally known that a malignant esophageal stricture refers to esophageal cancer. Some esophageal strictures can be treated by drug therapy such as with proton pump inhibitors or steroids<sup>[9,11,15,17]</sup>, while others can be refractory to most optical endoscopic therapies such as dilation<sup>[15,16,18]</sup>, stent placement<sup>[13]</sup>, or peroral endoscopic myotomy.

In the present case, the mucosa of the esophageal stenosis was only rough, with no obvious ulceration or erosion. It could well be that mucosal biopsies failed to achieve real results. Meanwhile, the stenosis of the esophagus was too narrow for a conventional endoscope to pass, *let alone* the large probe required for EUS. Therefore, it could not perform EUS guided fine needle aspiration for biopsy and we chose to use small probe endoscopic ultrasonography to clarify the cause of the esophageal stricture.

Subcutaneous emphysema, pneumothorax, and secondary infection are common complications of endoscopic resection<sup>[19-21]</sup>. As there was no serous layer of the



**Figure 1** X-ray and thoracic computed tomography imaging of the patient. A and B: A barium meal X-ray revealed poor relaxation of the distal sphincter, dilatation of middle esophageal and stenosis of lower esophagus, weakened esophageal peristalsis, and ultimately stricture of the esophageal lumen with a beak-like appearance; C and D: Thoracic computed tomography imaging revealed thickening of the esophageal wall and antrum stricture (arrows), with a regular mucosal pattern.

esophagus, resection of the muscularis propria of the esophagus would be more prone to concurrent subcutaneous emphysema, pneumothorax, and secondary infection than the gastric muscularis propria. When the tunnel endoscopic technique is used to excise SMTs, the lesion mucosal surface remains intact and the mucosa of the tunnel opening is closed with a titanium clip, avoiding leakage of gas and digestive fluid into the chest and abdominal cavity, thus reducing the risk of secondary infection. Moreover, the tunnel endoscopic technique allows clear visualization of bleeding foci in the tunnel, reducing bleeding during the operation and postoperative delayed bleeding.

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## CONCLUSION

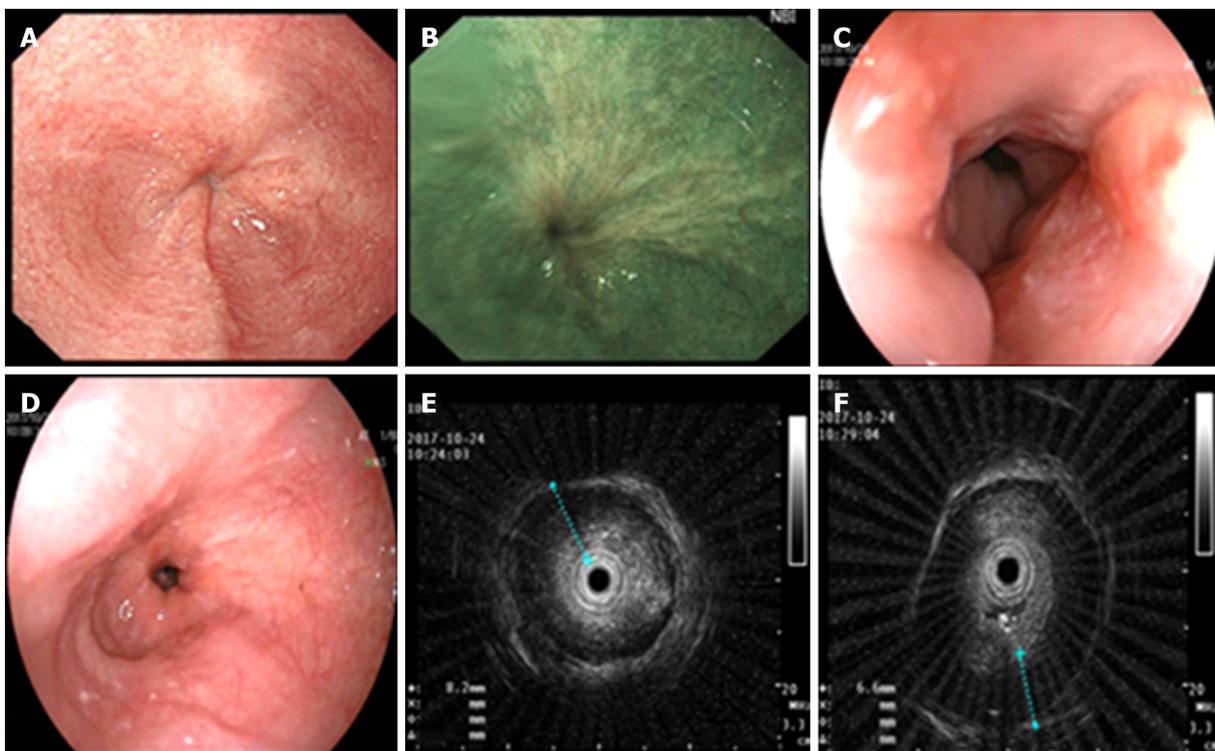
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In conclusion, this was an unusual case of esophageal stenosis caused by adenocarcinoma and diagnosed by the tunnel endoscopic technique. As a method of diagnosis and treatment, the tunnel endoscopic technique would be a less complicated and less risky choice. We would like to emphasize the role of tunnel endoscopy in diagnostic treatment. Further, we analyzed the common causes of esophageal stenosis, hoping to provide some information which will help with clinical work.

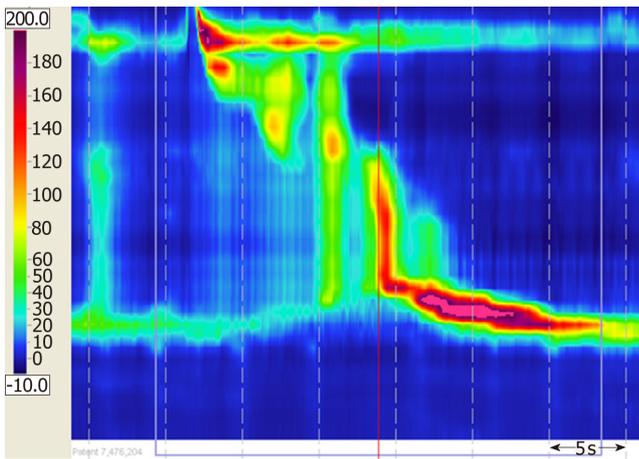
**Table 1** Analysis of causes of esophageal stenosis

Ref.	Years	Cause analysis of stenosis	Clinical manifestation
Ramage Jr <i>et al</i> <sup>[8]</sup>	2005	Peptic strictures	Acid reflux, dysphagia
Moonen <i>et al</i> <sup>[10]</sup>	2014	Achalasia	Dysphagia, regurgitation aspiration, chest pain, and weight loss
Muir <i>et al</i> <sup>[9]</sup>	2018	Eosinophilic esophagitis	Dysphagia
Yeoh <i>et al</i> <sup>[11]</sup>	2017	Pill-injury esophageal strictures	Regurgitation, retrosternal pain
He <i>et al</i> <sup>[12]</sup>	2018	Caustic strictures	Dysphagia, hematemesis
Siddiqui <i>et al</i> <sup>[13]</sup>	2012	Anastomotic strictures	Dysphagia, when a standard endoscope could not pass through the post-ESD scar
Nandy <i>et al</i> <sup>[14]</sup>	2017	Crohn's disease induced esophageal strictures	Dysphagia and odynophagia
Obiorah <i>et al</i> <sup>[15]</sup>	2017	IgG4-related esophagitis	Dysphagia
Agarwalla <i>et al</i> <sup>[16]</sup>	2015	Radiation-induced esophageal strictures	Dysphagia
Abbes <i>et al</i> <sup>[17]</sup>	2017	Esophageal intramural pseudodiverticulosis	Dysphagia and weight loss
Michalak <i>et al</i> <sup>[18]</sup>	2018	Epidermolysis bullosa	Dysphagia, skin blistering, joint contractures and missing nails

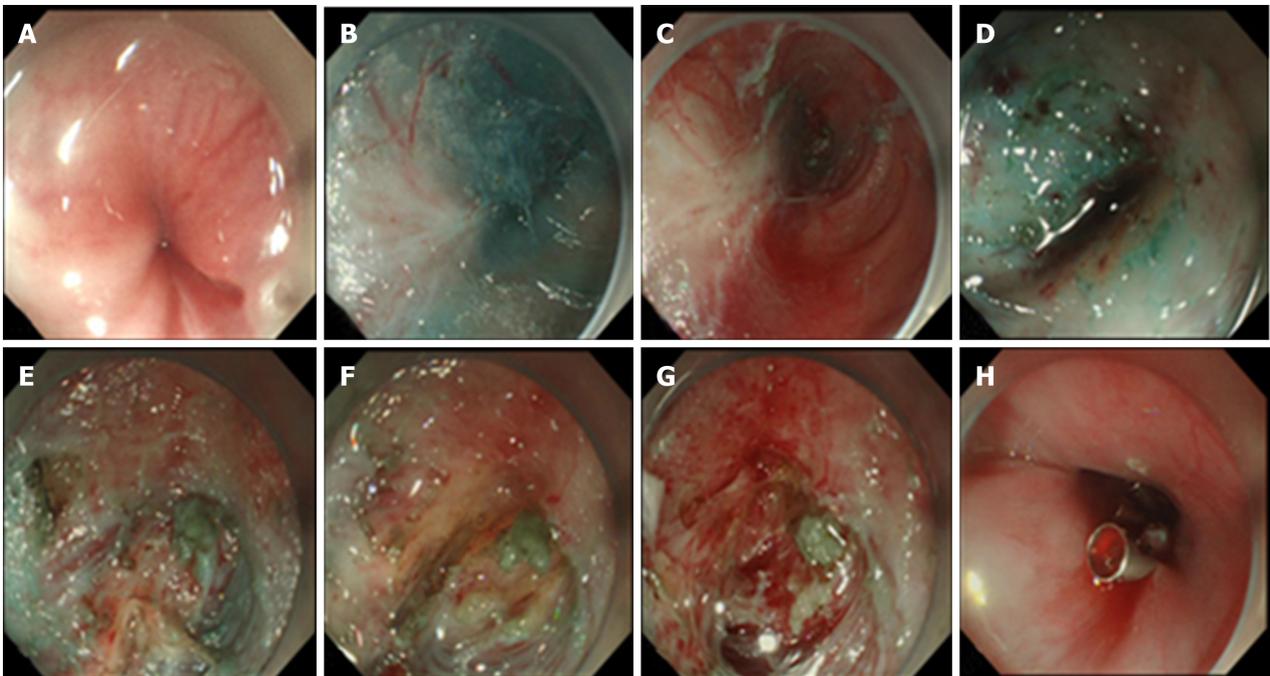
ESD: Endoscopic submucosal dissection.



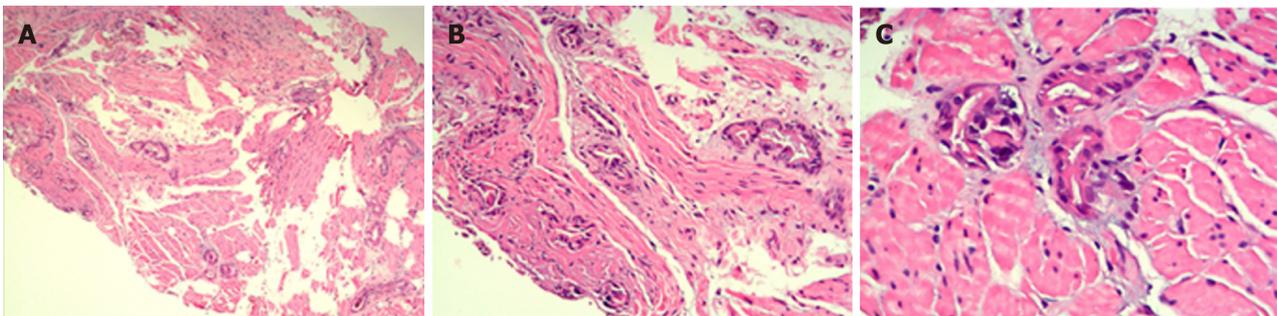
**Figure 2** Upper gastrointestinal endoscopy and endoscopic ultrasonography of the patient. A-D: Upper gastrointestinal endoscopy revealed esophageal stenosis 37 cm from the incisors, along with mucosal surface asperities; E and F: Endoscopic ultrasonography revealed that the esophageal ring cavity was in the stenosis and the muscularis propria markedly thickened, together with an unclear structure of the first to third layers.



**Figure 3 High-resolution esophageal manometry.** The integrated relaxation pressure (4s integrated relaxation pressure; normal reference value: 15 mm Hg) was 26.6 mm Hg which suggested relaxation dysfunction of the lower esophageal sphincter.



**Figure 4 Case illustration of endoscopic biopsy through a tunnel.** A: The narrow place in the lower esophagus (37 cm from the incisors); B: The submucosal tunnel was established; C: White fibrotic adhesions can be seen in the tunnel; D: The submucosal structure was disorganized; E and F: It was difficult to distinguish yellow tissue and white tissue from the muscular layer in the tunnel; G: Tissue biopsy in the tunnel; H: The mucosal entry incision was sealed with several clips.



**Figure 5 Microscopic images.** In smooth muscle cells, abnormal glands, dense nuclei of glandular epithelial cells, irregular glandular cavities, and fibrous hyperplasia were seen (hematoxylin and eosin staining; A, B, and C, magnification  $\times 40$ ,  $\times 100$ , and  $\times 200$ , respectively).

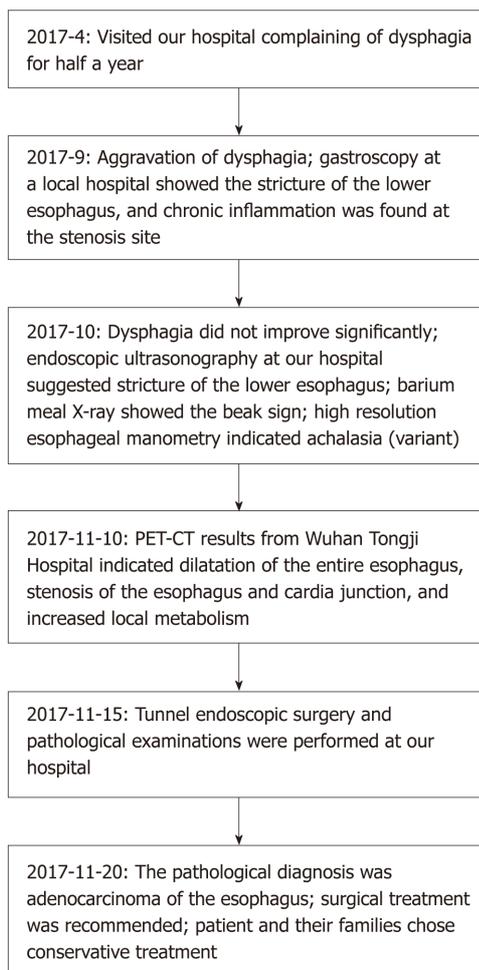


Figure 6 Information schedule. PET-CT: Positron emission computed tomography.

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