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**Upper gastrointestinal tract involvement of pediatric inflammatory bowel disease: A pathological review**

Abuquteish D *et al*.Pediatric IBD UGI tract involvement

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**Abstract**

Upper gastrointestinal (UGI) tract involvement of inflammatory bowel disease (IBD) is commonly seen in pediatric patients. Upper endoscopy is included in the routine workup of children with suspected IBD to enhance the diagnosis and management of these patients. Currently, childhood IBD is classified into ulcerative colitis (UC), atypical UC, Crohn’s disease (CD) and IBD unclassified. Histologic confirmation of UGI tract involvement, in particular the presence of epithelioid (non-caseating) granulomas, is helpful in confirming the diagnosis of IBD and its classification. Herein, we reviewed selected IBD-associated UGI tract manifestations in children. Lymphocytic esophagitis, seen predominantly in CD, is histologically characterized by increased intraepithelial lymphocytes (> 20 in one high-power field) in a background of mucosal injury with absence of granulocytes. Focally enhanced gastritis is a form of gastric inflammation in pediatric IBD marked by a focal lymphohistiocytic pit inflammation with or without granulocytes and plasma cells in a relatively normal background gastric mucosa. Duodenal inflammation seen in children with IBD includes cryptitis, villous flattening, increased intraepithelial lymphocytes, and lamina propria eosinophilia. Finally, epithelioid granulomas not associated with ruptured gland/crypt are a diagnostic feature of CD. The clinicopathologic correlation and differential diagnosis of each microscopic finding are discussed. Clinicians and pathologists should be cognizant of the utility and limitations of these histologic features.

**Key words:** Pediatric; Inflammatory bowel disease; Lymphocytic esophagitis; Focally enhanced gastritis; Epithelioid granuloma; Crohn’s disease; Ulcerative colitis

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**Core tip:** Upper gastrointestinal tract inflammation is frequently observed in pediatric patients with inflammatory bowel disease. Distinct inflammatory patterns such as lymphocytic esophagitis and focally enhanced gastritis are helpful in rendering the diagnosis of inflammatory bowel disease in an otherwise non-specific case. Epithelioid granulomas are the only specific microscopic finding to distinguish Crohn disease from ulcerative colitis. Meanwhile, duodenal inflammation demonstrates various non-specific histologic findings in the setting of pediatric inflammatory bowel disease. This review highlights the diagnostic criteria and differential diagnosis of each pathologic finding.

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**INTRODUCTION**

Inflammatory bowel disease (IBD) is a complex and multifactorial disorder of the gastrointestinal tract characterized by relapsing inflammation with a peak age of onset between 15 and 30 years of age[1]. The incidence of pediatric IBD varies based on the geographical region with the highest incidence seen in Europe (0.2-23 per 100000) and North America (1.1-15.2 per 100000)[2]. Benchimol *et al*[3] reported increasing prevalence of childhood-onset IBD in Canada over time. The incidence, however, is not statistically changed with an exception of the rapid increase in the youngest age group (6 mo to 5 years of age)[3]. A population-based epidemiological study from Wisconsin[4], United States, reported the annual incidence of IBD 9.5 per 100000 children with 19% of cases occurred in the first decade of life. The incidence of Crohn’s disease (CD), ulcerative colitis (UC) and indeterminate colitis in the study was 6.6, 2.4, and 0.5 per 100000 children, respectively[4].

Phenotype classification of IBD is necessary for accurate management and prognostication. The pediatric IBD Porto Group of the European Society of Pediatric Gastroenterology, Hepatology, and Nutrition (ESPGHAN)[5] classifies pediatric IBD into five subgroups: UC, atypical UC, colonic CD, small bowel CD, and IBD unclassified (IBDU). The classification is based on the endoscopic and pathologic findings.

Despite many similarities between IBDs in children and adults, the pediatric-onset IBD often presents with atypical features, making the classification of IBD in children challenging. In addition, upper gastrointestinal (UGI) tract involvement is more commonly identified in pediatric patients compared to adults[6]. Previously considered to be a specific finding of CD, UGI tract involvement is no longer used to distinguish CD from UC as it may be seen in both entities[7]. Herein, we review the UGI tract manifestations of pediatric IBD including lymphocytic esophagitis (LE), focally enhanced gastritis (FEG), duodenal inflammation, and epithelioid granulomas with an emphasis on their pathologic characteristics and differential diagnosis. The pathologic characteristics of these entities are summarized in Table 1.Monogenic IBD, a completely separate entity characterized by its early onset and association with underlying genetic defects, is not discussed in this review.

**CLINICAL PERSPECTIVE**

UGI tract inflammation is noted in approximately half of the children with IBD during the initial assessment[6,8]. Castellaneta *et al*[8] reported that the most frequently involved sites were the stomach (67%) followed by the esophagus (54%) and duodenum (22%). The clinical manifestations include epigastric and abdominal pain, nausea, vomiting, and weight loss.8 However, a subset of patients with UGI tract inflammation were asymptomatic at initial presentation[8,9,10]. Therefore, ESPGHAN Revised Porto Criteria recommended that esophagogastroduodenoscopy (EGD) should be performed in all children at the initial evaluation of IBD with two or more biopsies obtained from each site irrespective of the UGI tract manifestations and endoscopic appearances[7,11].

Routine EGD allows a comprehensive evaluation of the extent of disease involvement and is potentially helpful to classify the disease in a subset of patients with otherwise nonspecific pancolitis[12]. The European registries (EUROKIDS and the Hungarian Paediatric IBD Registry) reported that 35%-67% of CD patients demonstrated macroscopic abnormalities of the UGI tract at EGD and 9%-24% of them showed characteristic endoscopic findings of CD (aphthous lesions, ulcerations, cobblestone appearance, and stenosis)[13,14].

Histologically, various inflammatory patterns of the UGI tract may be seen in childhood IBD. The esophageal involvement of these patients consists of active esophagitis, chronic esophagitis (including LE), and reflux esophagitis[8,9,15]. The inflammatory patterns seen in the stomach include chronic active and inactive gastritis (including *Helicobacter pylori*-associated gastritis), chronic atrophic gastritis, and FEG[10,16,17].The duodenal inflammation is characterized by crypt inflammation, villous blunting, and increased intraepithelial lymphocytes[18]. Whereas the aforementioned findings can be seen in all IBD subgroups, epithelioid (non-caseating) granulomas are a specific histologic feature to render the diagnosis CD[5].

**LE**

Initially described by Rubio *et al*[19] in 2006, LE has been associated with IBD in pediatric patients, particularly CD[15].The prevalence of LE in children with CD ranges from 12.2 to 28%[15,20]. However, LE should not be used as a distinguishing feature between CD and UC, as a small proportion (7%) of children with UC also demonstrate LE[15]. Clinically, children with LE do not demonstrate symptoms that distinguish them from non-LE patients[15]. Moreover, the endoscopic findings are not specific; the esophageal mucosa might be unremarkable, edematous, or with erosions, similar to patients with reflux esophagitis[15,20].

LE is a diagnosis made on the basis of histologic findings. However, there is no widely accepted diagnostic criteria. The initial description[19] defined LE as having greater than 20 intraepithelial lymphocytes (IELs) per high-power field (HPF) with rare granulocytes, while the articles of LE in pediatric patients[15,20] defined LE as esophageal mucosa with greater than 50 IELs per HPF and less than 1 granulocyte per 50 IELs. Patil *et al*[21] argued that there is currently not enough evidence to support formal counting of lymphocytes to diagnose LE in daily practice. The skepticism is primarily due to the lack of data on the normal range of IELs in the esophageal mucosa. Putra *et al*[22] evaluated esophageal mucosal biopsies of 17 asymptomatic volunteers (age: 34 ± 9 years) and reported that the cut-offs for IEL (mean + 2SD) at gastroesophageal junction, distal esophagus, and mid esophagus were 62, 46, and 41 IELs per HPF, respectively. Meanwhile, there is no available data on the normal esophageal lymphocyte count in healthy children.

In practice, the authors use the diagnostic criteria established by Rubio *et al*[19] (greater than 20 IELs per HPF with no significant granulocytes; Figure 1A and B). Mucosal injury, in the form of edema or dyskeratosis, also often accompanies intraepithelial lymphocytosis[21]. IELs are more prominent in patients with CD and they usually demonstrate peripapillary distribution[20].

It is important to note that LE is not specific for childhood IBD and this association is not observed in adult patients[23]. LE is also seen in patients with esophageal candidiasis, motility disorders, gastroesophageal reflux disease, and immune-mediated disorders, among others[19,21-27]. Therefore, in the pathology report of LE patients with no clinical history of IBD, a note stating that LE is a nonspecific histologic finding and listing the clinical correlates is advised[21].

In addition to the aforementioned conditions, LE also shows overlap histologic findings with lichen planus esophagitis and lichenoid esophagitis[28]. Microscopically, lichen planus esophagitis is characterized by atrophic epithelial changes, a prominent band-like infiltrate in the epithelium and lamina propria, and scattered degenerated keratinocytes with direct immunofluorescence studies showing globular IgM deposits at the dermo-epidermal junction and complement staining in apoptotic keratinocytes[28,29]. Lichenoid esophagitis is a term reserved for biopsies showing histologic findings similar to lichen planus esophagitis with negative direct immunofluorescence studies; this histologic pattern has been associated with viral hepatitis and human immunodeficiency virus (HIV) infection[28].

**FEG**

FEG was introduced by Oberhuber *et al*[30] as a frequent type of gastritis in CD patients. In children, FEG is identified in both CD and UC patients with a reported prevalence of 54%-55% and 21%-30%[31,32], respectively, therefore it does not reliably distinguish between the two entities.The reported sensitivity and specificity of FEG for IBD to be 36%-41% and 94%-97%, respectively[32,33]. Children with FEG are 15.4 times more likely to have an IBD[32]. Although not entirely specific, the association between FEG and IBD is also observed in adult patients[30].

Clinically, there is no significant difference in the UGI tract symptoms between IBD children with and without FEG[10]. Abnormal endoscopic findings are more commonly identified in patients with FEG (49% to 68.7%)[10,31]; these abnormalities include aphthoid ulcers and submucosal bleeding[10].

FEG predominantly involves the antrum and is histologically defined as a focal pit (involving at least one foveolum/gastric gland) inflammation consisting of lymphocytes and histiocytes resulting in epithelial injury (Figure 1C and D)[30]. The lesion may contain plasma cells, eosinophils, and neutrophils; while, the background mucosa should be relatively normal[30,31,33]. In the setting of increased lymphoplasmacytic infiltrate in the lamina propria, the authors prefer to use the term “chronic active/inactive gastritis”.

Multifocal involvement is common and the total number of glands involved is higher in UC patients (6.4 ± 5.1 glands) compared to those with CD patients (4.0 ± 3.0 glands)[31].CD patients with FEG are more likely than those without FEG to have active ileitis and granulomas elsewhere in gastrointestinal tract. Meanwhile, there is no correlation reported between FEG and other gastrointestinal findings in UC patients[31].

Histologically, FEG should be distinguished from lymphoid aggregates in the gastric biopsies. Multiple levels along with absence or presence of epithelial injury may resolve this issue. In addition, the presence of neutrophils should prompt a search for *Helicobacter pylori* organisms.

**DUODENAL INFLAMMATION**

Alper *et al*[34] reported that duodenitis is identified in 12.7% of children undergoing endoscopy with celiac disease and IBD as the most common etiologies. The prevalence of duodenitis in childhood CD and UC ranges from 33%-48% and 0%-29%, respectively[13,35-37]. More than half of children with biopsy-proven duodenitis demonstrate unremarkable duodenal mucosa on endoscopy[34]. Macroscopic abnormalities associated with duodenitis include edema, erythema, erosions, scalloping, and ulcers[34].

Histologically, there is no specific histologic feature that reliably distinguish IBD from non-IBD associated duodenitis with the exception of CD-associated granulomas. Hardee *et al*[18] reported that cryptitis is significantly more frequently seen in children with IBD compared to patients with celiac disease and other underlying etiologies (Figure 2). Other histologic abnormalities noted in the duodenal mucosa of children with IBD include increased IELs [greater than 20 IELs per 100 enterocytes on hematoxylin and eosin (H&E) sections], villous blunting, and lamina propria eosinophilia (greater than 20 eosinophils per HPF)[18].

In the absence of IBD, other diagnostic considerations for duodenal abnormalities such as celiac disease, *Helicobacter pylori* infection, nonsteroidal anti-inflammatory medications, bacterial overgrowth, and certain autoimmune diseases should be considered[34,38].

The presence of villous blunting and duodenal lymphocytosis in untreated celiac disease is more frequent and prominent compared to children with IBD. However, the presence of partial villous blunting or mild increased IELs should raise the possibility of early celiac disease. Alper *et al*[39] reported that although false-positive anti-tissue transglutaminase antibodies (tTG) could occur in children with IBD, the prevalence of celiac disease in these patients is similar to general population.

**EPITHELIOID/NON-CASEATING GRANULOMAS**

De Matos *et al*[40] reported that epithelioid granulomas were identified in 61% of children with CD who underwent EGD and colonoscopy at diagnosis. A significant proportion of these patients (13.4%) showed granulomas only in the UGI tract biopsies, emphasizing the importance of EGD in this patient population[40]. The frequency of granulomas in the esophagus, stomach, and duodenum of untreated CD patients was 2.7%, 20.1%, and 3.8%, respectively[40]. Meanwhile, a higher proportion of children showed granulomas in the terminal ileum (34.8%) and large intestine (35.3%)[40].

Histologically, CD-associated granulomas are characterized by collections of at least 5 epithelioid histiocytes with or without multinucleated giant cells, without necrotizing component (caseation), and away from ruptured gland/crypt[40,41]. The histologic differential diagnosis for granulomas includes chronic granulomatous disease, common variable immune deficiency, and an infectious process[42]. Therefore, clinical correlation to exclude other diagnostic considerations is crucial.

The presence of granulomas has been reported to represent a more severe disease phenotype. CD patients with granulomas prior to treatment are associated with perianal disease, gastritis, hypoalbuminemia, subsequent biologic treatment and hospitalization[40,43].

**CONCLUSION**

UGI tract manifestation of IBD is more frequently observed in children compared to adults. Pathologic findings of LE, FEG, duodenal inflammation, and epithelioid granulomas would support the diagnosis of childhood IBD in the appropriate clinical setting. With the exception of CD-associated granulomas, the histologic findings of these entities are non-specific; thus, cannot reliably classify childhood IBD. Clinicians should be mindful of the utility and limitations of these histologic findings, and pathologists should be aware of the differential diagnosis and clinical correlates of each entity.

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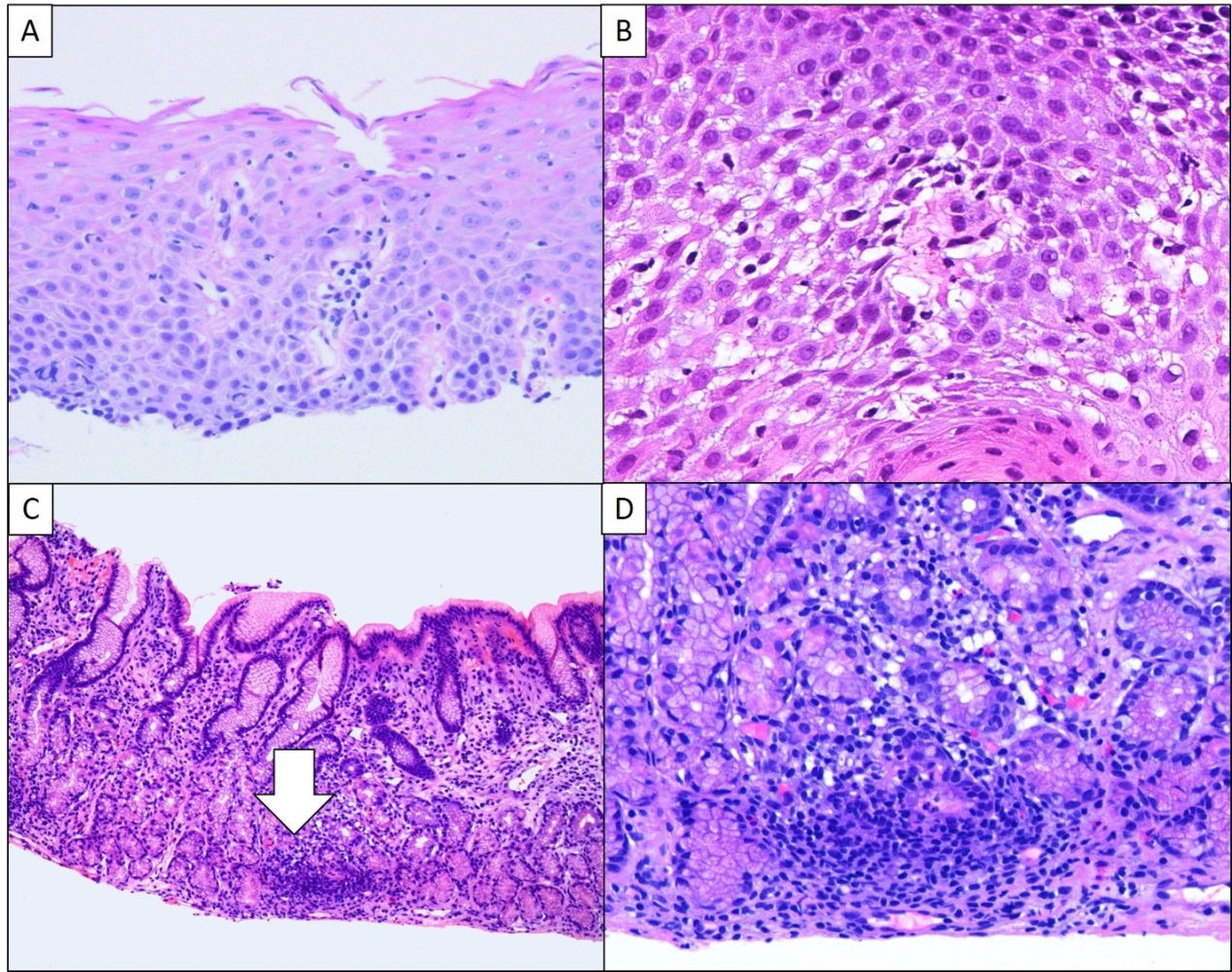
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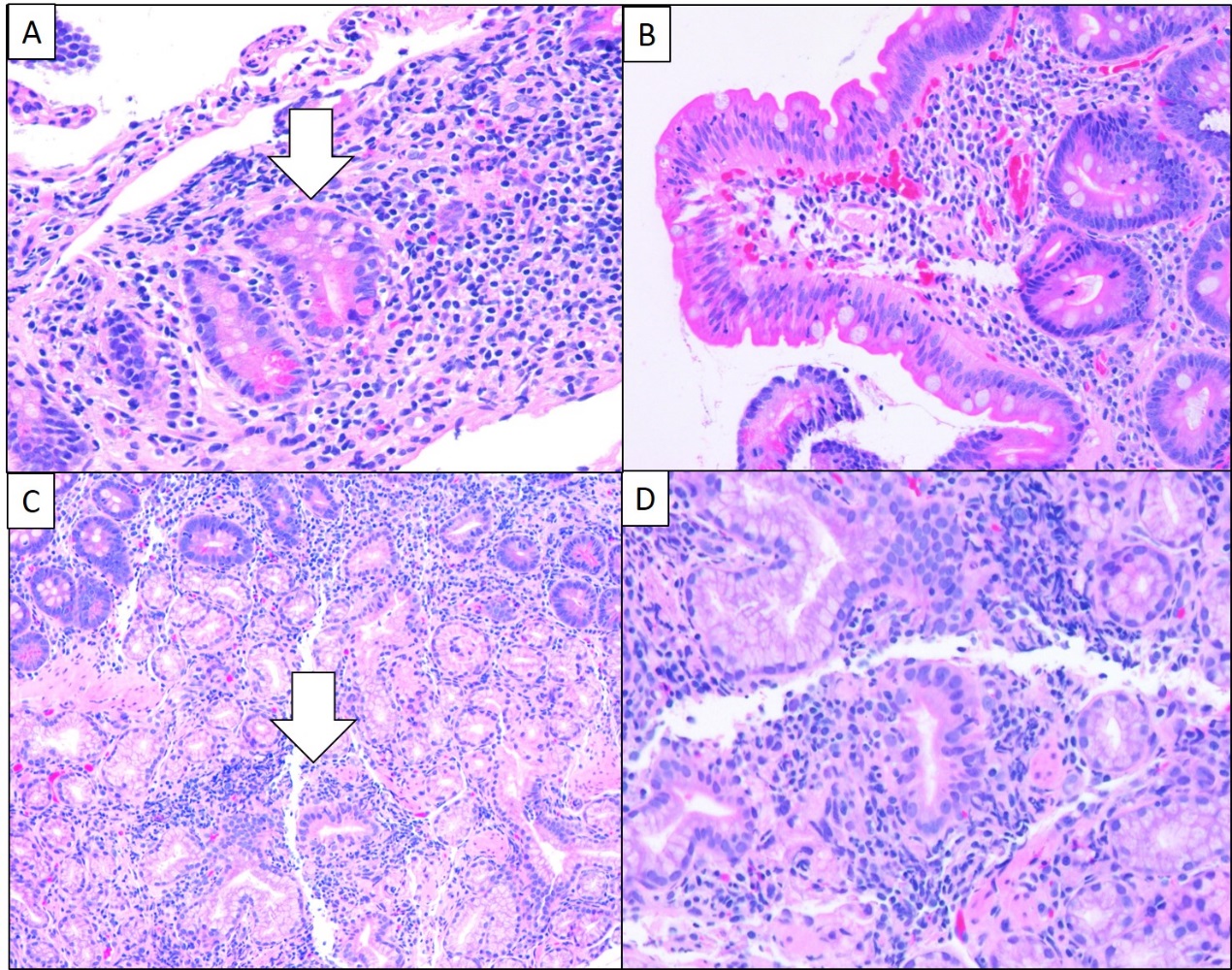
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**Figure 1 Hematoxylin and eosin staining.** A and B: Lymphocytic esophagitis is characterized by increased intraepithelial lymphocytes, predominantly peripapillary, with absence of intraepithelial eosinophils and neutrophils [hematoxylin and eosin (H&E), 10 × and 20 ×]. C: Low power view of focally enhanced gastritis demonstrates focal dense infiltrate surrounding a gland (white arrow) with a minimal increase of lymphoplasmacytic infiltrate in the lamina propria (H&E, 4 ×); D: Focally enhanced gastritis is characterized by lymphohistiocytic infiltrate with scattered neutrophils and associated glandular damage seen on medium power view (H&E, 10 ×).



**Figure 2 Duodenal manifestations of inflammatory bowel disease.** Duodenal manifestations of inflammatory bowel disease include (A) focal cryptitis (white arrow) [hematoxylin and eosin (H&E), 10 ×], (B) increased intraepithelial lymphocytes (H&E, 10 ×), and (C and D) Brunner gland inflammation (white arrow) (H&E, 4 × and 10 ×).

**Table 1 Upper gastrointestinal tract manifestations of childhood inflammatory bowel disease**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Entity** | **Prevalence (%)** | | **Histologic diagnostic criteria** | **Differential diagnosis** |
| **CD** | **UC** |
| Lymphocytic esophagitis | 12-28[15,20] | 7[15,20] | > 20 IELs/HPF;  No significant granulocytes;  Mucosal injury (edema; dyskeratosis). | Candidiasis, lichen planus esophagitis, lichenoid esophagitis. |
| Focally enhanced gastritis | 54-55[31,32] | 21-30[31,32] | Focal pit injury (lymphohistiocytes ± plasma cells or granulocytes);  Relatively normal background mucosa. | Lymphoid aggregate, *H. pylori*-associated gastritis. |
| Duodenitis | 33-48[13,35,36,37] | 0-29[13,35,36,37] | Cryptitis;  Villous blunting;  Increased IELs (> 20 IELs/100 enterocytes);  Lamina propria eosinophilia | Celiac disease, *H. pylori* infection, nonsteroidal anti-inflammatory medications, bacterial overgrowth, autoimmune diseases |
| Epithelioid granulomas | 2.7 (esophagus);  20.1 (stomach); 3.8 (duodenum)[40] | 0 | Collection of histiocytes;  Non-caseating;  Surrounded by lymphocytes;  Not associated with ruptured gland/crypt | Chronic granulomatous disease, common variable immunodeficiency, and infection |

CD: Crohn’s disease; IEL: Intraepithelial lymphocytes; UC: Ulcerative colitis; HPF: High-power field; *H. pylori*: *Helicobacter pylori*.