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***Retrospective Study***

**Development and validation of a prognostic nomogram for colorectal cancer after surgery**

Li BW *et al*. Prognostic nomogram for postoperative CRC patients

Bo-Wen Li, Xiao-Yu Ma, Shuang Lai, Xin Sun, Ming-Jun Sun, Bing Chang

**Bo-Wen Li, Shuang Lai, Xin Sun, Bing Chang,** Department ofGastroenterology, The Frist Hospital of China Medical University, Shenyang 110000, Liaoning Province, China

**Xiao-Yu Ma, Ming-Jun Sun,** Department of Gastroenterology and Endoscopy, The Frist Hospital of China Medical University, Shenyang 110000, Liaoning Province, China

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**Corresponding author: Bing Chang, MD, Assistant Professor,** Department ofGastroenterology, The Frist Hospital of China Medical University, No. 155 Nanjing North Street, Shenyang 110000, Liaoning Province, China. cb000216@163.com

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**Abstract**

BACKGROUND

A nomogram is a diagram that aggregates various predictive factors through multivariate regression analysis, which can be used to predict patient outcomes intuitively. Lymph node (LN) metastasis and tumor deposit (TD) conditions are two critical factors that affect the prognosis of patients with colorectal cancer (CRC) after surgery. At present, few effective tools have been established to predict the overall survival (OS) of CRC patients after surgery.

AIM

To screen out suitable risk factors and to develop a nomogram that predicts the postoperative OS of CRC patients.

METHODS

Data from a total of 3139 patients diagnosed with CRC who underwent surgical removal of tumors and LN resection from 2010 to 2015 were collected from the Surveillance, Epidemiology, and End Results program. The data were divided into a training set (*n* = 2092) and a validation set (*n* = 1047) at random. The Harrell concordance index (C-index), Akaike information criterion (AIC), and area under the curve (AUC) were used to assess the predictive performance of the N stage from the American Joint Committee Cancer tumor-node-metastasis classification, LN ratio (LNR), and log odds of positive lymph nodes (LODDS). Univariate and multivariate analyses were utilized to screen out the risk factors significantly correlating with OS. The construction of the nomogram was based on Cox regression analysis. The C-index, receiver operating characteristic (ROC) curve, and calibration curve were employed to evaluate the discrimination and prediction abilities of the model. The likelihood ratio test was used to compare the sensitivity and specificity of the final model to the model with the N stage alone to evaluate LN metastasis.

RESULTS

The predictive efficacy of the LODDS was better than that of the LNR based on the C-index, AIC values, and AUC values of the ROC curve. Seven independent predictive factors, namely, race, age at diagnosis, T stage, M stage, LODDS, TD condition, and serum carcinoembryonic antigen level, were included in the nomogram. The C-index of the nomogram for OS prediction was 0.8002 (95%CI: 0.7839-0.8165) in the training set and 0.7864 (95%CI: 0.7604-0.8124) in the validation set. The AUC values of the ROC curve predicting the 1-, 3-, and 5-year OS were 0.846, 0.841, and 0.825, respectively, in the training set and 0.823, 0.817, and 0.835, respectively, in the validation test. Great consistency between the predicted and actual observed OS for the 1-, 3-, and 5-year OS in the training set and validation set was shown in the calibration curves. The final nomogram showed a better sensitivity and specificity than the nomogram with N stage alone for evaluating LN metastasis in both the training set (-4668.0 *vs* -4688.3, *P* < 0.001) and the validation set (-1919.5 *vs* -1919.8, *P* < 0.001) through the likelihood ratio test.

CONCLUSION

The nomogram incorporating LODDS, TD, and other risk factors showed great predictive accuracy and better sensitivity and specificity and represents a potential tool for therapeutic decision-making.

**Key Words:** Colorectal cancer; Nomogram; Tumor deposit; Lymph node; Prognosis; Surveillance, Epidemiology, and End Results program

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**Core Tip:** The Surveillance, Epidemiology, and End Results (SEER) program has provided material and data support for evidence-based clinical studies. At present, few studies have concentrated on developing a predictive model for the outcomes of colorectal cancer (CRC) after surgery. We developed a nomogram to predict the probability of overall survival at different times in patients with CRC based on the SEER database. Compared with the N staging from the American Joint Committee on Cancer tumor-node-metastasis classification, the nomogram incorporating the log odds of positive lymph nodes and tumor deposit in this study showed a better sensitivity and specificity.

**INTRODUCTION**

Colorectal cancer (CRC) is a severe threat to human health, and the mortality of CRC ranks second among the causes of cancer death in the United States[1]. In 2018, the global newly diagnosed CRC cases accounted for 10.2% of the total newly diagnosed cancer cases, and mortality from CRC accounted for 9.2% of the total cancer deaths[2].

The main treatment for CRC is tumor resection. Lymph node (LN) metastasis is one of the most important factors affecting the prognosis of CRC after surgery. Thus, many systems have been proposed to evaluate the LN metastasis conditions.

At present, the most widely accepted LN staging system is the N staging system from the American Joint Committee on Cancer (AJCC) tumor-node-metastasis (TNM) classification, which is based on the absolute number of positive lymph nodes (PLNs). However, the N1c stage is an exception; it is defined as a tumor deposit (TD) without PLNs. According to the 8th edition of the AJCC TNM classification, N0 is defined as no LN metastasis. N1 is defined as 1-3 regional LN metastases and TD without LN positivity. N2 is defined as more than four regional LN metastases, but the stage of the patients who have LN metastases with and without TD is not distinguished clearly[3]. This N staging system requires at least 12 LNs to be excised and examined histopathologically to obtain a reliable result[4], and it is influenced by the number of resected lymph nodes (RLNs), which can cause staging migration.

The LN ratio (LNR) is defined as the ratio of the number of PLNs to RLNs. Some researchers believe that the LNR has a stronger prognostic impact on patients with colon cancer[5], and that it is accurate for predicting the survival of patients with stage II-III rectal adenocarcinoma with limited RLNs[6]. Additionally, the LNR has shown great predictive value in other cancers, such as lung cancer[7] and gastric cancer[8]. However, when the LNR is near 0 and 1, it cannot accurately evaluate the prognosis of patients with CRC[6].

Log odds of positive lymph nodes (LODDS) is defined as the logarithm of the ratio between the number of PLNs and the negative LNs after the LNs are examined. Persian *et al*[9] suggested that LODDS had a strong predictive ability for patient prognosis, and it was less influenced by the number of LNs resected and examined[9].

Another adverse prognostic factor about LN condition for the overall survival (OS) of patients with CRC is TD. TD is defined as a discrete nodule of cancer in the pericolic/perirectal fat or in the adjacent mesentery (mesocolic or rectal fat) within the lymph drainage area of the primary carcinoma, without any identifiable LN tissue or any identifiable vascular structure[3]. Therefore, TD is necessary to act as another factor to evaluate the LN condition of patients with CRC.

In this study, we used Harrell concordance index (C-index), Akaike information criterion (AIC), and area under the curve (AUC) to identify the most suitable system to evaluate LN metastasis for CRC patients after surgery. Then, we used univariate and multivariate analyses to choose the potential risk factors for CRC, and we developed a nomogram with these risk factors. We assessed the predictive efficacy of the final model and tested it against the validation set. Finally, we compared the nomogram with the N stage through the likelihood ratio test.

**MATERIALS AND METHODS**

***Data source and eligibility criteria***

We collected CRC cases from the Surveillance, Epidemiology, and End Results (SEER) database of the American Cancer Institute (http://seer.cancer.gov/). The inclusion criteria were all patients who were histopathologically diagnosed with CRC from 2010 to 2015 and underwent tumor resection surgery. The exclusion criteria included: (1) Unknown age at diagnosis; (2) unknown race (according to the SEER database, we divided the race into three classifications, white, black, and other; and the American Indian/Alaska Native and Asian/Pacific Islander were included in the other group); (3) uncertain pathological grade or stage; (4) uncertain TNM stage information; (5) unknown tumor size; (6) unknown extent of LN resection; (7) undetermined carcinoembryonic antigen (CEA) condition; and (8) uncertain TD condition. Finally, a total of 3139 patients were included in the study. The patients were randomly divided into a training cohort and a validation cohort using R software.

***Statistical analysis***

Categorical variables and continuous variables were compared by chi-square and *t*-tests, respectively. The optimal cutoff values of the LODDS and LNR were calculated with maxstat function in R in the training set. Univariate and multivariate statistical analyses were used for filtering out the possible hazards of CRC. The risk factors with *P* < 0.05 after univariate analysis were included into multivariate analysis. The C-index and AIC were calculated to assess the predictive efficacy of the LODDS, LNR, and N stage for LN metastasis condition. The receiver operating characteristic (ROC) curve and AUC were used to assess the accuracy of the N stage, LODDS, and LNR for the OS of CRC patients 1, 3, and 5 years after surgery. The accuracy of the nomogram was analyzed by the C-index and AUC. A calibration curve was built to illustrate the consistency between the prediction by the nomogram and the actual condition for OS at 1, 3, and 5 years after surgery. Likelihood ratio tests were used to compare the final nomogram and the nomogram with N stage only to evaluate LN metastasis. All statistical analyses were performed with R software in version 4.0.3 using 0.05 as the boundary of statistical significance.

***LNR system***

LNR was defined as the ratio between the PLNs and the total number of retrieved LNs. We grouped the LNR by its optimal cutoff value.

***LODDS system***

The formula of LODDS was log [(the number of PLNs + 0.05)/(the number of negative nodes + 0.05)]. In our study, LODDS was grouped into two groups by its optimal cutoff value.

**RESULTS**

***Patient characteristics***

For this research, a total of 3139 patients were included and randomly divided into the training set (*n* = 2092, 66.7%) and validation set (*n* = 1047, 33.3%). The detailed clinical data are listed in Table 1. There was no significant difference in age at diagnosis, race, N stage, M stage, serum CEA level, TD, grade, tumor size, LNR, LODDS, PLN, or RLN between the training set and validation set (*P* < 0.05).

***Optimal cutoff value of LODDS and LNR***

We divided the LODDS and LNR into two groups based on their optimal cutoff values calculated with the maxstat function in R. The optimal cutoff values of LODDS and LNR were -0.6504 and 0.1795, respectively.

***Independent prognostic factors for OS***

Univariate analysis indicated that sex, race, T stage, N stage, grade, LODDS, LNR, M stage, tumor size, age, serum CEA level, and TD were all related to OS (Table 2, *P* < 0.05). The C-indexes of LODDS, LNR, and N stage were 0.6497, 0.6494, and 0.6712, respectively (Table 3). The AICs of N stage, LODDS, and LNR were 9906.03, 9887.95, and 9890.20, respectively. The AUCs predicted that the 1-year, 3-year, and 5-year OS for N stage were 0.695, 0.702, and 0.696, respectively; those for LODDS were 0.690, 0.673, and 0.660, respectively; and those for LNR were 0.689, 0.673, and 0.659, respectively (Table 3). In terms of multivariate Cox analysis, we found that age at diagnosis, race, M stage, T stage, serum CEA level, TD, and LODDS were all independent risk factors (*P* < 0.05, Table 2).

***Prognostic nomogram for OS***

All independent risk factors after screening were integrated into the final predicted nomogram (Figure 1). The predicted C-index of the nomogram for OS prediction was 0.8002 (95%CI: 0.7839-0.8165) in the training set and 0.7864 (95%CI: 0.7604-0.8124) in the validation set. The AUC values of the ROC curve predicting the 1-, 3-, and 5-year OS were 0.846, 0.841, and 0.825, respectively (Figure 2A-C) in the training set and 0.823, 0.817, and 0.835 in the validation test (Figure 2D-F). The consistency between the predicted condition by the nomogram and the observed condition for OS at 1, 3, and 5 years after surgery is shown in the calibration curves in the training set (Figure 3A-C) and the validation set (Figure 3D-F).

***Comparison of the nomogram with the N stage***

To compare the predictive efficacy between the final nomogram and the nomogram with the N stage alone, we eliminated the LODDS system and TD condition and incorporated the N stage into the nomogram instead to evaluate the LN metastasis. The N stage was identified to be an independent risk factor through multivariate analysis. The log likelihood values of the final model and the model with N stage were -4668.0 and -4688.3 in the training set (*P* < 0.001) and -1919.5 and -1919.8 in the validation set (*P* < 0.001), respectively.

**DISCUSSION**

A nomogram can intuitively display the risk by synthesizing and explaining the relative importance of various predictive factors. It has been used to predict the outcomes of many diseases[10,11].

This research indicated that age at diagnosis, race, T stage, LODDS, M stage, serum CEA level, and TD were independent prognostic factors of CRC after radical tumor resection. Based on these prognostic factors, a nomogram was drawn to predict OS after surgery in CRC patients. The nomogram was developed based on these prognostic factors and was used to predict the 1-year, 3-year, and 5-year OS of CRC patients after surgery. This nomogram showed a better sensitivity and specificity than the nomogram with T stage alone in both the training set and the validation set.

The evaluation of LN metastasis for CRC patients has important staging, prognostic, and therapeutic implications. At present, the most widely accepted system for evaluating LN metastasis is N staging from the AJCC TNM classification. This system is mainly based on the absolute number of LNs and is influenced by the number of LNs resected. It has been verified that colon cancer survival is positively associated with the number of LNs analyzed[12]. Some scholars have indicated that the predictive ability of PLNs is based on a sufficient number of RLNs[13]. Thus, the determination of a suitable number of RLNs is important to determine N staging and to predict patient outcomes. However, the recommendations for the ideal number of RLNs are different in different studies. Tsai *et al*[14] recommended that the suitable number of RLNs is 18. They showed that the 5-year OS rate of CRC patients who had 18 or more LNs examined was higher than those who had fewer than 18 nodes examined[14]. Swanso *et al*[15] believed that a minimum of 13 RLNs were necessary to accurately predict the survival of T3N0 CRC patients[15]. In clinical practice, it is difficult to ensure that all patients have enough RLNs due to the individual differences among different patients as well as differences in surgical methods and the skills of the surgeons.

The factors mentioned above undoubtedly influence the determination and predictive accuracy of N stage, which is named ‘stage migration’. In addition, according to the AJCC TNM classification, the TD status of patients with regional LN metastasis is still unclear.

To reduce the stage migration caused by the N stage, some experts suggest that both the PLN and RLN should be taken into account in the LN evaluation system[13]. The LNR and LODDS were proposed as prognostic factors for CRC[16-19]. The formula to calculate LNR is PLN/RLN. When the number of RLNs is inadequate, the LNR compensates for the under-staging of the N stage and provides a better estimation of prognosis than the N stage[20]. One study found that the 5-year recurrence-free survival rates of CRC patients in different LNR groups but in the same N stage were significantly different, but the differences in the 5-year recurrence-free survival rates of patients in different N stages in the same LNR groups were not significantly different[21], which indicated a better evaluation ability of the LNR than the N stage and reduced stage migration. However, LNR has its own limitations. The LNR cannot eliminate stage migration completely. When the LNR value is near 0, it is equal to the controversial N stage and cannot predict the outcome accurately[6,22].

LODDS is another novel system to evaluate LN metastasis. The formula to calculate LODDS is log [(PLN + 0.05)/(RLN-PLN + 0.05)]. This formula avoids many patients with 0 PLN from having a LODDS of 0 and thus further eliminates stage migration[22]. LODDS can discriminate patients with different numbers of RLNs in the N0 stage (LNR = 0). The OS rates of LN-negative (LNR = 0) patients in different LODDS groups were found to be significantly different, which indicated that the LODDS system was more accurate than the LNR system[19]. Previous studies also verified the better predictive ability of LODDS relative to N stage and LNR in gallbladder cancer and cervical cancer[23,24]. A single-center analysis of 323 CRC patients showed that LODDS had a better predictive value than the N stage and LNR systems[25].

Although both LODDS and LNR reduced stage migration to some extent, they also have limitations. The classification criteria of both systems did not reach a consensus, and the cutoff value of the LODDS system influenced its performance in predicting the prognosis of patients with CRC after surgery. When analyzed as a continuous variable, the LODDS staging system performed better than the LNR system and the N stage system and it was not influenced by the number of resected LNs. However, when analyzed as a categorical variable, the LNR has more clinical value[22]. Another limitation of both systems was that they neglect the TD condition. It is clear that positive TD status is an independent risk factor for a poor prognosis of CRC without metastatic LNs[26]. Many studies have shown that patients with TD have a worse prognosis or a shorter survival time than those with negative TD[27,28].

In our study, two opposite results were obtained regarding the predictive accuracy of N stage and LODDS. We found that the predictive accuracy of N stage was better than that of LODDS through the C-index and AUC, which may be caused by the neglect of TD and the choice of the cutoff value in the LODDS system. However, the opposite result was determined through AIC, which may be due to the stage migration of the N stage. The LODDS system is better than the LNR system. Through multivariate analysis, both the LODDS system and TD were independent risk factors for the prognosis of CRC after tumor resection. Compared with the N staging system, the LODDS system can reduce stage migration and discriminate patients in the N0 stage with different numbers of RLNs. Compared with the model with N stage alone, our final nomogram exhibited a better sensitivity and specificity through the likelihood ratio test.

There are still limitations that must be considered regarding our nomogram. As mentioned above, the cutoff value of LODDS influences its predictive performance, so determination of the optimal classification criteria has the potential to improve the predictive efficacy of the nomogram. In our nomogram, LODDS and TD were two separate independent risk factors that influenced the prognosis of CRC patients after surgery. If we can combine the two factors suitably, the nomogram will be simplified further. Additional research should also be performed to improve the nomogram because there are other factors that affect the OS of postoperative CRC patients. In addition, further prognosis stratification analysis with larger sample sizes is warranted.

**CONCLUSION**

From our research, we found that LODDS performs better than LNR in evaluating the LN metastasis condition of CRC. Age at diagnosis, race, TD, T stage, LODDS, M stage and CEA level are independent prognostic factors of OS in CRC. We built a nomogram incorporating the above risk factors to predict 1-year, 3-year, and 5-year OS among CRC patients after surgery, which had good predictive accuracy. Our final nomogram performs better than the nomogram with N stage. In clinical practice, the indicators in the nomogram are easy to acquire, and the nomogram can predict OS intuitively. It has guiding significance for doctors making decisions about individualized adjuvant therapeutic schemes for patients before or after surgery.

**ARTICLE HIGHLIGHTS**

***Research background***

A nomogram is an effective tool to predict patient outcomes intuitively. Lymph node (LN) metastasis and tumor deposit (TD) conditions affect the prognosis of patients with colorectal cancer (CRC) after surgery markedly. At present, establishing an effective tool to predict the overall survival (OS) of CRC patients after surgery is necessary.

***Research motivation***

To establish a predictive model to assess the prognosis of CRC patients after surgery.

***Research objectives***

To screen out the suitable risk factors that can affect the OS of CRC patients after surgery and establish a nomogram with these factors.

***Research methods***

A total of 3139 patients diagnosed with CRC after surgery from the Surveillance, Epidemiology, and End Results program were divided into a training set (*n* = 2029) and a validation set (*n* = 1047) randomly. The Harrell concordance index (C-index), Akaike information criterion, and area under the curve (AUC) were used to assess the predictive efficacy of the N stage from the American Joint Committee Cancer tumor-node-metastasis classification, LN ratio, and log odds of positive lymph nodes (LODDS). Construction of the nomogram was based on the risk factors screened out through univariate and multivariate analyses. The C-index, receiver operating characteristic (ROC) curve, calibration curve, and likelihood ratio test were used to assess the final nomogram.

***Research results***

Seven independent predictive factors, namely, race, age at diagnosis, T stage, M stage, LODDS, TD condition, and serum carcinoembryonic antigen level, were included in the nomogram. The C-index of the nomogram for OS prediction was 0.8002 (95%CI: 0.7839-0.8165) in the training set and 0.7864 (95%CI: 0.7604-0.8124) in the validation set. The AUC values of the ROC curve predicting the 1-, 3-, and 5-year OS were 0.846, 0.841, and 0.825, respectively, in the training set and 0.823, 0.817, and 0.835, respectively, in the validation test. The final nomogram showed a better sensitivity and specificity than the nomogram with N stage alone for evaluating LN metastasis in both the training set (-4668.0 *vs* -4688.3, *P* < 0.001) and the validation set (-1919.5 *vs* -1919.8, *P* < 0.001) through the likelihood ratio test.

***Research conclusions***

The nomogram incorporating LODDS, TD, and other risk factors shows a great predictive accuracy and better sensitivity and specificity and represents a potential tool for therapeutic decision-making.

***Research perspectives***

Suitable combination of LODDS and TD is necessary to simplify the nomogram. Larger sample size studies are required to include more potential risk factors, improve the nomogram, and stratify the prognosis further.

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**Footnotes**

**Institutional review board statement:** The experimental data are from the Surveillance, Epidemiology, and End Results (SEER) database, not clinical cases from any medical institutions. Therefore, our research does not need to be approved by an ethics committee.

**Informed consent statement:** The experimental data are from the Surveillance, Epidemiology, and End Results (SEER) database, not clinical cases from any medical institutions. Because the data is anonymous and the patients’ personal privacy information is not available, informed consent is not required.

**Conflict-of-interest statement:** Theauthors have no conflict of interest to declare.

**Data sharing statement:** No additional data are available.

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**Figure Legends**



**Figure 1 Nomogram for predicting overall survival rate of colorectal cancer patients after surgery.** The nomogram incorporated race, T stage, log odds of positive lymph nodes, M stage, age, carcinoembryonic antigen, and tumor deposit. It predicted the overall survival at 1, 3, and 5 years after surgery. CEA: Carcinoembryonic antigen; LODDS: Log odds of positive lymph nodes; TD: Tumor deposit.



**Figure 2 Area under the receiver operating characteristic curve.** A: 1-year overall survival (OS) rate predicted by the nomogram in the training set; B: 3-years OS rate predicted by the nomogram in the training set; C: 5-years OS rate predicted by the nomogram in the training set; D: 1-year OS rate predicted by the nomogram in the validation set; E: 3-years OS rate predicted by the nomogram in the validation set; F: 5-years OS rate predicted by the nomogram in the validation set.







**Figure 3 Calibration curves of the nomogram for predicting the overall survival.** A: At 1 year in the training set; B: At 3 years in the training set; C: At 5 years in the training set; D: At 1 year in the validation set; E: At 3 years in the validation set; F: At 5 years in the validation set.

**Table 1 Demographic and clinicopathological characteristics of patients with colorectal cancer between 2010 and 2015 in the Surveillance, Epidemiology, and End Results database**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Demographic or characteristic | All subjects (*n* = 3139) | Training set (*n* = 2902) | Validation set (*n* = 1047) | *P* value |
| Sex |  |  |  | 0.0239a |
| Male | 1560 | 1070 | 490 |  |
| Female | 1579 | 1022 | 557 |  |
| Age at diagnosis, yr |  |  |  | 0.7318 |
| 20-55 | 853 | 570 | 283 |  |
| 55-65 | 722 | 469 | 253 |  |
| 65-75 | 736 | 497 | 239 |  |
| > 75 | 828 | 556 | 272 |  |
| Race |  |  |  | 0.7521 |
| Black | 378 | 254 | 124 |  |
| White | 2445 | 1622 | 823 |  |
| Other (American Indian/Alaska Native, Asian/Pacific Islander) | 316 | 216 | 100 |  |
| T stage |  |  |  | 0.0141a |
| Tis | 36 | 27 | 9 |  |
| T1 | 458 | 306 | 152 |  |
| T2 | 499 | 329 | 170 |  |
| T3 | 1522 | 1101 | 421 |  |
| T4 | 624 | 419 | 205 |  |
| N stage |  |  |  | 0.3186 |
| N0 | 1633 | 1084 | 549 |  |
| N1 | 876 | 573 | 303 |  |
| N2 | 630 | 435 | 195 |  |
| M stage |  |  |  | 0.7900 |
| M0 | 2713 | 1811 | 902 |  |
| M1 | 426 | 281 | 145 |  |
| Serum CEA level |  |  |  | 0.7262 |
| Normal | 1838 | 1230 | 608 |  |
| Elevated | 1301 | 862 | 439 |  |
| Tumor deposit |  |  |  | 0.9484 |
| Negative | 2698 | 1797 | 901 |  |
| Positive | 441 | 295 | 146 |  |
| Grade |  |  |  | 0.6182 |
| I | 279 | 185 | 94 |  |
| II | 2096 | 1405 | 691 |  |
| III | 573 | 383 | 190 |  |
| IV | 191 | 119 | 72 |  |
| Tumor size |  |  |  | 0.0809 |
| ≤ 30 mm | 876 | 597 | 279 |  |
| 30-50 mm | 934 | 642 | 292 |  |
| 50-65 mm | 555 | 351 | 204 |  |
| > 65 mm | 774 | 502 | 272 |  |
| LNR, mean (range) | 0.1173 (0-1) | 0.1197 (0-1) | 0.1124 (0-1) | 0.3699 |
| LODDS, mean (range) | -1.6777 (-3.2555-2.9827) | -1.6683 (-3.2555-2.9827) | -1.6964 (-3.2555-2.8457) | 0.5083 |
| PLN, mean (range) | 2.2698 (0-48) | 2.3384 (0-48) | 2.1328 (0-48) | 0.2387 |
| RLN, mean (range) | 20.7330 (1-90) | 20.6415 (1-90) | 20.9160 (1-90) | 0.4843 |

a*P* < 0.05. LNR: Lymph node ratio; LODDS: Log odds of positive lymph nodes; PLN: Positive lymph node; RLN: Resected lymph node.

**Table 2 Results of univariate and multivariate analysis in the training set**

|  |  |  |
| --- | --- | --- |
| Variable | Univariate analysis | Multivariate analysis |
| **HR (95%CI)** | ***P* value** | **HR (95%CI)** | ***P* value** |
| Sex |  |  |  |  |
| Female | Reference |  | Reference |  |
| Male | 0.82 (0.70-0.95) | 0.008b | 1.01 (0.85-1.16) | 0.9277 |
| Age at diagnosis, yr |  |  |  |  |
| 20-55 | Reference |  | Reference |  |
| 55-65 | 1.12 (0.88-1.43) | 0.339 | 1.38 (1.1380-1.6278) | 0.0095b |
| 65-75 | 1.17 (0.93-1.49) | 0.185 | 1.47 | 0.0017b |
| > 75 | 2.69 (2.20-3.30) | < 0.001c | 3.95 (3.7336-4.1610) | < 0.001c |
| Race |  |  |  |  |
| Black | Reference |  | Reference |  |
| White | 0.97 (0.78-1.21) | 0.799 | 0.72 (0.4852-0.9513) | 0.0054b |
| Other (American Indian/Alaska Native, Asian/Pacific Islander) | 0.68 (0.48-0.95) | 0.023a | 0.64 (0.2921-0.9791) | 0.0097b |
| T stage |  |  |  |  |
| Tis | 1.40 (0.50-3.93) | 0.524 | 1.50 (0.4674-2.5395) | 0.4405 |
| T1 | Reference |  | Reference |  |
| T2 | 1.75 (1.16-2.63) | 0.00b | 1.21 (0.7887-1.6327) | 0.3745 |
| T3 | 3.24 (2.30-4.57) | < 0.001c | 1.56 (1.1640-1.9478) | 0.0271a |
| T4 | 8.30 (5.85-11.76) | < 0.001c | 2.58 (2.1710-3.0036) | < 0.001c |
| N stage |  |  |  |  |
| N0 | Reference |  | Reference |  |
| N1 | 1.85 (1.53-2.24) | < 0.001c | 1.16 (0.9433-1.3720) | 0.1806 |
| N2 | 4.49 (3.76-5.36) | < 0.001c | 1.10 (0.7504-1.4569) | 0.5841 |
| M stage |  |  |  |  |
| M0 | Reference |  | Reference |  |
| M1 | 5.95 (5.06-6.99) | < 0.001c | 3.27 (3.0738-3.4599) | < 0.001c |
| Serum CEA level |  |  |  |  |
| Elevated | Reference |  | Reference |  |
| Normal | 0.43 (0.37-050) | < 0.001c | 0.78 (0.6157-0.9438) | 0.0030b |
| Tumor deposit |  |  |  |  |
| Negative | Reference |  | Reference |  |
| Positive | 3.57 (3.02-4.23) | < 0.001c | 1.44 (1.2366-1.6385) | 0.0004c |
| Grade |  |  |  |  |
| I | 0.76 (0.55-1.05) | 0.096 | 0.81 (0.4859-1.1416) | 0.2179 |
| II | Reference |  | Reference |  |
| III | 2.42 (2.04-2.86) | < 0.001c | 1.14 (0.9361-1.3457) | 0.2071 |
| IV | 2.38 (1.82-3.10) | < 0.001c | 1.15 (0.8608-1.4452) | 0.3396 |
| Tumor size |  |  |  |  |
| ≤ 30 mm | Reference |  | Reference |  |
| 30-50 mm | 1.98 (1.59-2.46) | < 0.001c | 1.00 (0.7592-1.2460) | 0.9832 |
| 50-65 mm | 2.10 (1.64-2.69) | < 0.001c | 1.11 (0.8352-1.3831) | 0.4585 |
| > 65 mm | 2.67 (2.14-3.34) | < 0.001c | 1.11 (0.8608-1.4452) | 0.4209 |
| LODDS |  |  |  |  |
| ≤ -0.6504 | Reference |  | Reference |  |
| > -0.6504 | 4 (3.44-4.65) | < 0.001c | 2.20 (1.9068-2.5030) | < 0.001c |
| LNR |  |  |  |  |
| ≤ 0.1795 | Reference |  | - | - |
| > 0.1795 | 3.97 (3.41-4.62) | < 0.001c | - | - |

a*P* < 0.05; b*P* < 0.01; c*P* < 0.001. CEA: Carcinoembryonic antigen; LODDS: Log odds of positive lymph nodes; LNR: Lymph node ratio.

**Table 3 Prognostic accuracy of N stage, log odds of positive lymph nodes, and lymph node ratio**

|  |  |  |  |
| --- | --- | --- | --- |
|  | C-index | AIC | AUC |
| **1-yr** | **3-yr** | **5-yr** |
| N stage | 0.6712 | 9906.03 | 0.695 | 0.702 | 0.696 |
| LODDS | 0.6497 | 9887.95 | 0.690 | 0.673 | 0.660 |
| LNR | 0.6494 | 9890.20 | 0.689 | 0.673 | 0.659 |

C-index: Harrell concordance index; AIC: Akaike information criterion; AUC: Area under the curve; LODDS: Log odds of positive lymph nodes; LNR: Lymph node ratio.