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ORIGINAL ARTICLE

# **Retrospective Study**

# Role of mammogram and ultrasound imaging in predicting breast cancer subtypes in screening and symptomatic patients

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# **Abstract**

#### **BACKGROUND**

Breast cancer (BC) radiogenomics, or correlation analysis of imaging features and BC molecular subtypes, can complement genetic analysis with less resourceintensive diagnostic methods to provide an early and accurate triage of BC. This is pertinent because BC is the most prevalent cancer amongst adult women, resulting in rising demands on public health resources.

#### **AIM**

To find combinations of mammogram and ultrasound imaging features that predict BC molecular subtypes in a sample of screening and symptomatic patients.

#### **METHODS**

This retrospective study evaluated 328 consecutive patients in 2017-2018 with histologically confirmed BC, of which 237 (72%) presented with symptoms and 91 (28%) were detected via a screening program. All the patients underwent mammography and ultrasound imaging prior to biopsy. The images were retrospectively read by two breast-imaging radiologists with 5-10 years of experience with no knowledge of the histology results to ensure statistical independence. To test the hypothesis that imaging features are correlated with tumor subtypes, univariate binomial and multinomial logistic regression models were performed. Our study also used the multivariate logistic regression (with and without interaction terms) to identify combinations of mammogram and ultrasound (US) imaging characteristics predictive of molecular subtypes.

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#### RESULTS

The presence of circumscribed margins, posterior enhancement, and large size is correlated with triple-negative BC (TNBC), while high-risk microcalcifications and microlobulated margins is predictive of HER2-enriched cancers. Ductal carcinoma in situ is characterized by small size on ultrasound, absence of posterior acoustic features, and architectural distortion on mammogram, while luminal subtypes tend to be small, with spiculated margins and posterior acoustic shadowing (Luminal A type). These results are broadly consistent with findings from prior studies. In addition, we also find that US size signals a higher odds ratio for TNBC if presented during screening. As TNBC tends to display sonographic features such as circumscribed margins and posterior enhancement, resulting in visual similarity with benign common lesions, at the screening stage, size may be a useful factor in deciding whether to recommend a biopsy.

#### **CONCLUSION**

Several imaging features were shown to be independent variables predicting molecular subtypes of BC. Knowledge of such correlations could help clinicians stratify BC patients, possibly enabling earlier treatment or aiding in therapeutic decisions in countries where receptor testing is not readily available.

**Key Words:** Hormone receptor; Molecular subtype; Ultrasonography; Mammography; Triple-negative cancer; Breast cancer screening

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Core Tip: Ultrasound and mammogram imaging features are correlated with breast cancer (BC) molecular subtypes. Knowledge of such correlations helps clinicians stratify patients, enabling earlier treatment or aiding therapeutic decisions. In a sample of symptomatic and asymptomatic (screening) patients, multivariate logistic regression showed that a combination of imaging features can distinguish: (1) Hormone receptor positive vs hormone receptor negative; (2) Triple negative BC (TNBC) vs non-TNBC; and (3) HER2+ (human epidermal receptor positive) vs non-HER2+ BC.

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# INTRODUCTION

Breast cancer (BC) radiogenomics has been a prolific field of research in recent years, with an expanding number of studies examining the extent to which imaging can be utilized as a screening adjunct in the preliminary diagnosis of BC molecular subtypes [1]. While magnetic resonance imaging (MRI) has been the mainstay of radiogenomics, as it can produce a wide range of imaging features, a few studies have also utilized readily available modalities, such as mammogram (MG) and hand-guided ultrasound (US). These highlight the advantages of using cost-effective imaging tools that can be used for an early and accurate diagnosis of the cancer subtype[2].

The use of non-invasive, less resource-intensive methods to predict BC subtypes has practical significance, for two reasons. First, as BC is the most common cancer amongst adult women in the world, leveraging on radiologic imaging as a proxy for expensive genetic tests may help to lower the public health burden, particularly in the context of less developed countries where detailed and costly histopathologic analysis is not readily available. Second, BC is a heterogeneous disease – receptor expression and gene amplification profiles have different prognoses for disease progression and therapeutic response. Thus, radiologic imaging as an adjunct to genetic profiling can assist in pre-treatment planning and provide an additional level of analysis for radiopathologic correlation discussions.

Prior research has established some degree of consensus regarding the correlation of imaging features with BC subtype, with ultrasound margins, posterior acoustic features, and high-risk microcalcifications (on MG) found to be independent imaging differentiators between molecular subtypes[3].

While previous studies focused mainly on symptomatic patients, this study aims to analyze which combination of US and MG imaging features are the most predictive of molecular subtypes in a patient population that comprises both symptomatic and asymptomatic (breast screening) patients. A unique feature of our dataset is a substantial proportion – slightly under 30% of the patients in our sample patients had cancers detected via our national screening program (91 of 328, 28%). This allows us to examine if imaging features indicative of higher-grade cancers can be detected in asymptomatic patients who undergo routine screening checks. In addition, due to the inclusion of screening patients, a significant proportion of cancers detected in our sample included non-invasive ductal carcinoma in situ (DCIS) (or 53 of 328, 16%). Thus, we were also able to analyze its mammographic and sonographic characteristics in relation to the four subtypes of invasive BCs, which to the best of our knowledge, has not been published in the existing literature.

#### MATERIALS AND METHODS

#### Patient data and selection criteria

Approval for this study was obtained from the local institutional review board. The need for informed consent for this study was waived due to the retrospective design, as patients had given permission to be included in the hospital's BC registry and the use of their unidentified data for research.

To minimize selection bias, our sample included consecutive patients with histologically confirmed BC diagnosed from January 2017 to December 2018. The surgical notes, histology reports, and medical images of 328 patients were retrieved via the hospital's electronic medical record system. The images were retrospectively read by two breast-imaging radiologists with 5-10 years of experience with no knowledge of the histology results to ensure statistical independence with regards to the dependent variable(s).

#### Imaging equipment and assessment

Standard two-view digital mammography was performed, with additional views when necessary, using Fuji or GE mammography units. Mammograms were interpreted by two breast imaging radiologists who were blinded to the histopathology report. Following the American College of Radiology (ACR) Breast Imaging Reporting and Data System (BI-RADS) reporting lexicon, abnormal mammographic findings were classified as an asymmetry, mass, architectural distortion, or suspicious microcalcifications.

Breast ultrasound was performed by ultrasound technologists using 12-5 Mhz transducers, with images interpreted by two breast imaging radiologists who were blinded to the histopathology report. Final decisions were made with consensus agreement among the radiologists. Each tumor was measured in three planes, with color Doppler images also acquired. Following the ACR BI-RADS guidelines, the lesion's margins, echogenicity, posterior features, and vascularity (Adler's index) were documented, along with size on ultrasound (Table 1).

#### Immunochemistry and classification of molecular subtypes

The expression status of the ER, PR, and HER2 receptors was assessed using an avidinbiotin complex immunohistochemical technique. The ER/PR statuses were evaluated using the Allred score based on the proportion of positively stained nuclei. Allred scores of at least 3 were considered as hormone receptor positive (ER+/PR+). The HER2 staining intensity was scored from 0 to 3+. Scores of 3+ were classified as HER2 positive (HER2+), whereas scores of 0/1+ were considered as HER2 negative (HER2-). Tumors with scores of 2+ were further assessed with fluorescence in situ hybridization to determine their HER2 status. The threshold ratio of the HER2 gene signal to the chromosome 17 probe signal was 2.2, above which the tumor was classified as HER2+ and below which the tumor was classified as HER2-.

For this paper, we have classified Luminal A tumors as being ER+/PR+ and HER2-, Luminal B tumors as being ER+/PR+ and HER2+, HER2-enriched as ER-/PR- and HER2+, and triple negative BC (TNBC) as being ER-/PR- and HER2-.

Table 1 Classification of imaging features based on American College of Radiology Breast Imaging Reporting and Data System Lexicon

Imaging feature	Classification
Mammogram	
Appearance	Mass
	Asymmetry
	High risk microcalcifications <sup>1</sup>
	Architectural distortion
Ultrasound	
Margins	Spiculated
	Microlobulated
	Circumscribed
Posterior acoustic features	Shadowing
	Enhancement
	Mixed
	None
Size	Maximum dimension on ultrasound (in mm)
Echogenicity	Homogenous
	Heterogeneous
	Complex cystic
Adler's index	Low (Grade I)
	Medium (Grade II)
	High (Grade III)

<sup>&</sup>lt;sup>1</sup>High risk microcalcifications refer to microcalcifications which show grouped, linear, or segmental distribution, or with a pleomorphic or branching morphology.

# Statistical analysis

Descriptive statistics were generated for the 328 patients included in the study. Clinicopathologic, mammogram, and ultrasound characteristics were based on the ACR BI-RADS lexicon. Data was summarized using frequency and percentage for qualitative variables, mean ± SD, and range for quantitative variables, as listed in Table 2.

To test the primary hypothesis that imaging features are correlated with tumor subtypes, univariate binomial and multinomial logistic regression models were performed. Our study also used the multivariate logistic regression (with and without interaction terms), to assess if a joint combination of MG and US imaging characteristics is predictive of molecular subtypes, and the results are summarized in Tables 3-6. The specification for the multivariate model was derived using stepwise regression (SLE = 0.05, SLS = 0.05). Discrimination and classification of the final multivariate models or predictor were assessed using the area under the receiver operating characteristic curve (AUC). All analysis was performed with the use of statistical software (RStudio, Version 1.3.1073), using the mlogit (v.1.1-0; Croissant, 2002), nnet (v2.13.1; Venables & Ripley, 2002)[4,5], and pROC (Robin et al[6]) packages.

# **RESULTS**

Out of the 328 cases, 139 (48%) were ER+/PR+, HER2- (Luminal A type); 38 (12%) were ER+/PR+, HER2+ (Luminal B type); 50 (15%) were ER-/PR-, HER2+ (HER2enriched type); 48 (15%) were ER-/PR-, HER2- (triple negative type), and 53 (16%) were DCIS. The age range of the study sample was 31-86-years-old, with a mean age of 61.1 years. Across the four molecular subtypes, there was no significant difference in age. Ninety-one (27%) cases were detected via breast screening, while the remaining

Table 2 Distribution of d	emographic ar	nd imaging par	ameters based on i	nolecular subtype		
	Total (n = 328)	DCIS (n = 53)	Luminal A ( <i>n</i> = 139)	Luminal B ( <i>n</i> = 38)	Her2 enriched ( <i>n</i> = 50)	Triple negative ( <i>n</i> = 48)
Mean age	61.1 ± 11.75	59.1 ± 11.67	61.8 ± 11.80	60.2 ± 12.23	62.0 ± 9.63	61.3 ± 12.60
Presentation						
Clinic	237 (72%)	29 (55%)	98 (71%)	28 (74%)	36 (72%)	46 (96%)
Screening	91 (28%)	24 (45%)	41 (29%)	10 (26%)	14 (28%)	2 (4%)
Mass	200 (61%)	14 (26%)	91 (65%)	29 (76%)	29 (58%)	37 (77%)
Architectural distortion	17 (6%)	7 (13%)	10 (7%)	0 (0%)	0 (0%)	0 (0%)
Asymmetry	40 (12%)	6 (11%)	16 (12%)	3 (8%)	9 (18%)	6 (13%)
High-risk microcalcifications	105 (32%)	27 (51%)	33 (24%)	12 (32%)	24 (48%)	9 (19%)
Tumor size (on USG)						
< 20	136 (41%)	22 (42%)	71 (51%)	20 (53%)	19 (38%)	4 (8%)
≥ 20	154 (47%)	9 (17%)	55 (40%)	17 (45%)	29 (58%)	44 (92%)
Margins (on USG)						
Spiculated	100 (30%)	5 (9%)	63 (45%)	12 (32%)	8 (16%)	8 (17%)
Microlobulated	174 (60%)	23 (43%)	59 (42%)	25 (66%)	40 (80%)	29 (60%)
Circumscribed	16 (5%)	3 (6%)	4 (3%)	0 (0%)	0 (0%)	11 (23%)
Echogenicity (on USG)						
Heterogeneous	144 (44%)	13 (25%)	58 (42%)	19 (50%)	28 (56%)	26 (54%)
Homogenous	146 (45%)	18 (34%)	68 (49%)	18 (47%)	20 (40%)	22 (46%)
Posterior acoustic features						
Shadow	74 (23%)	5 (9%)	49 (35%)	10 (26%)	4 (8%)	6 (13%)
Enhancement	85 (26%)	5 (9%)	23 (17%)	8 (21%)	6 (32%)	33 (69%)
Mixed	36 (11%)	5 (9%)	15 (11%)	3 (8%)	9 (18%)	4 (8%)
None	95 (29%)	16 (30%)	39 (28%)	16 (42%)	19 (38%)	5 (10%)
Adler's vascularity						
High (Grade II & III)	81 (25%)	7 (13%)	22 (16%)	11 (29%)	21 (42%)	20 (42%)
Low (Grade I)	209 (64%)	24 (45%)	104 (75%)	26 (68%)	27 (54%)	28 (58%)
Axillary nodes						
Present	56 (17%)	0 (0%)	25 (18%)	1 (3%)	14 (28%)	16 (33%)
Absent	234 (71%)	53 (100%)	101 (73%)	36 (95%)	34 (68%)	32 (67%)
Not visible on US	38 (14%)	22 (42%)	13 (9%)	1 (3%)	2 (1%)	0 (0%)

DCIS: Ductal carcinoma in situ; US: Ultrasound; USG: Ultrasonography.

191 (69%) cases presented with symptoms at the breast clinic. Most of the cases (89%) detected via breast screening were classified as DCIS or hormone receptor positive cancer, and only a minority (11%) of cases detected via screening were hormone receptor negative cancers.

# Microcalcifications

High risk microcalcifications were found in slightly under one third of the full sample (n = 105, 32%) but were more prevalent amongst BC patients with the subtype HER2enriched (n = 24, 48%) and DCIS (n = 27, 51%) (Figure 1A). In the univariate multinomial logistic regression (with reference to Luminal A as the baseline), the presence of high risk microcalcifications on mammography was positively associated

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#### Table 3 Binomial univariate and multivariate logistic regressions (ER/PR positive vs negative)

ER/PR positive vs negative	)	Univa	riate		Multiva	Multivariate (AUC = 0.792)					
		P	OR	CI	P	OR	CI				
Posterior acoustic features <sup>1</sup>	Enhancement	d	0.46	(0.193 1.050)	d	0.45	(0.174 1.143)				
	Shadowing	b	4.26	(1.617 11.633)	Not sig	nificant					
Margins <sup>2</sup>	Spiculated	a	4.16	(2.268 7.975)	a	0.41	(1.085 4.606)				
	Circumscribed		0.15	(0.023 0.594)	Not sig	nificant					
Size	С	4.51	(2.499 7.443)	a	2.74	(1.475 5.204)					

<sup>&</sup>lt;sup>1</sup>Mixed posterior acoustic features were set as the baseline with OR = 1.

Area under the receiver operating characteristic curve for multivariate regression on posterior acoustic features, ultrasound margins, MM high-risk microcalcifications, and size (large or small).

# Table 4 Binomial univariate and multivariate logistic regressions (Triple-negative breast cancer vs Non-triple-negative breast cancer)

TNBC vs Non-TNBC		Univar	iate		Multiva	ariate (AUC =	0.853)	
		P	OR	CI	P	OR	CI	
Posterior acoustic features <sup>1</sup>	Enhancement	b	5.08	(1.808 18.201)	a	4.77	(1.556 18.291)	
Margins <sup>2</sup>	Spiculated	a	0.43	(0.179 0.951)	Not sign	nificant		
	Circumscribed	c	11.00	(3.712 37.166)	b	8.24	(2.151 38.923)	
Size	Large > 20 mm	c	13.2	(5.152 44.845)	c	10.5	(3.792 38.436)	
Axillary node metastasis	Yes	b	2.52	(1.247 4.988)	Not sign	nificant		
Adler's Index	High	a	2.12	(1.104 4.020)	Not sign	Not significant		
<sup>a</sup> Screening	Yes	d	0.003	(0.000 0.222)	d	0.004	(0.000 0.452)	
<sup>a</sup> Interaction term	raction term Screen × unit size		1.17	(1.003 1.505)	d	1.16	(1.001 1.430)	

 $<sup>^{1}</sup>$ Mixed posterior acoustic features were set as the baseline with OR = 1.

Area under the receiver operating characteristic curve for multivariate regression on posterior acoustic features, ultrasound margins, and size (large or small). AUC: Area under the receiver operating characteristic curve; TNBC: Triple-negative breast cancer.

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with the presence of HER2 receptor expression (P = 0.002, OR 2.97) and DCIS ( $P \le$ 0.001, OR 3.34). In the multivariate logistic regression, high-risk microcalcifications also increase the relative odds of the tumor being a HER2-enriched type ( $P \le 0.001$ , OR 3.38) (Table 5).

# Architectural distortions

Architectural distortions presented rarely in DCIS (n = 7, 13%) and Luminal A subtypes (n = 10, 7%), and were not common in the other three subtypes of BC. On univariate analysis, architectural distortions were significantly associated with DCIS (P = 0.024, OR 3.23) (Table 6), but no statistically significant relationship with Luminal A type was found.

#### Margins

The vast majority of tumors in our study (n = 274, 94%) had non-circumscribed



 $<sup>^2</sup>$ Microlobulated ultrasound margins were set as the baseline with OR = 1.

<sup>&</sup>lt;sup>a</sup>Significant at the 0.001 level.

<sup>&</sup>lt;sup>b</sup>Significant at the 0.01 level.

<sup>&</sup>lt;sup>c</sup>Significant at the 0.05 level.

<sup>&</sup>lt;sup>d</sup>Significant at the 0.10 level.

<sup>&</sup>lt;sup>2</sup>Microlobulated ultrasound margins were set as the baseline with OR = 1.

<sup>&</sup>lt;sup>a</sup>Significant at the 0.001 level.

<sup>&</sup>lt;sup>b</sup>Significant at the 0.01 level.

<sup>&</sup>lt;sup>c</sup>Significant at the 0.05 level.

<sup>&</sup>lt;sup>d</sup>Significant at the 0.10 level.

Table 5 Binomial univariate and	l multivariate loc	nistic rearess	ions (HFR24	vs Non HFR2+)
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HER2+ vs Non HER2+		Univar	iate		Multiva	Multivariate (AUC = 0.747)					
HERZT VS NOII HERZT		P	OR	CI	P	OR	CI				
Margins <sup>1</sup>	Microlobulated	c	3.92	(1.830 9.410)	b	3.26	(1.469 8.026)				
	Circumscribed	Not sig	nificant		Not sig	nificant					
High-risk microcalcifications	Present	c	3.51	(1.804 6.821)	c	3.38	(1.685 6.816)				
Adler's Index High		a	2.32	(1.203 4.437)	a	1.99	(0.999 4.010)				

 $<sup>^{1}</sup>$ Spiculated ultrasound margins were set as the baseline with OR = 1.

Area under the receiver operating characteristic curve for multivariate regression on ultrasound (US) margins, MM high-risk microcalcifications, and US Adler index (low or intermediate/high). AUC: Area under the receiver operating characteristic curve.

#### Table 6 Binomial univariate and multivariate logistic regressions (Ductal carcinoma in situ vs Invasive cancers)

DCIS vs Invasive cancers		Univari	iate		Multiva	Multivariate (AUC = 0.719)					
		P	OR	CI	P	OR	CI				
Posterior acoustic features <sup>1</sup>	None	a	3.24	(1.204 10.292)	a	3.45	(1.255 11.118)				
High-risk microcalcifications	Present	b	2.80	(1.516 5.208)	Not sig	nificant					
Architectural distortions	Yes	b	5.34	(1.546 16.709)	Not sig	nificant					
Size Small < 20 mm		a	2.59	(1.420 7.361)	a	2.72	(1.172 6.182)				

<sup>&</sup>lt;sup>1</sup>Posterior acoustic enhancement was set as the baseline with OR = 1.

Area under the receiver operating characteristic curve for multivariate regression on posterior acoustic features, MM high-risk microcalcifications, architectural distortions, and size (large or small). AUC: Area under the receiver operating characteristic curve; DCIS: Ductal carcinoma in situ.

> margins, of which more than half were classified as microlobulated (n = 174, 60%), followed by spiculated margins (n = 100, 34%). Only 6% of all lesions showed circumscribed margins, of which the majority were TNBC cases (n = 11, 4%), and the rest were DCIS (n = 3, 1%). Based on the univariate and multivariate logistic regressions, the presence of circumscribed margins was found to significantly increase the relative odds of TNBC cancer (univariate: P = 0.003, OR 11.0; multivariate: P = 0.004, OR 8.24) (Figure 2A, Table 4). Moreover, the presence of a spiculated margin on sonography was common in Luminal A cancers (n = 67, 53%), but rarely presented in TN and HER2+ tumors (n = 16, 16%). Thus, if the tumor shows spiculated margins, the odds of it being a hormone receptor positive subtype is higher, as confirmed in the univariate and multivariate regressions (univariate: P = 0.000, OR 4.16; multivariate: P = 0.03, OR 2.20) (Table 3).

# Posterior acoustic features

Posterior acoustic enhancement was more common in HER2-enriched and TN tumors (n = 16, 32% and n = 33, 69%), compared to luminal cancers (n = 31, 19%) and DCIS (n = 31, 19%)= 5, 9%). It is a strong predictor for TNBC cancers vs non-TNBC cancers (univariate: P= 0.005 OR 5.08; multivariate: P = 0.01 OR 4.77) (Figure 2B, Table 4). In the multinomial logistic regressions, posterior enhancement signaled higher relative odds of the tumor being a TNBC cancer relative to Luminal A, DCIS, and HER2-enriched tumors

Conversely, posterior acoustic shadowing is associated with slightly higher odds of the tumor being a hormone positive type. In Luminal A cancers, posterior shadowing ( n = 49, 39%) was more prevalent compared to the other three subtypes of invasive

<sup>&</sup>lt;sup>a</sup>Significant at the 0.001 level.

bSignificant at the 0.01 level.

<sup>&</sup>lt;sup>c</sup>Significant at the 0.05 level.

<sup>&</sup>lt;sup>d</sup>Significant at the 0.10 level.

<sup>&</sup>lt;sup>a</sup>Significant at the 0.001 level.

<sup>&</sup>lt;sup>b</sup>Significant at the 0.01 level.

<sup>&</sup>lt;sup>c</sup>Significant at the 0.05 level.

<sup>&</sup>lt;sup>d</sup>Significant at the 0.10 level.

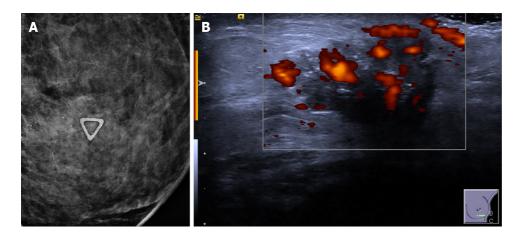


Figure 1 HER2-enriched invasive cancer. A: High-risk microcalcifications on mammogram of the left breast are seen within the palpable mass (denoted by triangular skin marker); B: Irregular hypoechoic mass showing increased internal vascularity (Adler Index Grade III) and internal echogenic foci representing microcalcifications

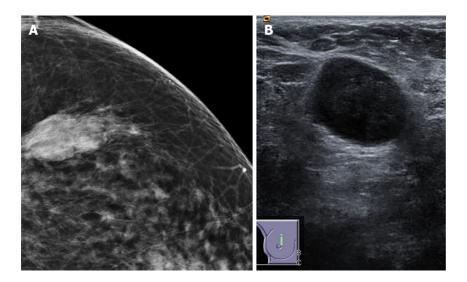


Figure 2 Triple negative breast cancer. A: Circumscribed mass on mammogram; B: Circumscribed hypoechoic mass with posterior acoustic enhancement on ultrasound.

cancer (n = 20, 15%). This was confirmed in the univariate binomial and multinomial regressions, posterior acoustic shadowing suggested a higher likelihood of the tumor being a hormone receptor positive type (univariate: P = 0.037, OR 4.26) (Table 3). However, there was no statistically significant positive association in the multivariate model specifications, possibly due to the lack of statistical power given the limited sample size.

Of the DCIS tumors that were visible on US (60% of all DCIS), the majority did not show any posterior acoustic features (n = 16, 51%). In comparison, most of the invasive cancers showed either posterior shadowing, enhancement, or a mix of both and only a minority showed no posterior acoustic features (n = 79, 29%). Absence of posterior acoustic features favors DCIS in the univariate and multivariate regressions (univariate: P = 0.028, OR 3.24; multivariate: P = 0.090, OR 2.49) (Table 6).

#### Vascularity

Tumors with a high score on the Adler index (II or III) comprised a minority of the sample (n = 55, 23%), and were more predominant in the HER2 enriched and TNBC subtypes (n = 21, 42%, n = 20, 42%, respectively) (Figure 1B). High vascularity was statistically significant as a predictor of HER2-enriched status in both the multivariate and univariate regressions (univariate regression: P = 0.011, OR 2.32; multivariate regression: P = 0.05, OR 1.99) (Table 5), while low vascularity is more likely in Luminal A tumors, corroborating similar findings in other studies[3] (Table 3). Similar to another study which showed a positive association between TNBC and high vascularity using optoacoustic US imaging[7], we also found a positive association between TNBC cancers and vascularity in the univariate, but not multivariate specifications (univariate: P = 0.022, OR 2.12) (Table 4).

#### Tumor size

Tumor size was one of the strongest predictors of TNBC. If the lesion is large (> 20 mm), the relative odds of the tumor being a TNBC subtype would be 13 times higher compared to a non-TNBC type (univariate regression:  $P \le 0.001$ , OR 13.20; Multivariate regression:  $P \le 0.001$ , OR 10.54) (Table 4). In the multinomial regressions, large tumor size consistently predicted a higher likelihood of the tumor being TNBC vs all other subtypes. The high positive correlation between size and TNBC status reflects the fact that almost all the TNBC tumors were large (n = 44, 92%), compared to around 40% of the Luminal A/B tumors, and 60% of the HER2 enriched tumors. Large tumor size also signaled a higher likelihood of HER2-enriched status vs DCIS and Luminal A in the multinomial regression (P = 0.008, OR 3.73; P = 0.05, OR 1.97) (Table 7).

In contrast, sonographically visible DCIS and hormone receptor positive cancers are typically small (< 20 mm). In the univariate and multivariate regressions, small size increases the relative likelihood of the tumor being a DCIS type by 2.5-3 times, compared to invasive cancers (univariate: P = 0.006, OR 2.59; multivariate: P = 0.012, OR 3.02) (Table 6). Within the invasive cancer subtypes, a small sized tumor signals a higher likelihood of the tumor being either Luminal A/B (hormone receptor positive), compared to HER2-enriched or TNBC (univariate:  $P \le 0.001$ , OR 4.26; multivariate: P =0.002, OR 2.74) (Table 3).

# Axillary node metastasis

Axillary node metastasis was most common in TNBC cancers (n = 16, 33%), followed by Luminal A (n = 25, 18%), and least prevalent in the Luminal B subtype (n = 1, 3%). We found evidence that TNBC is associated with a higher positive rate of axillary adenopathy compared to the non-TNBC tumors in the univariate binomial logistic regression (P = 0.008, OR 2.53) (TNBC vs non TNBC) but not the multivariate binomial logistic regression (Table 4). In the univariate multinomial model, the presence of axillary adenopathy represented a higher likelihood of the tumor being TNBC (P = 0.029, OR 18.50) or Luminal A subtype (*P* = 0.043, OR 3.08) relative to Luminal B subtype (Table 4). Our results are in keeping with several studies who also recorded a positive association between the presence of axillary node metastases and TNBC cancers [8,9]. However, the findings on axillary adenopathy and TNBC association have been mixed in the literature, with some other studies finding a negative association instead[10].

# Multivariate logistic regressions

Although the univariate regressions show that a few key radiologic imaging features are statistically significant as independent prognostic indicators of the BC subtype, using a joint combination of imaging features could increase the reliability of the preliminary diagnosis. This is because US image acquisition is highly user dependent, and radiologic interpretation may sometimes be equivocal, for instance, in the case of lobulated (microlobulated) vs angular (spiculated) margins.

Similar to previous studies[7,11], we estimated multivariate binomial logistic regressions using the stepwise regression approach (SLE = 0.05, SLS = 0.05), with the final model specification determined by the Akaike information criterion. We catalogue four distinct categorizations: (1) Hormone-receptor positive vs hormonereceptive negative invasive cancers; (2) TNBC vs non-TNBC invasive cancers; (3) HER2-enriched vs non HER2-enriched invasive cancers; and (4) DCIS vs invasive cancers. The distinguishing characteristics for each category are: (1) A small lesion (< 20 mm), with spiculated margins, the absence of posterior acoustic enhancement and absence of high-risk microcalcifications, is more likely associated with hormonereceptor positive status (Figure 3); (2) Posterior acoustic enhancement, circumscribed margins, and large tumor size was predictive of TNBC status; (3) Microlobulated margins, high-risk microcalcifications, and high vascularity was predictive of HER2enriched status; and (4) Absence of posterior acoustic features and small size on ultrasound was associated with DCIS compared to invasive cancers. A receiver operating characteristic curve was plotted using the pROC package in R; the performance of the multivariate models based on the AUC was (1) 0.792; (2) 0.853; (3) 0.747; and (4) 0.719, respectively.

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			Lumin	al A b	aseline	Lumir	nal B b	aseline	DCIS	baseli	ne	HER2 baseli		hed	TNBC	base	line
			P value	OR	95%CI	<i>P</i> value	OR	95%CI	<i>P</i> value	OR	95%CI	<i>P</i> value	OR	95%CI	P value	OR	95%C
Posterior acoustic	Shadowing	Luminal A vs							d	3.27	(0.832 12.83)	b	7.35	(1.979 27.300)			
features		Luminal B vs										a	7.50	(1.307 43.030)			
		DCIS vs	d	0.31	(0.078 1.202)												
		HER2+	b	0.14	(0.037 0.505)	a	0.13	(0.023 0.765)									
		TNBC vs															
	Enhancement	Luminal A vs													b	0.19	(0.055 0.633)
		Luminal B vs															
		DCIS vs													a	0.12	(0.024 0.610)
		HER2+													a	0.22	(0.058 0.807)
		TNBC vs	b	5.38	(1.581 18.310)				a	8.25	(1.638 41.55)	a	4.64	(1.239 17.38)			
Margins (on US)	Spiculated	Luminal A vs				a	2.45	(1.130 5.31)	b	5.41	(1.931 15.14)	С	5.88	(2.544 13.58)	С	4.26	(1.805 10.056)
		Luminal B vs	a	0.41	(0.188 0.885)							d	2.40	(0.861 6.690)			
		DCIS vs	b	0.19	(0.066 0.518)												
		HER2+	С	0.17	(0.074 0.393)	d	0.42	(0.150 1.16)									
		TNBC vs	С	0.24	(0.099 0.554)												
	Circumscribed	Luminal A vs													b	0.09	(0.019 0.445)
		Luminal B vs															
		DCIS vs HER2+															
		vs															
		TNBC vs	ь	10.8	(2.246 52.043)												
Size	Large	Luminal										a	0.51	(0.258	c	0.07	(0.024

			P value	OR	95%CI	P value	OR	95%CI	P value	OR	95%CI	P value	OR	95%CI	P value	OR	95%C
Posterior acoustic	Shadowing	Luminal A vs							d	3.27	(0.832 12.83)	b	7.35	(1.979 27.300)			
features		Luminal B vs										a	7.50	(1.307 43.030)			
		DCIS vs	d	0.31	(0.078 1.202)												
		HER2+	b	0.14	(0.037 0.505)	a	0.13	(0.023 0.765)									
		TNBC vs															
	Enhancement	Luminal A vs													b	0.19	(0.055 0.633)
		Luminal B vs															
		DCIS vs													a	0.12	(0.024 0.610)
		HER2+													a	0.22	(0.058 0.807)
		TNBC vs	b	5.38	(1.581 18.310)				a	8.25	(1.638 41.55)	a	4.64	(1.239 17.38)			
Margins (on US)	Spiculated	Luminal A vs				a	2.45	(1.130 5.31)	b	5.41	(1.931 15.14)	c	5.88	(2.544 13.58)	c	4.26	(1.805 10.056
		Luminal B vs	a	0.41	(0.188 0.885)							d	2.40	(0.861 6.690)			
		DCIS vs	b	0.19	(0.066 0.518)												
		HER2+	c	0.17	(0.074 0.393)	d	0.42	(0.150 1.16)									
		TNBC vs	c	0.24	(0.099 0.554)												
	Circumscribed	Luminal A vs													b	0.09	(0.019 0.445)
		Luminal B vs															
		DCIS $vs$															
		HER2+															
		TNBC vs	b	10.8	(2.246 52.043)												
Size	Large	Luminal A vs										a	0.51	(0.258 0.999)	c	0.07	(0.024 0.208)
		Luminal B vs													c	0.08	(0.023 0.259)
		DCIS vs													c	0.04	(0.010 0.134)
		HER2+ vs	a	1.97	(1.001 3.878)										c	0.14	(0.043 0.450)
		TNBC vs	c	14.20	(4.811 41.915)	c	12.94	(3.856 43.427)	c	26.89	(7.445 97.114)	c	7.21	(2.224 23.354)			
High-risk	Present	Luminal							c	0.30	(0.154	b	0.34	(0.171			

Microcals		A vs									0.583)			0.665)			
		Luminal B vs							d	0.44	(0.186 1.061)						
		DCIS vs	c	3.34	(1.715 6.488)	d	2.25	(0.942 5.37)							b	4.50	(1.606 9.96)
		HER2+ vs	b	2.97	(1.504 5.844)										b	4.00	(1.824 11.10)
		TNBC vs							b	0.22	(0.090 0.548)		0.25	(0.100 0.623)			
Adler	High	Luminal A vs										c	0.27	(0.131 0.566)	b	0.30	(0.142 0.618)
		Luminal B vs															
		DCIS vs										d	0.38	(0.136 1.037)	d	0.41	(0.147 1.131)
		HER2+	c	3.68	(1.767 7.650)				d	2.67	(0.965 7.37)						
		TNBC vs	b	3.38	(1.618 7.045)				d	2.45	(0.884 6.78)						
Axillary node	Yes	Luminal A vs				a	3.08	(1.063 61.96)							a	0.44	(0.209 0.919)
adenopathy		Luminal B vs	a	0.12	(0.224 0.470)							a	0.07	(0.009 0.556)	b	0.05	(0.007 0.430)
		DCIS vs															
		HER2+ vs				a	14.40	(1.798 115.17)									
		TNBC vs	a	2.28	(1.088 4.778)	b	18.50	(2.323 147.34)									

 $<sup>^{\</sup>rm a}\text{Significant}$  at the 0.001 level.

Only statistically significant results are shown. Bold results show higher relative odds. DCIS: Ductal carcinoma in situ; TNBC: Triple-negative breast cancer; US: Ultrasound.

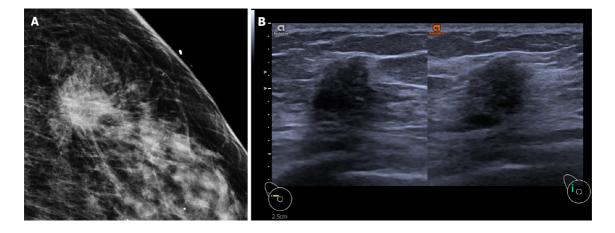


Figure 3 Luminal type invasive cancer. A: Spiculated mass on mammogram; B: Irregular hypoechoic mass with spiculated margin and posterior acoustic shadowing.

# Screening and size of tumor

A significant proportion of the cancers in our sample were detected *via* routine breast screening (n = 91, 28%). Previous studies have shown that BCs detected *via* screening are typically smaller than symptomatic BCs, and that screening is an effective means of

<sup>&</sup>lt;sup>b</sup>Significant at the 0.01 level.

<sup>&</sup>lt;sup>c</sup>Significant at the 0.05 level.

 $<sup>^{\</sup>rm d}\!Significant$  at the 0.10 level.

detecting tumors at an earlier stage[12].

In our sample, screening detected tumors when they were smaller, even after controlling for molecular subtype. The mean size of hormone receptor negative tumors detected via screening was 19.0 mm vs 33.0 mm for hormone receptor negative cancers presenting with symptoms. The mean size of TNBC and HER2-enriched cancers that were detected via screening was 32.5 mm and 14.4 mm respectively vs 34.7 mm and 30.8 mm, respectively for symptomatic patients. However, as size is a less important predictor of disease severity than the biologic characteristics of the tumor based on its gene expression, a few prominent studies have downplayed the usefulness of breast screening in improving patient outcomes, considering its tendency for overdiagnosis of in-situ type cancers[13].

One of the main findings of our study is that TNBC cancers tend to have benign morphologic features, such as circumscribed margins and posterior enhancement. This raises possibility of mistaking it for common benign lesions such as cysts with echoes or fibroadenomas. The only unique differentiator is its positive correlation with size. Thus, in the screening context, size may be a useful factor in deciding whether to recommend an invasive biopsy or imaging follow-up for the lesion in question.

To estimate the impact of size on the probability of the tumor being a TNBC subtype conditional on the tumor presenting during screening, in the multivariate regressions, we specified an interaction term of screening with size, and find a marginally significant positive coefficient for the interaction term. Interpretively, this means that for every unit increase in lesion size, there are higher relative odds of the screening tumor being a TNBC subtype compared to non-TNBC, holding all other factors unchanged (P = 0.08, OR 1.18) (Table 4).

# DISCUSSION

BC is a heterogenous disease, characterized by the varied imaging appearance, histologic and molecular profiles, and correspondingly different disease course across the various molecular subtypes. The different molecular types of BC have different biological behaviors at the cellular level, which influence the speed of invasion and destruction of the surrounding tissue, consequently affecting the macroscopic appearance of the tumor on mammogram and ultrasound.

Prior studies investigating the association of imaging features with molecular subtypes found evidence that cancers with posterior acoustic shadowing have higher odds of hormone-receptor positivity while those with posterior acoustic enhancement are likely to have negative receptor expression[14]. TNBC cancers were more likely to have circumscribed margins while hormone receptor positive cancers were more likely to show spiculated margins[15,16]. High risk microcalcifications detected on mammogram are associated with HER2-enriched cancers[17]. Our results are generally consistent with prior studies, with imaging features that correlate with the following subtypes: Spiculated margins were positively correlated with hormone receptor positive status (Luminal A or B). As hypothesized by earlier research, as luminal cancers tend to be lower grade and grow at a slower rate, they provoke a desmoplastic reaction, resulting in radiologic findings of spiculated margins. The desmoplastic reaction also affects acoustic impedance of the tumor to healthy tissue interface, causing excessive sonographic attenuation by the tumor, resulting in posterior shadowing[14,15,18].

High-risk microcalcifications, vascularity, and microlobulated margins, are positively associated with HER2 enriched cancers. Studies have shown that HER2 gene overexpression is linked to neo-angiogenesis via production of VEGF[19], while high-risk microcalcifications are due to the tendency of HER2 cancers to have a concomitant DCIS component[20]. Rapidly proliferating tumor cells, which consume the blood supply, lead to tumor necrosis and subsequent acidosis in the microenvironment, resulting in calcium accumulation in the ducts[21]. Our results concur with prior studies, which reported high risk microcalcifications to be more frequent in HER2+ tumors compared to luminal cancers[22].

A statistically significantly relationship exists between TNBC, which is the most aggressive molecular subtype, and posterior acoustic enhancement, circumscribed margins, and large tumor size. These are more cellular, grow rapidly, and do not generally incite a strong desmoplastic reaction from the surrounding healthy tissue[6]. The more regular interface between tumor and surrounding tissue probably results in a circumscribed margin, while internal necrosis and high cellularity probably attenuate the sound waves to a lesser degree, manifesting as posterior enhancement on

ultrasound. Concordant with other studies [8,11], US tumor size was a statistically significant predictor of TNBC cancers. In our sample, we found that if detected through breast screening (patients were asymptomatic), each unit increase in tumor size is associated with a higher odds ratio for TNBC cancer relative to the rest of the subtypes. This may imply that breast screening could be detecting TNBC at an earlier stage (smaller size, non-palpable), and that clinicians should prioritize biopsy of larger lumps when assessing screening populations.

DCIS is characterized by architectural distortions and high-risk microcalcifications on MG, but only 60% of DCIS tumors are visible on US (n = 31, 58%). High-risk microcalcifications tend to be absent in DCIS tumors that are sonographically visible. On US, a combination of small size and absence of posterior acoustic features favor DCIS. These two sonographic features are also commonly seen in benign lesions. However, similar to invasive cancers, the majority of sonographically visible DCIS show microlobulated or spiculated margins, whereas the overwhelming majority of benign lesions demonstrate circumscribed margins[23]. This highlights the importance of margin assessment of even small lesions on ultrasound, which depends on the skill of the performing technologist, as well as the use of a high-resolution probe.

In the current landscape of BC chemotherapeutic regimens, hormonal therapy is routinely used for hormone-receptor positive tumors (Luminal A/B), while targeted therapy, such as Herceptin, is available for cancers which overexpress HER2 (Luminal B/HER2-enriched). On the other hand, not only are TNBC tumors more aggressive (due to poor dedifferentiation), and have a higher recurrence rate, no targeted therapeutic strategy is currently available for the treatment of TNBC, leaving nontargeted chemotherapy as the only weapon in the chemotherapeutic arsenal. Stratification may allow for earlier resection times by aiding radiologists in deciding whether to biopsy or observe the lesion. As screening detects tumors at an earlier stage, large size is one discriminating feature that could point towards an aggressive TNBC subtype – prioritizing biopsy for these cases may benefit patient outcomes. In addition, histopathological analysis may not be readily available in certain developing countries, and an improved understanding of how the imaging features of BC correlate to molecular subtype would aid in tailoring the treatment strategy for patients who are cost constrained.

Our study had several limitations. First, as this was a retrospective study conducted at a single institution, it could have been subject to selection bias with respect to patients who arrive at our institution, even though we endeavored to minimize selection bias by including all consecutive patients within a fixed duration of time and blinding the assessing radiologists to the histology results. Second, due to the relatively small sample size, some of the subanalysis could have lacked statistical power to detect a significant difference in imaging features across molecular subtypes. Nevertheless, we see potential for further research, particularly in automated machine learning. From studies such as ours, imaging features identified as effective predictors could be used to customize deterministic algorithms for computer-extracted features, which could then be utilized at large-scale and with no inter-reader variability[2]. In fact, a recent study introduced a machine learning model for MRI classification of BC subtypes, guiding their radiomic feature selection and categorization by a review of the prior literature for imaging features that exhibited prognostic significance for various aspects of BC[24].

#### CONCLUSION

In conclusion, key features in mammographic and sonographic imaging were significantly associated with BC molecular subtypes. Knowledge of such correlations could help clinicians stratify BC patients according to their likely molecular subtype, potentially enabling earlier, more effective treatment or aiding in therapeutic decisions in countries where receptor testing is not readily available.

# ARTICLE HIGHLIGHTS

#### Research background

There is evidence in the literature that breast cancer (BC) molecular subtypes often have characteristic imaging features on mammogram (MG), ultrasound (US) and magnetic resonance imaging. These imaging features on MG and US are of particular interest as they are cost-effective and widely available even in many developing countries.

#### Research motivation

Thus far, research into the correlation between MG and US imaging features and BC subtypes has been based on populations of symptomatic patients, with the lack of data on an asymptomatic (screening) population highlighted as an area for future research. We wanted to thus use our data which consists of both screening and symptomatic patients to add to the body of knowledge on this issue. Also, our population includes patients with ductal carcinoma-in-situ (DCIS) which only a few papers have examined.

# Research objectives

To correlate the MG and US imaging features with the molecular subtypes of BC (hormone receptor positive vs hormone receptor negative, triple-negative vs non-triple negative and HER2 positive vs HER2 negative) and DCIS in our population of screening and symptomatic patients.

#### Research methods

Our study is retrospective, with a population of 328 consecutive patients in 2017-18 with histologically confirmed BC. 237 (72%) were symptomatic, and 91 (28%) were detected via a screening program. All the patients underwent MG and US imaging prior to biopsy. The images were retrospectively interpreted by two breast-imaging radiologists with 5-10 years of experience who were blinded to the histology results to ensure statistical independence. To test the hypothesis that imaging features are correlated with tumor subtypes, univariate binomial and multinomial logistic regression models were performed. Also, multivariate logistic regression (with and without interaction terms) was utilized to identify combinations of MG and US imaging characteristics predictive of molecular subtypes.

#### Research results

Circumscribed margins, posterior enhancement, and large size are correlated with triple-negative BC (TNBC). High-risk microcalcifications and microlobulated margins is predictive of HER2-enriched cancers. DCIS is characterized by small size on US, absence of posterior acoustic features, and the presence of architectural distortion on MG. Hormone receptor positive subtypes tend to be small, with spiculated margins and posterior acoustic shadowing. These results are broadly consistent with findings from prior studies. In addition, we also find that US lesion size signals a higher odds ratio for TNBC if presented during screening.

# Research conclusions

Several MG and US imaging features were shown to independently predict molecular subtypes of BC, in a population of both screening and symptomatic patients. Knowledge of such correlations could help clinicians stratify BC patients, possibly enabling earlier treatment for patients with triple negative cancer. This could also aid therapeutic decisions in countries where receptor testing is not readily available.

#### Research perspectives

To further research in this field, machine learning algorithms may be trained to recognize both the imaging characteristics as well as the radionomic characteristics of BC molecular subtypes, to see if this can further improve the predictive accuracy of imaging. More studies with asymptomatic populations of patients, and with differing ethnicities would also be useful to corroborate the results in our study.

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