# World Journal of Psychiatry

World J Psychiatry 2022 February 19; 12(2): 204-378





#### **Contents**

Monthly Volume 12 Number 2 February 19, 2022

#### **EDITORIAL**

204 Screening dementia and predicting high dementia risk groups using machine learning

Byeon H

#### **REVIEW**

212 Prenatal nicotine alters development of the laterodorsal tegmentum: Possible role for attentiondeficit/hyperactivity disorder and drug dependence

Polli FS, Kohlmeier KA

236 Drug-induced stuttering: A comprehensive literature review

Nikvarz N. Sabouri S

264 Insights into myelin dysfunction in schizophrenia and bipolar disorder

> Valdés-Tovar M, Rodríguez-Ramírez AM, Rodríguez-Cárdenas L, Sotelo-Ramírez CE, Camarena B, Sanabrais-Jiménez MA, Solís-Chagoyán H, Argueta J, López-Riquelme GO

#### **MINIREVIEWS**

Common outcome, different pathways: Social information-processing deficits in autism spectrum disorder 286 and attention-deficit/hyperactivity disorder

Chan JKY, Leung PWL

#### **ORIGINAL ARTICLE**

#### **Retrospective Cohort Study**

298 Associated mortality risk of atypical antipsychotic medication in individuals with dementia

Phiri P, Engelthaler T, Carr H, Delanerolle G, Holmes C, Rathod S

#### **Observational Study**

308 Reduced paraoxonase 1 activities may explain the comorbidities between temporal lobe epilepsy and depression, anxiety and psychosis

Michelin AP, Maes MHJ, Supasithumrong T, Limotai C, Matsumoto AK, de Oliveira Semeão L, de Lima Pedrão JV, Moreira EG, Kanchanatawan B, Barbosa DS

323 Importance of communication in medical practice and medical education: An emphasis on empathy and attitudes and their possible influences

Steinmair D, Zervos K, Wong G, Löffler-Stastka H

338 Cross-sectional study of traumatic stress disorder in frontline nurses 6 mo after the outbreak of the COVID-19 in Wuhan

Zhou ZQ, Yuan T, Tao XB, Huang L, Zhan YX, Gui LL, Li M, Liu H, Li XD

#### World Journal of Psychiatry

#### **Contents**

Monthly Volume 12 Number 2 February 19, 2022

#### **SYSTEMATIC REVIEWS**

348 Catatonia in older adults: A systematic review

 ${\it Jaimes-Albornoz~W,~Ruiz~de~Pellon-Santamaria~A,~Nizama-Via~A,~Isetta~M,~Albajar~I,~Serra-Mestres~J}$ 

#### **SCIENTOMETRICS**

368 Burnout amongst radiologists: A bibliometric study from 1993 to 2020

 $Qureshi\ MFH,\ Mohammad\ D,\ Shah\ SMA,\ Lakhani\ M,\ Shah\ M,\ Ayub\ MH,\ Sadiq\ S$ 

Π

#### Contents

#### Monthly Volume 12 Number 2 February 19, 2022

#### **ABOUT COVER**

Peer Reviewer of World Journal of Psychiatry, Ali Gorji, MD, Professor, Epilepsy Research Center, Münster University, Münster 48149, Germany. gorjial@uni-muenster.de

#### **AIMS AND SCOPE**

The primary aim of World Journal of Psychiatry (WJP, World J Psychiatry) is to provide scholars and readers from various fields of psychiatry with a platform to publish high-quality basic and clinical research articles and communicate their research findings online.

WJP mainly publishes articles reporting research results and findings obtained in the field of psychiatry and covering a wide range of topics including adolescent psychiatry, biological psychiatry, child psychiatry, community psychiatry, ethnopsychology, psychoanalysis, psychosomatic medicine, etc.

#### INDEXING/ABSTRACTING

The WJP is now abstracted and indexed in Science Citation Index Expanded (SCIE, also known as SciSearch®), Current Contents/Clinical Medicine, Journal Citation Reports/Science Edition, PubMed, and PubMed Central. The 2021 edition of Journal Citation Reports® cites the 2020 impact factor (IF) for WJP as 4.571; IF without journal self cites: 4.429; 5-year IF: 7.697; Journal Citation Indicator: 0.73; Ranking: 46 among 156 journals in psychiatry; and Quartile category: Q2.

#### **RESPONSIBLE EDITORS FOR THIS ISSUE**

Production Editor: Hua-Ge Yu, Production Department Director: Xu Guo; Editorial Office Director: Jia-Ping Yan.

#### NAME OF JOURNAL

World Journal of Psychiatry

ISSN 2220-3206 (online)

#### I ALINCH DATE

December 31, 2011

#### **FREQUENCY**

Monthly

#### **EDITORS-IN-CHIEF**

Rajesh R Tampi, Ting-Shao Zhu, Panteleimon Giannakopoulos

#### **EDITORIAL BOARD MEMBERS**

https://www.wjgnet.com/2220-3206/editorialboard.htm

#### **PUBLICATION DATE**

February 19, 2022

#### COPYRIGHT

© 2022 Baishideng Publishing Group Inc

#### **INSTRUCTIONS TO AUTHORS**

https://www.wjgnet.com/bpg/gerinfo/204

#### **GUIDELINES FOR ETHICS DOCUMENTS**

https://www.wignet.com/bpg/GerInfo/287

#### **GUIDELINES FOR NON-NATIVE SPEAKERS OF ENGLISH**

https://www.wjgnet.com/bpg/gerinfo/240

#### **PUBLICATION ETHICS**

https://www.wignet.com/bpg/GerInfo/288

#### **PUBLICATION MISCONDUCT**

https://www.wjgnet.com/bpg/gerinfo/208

#### ARTICLE PROCESSING CHARGE

https://www.wignet.com/bpg/gerinfo/242

#### STEPS FOR SUBMITTING MANUSCRIPTS

https://www.wjgnet.com/bpg/GerInfo/239

#### **ONLINE SUBMISSION**

https://www.f6publishing.com

© 2022 Baishideng Publishing Group Inc. All rights reserved. 7041 Koll Center Parkway, Suite 160, Pleasanton, CA 94566, USA E-mail: bpgoffice@wjgnet.com https://www.wjgnet.com



Submit a Manuscript: https://www.f6publishing.com

World J Psychiatry 2022 February 19; 12(2): 298-307

DOI: 10.5498/wjp.v12.i2.298 ISSN 2220-3206 (online)

ORIGINAL ARTICLE

#### **Retrospective Cohort Study**

## Associated mortality risk of atypical antipsychotic medication in individuals with dementia

Peter Phiri, Tomas Engelthaler, Hannah Carr, Gayathri Delanerolle, Clive Holmes, Shanaya Rathod

Specialty type: Psychiatry

#### Provenance and peer review:

Unsolicited article; Externally peer reviewed.

Peer-review model: Single blind

#### Peer-review report's scientific quality classification

Grade A (Excellent): 0 Grade B (Very good): B Grade C (Good): C Grade D (Fair): 0 Grade E (Poor): 0

P-Reviewer: Pavón L, Wang MZ

Received: June 28, 2021 Peer-review started: June 28, 2021 First decision: September 5, 2021 Revised: September 24, 2021 Accepted: January 17, 2022 Article in press: January 17, 2022

Published online: February 19, 2022



Peter Phiri, Hannah Carr, Shanaya Rathod, Research & Innovation Department, Southern Health NHS Foundation Trust, Southampton SO30 3JB, United Kingdom

Peter Phiri, Primary Care, Population Sciences and Medical Education, Faculty of Medicine, University of Southampton, Southampton SO16 5ST, United Kingdom

Tomas Engelthaler, Oxford Centre for Innovation, Akrivia Health, Oxford OX1 BY, United Kingdom

Hannah Carr, Department of Psychology, University of Southampton, Southampton SO16 5ST, United Kingdom

Gayathri Delanerolle, Nuffield Department of Primary Care Health Sciences, University of Oxford, Oxford OX2 6GG, United Kingdom

Clive Holmes, Clinical and Experimental Sciences, University of Southampton, Southampton SO16 5ST, United Kingdom

Clive Holmes, Research & Innovation Department, Memory Assessment & Research Centre, Southern Health NHS Foundation Trust, Southampton SO30 3JB, United Kingdom

Corresponding author: Peter Phiri, BSc, PhD, RN, Academic Fellow, Research & Innovation Department, Southern Health NHS Foundation Trust, Botley Road, West End, Southampton SO30 3JB, United Kingdom. peter.phiri@southernhealth.nhs.uk

#### **Abstract**

#### **BACKGROUND**

Antipsychotic medications such as risperidone, olanzapine and aripiprazole are used to treat psychological and behavioural symptoms among dementia patients. Current evidence indicate prescription rates for antipsychotics vary and wider consensus to evaluate clinical epidemiological outcomes is limited.

To investigate the potential impact of atypical antipsychotics on the mortality of patients with dementia.

#### **METHODS**

A retrospective clinical cohort study was developed to review United Kingdom Clinical Record Interactive Search system based data between January 1, 2013 to

December 31, 2017. A descriptive statistical method was used to analyse the data. Mini Mental State Examination (MMSE) scores were used to assess the severity and stage of disease progression. A cox proportional hazards model was developed to evaluate the relationship between survival following diagnosis and other variables.

#### **RESULTS**

A total of 1692 patients were identified using natural language processing of which, 587 were prescribed olanzapine, quetiapine or risperidone (common group) whilst 893 (control group) were not prescribed any antipsychotics. Patients prescribed olanzapine showed an increased risk of death [hazard ratio (HR) = 1.32; 95% confidence interval (CI): 1.08-1.60; P < 0.01], as did those with risperidone (HR = 1.35; 95%CI: 1.18-1.54; P < 0.001). Patients prescribed quetiapine showed no significant association (HR = 1.09; 95%CI: 0.90-1.34; *P* = 0.38). Factors associated with a lower risk of death were: High MMSE score at diagnosis (HR = 0.72; 95%CI: 0.62-0.83; P < 0.001), identifying as female (HR = 0.73; 95%CI: 0.64-0.82; P < 0.001), and being of a White-British ethnic group (HR = 0.82; 95%CI: 0.72-0.94; P < 0.01).

#### **CONCLUSION**

A significant mortality risk was identified among those prescribed olanzapine and risperidone which contradicts previous findings although the study designs used were different. Comprehensive research should be conducted to better assess clinical epidemiological outcomes associated with diagnosis and therapies to improve clinical management of these patients.

**Key Words:** Dementia; Antipsychotics; Mortality; Vascular; Alzheimer's disease; Frontotemporal dementia; Lewy bodies; Parkinson's and mixed

©The Author(s) 2022. Published by Baishideng Publishing Group Inc. All rights reserved.

**Core Tip:** Antipsychotic medication is widely prescribed to patients with dementia displaying neuropsychiatric symptoms. Treatment with olanzapine and risperidone was associated with an increased mortality risk. In comparison, quetiapine showed a relatively lower, non-significant association with the mortality risk in those with dementia. Clinicians need to be aware of the potential heterogeneous relationship between dementias, antipsychotic medication, and mortality when creating a psychopharmacological treatment plan for their patients.

Citation: Phiri P, Engelthaler T, Carr H, Delanerolle G, Holmes C, Rathod S. Associated mortality risk of atypical antipsychotic medication in individuals with dementia. World J Psychiatry 2022; 12(2): 298-307

URL: https://www.wjgnet.com/2220-3206/full/v12/i2/298.htm

**DOI:** https://dx.doi.org/10.5498/wjp.v12.i2.298

#### INTRODUCTION

Antipsychotic prescribing in older adults must be made with caution as there are age related changes in pharmacokinetics and pharmacodynamics that can result in an increased sensitivity to drugs and their side effects. This is evident in both typical and atypical antipsychotic prescribing within this population. Thus, Landi et al[1] demonstrated a 47% elevation of falls in elderly adults being prescribed typical antipsychotics, whilst atypical antipsychotic drugs have been associated with higher hospitalisation rates with acute kidney injury[2] and an increased 90 d risk of non-vertebral osteoporotic fracture, hip fracture and various other fractures and falls[3]. Similarly, the concerns around the safety and effectiveness of aripiprazole, olanzapine, quetiapine and risperidone (four specific antipsychotics) have been also raised for older patients in a clinical trial setting[4].

Antipsychotics are also used to treat anxiety, agitation and psychotic experiences presenting in dementia, the majority of whom are elderly. Patients with dementia are considered particularly vulnerable to the effects of antipsychotics [5]. In particular, those using antipsychotics extensively would have an increased susceptibility to venous thrombolytic episodes, hip fractures and strokes[6].

Due to the perceived risk of mortality and of side-effects, typical antipsychotics have begun to be replaced by atypicals in the last decade[5]. However, whilst there appears strong evidence for an associated risk of adverse events of both typical and atypical antipsychotic medication in the elderly, and in those with dementia, the evidence around increased mortality is less clear. Some of the literature suggests that there is an increased morality risk in dementia patients from both typical and atypical antipsychotics accounting for an additional 1800 deaths per year [5]. However, others have argued that typical antipsychotics have a greater mortality risk than atypicals for those individuals with dementia [7] yet a meta-analysis of all cause dementia, indicated that there was a small increased risk of death from atypical antipsychotics compared to those on a placebo[8]. Furthermore, a retrospective study on a cohort of vascular dementia patients have found that there was no significant increases of mortality risk with those exposed to atypical antipsychotics to those with no exposure [9].

The present study investigates the risk of antipsychotics on mortality in all forms of dementia including vascular dementia. We hope this will help inform clinical practice and contribute to the development of training packages on prescribing antipsychotics in dementia.

#### MATERIALS AND METHODS

#### Study design

A retrospective clinical cohort study was designed to review data gathered over a 5-year period (January 1, 2013 to December 31, 2017) in a National Health Service (NHS) setting. The aim of the study was to investigate the potential impact of atypical antipsychotics on the mortality of patients with dementia. Health Research Authority (HRA) provided guidance to the Akrivia Health and all data controllers that neither ethics nor HRA approval (legal & governance) is required for the establishment of the Clinical Record Interactive Search (CRIS) system or using de-identified data (from the system) for research purposes in March 2020. Local approvals were obtained from the Southern Health NHS Foundation Trust (SHFT) patient-led oversight committee.

#### Cohort inclusion and exclusion criteria

The CRIS platform was used to identify suitable participants for this study as per the inclusion/exclusion criteria. Patient records in the SHFT database were filtered to only include those: Older than 30 years at the beginning of the study period (January 1, 2013); having a first diagnosis of either Alzheimer's disease (G30), vascular dementia (F01), frontotemporal dementia (G31.0), unspecified dementia (F03) or dementia in other diseases (F02); have been assigned this first diagnosis between January 1, 2013 and December 31, 2016; and to never had a diagnosis of either schizophrenia (F20), schizoaffective disorder (F25) or bipolar disorder (F31). A total of 1770 patients were deemed eligible for this study.

#### Data extraction

Akrivia Health provides the CRIS system to analyse de-identified data from the Southern Health NHS Foundation Trust Electronic Health Records (EHR). There are currently 14 NHS Mental Health Foundation Trusts in the United Kingdom using CRIS with 3.2 million anonymised patients' record. Each site has its own CRIS access port that ingests data from their own EHRs that is managed within a robust governance model in the form of an independent oversight committee. The SHFT CRIS system includes records of the Trust's patients except those that have opted out from having their de-identified records used for research and evaluation purposes that could improve clinical benefit. The accessible data include notes that are written by clinicians as a report on a patient's progress, including comments on medication. The CRIS platform extracts the free text (progress notes) in a de-identified format to enable researchers with appropriate approvals to conduct research. Given the scale of the cohort, it was not feasible to compile a medication history manually. Natural language processing (NLP) was employed to identify medications within the patient's notes using the Med-7 algorithm[10]. This data was used to refine the cohort into three groups; medication group prescribed olanzapine, quetiapine or risperidone, comparison group (not prescribed any antipsychotic), and exclusion group (prescribed an antipsychotic other than olanzapine, quetiapine or risperidone). Additional variables were obtained from CRIS, including: Mortality status, date of death, age at diagnosis, gender, and ethnicity.

#### Data analysis

The CRIS database supports the Med-7 NLP algorithm [10]. The algorithm indicates phrases with medications. Both the de-identified patient electronic healthcare records and the Med-7 medication outputs were searched using Structured Query Language and the relevant data tables were then exported into Python 3.8[11]. Python was then used to carry out all the analyses and generate the figures, using the following packages: Pandas[12], Numpy[13], Lifelines[14] and Matplotlib[15].

#### Proportional hazards assumption

To assess the relationship between survival since diagnosis and the other variables, a cox proportional hazard (CPH) model was built. This model used the 'death flag' as an event of interest, 'survival since diagnosis' as the duration, 'age at diagnosis' as a continuous covariate, and one-hot encoded covariates of 'gender', 'ethnicity' and 'MMSE Score'.

CPH models assume the time-independence of the proportional hazards, consequently assuming the hazard ratios (HR) are constant with time. In our case, a violation of this assumption would mean the HR are dependent on the time since diagnosis. For example, a specific medication could be associated with a temporary survival risk but be relatively safe in the long-term (or vice versa). Rulli et al[16] provide a detailed explanation of this issue. Particular care should be taken when comparing the results of multiple studies (i.e., including our study in an aggregate), as the time dependence of results may vary across datasets.

The time-independence assumption of the proportional hazards was tested using scaled Schoenfeld residuals and a rank transformation of time[17-18]. The statistical significance of the deviation from time-independence was calculated using an approximation developed by Davidson-Pilon[14] with a Pvalue threshold of 0.01. All variables were above the threshold, with 'age at diagnosis' having a P-value of 0.0102 (see Figure 1). To address the possible violation, we model the CPH as a stratified model, using 'age at diagnosis' as a stratifying variable, separating patients into 5-year strata intervals.

#### **RESULTS**

#### Descriptive statistics

The NLP algorithm identified a total of 1692 patients with at least one medication entry. Of these, 587 patients were prescribed either olanzapine, quetiapine or risperidone (medication group), 893 were not prescribed any antipsychotic medication (comparison group) and 290 were prescribed an antipsychotic other than olanzapine, quetiapine and risperidone (exclusion group). Olanzapine was prescribed to 155 patients, quetiapine to 144 and risperidone to 450 patients. There were 153 patients who were prescribed at least two of the three antipsychotics over the study period. The demographic profiles and the MMSE scores for the study groups are shown in Table 1.

#### Outcomes

MMSE scores from the time of first diagnosis were also obtained using NLP (regular expression search). Patient records were followed for up to 5 years after the first diagnosis, retrieving the date of death if present.

#### Survival duration

1097 (74%) patients had a recorded death within 5 years of their first diagnosis (i.e., patients with a 'death flag'). For these patients, the 'survival since diagnosis' was calculated, representing the duration in months between the first diagnosis and the date of death. The mean survival since diagnosis was 26.7 mo (SD = 19.9).

#### CPH model

The stratified CPH model had a concordance of 0.60, with six of the included covariates showing a significant HR. The variable-level results are listed in Table 2. Specifically, patients prescribed olanzapine showed an increased risk of death within the study period [HR = 1.32; 95% confidence interval (CI): 1.08-1.60; *P* < 0.01]. Those prescribed risperidone showed a similar increased risk of death (HR = 1.35; 95% CI: 1.18-1.54; P < 0.001). Quetiapine showed no significant association with an increased risk of death (HR = 1.09; 95% CI: 0.90-1.34; P = 0.38).

Patients with a high MMSE score (20-30) at diagnosis showed a lower risk of death (HR = 0.72; 95%CI: 0.62-0.83; P < 0.001). Interestingly, the MMSE Score HR always trend in a negative direction, suggesting that patients with any mention of an MMSE score in their clinical notes, regardless of its value, have a decreased risk of death. To better understand this effect, a follow-up CPH model was built, with 'MMSE Missing' as a covariate instead of the 'MMSE Score' groups. In this model, patients who do not have any mention of an MMSE score in their clinical notes (n = 872) show a significantly higher risk of death (HR = 1.30; 95% CI: 1.14-1.47; P < 0.001).

Those identifying as female (n = 766) had a significantly lower HR (HR = 0.73; 95%CI: 0.64-0.82; P <0.001) than those identifying as male (n = 714). Patients of the White-British ethnicity showed a significantly lower risk of death (HR = 0.82; 95% CI: 0.72-0.94; P < 0.01), suggesting better outcomes for patients in this group.

### DISCUSSION

The results show a significantly higher mortality risk for those prescribed olanzapine and risperidone. This supports previous findings of Gerhard et al [19], who showed that quetiapine had a lower mortality risk than risperidone, while olanzapine had a similar mortality rate to risperidone within the elderly population. Gerhard et al[19] argued that their findings could be due to less variance in dosing of quetiapine. In addition, higher doses of both olanzapine and risperidone were thought to have been

Tahla 1 Γ	lemographi	ic information	for the two	study cohorts
Table L	zennourabin	ic illiorilation	HOLLIE LWO	Study Comons

Demographic	Category	Total	Medication group	Comparison group
Number of patients		1480	587	893
Age (mean ± SD)		82.6 ± 8.1	$81.7 \pm 8.4$	83.3 ± 7.9
Gender	Male	714 (48.2%)	294 (50.1%)	420 (47.0%)
	Female	766 (51.8%)	293 (49.9%)	473 (53.0%)
	Other/NA	0	0	0
Ethnicity	White-British	1033 (69.8%)	451 (76.8%)	582 (65.2%)
	White-Irish	5 (< 1%)	4 (< 1%)	1 (< 1%)
	White-Any other	17 (1.1%)	6 (1.0%)	11 (1.2%)
	Mixed-White and Asian	1 (< 1%)	1 (< 1%)	0 (< 1%)
	Asian-Indian	6 (< 1%)	2 (< 1%)	4 (< 1%)
	Asian-Bangladeshi	1 (< 1%)	0 (< 1%)	1 (< 1%)
	Asian-Any other	10 (< 1%)	5 (< 1%)	5 (< 1%)
	Black-Caribbean	2 (< 1%)	1 (< 1%)	1 (< 1%)
	Black-African	2 (< 1%)	1 (< 1%)	1 (< 1%)
	Any other ethnic group	2 (< 1%)	2 (< 1%)	0 (< 1%)
	Not stated/NA	401 (27.1%)	114 (19.4%)	287 (32.1%)
MMSE	Number of patients with MMSE	608 (41.1%)	226 (38.5%)	382 (42.8%)
	Number of patients without MMSE	876 (58.9%)	361 (61.5%)	511 (57.2%)
MMSE score	20-30	369 (60.7%)	101 (44.7%)	268 (70.2%)
	10-19	199 (23.7%)	98 (43.4%)	101 (26.4%)
	< 10	40 (6.56%)	27 (11.9%)	13 (3.4%)

The percentages listed are of the column totals (number of patients) for the respective group. The only exception is the Mini Mental State Examination (MMSE) Score percentages, which are a proportion of the 'number of patients with MMSE' count. The 'Ethnicity-Asian' and 'Ethnicity-Black' groups include the Asian British and Black British ethnicity groups. MMSE: Mini Mental State Examination.

linked to a higher risk of mortality.

Aside from dosing, the differences in mortality rate could be due to the risk of cerebrovascular events. Risperidone and olanzapine have been associated with greater risks of cerebrovascular events [20-24]. The mechanism by which risperidone and olanzapine may increase the risk of cerebrovascular adverse events could be related to high levels of prolactin. Olanzapine and risperidone have been associated with high levels of prolactin[25-26]. High levels of prolactin have been associated with cerebrovascular events[27]. Furthermore, hyperprolactinaemia has been reported to frequently complicate antipsychotic treatment[28].

It is worth noting that risperidone has not been reported to cause anticholinergic side effects in the elderly unlike other atypicals[29]. Within this population, anti-psychotics are used to treat agitation and psychotic phenomenon often presented in dementia. Olanzapine and risperidone as atypical antipsychotics are commonly prescribed due to their favourable side-effect and safer metabolic profiles [5,30] age related changes in pharmacokinetics and pharmacodynamics can lead to increased sensitivity to drugs and their side effects[31] consequently impacting on mortality rates.

Polypharmacy is another facet observed within this population of patients that could attribute to the findings of our study. A recent scoping review on the sex and gender differences in polypharmacy in this population could support this theory [32] notably for women with dementia, in comparison to men [32]. Similarly, dementia is implicated in the increased risk of polypharmacy within the elderly population with rates varying from over 65 years taking from 6 medications to more than 10 medications in those older than 85 years across the world.

This study results contradict the previous findings of Sultana et al[9], who found no increase in risk hazard across olanzapine, quetiapine and risperidone, there are several differences in our study design that may account for the differing outcomes.

Table 2 Results of the cox proportional hazard model, with survival since diagnosis as the duration variable

Covariate	Total	Alive	Dead	Hazard ratio	<i>P</i> value
Medications	Total	Allve	Deau	Tiazaiù Talio	r value
Olanzapine	155	33 (21.3%)	122 (78.7%)	1.32 <sup>a</sup> (1.08-1.60)	< 0.01
Quetiapine	144	29 (20.1%)	115 (79.9%)	1.09 (0.90-1.34)	0.38
Risperidone	450	82 (18.2%)	368 (81.8%)	1.35 <sup>b</sup> (1.18-1.54)	< 0.001
Gender					
Male	714	165 (23.1%)	549 (76.9%)	Baseline	
Female	766	218 (28.5%)	548 (71.5%)	0.73 <sup>b</sup> (0.64-0.82)	< 0.001
Ethnicity					
White-British	1033	275 (26.6%)	758 (73.4%)	0.82 <sup>a</sup> (0.72-0.94)	< 0.01
White-Irish	5	2 (40.0%)	3 (60.0%)	0.51 (0.16-1.62)	0.26
White-Any other	17	6 (35.3%)	11 (64.7%)	0.62 (0.34-1.13)	0.12
Mixed-White and Asian	-	-	-	-	-
Asian-Indian	6	1 (16.7%)	5 (83.3%)	1.49 (0.61-3.63)	0.38
Asian-Bangladeshi	-	-	-	-	-
Asian-Any other	10	6 (60.0%)	4 (40.0%)	0.17 <sup>a</sup> (0.05-0.53)	< 0.01
Black-Caribbean	-	-	-	-	-
Black-African	-	-	-	-	-
Any other ethnic group	-	-	-	-	-
MMSE score					
20-30	369	123 (33.3%)	246 (66.7%)	0.72 <sup>b</sup> (0.62-0.83)	< 0.001
10-19	199	45 (22.6%)	154 (77.4%)	0.87 (0.73-1.04)	0.12
< 10	40	11 (27.5%)	29 (72.5%)	0.81 (0.56-1.19)	0.28

<sup>&</sup>lt;sup>a</sup>Hazard ratio significant at the P < 0.01 level.

The cohort in the present study covers five different International Classification of Diseases diagnosis sub-groups (G30, F01, G31.0, F03, F02), rather than vascular dementia (F01) exclusively. As such, the present results are representative of the shared patterns observed across differing dementias. Patients with Alzheimer's disease (G30) are known to show an increased mortality risk associated with longterm antipsychotic use[33]. This is a plausible finding observed across the dementia diagnoses, in particular among vascular dementia patients. A direct comparison of the individual dementia diagnosis sub-groups could assist establishing the homogeneity/heterogeneity of the mortality risk effect in future studies.

The geographical differences between the Southampton and South London population also play a vital role in our findings, given the variations in ethnicities and races. The non-medication results are comparable across both studies with women demonstrating a lower risk in comparison to men. In addition, the Caucasian group demonstrated a relatively lower risk compared to most other groups. Consistent with other studies [34], patients with high MMSE scores were also associated with lower risk of mortality. This may either mean the MMSE test is not used in patients with advanced dementias, or that there are systematic patterns due to missing data issues within electronic healthcare records in primary and secondary care organizations. These possible theories could be substantiated with prospective research studies.

#### Strengths and limitations

A study design using de-identified EHR has implicit strengths and limitations. The study provides a direct look into patient-level effects without influencing the clinical trajectory of the participants. Similarly, this design enables the analysis of the whole patient population in the NHS Trust (except for those opting out of NHS research) which would be prohibitively time consuming using traditional

303

 $<sup>^{</sup>b}$ Hazard ratio significant at the P < 0.001 level. The 'Alive' and 'Dead' percentages are a proportion of the 'Total' count. The hazard ratio is listed along with the 95% confidence interval. MMSE: Mini Mental State Examination.

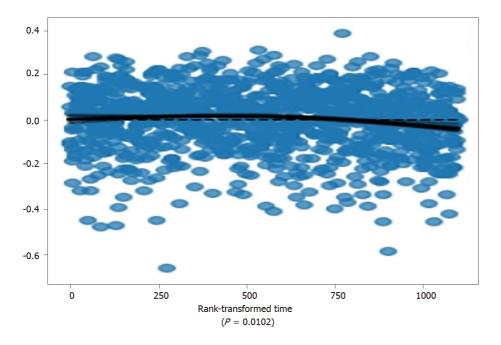


Figure 1 Scaled Schoenfeld residuals for age at diagnosis, plotted against time ranks.

patient recruitment methods. The use of NLP allows for the estimation of prescribed medication despite the fact that this information is rarely recorded in a structured format.

The strengths of using CRIS is that key features of the older adult population could be reviewed for this disease. Validating an original dataset by way of a secondary independent analysis is valuable to further future research within this area. On the other hand, it is important to appreciate that any retrospective EHR study is descriptive in nature. It is representative only of the cohort at hand, and any attempts at generalization should be accompanied by a robust theoretical underpinning of the observed effects. These are out of the scope of the current study, whereby the presented results aim to stimulate areas of further research, not inform clinical practice.

However, to strengthen the outcomes of this study, it was not feasible to develop an aggregated dataset which would have benefited the outcomes of this study. It is therefore recognized; future research should consider expanding the data collection during patient visits to better understand key clinical features and standardized scores in relation to the disease. A key data limitation is the underrepresentation of certain ethnic groups. Specifically, the 'White-British' group accounts for 95.7% of the patients who have an ethnicity on record. This makes it impossible to accurately estimate any ethnicityrelated effects of the model, especially in the ethnicity groups that only include 1-5 patients. Gianfrancesco et al[35] provide a useful discussion on the potential bias associated with underrepresentation in EHRs. To investigate these effects, studies may benefit from specifically approaching the under-represented groups in order to generate more balanced cohorts.

A further limitation is that the method used within our paper is used in limited research papers due to differences with data gathering time points which impact the patients at risks at differing time points. Parmar et al[36] demonstrated similar methods could be used to estimate censored data along with a number of events at specific intervals although, the limitation with this is further assumptions would be made to generate estimates. The correlation tests based on Schoenfeld residuals is a positive step to assess the proportionality of hazards in standard cox models. Pseudo-likelihood was used to define Schoenfeld residuals at event times. Additionally, Kaplan-Meier estimates could have been completed if the event times and a ranking system was available at the point at which the dataset was furthered to assess the performance in a better way. Similarly, it would be beneficial to conduct simulation studies to address this issue although, this is a step to be completed as part of future research.

#### CONCLUSION

The study showed an increased mortality risk associated with olanzapine and risperidone whilst quetiapine showed a relatively statistically insignificant association. This study reports a heterogeneous relationship between dementias, antipsychotic medication, and mortality, with some medication classes being more problematic than others. Antipsychotic use especially in the elderly population with dementia should only be prescribed when absolutely necessary given that such medication related adverse effects remain a significant source of mental and physical distress. Evidentiary argument implicates long-term antipsychotic use to progressive reduction in brain volume. As such, regulatory warnings from the Food and Drug Administration and the European Medicines Agency on antipsychotics in population seem to be ineffective as usage has increased. Future comprehensive investigation is imperative, especially in understanding how the sub-diagnoses of dementias differ in their medication interactions and the effect of biological differences in sex and ethnicity that many intervene and further elucidate our findings. Further investigation to better assess clinical epidemiological outcomes associated with diagnosis and non-pharmacological therapies to improve clinical management of these patients is warranted.

#### ARTICLE HIGHLIGHTS

#### Research background

Antipsychotic medication is widely prescribed to patients with dementia displaying neuropsychiatric symptoms. The present study investigated the risk of antipsychotics on mortality in all forms of dementia including vascular dementia. It is anticipated the findings will help inform clinical practice and contribute to the development of training packages on prescribing antipsychotics in dementia.

#### Research motivation

Antipsychotic prescribing in older adults must be made with caution as there are age related changes in pharmacokinetics and pharmacodynamics that can result in an increased sensitivity to drugs and their side effects. Similarly, the concerns around the safety and effectiveness of aripiprazole, olanzapine, quetiapine and risperidone (four specific antipsychotics) have been also raised for older patients in a clinical trial setting. Usage of antipsychotics in this population has increased despite regulatory warnings from the Food and Drug Administration and the European Medicines Agency.

#### Research objectives

This study was developed with a primary objective to evaluate the impact of atypical antipsychotics associated with mortality in a dementia cohort.

#### Research methods

A retrospective clinical cohort study was designed to review data from electrical health records (RIO system) gathered over a 5-year period (January 1, 2013 to December 31, 2017) in a National Health Service setting.

#### Research results

Treatment with olanzapine and risperidone was associated with an increased mortality risk. In comparison, olanzapine showed a relatively lower non-significant association with the mortality risk in those with dementia.

#### Research conclusions

Clinicians within primary and secondary care need to be aware of the potential heterogeneous relationship between dementia, antipsychotic medication and mortality when creating a psychopharmacological treatment plan for their patients.

#### Research perspectives

Future comprehensive investigation is imperative, especially in understanding how the sub-diagnoses of dementias differ in their medication interactions and the effect of biological differences in sex and ethnicity that many intervene and further elucidate our findings.

#### ACKNOWLEDGEMENTS

This study was sponsored by Southern Health NHS Foundation Trust. The team would like to thank Matthew Broadbent and Megan Pritchard from the South London and Maudsley Biomedical Research Centre for giving us permission to replicate the original study.

#### **FOOTNOTES**

Author contributions: Phiri P and Carr H drafted the study protocol; Phiri P wrote the first draft of the manuscript version; Engelthaler T conducted the data extraction and analysis; all authors contributed to the critical revision of the manuscript and have approved the final manuscript.



Institutional review board statement: Health Research Authority (HRA) provided guidance to the Akrivia Health and all data controllers that neither ethics nor HRA approval (legal & governance) is required for the establishment of the Clinical Record Interactive Search (CRIS) system or using de-identified data (from the system) for research purposes in March 2020. Local approvals were obtained from the Southern Health NHS Foundation Trust (SHFT) patient-led oversight committee.

Informed consent statement: Patients were not required to give informed consent to the study because the analysis used anonymous data that were obtained after each patient agreed to treatment by written consent.

Conflict-of-interest statement: Phiri P has received other, educational from Queen Mary University of London, Stanford University School of Medicine and other from John Wiley and Blackwell, outside the submitted work. Rathod S reports other from Janssen, Otsuka and Lundbeck outside the submitted work. All other authors report no conflict of interest.

Data sharing statement: No additional data available.

STROBE statement: The authors have read the STROBE Statement - checklist of items, and the manuscript was prepared and revised according to the STROBE Statement - checklist of items.

Open-Access: This article is an open-access article that was selected by an in-house editor and fully peer-reviewed by external reviewers. It is distributed in accordance with the Creative Commons Attribution NonCommercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is noncommercial. See: https://creativecommons.org/Licenses/by-nc/4.0/

Country/Territory of origin: United Kingdom

ORCID number: Peter Phiri 0000-0001-9950-3254; Tomas Engelthaler 0000-0002-8683-8239; Hannah Carr 0000-0001-8348-7325; Gayathri Delanerolle 0000-0002-9628-9245; Clive Holmes 0000-0003-1999-6912; Shanaya Rathod 0000-0001-5126-

Corresponding Author's Membership in Professional Societies: NMC, 98I1393E; BABCP, 060632; Royal College of Nursing, 1495194.

S-Editor: Wang JJ L-Editor: A P-Editor: WangJJ

#### REFERENCES

- 1 Landi F, Onder G, Cesari M, Barillaro C, Russo A, Bernabei R; Silver Network Home Care Study Group. Psychotropic medications and risk for falls among community-dwelling frail older people: an observational study. J Gerontol A Biol Sci Med Sci 2005; 60: 622-626 [PMID: 15972615 DOI: 10.1093/gerona/60.5.622]
- 2 Hwang YJ, Dixon SN, Reiss JP, Wald R, Parikh CR, Gandhi S, Shariff SZ, Pannu N, Nash DM, Rehman F, Garg AX. Atypical antipsychotic drugs and the risk for acute kidney injury and other adverse outcomes in older adults: a populationbased cohort study. Ann Intern Med 2014; 161: 242-248 [PMID: 25133360 DOI: 10.7326/M13-2796]
- Fraser LA, Liu K, Naylor KL, Hwang YJ, Dixon SN, Shariff SZ, Garg AX. Falls and fractures with atypical antipsychotic medication use: a population-based cohort study. JAMA Intern Med 2015; 175: 450-452 [PMID: 25581312 DOI: 10.1001/jamainternmed.2014.69301
- 4 Jeste DV, Maglione JE. Atypical antipsychotics for older adults: are they safe and effective as we once thought? J Comp Eff Res 2013; 2: 355-358 [PMID: 24236673 DOI: 10.2217/cer.13.33]
- Banerjee S. The use of antipsychotic medication for people with dementia: time for action. A report for the Minister of State for Care Services. [cited 27 May 2021]. Available from: http://psychrights.org/Research/Digest/NLPs/BanerjeeReportOnGeriatricNeurolepticUse.pdf
- Dennis M, Shine L, John A, Marchant A, McGregor J, Lyons RA, Brophy S. Risk of Adverse Outcomes for Older People with Dementia Prescribed Antipsychotic Medication: A Population Based e-Cohort Study. Neurol Ther 2017; 6: 57-77 [PMID: 28054240 DOI: 10.1007/s40120-016-0060-6]
- Gill SS, Bronskill SE, Normand SL, Anderson GM, Sykora K, Lam K, Bell CM, Lee PE, Fischer HD, Herrmann N, Gurwitz JH, Rochon PA. Antipsychotic drug use and mortality in older adults with dementia. Ann Intern Med 2007; 146: 775-786 [PMID: 17548409 DOI: 10.7326/0003-4819-146-11-200706050-00006]
- Schneider LS, Dagerman KS, Insel P. Risk of death with atypical antipsychotic drug treatment for dementia: meta-analysis of randomized placebo-controlled trials. JAMA 2005; 294: 1934-1943 [PMID: 16234500 DOI: 10.1001/jama.294.15.1934]
- Sultana J, Chang CK, Hayes RD, Broadbent M, Stewart R, Corbett A, Ballard C. Associations between risk of mortality and atypical antipsychotic use in vascular dementia: a clinical cohort study. Int J Geriatr Psychiatry 2014; 29: 1249-1254 [PMID: 24633896 DOI: 10.1002/gps.4101]

- 10 Kormilitzin A, Vaci N, Liu Q, Nevado-Holgado A. Med7: A transferable clinical natural language processing model for electronic health records. Artif Intell Med 2021; 118: 102086 [PMID: 34412834 DOI: 10.1016/j.artmed.2021.102086]
- Python. Python 3.8.3. [cited 26 May 2021]. Available from: https://www.python.org/downloads/release/python-383/
- McKinney W & PyData Development Team. Pandas: powerful Python data analysis toolkit. [cited 27 May 2021]. Available from: https://pandas.pydata.org/pandas-docs/version/0.25.3/pandas.pdf
- Harris CR, Millman KJ, van der Walt SJ, Gommers R, Virtanen P, Cournapeau D, Wieser E, Taylor J, Berg S, Smith NJ, Kern R, Picus M, Hoyer S, van Kerkwijk MH, Brett M, Haldane A, Del Río JF, Wiebe M, Peterson P, Gérard-Marchant P, Sheppard K, Reddy T, Weckesser W, Abbasi H, Gohlke C, Oliphant TE. Array programming with NumPy. Nature 2020; **585**: 357-362 [PMID: 32939066 DOI: 10.1038/s41586-020-2649-2]
- Testing the proportional hazard assumptions. [cited 27 May 2021]. Available from:  $https://lifelines.readthedocs.io/en/latest/jupyter\_notebooks/Proportional\%20 hazard\%20 assumption.html latest/jupyter\_notebooks/Proportional\%20 hazard\%20 assumption.html latest/jupyter\_notebooks/Proportional\%20 hazard\%20 haz$
- Hunter JD. Matplotlib: A 2D graphics environment. Cum Sci Eng 2017; 9: 90-95 [DOI: 10.1109/MCSE.2007.55]
- Rulli E, Ghilotti F, Biagioli E, Porcu L, Marabese M, D'Incalci M, Bellocco R, Torri V. Assessment of proportional hazard assumption in aggregate data: a systematic review on statistical methodology in clinical trials using time-to-event endpoint. Br J Cancer 2018; 119: 1456-1463 [PMID: 30420618 DOI: 10.1038/s41416-018-0302-8]
- Schoenfeld D. Partial residuals for the proportional hazards regression model. Biometrika 1982; 69: 239-241 [DOI: 10.1093/biomet/69.1.239]
- Park S, Hendry DJ. Reassessing Schoenfeld residual tests of proportional hazards in political science event history analyses. Am J Poli Sci 2015; **59**: 1072-1087 [DOI: 10.1111/ajps.12176]
- Gerhard T, Huybrechts K, Olfson M, Schneeweiss S, Bobo WV, Doraiswamy PM, Devanand DP, Lucas JA, Huang C, Malka ES, Levin R, Crystal S. Comparative mortality risks of antipsychotic medications in community-dwelling older adults. Br J Psychiatry 2014; 205: 44-51 [PMID: 23929443 DOI: 10.1192/bjp.bp.112.122499]
- Chatterjee S, Chen H, Johnson ML, Aparasu RR. Comparative risk of cerebrovascular adverse events in communitydwelling older adults using risperidone, olanzapine and quetiapine: a multiple propensity score-adjusted retrospective cohort study. Drugs Aging 2012; 29: 807-817 [PMID: 23018582 DOI: 10.1007/s40266-012-0013-4]
- Wooltorton E. Risperidone (Risperdal): increased rate of cerebrovascular events in dementia trials. CMAJ 2002; 167: 1269-1270 [PMID: 12451085]
- Wooltorton E. Olanzapine (Zyprexa): increased incidence of cerebrovascular events in dementia trials. CMAJ 2004; 170: 1395 [PMID: 15111472 DOI: 10.1503/cmaj.1040539]
- Moretti R, Torre P, Antonello RM, Cattaruzza T, Cazzato G. Olanzapine as a possible treatment of behavioral symptoms in vascular dementia: risks of cerebrovascular events. A controlled, open-label study. J Neurol 2005; 252: 1186-1193 [PMID: 15809822 DOI: 10.1007/s00415-005-0830-z]
- Layton D, Harris S, Wilton LV, Shakir SA. Comparison of incidence rates of cerebrovascular accidents and transient ischaemic attacks in observational cohort studies of patients prescribed risperidone, quetiapine or olanzapine in general practice in England including patients with dementia. J Psychopharmacol 2005; 19: 473-482 [PMID: 16166184 DOI: 10.1177/02698811050565241
- Yang F, Chen L, Fang X, Zheng K, Zhu C, Xu C, Zhang C, Tang W. Influence of olanzapine on serum prolactin levels and BMI in female patients with schizophrenia. Neuropsychiatr Dis Treat 2018; 14: 3373-3379 [PMID: 30587989 DOI: 10.2147/NDT.S1803031
- Aboraya A, Fullen JE, Ponieman BL, Makela EH, Latocha M. Hyperprolactinemia associated with risperidone: a case report and review of literature. Psychiatry (Edgmont) 2004; 1: 29-31 [PMID: 21191524]
- Tripathi SK, Kamble P, Muddeshwar MG. Serum prolactin level in patients of ischemic stroke. Int J Contemp Med Res 2016; **3**: 3459-3460 [DOI: 10.4103/2350-0298.184680]
- Bushe C, Shaw M. Prevalence of hyperprolactinaemia in a naturalistic cohort of schizophrenia and bipolar outpatients during treatment with typical and atypical antipsychotics. J Psychopharmacol 2007; 21: 768-773 [PMID: 17606473 DOI: 10.1177/0269881107078281]
- Mintzer J, Burns A. Anticholinergic side-effects of drugs in elderly people. J R Soc Med 2000; 93: 457-462 [PMID: 11089480 DOI: 10.1177/014107680009300903]
- Solmi M, Murru A, Pacchiarotti I, Undurraga J, Veronese N, Fornaro M, Stubbs B, Monaco F, Vieta E, Seeman MV, Correll CU, Carvalho AF. Safety, tolerability, and risks associated with first- and second-generation antipsychotics: a stateof-the-art clinical review. Ther Clin Risk Manag 2017; 13: 757-777 [PMID: 28721057 DOI: 10.2147/TCRM.S117321]
- Mangoni AA, Jackson SH. Age-related changes in pharmacokinetics and pharmacodynamics: basic principles and practical applications. Br J Clin Pharmacol 2004; 57: 6-14 [PMID: 14678335 DOI: 10.1046/j.1365-2125.2003.02007.x]
- Trenaman SC, Rideout M, Andrew MK. Sex and gender differences in polypharmacy in persons with dementia: A scoping review. SAGE Open Med 2019; 7: 2050312119845715 [PMID: 31041100 DOI: 10.1177/2050312119845715]
- Ballard C, Hanney ML, Theodoulou M, Douglas S, McShane R, Kossakowski K, Gill R, Juszczak E, Yu LM, Jacoby R; DART-AD investigators. The dementia antipsychotic withdrawal trial (DART-AD): long-term follow-up of a randomised placebo-controlled trial. Lancet Neurol 2009; 8: 151-157 [PMID: 19138567 DOI: 10.1016/S1474-4422(08)70295-3]
- Schultz-Larsen K, Rahmanfard N, Kreiner S, Avlund K, Holst C. Cognitive impairment as assessed by a short form of MMSE was predictive of mortality. J Clin Epidemiol 2008; 61: 1227-1233 [PMID: 18504115 DOI: 10.1016/j.jclinepi.2007.12.0071
- Gianfrancesco MA, Tamang S, Yazdany J, Schmajuk G. Potential Biases in Machine Learning Algorithms Using Electronic Health Record Data. JAMA Intern Med 2018; 178: 1544-1547 [PMID: 30128552 DOI: 10.1001/jamainternmed.2018.3763]

307

Parmar MK, Torri V, Stewart L. Extracting summary statistics to perform meta-analyses of the published literature for survival endpoints. Stat Med 1998; 17: 2815-2834 [PMID: 9921604 DOI: 10.1002/(sici)1097-0258(19981230)17:24<2815::aid-sim110>3.0.co;2-8]



## Published by Baishideng Publishing Group Inc

7041 Koll Center Parkway, Suite 160, Pleasanton, CA 94566, USA

**Telephone:** +1-925-3991568

E-mail: bpgoffice@wjgnet.com

Help Desk: https://www.f6publishing.com/helpdesk

https://www.wjgnet.com

