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ORIGINAL ARTICLE

## **Retrospective Study** Increased prognostic value of clinical-reproductive model in Chinese female patients with esophageal squamous cell carcinoma

Dong-Yun Zhang, Jian-Wei Ku, Xue-Ke Zhao, Hai-Yan Zhang, Xin Song, Hong-Fang Wu, Zong-Min Fan, Rui-Hua Xu, Duo You, Ran Wang, Ruo-Xi Zhou, Li-Dong Wang

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Revised: January 21, 2022	Abobe at
Accepted: February 27, 2022	Abstract
Article in press: February 27, 2022	BACKGROUND
Published online: April 7, 2022	In China, it has been well recognized that some female patients with esophageal



squamous cell carcinoma (ESCC) have different overall survival (OS) time, even with the same tumor-node-metastasis (TNM) stage, challenging the prognostic value of the TNM system alone. An effective predictive model is needed to accurately evaluate the prognosis of female ESCC patients.

#### AIM

To construct a novel prognostic model with clinical and reproductive data for Chinese female patients with ESCC, and to assess the incremental prognostic value of the full model compared with the clinical model and TNM stage.



#### **METHODS**

A new prognostic nomogram incorporating clinical and reproductive features was constructed based on univariatie and Cox proportional hazards survival analysis from a training cohort (n =175). The results were recognized using the internal (n = 111) and independent external (n = 85) validation cohorts. The capability of the clinical-reproductive model was evaluated by Harrell's concordance index (C-index), Kaplan-Meier curve, time-dependent receiver operating characteristic (ROC), calibration curve and decision curve analysis. The correlations between estrogen response and immune-related pathways and some gene markers of immune cells were analyzed using the TIMER 2.0 database.

#### RESULTS

A clinical-reproductive model including incidence area, age, tumor differentiation, lymph node metastasis (N) stage, estrogen receptor alpha (ESR1) and beta (ESR2) expression, menopausal age, and pregnancy number was constructed to predict OS in female ESCC patients. Compared to the clinical model and TNM stage, the time-dependent ROC and C-index of the clinical-reproductive model showed a good discriminative ability for predicting 1-, 3-, and 5-years OS in the primary training, internal and external validation sets. Based on the optimal cut-off value of total prognostic scores, patients were classified into high- and low-risk groups with significantly different OS. The estrogen response was significantly associated with p53 and apoptosis pathways in esophageal cancer.

#### **CONCLUSION**

The clinical-reproductive prognostic nomogram has an incremental prognostic value compared with the clinical model and TNM stage in predicting OS in Chinese female ESCC patients.

Key Words: Esophageal squamous cell carcinoma; Female; Nomogram; Prognosis; Estrogen receptor

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Core Tip: In China, some female patients with esophageal squamous cell carcinoma (ESCC), even with the same tumor-node-metastasis (TNM) stage, have significantly different overall survival (OS) time. The prognostic value of the TNM system has been challenged due to its unsatisfactory discriminative ability. A new prognostic nomogram that combines clinical and reproductive features was developed and validated in this study. Compared with the clinical model and TNM stage, clinical-reproductive model has incremental prognostic value in predicting OS in Chinese female patients with ESCC, which can help clinicians to make individual treatment and medical decisions.

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#### INTRODUCTION

Esophageal squamous cell carcinoma (ESCC) is highly invasive malignancy in China with a 5-year overall survival (OS) rate of only 10%-20% in patients with advanced disease[1]. In addition to pathological features, reproductive factors such as menopausal status, estrogen receptors, and pregnancy number are also correlated with clinical outcome in female ESCC patients[2,3]. The increased risk of esophageal cancer is associated with a decrease in estrogen level[4]. An epidemiological study of menopausal hormone therapy (MHT) confirmed that patients who have been using MHT have a reduced risk of ESCC[5]. Previous research also supports the protective effects of female hormones on the risk of ESCC[6].

The most common prognostic evaluation system for ESCC mainly depends on the tumor node metastasis (TNM) staging system of the American Joint Committee on Cancer, which has been adopted in the United States since 1959[7,8]. Recently, the prognostic value of the TNM system has been challenged due to its unsatisfactory discriminative ability[9]. Relevant studies have shown significant differences in OS in female ESCC patients even with the same TNM stage[3]. Combined with other clinical prognostic factors such as age and tumor differentiation, individual prognosis can be more



Table 1 Distribution of the clinical and reproductive factors for female patients with esophageal squamous cell carcinoma in primary training, internal and external validation cohorts (mean ± SD)

Characteristics	Primary training cohort (%)	Internal validation cohort (%)	External validation cohort (%)
Total	175 (61.2)	111 (38.8)	85 (100)
Diagnose age			
< 50	21 (12.0)	16 (14.4)	10 (11.8)
50-60	59 (33.7)	47 (42.3)	35 (41.2)
> 60	95 (54.3)	48 (43.2)	40 (47.1)
Age	61.35 ± 7.99	$60.25 \pm 7.97$	$60.15 \pm 8.50$
Incidence area			
High	138 (78.9)	89 (80.2)	64 (75.3)
Low	37 (21.1)	22 (19.8)	21 (24.7)
Tumor location			
Upper	34 (19.4)	19 (17.1)	11 (12.9)
Middle	113 (64.6)	79 (71.2)	66 (77.6)
Lower	28 (16.0)	13 (11.7)	8 (9.4)
Differentiation			
Higher	11 (6.3)	8 (7.2)	3 (3.5)
Moderate	101 (57.7)	67 (60.4)	51 (60.0)
Lower	63 (36.0)	36 (32.4)	31 (36.5)
T stage			
T1/T2	64 (36.6)	32 (28.8)	26 (30.6)
T3/T4	111 (63.4)	79 (71.2)	59 (69.4)
N stage			
N0	86 (49.1)	60 (54.1)	46 (54.1)
N1-N3	89 (50.9)	51 (45.9)	39 (45.9)
Therapy methods			
Operation	164 (93.7)	106 (95.5)	82 (96.5)
Others	11 (6.3)	5 (4.5)	3 (3.5)
Family history			
No	116 (66.3)	66 (59.5)	56 (65.9)
Yes	59 (33.7)	45 (40.5)	29 (34.1)
ESR1			
Negative	49 (28.0)	41 (36.9)	29 (34.1)
Positive	126 (72.0)	70 (63.1)	56 (65.9)
ESR2			
Negative	63 (36.0)	39 (35.1)	24 (28.2)
Positive	112 (64.0)	72 (64.9)	61 (71.8)
Menarche age			
< 14	28 (16.0)	23 (20.7)	12 (14.1)
14-16	85 (48.6)	45 (40.5)	41 (48.2)
> 16	62 (35.4)	43 (38.7)	32 (37.6)
Menopausal age			



Zhang DY et al. Clinical-reproductive model in female ESCC

< 46	48 (27.4)	19 (17.1)	23 (27.1)
46-55	47 (26.9)	36 (32.4)	20 (23.5)
> 55	80 (45.7)	56 (50.5)	42 (49.4)
Pregnancy number			
<4	61 (34.9)	40 (36.0)	30 (35.3)
4-6	86 (49.1)	52 (46.8)	43 (50.6)
> 6	28 (16.0)	19 (17.1)	12 (14.1)

T: Tumor invasion depth; N: Lymph node metastasis; ESR1: Estrogen receptor alpha; ESR2: Estrogen receptor beta.

accurately predicted [10,11]. An effective predictive model is needed for female ESCC to precisely assess the clinical outcome.

A nomogram is a graphical representation tool based on statistical predictive modeling[12]. So far, no nomogram including reproductive factors has been constructed to predict OS in female ESCC. The current study was designed to identify independent prognostic factors based on univariatie and Cox proportional hazards survival analysis, and then develop and validate a new prognostic nomogram incorporating clinical and reproductive characteristics to predict 1-, 3-, and 5-years OS in female ESCC. We also aimed to establish whether the nomogram model could provide more accurate prognostic prediction than the clinical model and TNM stage.

#### MATERIALS AND METHODS

#### Study population

This retrospective study was approved by the Ethics Committee of the First Affiliated Hospital of Zhengzhou University and Institutional Review Board of the First Affiliated Hospital of Nanyang Medical College. The documentation of informed consent was waived due to anonymity of the participants. In the multicenter study, the analysis was performed on the two independent cohorts of female patients with ESCC (Cohort 1, 500 000 esophageal and gastric cardiac carcinoma database of First Affiliated Hospital of Zhengzhou University[3]; Cohort 2, First Affiliated Hospital of Nanyang Medical College). Patients who were pathologically diagnosed with ESCC, underwent surgery, and had detailed follow-up information where eligible. Patients who received preoperative therapy (radiotherapy and/or chemotherapy) and lost clinical and reproductive information were excluded.

#### Baseline characteristics and clinical outcome

Clinicopathological factors, including age at diagnosis, incidence area, tumor location, tumor differentiation, tumor invasion depth (T), lymph node metastasis (N), metastasis, and therapy methods were collected from the medical records. Reproductive factors such as menarchal age, menopausal status, menopausal age, pregnancy number, and biomarker levels [estrogen receptor alpha (ESR1) and estrogen receptor beta (ESR2)] were also recorded. All eligible patients were followed-up every 3 mo via telephone interview or outpatient review. Patients were followed to the latest lost-to-follow-up time (December 31, 2020) or time of death. In our study, the primary clinical outcome was OS which was defined with reference to our previous study[3].

#### Construction and validation of the nomogram

Factors affecting OS in univariate analysis (P < 0.20) were included in a Cox proportional hazards regression for multivariable analysis<sup>[13]</sup>. Hazard ratio and 95% confidence interval were assessed. After testing for collinearity, a prognostic nomogram was constructed to predict 1-, 3-, and 5-years OS for female ESCC. The discrimination was evaluated using the time-dependent receiver operating characteristic (ROC) and concordance index (C-index) calculated by bootstrapping with 1000 resamples[14]. Calibration was examined via calibration plots. Decision curve analysis (DCA) was conducted to evaluate the clinical application and net benefit at different threshold probabilities[15]. Patients in the study were separated into high- or low-risk groups based on the best cut-off point of total prognostic score (TPS) that was decided by X-Tile software [16]. Survival curves were plotted using the Kaplan-Meier analysis. Sub-analysis was conducted to confirm the potential correlations between risk score and OS among different subgroups in the primary training cohort.

#### Correlations with immune-related pathways and gene markers of immune cells

We analyzed the correlations between estrogen response and immune-related pathways such as p53



Table 2 Comparison of three models in primary training, internal validation, and external validation sets								
	Primary training set		Internal validation s	et	External validation set			
	C-index (95%CI)	P value	C-index (95%CI)	P value	C-index (95%Cl)	P value		
Full model	0.701 (0.655-0.746)	Ref.	0.684 (0.619-0.748)	Ref.	0.672 (0.609-0.734)	Ref.		
Clinical-model	0.629 (0.578-0.681)	0.000	0.593 (0.531-0.655)	0.009	0.589 (0.514-0.663)	0.014		
TNM stage	0.638 (0.576-0.699)	0.013	0.552 (0.462-0.641)	0.011	0.560 (0.462-0.657)	0.033		

Full model: Pregnancy number + menopausal age + estrogen receptor alpha + estrogen receptor beta + N stage + differentiation + diagnose age + incidence area; Clinical model: N stage + differentiation + diagnose age+ incidence area; CI: Confidence interval; TNM stage: Tumor-node-metastasis stage; N: Lymph node metastasis.

> pathway and apoptosis pathway in esophageal cancer from The Cancer Genome Atlas (TCGA) by R packages. We also assessed the associations of ESR1 and ESR2 levels with gene markers of tumor-infiltrating immune cells, including B cells, tumor-associated macrophages (TAMs), M1 macrophages, M2 macrophages, and neutrophils by TIMER 2.0 (http://timer.comp-genomics.org/).

#### Statistical analysis

All statistical analyses were conducted using R language (version 4.1.0). Baseline characteristics of female ESCC patients were summarized. Student's t test was used to analyze the continuous variables, and categorical variables were compared with the  $\chi^2$  test and Fisher's exact bilateral test. Survival curves were conducted by using the Kaplan-Meier method, and OS was analyzed with a log-rank test. The rms, Hmisc, survival, survcomp, and ggplot2 packages were used for analysis. P < 0.05 (two-sided) was considered statistically significant.

#### RESULTS

#### Patient characteristics

In Cohort 1, all 286 eligible patients were randomly divided into two sets according by computergenerated random numbers: 175 (60%) (mean age, 61.35 years; range, 41-78 years) were randomly assigned to a primary training set; 111 (40%) (mean age, 60.25 years; range, 42-81 years) to an internal validation set. An independent dataset (n = 85) from Cohort 2 was established to be an external validation cohort. The mean age was 60.15 years with a range of 42-80 years. The median survival time in the primary training cohort was 47.59 mo and the 1-, 3-, and 5-years OS rates were 84.0%, 58.9% and 31.4%, respectively. In the internal validation cohort, the median survival time was 45.63 mo, and the 1-, 3-, and 5-years OS rates were 85.6%, 64.0% and 31.5%, respectively. The median survival time of the external validation cohort was 39.94 mo, and the 1-, 3-, and 5-years OS rates were 84.7%, 56.5% and 23.5%, respectively. The detailed distribution of baseline characteristics of the primary training, internal validation, and external validation datasets are shown in Table 1.

#### Prognostic roles of clinical and reproductive variables

The prognostic role of each variable in OS was tested in the training cohort (Figure 1). Incidence area (P = 0.014; high vs low area), N stage (P < 0.001; N0 vs N1–N3), therapy methods (P = 0.02; operation vs other methods), ESR1 expression level (P = 0.006; negative vs positive), ESR2 level (P < 0.001; negative vs positive), menarche age (P = 0.005; > 16 years vs < 13 years), and pregnancy number (P = 0.001; > 6 vs < 13 years), vs < 13 yea 4-6) were significantly associated with OS in the univariate analysis (Figure 1A). Data were also represented using Kaplan-Meier curves (Figure 1C-I). Parameters associated with P < 0.20 based on univariate analysis and relevant clinical factors were entered into a Cox proportional hazards regression model, which included age, incidence area, tumor differentiation, N stage, therapy, ESR1, ESR2, menarche age, menopausal age, and pregnancy number (Figure 1B). No evidence of problematic multicollinearity was found.

#### Building and performance of prognostic nomogram

To address patient prognosis, we identified a nomogram model for the prognosis prediction of female ESCC patients at 1-, 3-, and 5-years OS in the primary training cohort. Incidence area, diagnose age, differentiation, N stage, ESR1 expression, ESR2 expression, menopausal age, and pregnancy number were finally included in the full model (Figure 2). Pregnancy number contributed to the highest points in the model, followed by menopausal age, N stage, differentiation, and ESR2 status. Incidence area, age and ESR1 Level had a minor impact on OS. To investigate whether the clinical-reproductive model had incremental prognostic value for individualized OS prediction, a clinical model was also constructed by





Figure 1 Survival analysis for female patients with esophageal squamous cell carcinoma in primary training cohort. A: Univariate analysis; B: Multivariate analysis; C-I: Survival curves for patients with incidence area, lymph node metastasis, surgery methods, estrogen receptor alpha, estrogen receptor beta, menarche age, and pregnancy number, respectively. T: Tumor invasion depth; ESR1: Estrogen receptor alpha; ESR2: Estrogen receptor beta; N: Lymph node metastasis.

only including incidence area, age, differentiation, and N stage.

To further reveal the prognostic prediction of the full model, patients were categorized into high- and low-risk groups based on the optimal cut-off value of TPS in the primary training set. The relationships among risk scores and survival status of patients are shown in Figure 3A. Kaplan-Meier survival curves revealed that patients with higher risk scores had significantly poorer OS (0.28, 95%CI: 0.20–0.40, P < 0.001, Figure 3B). Using the same cut-off values, we identified the same risk groups in both internal and external validation sets with OS represented by Kaplan-Meier curves (0.47, 95%CI: 0.30–0.73, P = 0.001; 0.54, 95%CI: 0.34–0.85, P = 0.009, respectively, Figure 3C–F). In addition to highly differentiated patients and patients who received other treatments (surgery plus postoperative radiotherapy, surgery plus postoperative chemotherapy), the clinical-reproductive model also maintained a good and stable prediction performance among other subgroups (Figure 4).

#### Calibration and validation of clinical–reproductive model

The Harrell C-indexes are summarized in Table 2 for the full model, clinical model, and TNM stage in the training, internal and external validation sets. For OS prediction, the clinical model achieved a C-index of 0.629 (95%CI: 0.578–0.681) in the training set, with internal and external validation C-index of (0.593, 95%CI: 0.531–0.655, and 0.589, 95%CI: 0.514–0.633, respectively). After integrating the clinical with reproductive risk factors, the C-index of the full model increased to 0.701 (95%CI: 0.655–0.746, P = 0.000) in the training set, 0.684 (95%CI: 0.619–0.748, P = 0.009) in the internal validation, and 0.672 (95%CI: 0.609–0.734, P = 0.014) in the external validation set. The C-indexes for OS were also higher than those for TNM stage in all of the three cohorts (P = 0.013, 0.011 and 0.033, respectively) (Table 2).

The calibration suggested that the OS prediction at 1-, 3-, and 5-years was well matched to the actual outcomes in the primary training cohort (Figure 5A). The time-dependent ROC curve also demonstrated that the full model showed a good performance in predicting OS in the training set (Figure 5B). The AUC at 1-, 3-, and 5-years was 0.792, 0.738 and 0.789, respectively. These discoveries were verified in





Figure 2 The Clinical-reproductive model for predicting 1-, 3-, and 5-yr overall survival probability in female patients with esophageal squamous cell carcinoma. ESR1: Estrogen receptor alpha; ESR2: Estrogen receptor beta; N: Lymph node metastasis.



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Figure 3 Evaluation of full model performance in the primary training set and confirmation based on both internal and external validation sets. A, C, and E: Distribution of risk scores and survival status in primary training, internal and external validation sets, respectively; B, D, and F: Kaplan-Meier survival curves in primary training, internal and external validation sets, respectively.

two validation sets (Figure 5C-F). Compared with the clinical model and TNM stage, the predicted value of the full model was in good agreement with the OS at 1 year (Figure 6A), 3 years (Figure 6B), and 5 years (Figure 6C). The full model also confirmed the better discrimination for OS at 1 year (AUC: 0.792 vs 0.719 vs 0.744; Figure 6D), 3 years (AUC: 0.738 vs 0.631 vs 0.635; Figure 6E), and 5 years (AUC: 0.789 vs 0.629 vs 0.640; Figure 6F) OS in the primary training cohort. DCA for 18 mo OS prediction showed that the clinical-reproductive model yielded a larger net benefit than either clinical model or

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Subgroup	No of patients (%)	Hazard ratio (95%CI)		P value
All patients	175 (100.00)	1.13 (1.10-1.17)	H + +	< 0.001
Incidence area				
High	138 (78.86)	1.13 (1.09–1.17)		< 0.001
Low	37 (21.14)	1.16 (1.07-1.25)	·•	< 0.001
Age		, , , , , , , , , , , , , , , , , , ,		
< 50	21 (12.00)	1.20 (1.08-1.33)		< 0.001
51-60	59 (33.71)	1.08 (1.03-1.13)		0.002
> 60	95 (54.29)	1.16 (1.11-1.22)		< 0.001
Tumor location		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Upside	34 (19.43)	1.17 (1.09-1.26)		< 0.001
Middle	113 (64.57)	1.13 (1.09–1.17)		< 0.001
Downside	28 (16 00)	1.12 (1.04-1.21)		0 004
Differentiation	20 (10100)			
Higher	11 (6 29)	1 11 (0 97-1 28)		0 141
Moderate	101 (57 71)	1 12 (1 08 - 1 16)		< 0.001
Lower	63 (36 00)	1 16 (1 10–1 23)		< 0.001
T stage	00 (00.00)	1.10 (1.10 1.20)		\$ 0.001
	64 (36 57)	1 11 (1 06-1 17)		< 0.001
T3 T4	111 (63 /3)	1.11(1.00-1.17) 1.14(1.10-1.19)		< 0.001
N stago	111 (03:43)	1.14 (1.10-1.19)		< 0.001
No	86 (49 14)	1 14 (1 00-1 20)		< 0.001
	80 (50 86)	1.14 (1.09-1.20)		< 0.001
	69 (50.66)	1.12(1.06-1.17)		< 0.001
Therapy	101 (02 71)	4 4 4 4 4 4 7	1	10.001
Operation	164 (93.71)	1.14 (1.10-1.17)		< 0.001
Others	11 (6.29)	1.04 (0.91–1.18)		0.588
Family history		4 40 (4 07 4 00)	1	
Positive	59 (33.71)	1.13 (1.07-1.20)		< 0.001
Negative	116 (66.29)	1.13 (1.09–1.17)		< 0.001
ESR1				
Positive	126 (72.00)	1.11 (1.07–1.15)		< 0.001
Negative	49 (28.00)	1.16 (1.10–1.22)		< 0.001
ESR2				
Positive	112 (64.00)	1.14 (1.10–1.19)		< 0.001
Negative	63 (36.00)	1.11 (1.04–1.17)		0.001
Menarche age			1	
10-13	28 (16.00)	1.14 (1.06–1.22)		< 0.001
14-16	85 (48.57)	1.13 (1.08–1.18)		< 0.001
> 16	62 (35.43)	1.12 (1.05–1.20)	· · · · · ·	< 0.001
Menopausal age				
< 45	48 (27.43)	1.07 (1.00-1.14)		0.04
46-55	47 (26.86)	1.09 (1.03-1.15)		0.002
> 55	80 (45.71)	1.19 (1.13–1.25)		< 0.001
Pregnancy number				
1-3	61 (34.86)	1.12 (1.06-1.20)		< 0.001
4-6	86 (49.14)	1.12 (1.08–1.17)		< 0.001
> 6	28 (16.00)	1.17 (1.07-1.29)		0.001
		0	9 10 11 12 13	

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Figure 4 Stratified analysis of the clinical-reproductive model in different subgroups. T: Tumor invasion depth, N: Lymph node metastasis; ESR1: Estrogen receptor alpha; ESR2: Estrogen receptor beta.

TNM stage when the threshold probability was > 0.10 (Figure 7).

#### Correlations with immune-related pathways and gene markers of immune cells

The estrogen response was positively correlated with p53 pathway (r = 0.436, P < 0.001), and apoptosis pathway (r = 0.245, P < 0.001) (Supplementary Figure 1). ESR1 and ESR2 Levels were positively correlated with some biomarkers of immune cells or their subsets (Supplementary Table 1).

#### Clinical relevance of clinical-reproductive model

The relationships between the full model and clinical parameters are shown in Figure 8. In terms of TNM stage, female patients with III/IV stage ESCC had significantly higher risk scores than patients with I/II stage disease had (P < 0.001, Figure 8A). The risk scores were also higher for patients with than those without lymph node metastasis (P < 0.001, Figure 8B). No significant difference was found in patients with different T stages (P = 0.152, Figure 8C).

To improve the predictive performance and clinical utility of the full model, we transferred the data and formulae to a user-friendly website. Figure 9 shows a snapshot of web-based nomogram that is available on predictbcos.shaws.cn: https://female-escc-predictor.shinyapps.io/DynNomapp/. Visitors can select values from the drop-down list according to the circumstance of clinical and reproductive factors, and then click the "predict" button to predict the OS rates in Chinese female ESCC.



Figure 5 Calibration curves and time-dependent receiver operating characteristic curves for validation of clinical-reproductive model in the primary training, internal and external validation cohorts. A and B: Calibration curves for predicting 1-, 3-, and 5-yr overall survival and time-dependent receiver operating characteristic (ROC) curves in primary training cohort; C and D: Calibration curves and time-dependent ROC curves in internal validation cohort; E and F: Calibration curves and time-dependent ROC curves in external validation cohort. OS: Overall survival.

#### DISCUSSION

Although advances have been achieved in the prevention and treatment of ESCC in the past few decades, the prognosis of ESCC is still poor with high mortality rates[17,18]. Traditionally, the TNM staging system has been used to predict the prognosis of many cancers. However, accumulated studies have reported that the prognostic predictive probability of clinical nomograms is more accurate than that of TNM stage due to the incorporation of all known significant prognostic factors of individual patients[19-21]. To date, nomograms have been widely applied in clinical prognostic evaluation for several cancers[22-24].

We constructed and validated a clinical-reproductive prognostic model for assessing the added value of reproductive factors over existing risk factors in female ESCC. Our results revealed that reproductive factors have independent prognostic value with respect to clinical parameters for individualized OS prediction in female ESCC. Subgroup analysis showed that the prognosis of female patients in the low-risk group was significantly better than that in the high-risk group. Our results of discrimination, calibration and DCA curves also showed that the clinical-reproductive model had enhanced prognostic value compared with clinical model and TNM stage.

To further explore the potential molecular mechanism of increased prognostic value of our clinical-reproductive model in female ESCC, we analyzed the correlations of estrogen response with



Figure 6 Calibration curves and time-dependent receiver operating characteristic curves of 1-, 3-, and 5-yr overall survival prediction for the full model, clinical model and tumor-node-metastasis stage in the primary training set. A-C: Calibration curves for predicting 1-, 3-, and 5-yr overall survival (OS) in primary training set, respectively; D-F: Time-dependent receiver operating characteristic curves of 1-, 3-, and 5 yr OS prediction in primary training cohort, respectively. Full model: Pregnancy number + menopausal age + estrogen receptor alpha + estrogen receptor beta + N stage + differentiation + diagnose age + incidence area; Clinical model: N stage + differentiation + diagnose age + incidence area; TNM stage: Tumor-node-metastasis stage.

immune-related pathways and biomarker genes of immune cells in esophageal cancer. An in vitro study has found that estrogen mediates the apoptosis of esophageal cancer cells by interacting with ERs[25]. The p53 signaling pathway serves as a major barrier to prevent the occurrence and progression of cancer [26,27]. Our results revealed that estrogen response could prolong clinical outcome by promoting the p53 pathway and inducing apoptosis. ESCC expresses ERs and estrogen plays a protective role through ERs[28]. Overexpression of ERs is associated with prognosis and female ESCC patients with higher ESR2 expression have a better prognosis and those with higher ESR1 seem to have shorter survival[3]. In the present study, the expression levels of some biomarker genes in immune cells were positively correlated with ESR1 and ESR2 levels. Significant correlations were found between ESR2 and PTGS2 (Prostaglandin-Endoperoxide Synthase 2, biomarker of M1 macrophages), ESR1 and CD163, VSIG4 (V-Set And Immunoglobulin Domain Containing 4), MS4A4A (Membrane Spanning 4-Domains A4A, biomarker of M2 macrophages). It is well known that different cell subtypes may have distinct roles in the immune microenvironment. M1 macrophages showed an antitumor effect after being activated by Th1 cytokines, while M2 macrophages showed protumor activity[29]. This may partly explain the survival difference in female ESCC patients with different expression levels of ESR1 and ESR2.

Several studies have developed some prognostic nomograms to predict prognosis in ESCC. These models provide useful tools to stratify the risk and predict survival probability in ESCC. However, the patients in these studies were mainly extracted from the Surveillance, Epidemiology, and Final Results





Figure 7 Decision curve analysis for full model, clinical model, and tumor node metastasis stage. Black line: All patients were dead. Gray line: None of patients was dead. Full model: Pregnancy number + menopausal age + estrogen receptor alpha + estrogen receptor beta + N stage + differentiation + diagnose age + incidence area; Clinical model: N stage + differentiation + diagnose age + incidence area; TNM stage: Tumor-node-metastasis stage.



Figure 8 Clinical relevance of full model. Distribution of the risk score based on different tumor-node-metastasis (TNM) stage, lymph node metastasis (N) stage, and tumor invasion depth (T) stage in the primary training cohort. A: TNM stage; B: N stage; C: T stage. aP < 0.001. T: Tumor invasion depth, N: Lymph node metastasis; TNM stage: Tumor-node-metastasis stage.

> (SEER) database in the United States, and these models were not entirely suitable for predicting OS for Chinese female ESCC due to the ethnic difference. In our study, the internal C-index of our model was 0.701, which is higher than two previously released models (0.67 and 0.66 for both nomograms)[30,31]. Although the C-index of the Yu et al [32] model was higher (0.749), the nomogram can only be used to predict the clinical outcome of T1 esophageal cancer patients with positive lymph nodes. A recent study on breast cancer showed that user-friendly online prognostic tools could greatly improve patient care [33]. There is no such online tool available for female ESCC. We implemented our nomogram in an online webserver, which means that clinicians can easily use it to predict prognosis. For example, a 46year-old menopausal ESCC patient with five pregnancies showed a poorly differentiated tumor with positive lymph node metastasis, and the tumor was ESR1 negative and ESR2 positive. The predicted results by the website show that the OS rates at 1-, 3-, and 5-years were 0.94, 0.81 and 0.58, respectively.

> There were some limitations to our research. First, our analysis may have been affected by bias and loss of follow-up due to the retrospective nature of the study. Second, we must be careful to extrapolate our findings to patients of other races because most of our patients were of Han nationality. Finally, the in-depth molecular mechanisms of reproductive factors in progression and prognosis of female ESCC depend on further experimental studies to elucidate.

#### CONCLUSION

We developed and verified a prognostic nomogram with clinical and reproductive factors to improve



#### Zhang DY et al. Clinical-reproductive model in female ESCC

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Figure 9 Screenshot from the web-based nomogram for predicting 1-, 3-, and 5-yr overall survival for female patients with esophageal squamous cell carcinoma. N: Lymph node metastasis; ESR1: Estrogen receptor alpha; ESR2: Estrogen receptor beta.

> the accuracy of prognostic prediction in Chinese female patients with ESCC. Compared with the clinical model and TNM stage, the full model has increased prognostic value and can help clinicians to make individual treatment and medical decisions.

#### **ARTICLE HIGHLIGHTS**

#### Research background

Nomogram has been widely used and proved to be more accurate than the tumor-node-metastasis (TNM) staging system for predicting prognosis in different cancers. In China, female patients with esophageal squamous cell carcinoma (ESCC), even with the same TNM stage, had distinct overall survival (OS) difference, which requires an effective prediction model to accurately evaluate the prognosis.

#### Research motivation

Several studies have developed some prognosis nomogram models, which provide useful tools for predicting OS probability in patients with esophageal cancer. The included patients were mainly extracted from the Surveillance, Epidemiology, and Final Results (SEER) database in United States. Due to the ethnic difference, the models are not entirely applicable to perform the OS prediction for Chinese female ESCC.

#### Research objectives

The purpose of the study was to develop and validate a clinical-reproductive model for predicting OS in Chinese female patients with ESCC, and to further explore whether the model had higher prognostic value than the clinical model and TNM stage.

#### Research methods

A new prognostic nomogram incorporating clinical and reproductive characteristics was constructed in the primary training cohort and verified in the internal and external validation cohorts. The



performance of the full model was evaluated by Kaplan-Meier curve, time-dependent receiver operating characteristic (ROC) curve, Harrell's concordance index (C-index), calibration curve and decision curve analysis.

#### **Research results**

The clinical-reproductive model incorporated incidence area, age, differentiation, N stage, estrogen receptor alpha expression, estrogen receptor beta expression, menopausal age, and pregnancy number. Compared to the clinical model and TNM stage, the ROC curve and C-index indicated good discriminative ability of the full model for predicting 1-, 3-, and 5-years OS in the primary, internal, and external validation sets.

#### **Research conclusions**

A clinical-reproductive nomogram for OS prediction in Chinese female ESCC was developed and validated in the present study, which showed superior survival prediction than the clinical model and TNM stage.

#### **Research perspectives**

The clinical-reproductive model has incremental prognostic predictive value in Chinese female ESCC, which may be beneficial to individualized treatment and medical decision-making.

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#### FOOTNOTES

**Author contributions:** Wang LD and Zhang DY designed and wrote the paper; Ku JW, Xu RH, Wang R, Wu HF, and Fan ZM performed the data collection, interpretation and follow-up; Zhang DY, Zhao XK, Zhou RX, and Song X contributed to the data analysis; all authors performed the final approvlement.

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