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Prospective Study

Significance of serum carcinoembryonic antigen in metastatic breast cancer patients: A prospective study

Thattungal Manoharan Anoop, Rona Joseph P, Saikumar Soman, Steffi Chacko, Mintu Mathew

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Abstract

BACKGROUND

Carcinoembryonic antigen (CEA) is an important serum tumour marker with a substantial role in diagnosis and monitoring of various solid tumours. About 36%-70% of breast cancers have elevated serum CEA. And the available studies show discrepancy in addressing the prognostic significance of CEA in advanced breast cancer.

AIM

To estimate the serum CEA level in our metastatic breast cancer patients and correlate it with response to treatment and clinical outcome.

METHODS

This was a prospective clinical study conducted on 50 metastatic breast cancer patients treated at breast clinic, with newly diagnosed metastatic breast cancer planned for palliative chemotherapy, targeted therapy, and hormonal treatment. We estimated the proportion of patients with elevated serum CEA level at baseline and after palliative treatment and also studied the association of serum CEA levels with known prognostic factors. The response to treatment was correlated with the serum CEA levels in the context of responders and non-responders.

RESULTS

The median pre-treatment and post-treatment CEA levels were 7.9 (1.8-40.7) ng/mL and 4.39 (1.4-12.15) ng/mL, respectively, in the whole study population ($P = 0.032$). No statistically significant difference was seen in baseline serum CEA between responders and non-responders. Even in the luminal group, pre-treatment serum CEA was not a predictor of response, but post-treatment CEA was a significant predictor of tumour progression. In patients with liver and lung metastases, post-treatment CEA level difference was not statistically significant in both responders and non-responders though the values were higher in non-

responders. Among those with bone metastases, 69.5% had elevated post-treatment serum CEA, and only 37.5% had elevated serum CEA in those with no bone metastases.

CONCLUSION

Elevated post-treatment serum CEA levels are associated with disease progression and poor response to therapy. Persistently elevated post-treatment serum CEA levels are significantly associated with bone metastases. Elevated serum CEA and hormonal status are significant predictors of treatment response.

Key Words: Carcinoembryonic antigen; Metastatic breast cancer; Serum tumour marker; Luminal and non-luminal metastatic breast cancer; Palliative chemotherapy

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Core Tip: In breast cancer patients, elevated serum carcinoembryonic antigen (CEA) levels are particularly noted in advanced disease. Our study suggested that serum CEA has potential clinical value in monitoring the treatment response of metastatic breast cancer patients, especially in those with bone metastasis.

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INTRODUCTION

Breast cancer, one of the leading causes of malignancy related morbidity and mortality among women, comprises of a spectrum of clinically and histologically heterogeneous group of diseases with distinct molecular portraits[1]. In spite of increasing awareness, advanced screening, and diagnostic methodologies, we still witness a significant proportion of patients who present with advanced stage disease. Deciding optimal treatment and monitoring strategies for patients with metastatic and recurrent disease remains a diagnostic challenge for physicians.

Carcinoembryonic antigen (CEA) is an important serum tumour marker with a substantial role in diagnosis and monitoring of colorectal cancer. Globally, cancer antigen 15-3 (CA15-3) and CEA are used serum tumor markers in breast cancer[2-4]. In breast cancer patients, elevated serum CEA levels are particularly noted in metastatic and recurrent disease. Studies have reported a varying incidence of serum CEA positivity ranging from 36%-70%[5]. Elevated levels are known to positively correlate with tumour burden, grade of tumour, and site of metastasis, and they also translate into poor overall survival (OS) and progression-free survival[6]. The clinical utility of serial tumour marker measurements is not indicated in asymptomatic women for surveillance after treatment of breast cancer [7-9]. The main applications are used in metastatic disease monitoring during treatment, especially CA15-3. Among serum tumour markers in breast cancer, CA15-3 and CEA have been the commonly used ones[10-13]. Hence, serum CEA estimation can be proposed as an auxiliary tool for response assessment, monitoring, and gaining prognostic information. In spite of these, due to discordant results, their clinical utility remains unclear[14-16]. There are very few studies addressing the prognostic significance of CEA and the available studies show discrepancy. Hence, we conducted this study to estimate the serum CEA level in our metastatic breast cancer (MBC) patients and correlate it with response to treatment and clinical outcome.

MATERIALS AND METHODS

This was a prospective experimental study conducted on 50 MBC patients treated at Breast Clinic, Department of Medical Oncology during the period December 2019 to November 2020. Patients with newly diagnosed MBC planned for palliative chemotherapy, targeted therapy, and hormonal treatment were included. Routine protocol for MBC work-up included biopsy from breast lump or metastatic lesion, histopathology and immunohistochemistry for oestrogen, progesterone, and Her2 receptors, computed tomography of the chest, abdomen, and pelvis, bone scan, and serum biochemistry. Patients with inflammatory breast cancer and active inflammatory conditions were excluded in this study due to the fact that they could cause elevation of serum CEA levels. Five milliliters of venous blood was drawn

from MBC patients who consented for study participation and serum was isolated after centrifugation at 3000 rpm for 10 min, transported into new disposable tubes, and stored at -20 °C. In patients with hormone positive MBC with visceral crisis and triple negative breast cancer (TNBC) patients, sample for serum CEA levels was collected before initiation of first cycle of palliative chemotherapy and after completion of six cycles of chemotherapy. In patients with hormone positive MBC without visceral crisis, serum CEA sample was collected before initiation of endocrine agents and at 6 mo after initiation. In patients with HER2 positive MBC, blood sample was collected before initiation of first cycle of palliative chemotherapy plus trastuzumab and after completion of six cycles of chemotherapy plus trastuzumab.

Concentrations of the serum tumour marker CEA were measured with an automated sandwich ELISA test system using the manufacturer's recommended kits (ELISA 2010, Roche Company). CEA concentrations were recorded in nanogram *per* millilitre. CEA value more than 3.8 ng/mL was considered positive. Patient treatment and response evaluation were as *per* the institutional protocol. Treatment and follow-up details of the patients were noted from the medical case records. We estimated the proportion of patients with elevated serum CEA level in MBC and also studied the association of serum CEA levels with known prognostic factors. The radiological response was assessed using Response Evaluation Criteria in Solid tumours (RECIST1.1). The response to treatment were correlated with the serum CEA levels in the context of responders and non-responders.

Statistical analysis

Statistical calculations were performed using the SPSS for Windows, version 15.0 (SPSS, Inc., Chicago, United States). Categorical variables are expressed using frequencies and percentages. Continuous variables are presented in terms of the mean and standard deviation. Association between two categorical variables was analyzed using Chi square or fisher's exact test. Non-parametric tests were used for finding the statistical significance. Wilcoxon signed rank test was used for comparing pre- and post-treatment serum CEA in different categories. Comparison of serum CEA in different clinical categories was carried out using Mann-Whitney test and Kruskal-Wallis test.

The optimal cut-off values of the CEA were determined using receiver operator characteristic (ROC) curve. A *P* value < 0.05 was considered significant.

RESULTS

The median age of diagnosis was 57.5 (48.7-63.2) years. Median duration of symptoms was 4 (1.75-6.0) mo. About 24% (12/50) of the patients were premenopausal and 76% (38/50) were post-menopausal. The main comorbidities were diabetes mellitus (24%; 12/50), hypertension (28%; 14/50), and coronary heart disease (4%; 2/50). About 64% (32/50) of the patients had distant nodal metastases, 50% (25/50) had bone metastases, 72% (36/50) had lung metastases, 36% (18/50) had liver metastases, and 6% (3/50) had oligometastatic diseases. About 96% (48/50) had invasive ductal carcinoma (IDC) and 4% (2/50) had other histology. Approximately 72% (36/50) were hormone positive and 38% (19/50) were HER2 positive. Grade 2 IDC accounted for 24% (12/50) and grade 3 IDC accounted for 76% (38/50). Among the study population, luminal type was seen in 70% (35/50), HER2 positive type in 8% (4/50), and TNBC in 22% (11). The pre-chemotherapy CEA levels were more than 3.8 in 72% (36/50) of the patients. About 82% (41/50) were treated with chemotherapy and 18% (9/50) treated with hormonal agents. Anti-Her2 treatment was received by 16% (8/50) of the patients. The median number of cycles of chemotherapy was 6 (4-6). The main palliative chemotherapy agents were docetaxel (68%; 34/50), paclitaxel (4%; 2/50), capecitabine (2%; 1/50), doxorubicin plus cyclophosphamide (2%; 1/50), carboplatin (2%; 1/50), and paclitaxel plus carboplatin (4%; 2/50). About 6% (3/50) of the patients received palliative radiation to their painful bone metastases.

About 36% (18/50) of the patients progressed on treatment while 64% (32/50) had responded to palliative systemic treatment. Among responders (64%), 2% (1/50) had complete remission, 32% (16/50) had partial response, and 30% (15/50) had stable disease. About 36% (18/50) had progressive disease.

Serum CEA and its correlation with other variables

Serum CEA value more than 3.8 ng/mL was considered positive. Baseline serum CEA and its correlation with other variables in MBC are given in Table 1. None of the factors like menstrual status, grade of the tumour, number and sites of metastases, presence or absence of metastases, HER2 status, and TNBC status showed any statistical significance except luminal type (*P* = 0.016).

Serum CEA as a predictor of response to treatment

The median pre-treatment and post-treatment CEA levels were 7.9 (1.8-40.7) ng/mL and 4.39 (1.4-12.15) ng/mL, respectively, in the whole study population (*P* = 0.032). Serum CEA and response to treatment in responders and non-responders are given in Table 2. Among responders, median pre-treatment CEA was 8.87 (2-49.6) ng/mL and post-treatment CEA was 2.07 (1-8.7) ng/mL (*P* = 0.001). Among non-responders, median pre-treatment CEA was 5.4 (1.7-36.01) ng/mL and post-treatment CEA was 11

Table 1 Association of baseline serum carcinoembryonic antigen with other variables in study population

CEA level	Less than or equal to 3.8	More than 3.8	P value
Pre-menopausal	3	9	0.79
Post-menopausal	11	27	
Grade 2	3	9	0.79
Grade 3	11	27	
Luminal	6	30	0.016
Her2 Neu	1	2	
TNBC	7	4	
Luminal	6	30	0.012
Non luminal	8	6	
Bone metastases	6	19	0.682
No bone metastases	7	17	
Lung metastases	11	25	0.487
No lung metastases	2	11	
Liver metastases	3	15	0.392
No liver metastases	10	21	
Less than 5 metastases	1	2	0.78
More than 5	12	34	
PR/SD/CR	9	23	0.79
Progression	5	13	

CEA: Carcinoembryonic antigen; TNBC: Triple negative breast cancer; PR/SD/CR: Partial response/stable disease/complete response.

Table 2 Serum carcinoembryonic antigen and response to treatment in responders and non-responders

Serum CEA	Responders	Non-responders	P value
Median pre-treatment serum CEA	8.87 (2-49.6)	5.4 (1.7-36.01)	0.527
Median post-treatment serum CEA	2.07 (1-8.7)	11 (4.65-22.5)	0.002
P value	0.001	0.06	

CEA: Carcinoembryonic antigen.

(4.65-22.5) ng/mL ($P = 0.06$). Since there was no statistically significant difference between responders and non-responders in baseline serum CEA, it cannot be taken as a predictor of response but post-treatment increase in CEA was associated with non-response or progression.

Pre-treatment and post-treatment ROC curves for the whole study population and luminal type breast cancer are given in [Figure 1](#). We tried to find optimal pre-treatment cut-off for serum CEA in luminal breast cancer using ROC curve. The cut-off can be taken as 29.7 ng/mL as a predictor of tumour progression, with a sensitivity of 50% and specificity of 64%, but that cut-off was not statistically significant. ROC curve analysis for finding the cut-off for post-treatment CEA was also done. Post-treatment CEA for predicting the progression was taken as 2.16 ng/mL, with a sensitivity of 94.1% and specificity of 54.8%. For hormone positive tumours, post-treatment cut-off can be taken as 9.46 ng/mL with a sensitivity of 88.9% and specificity of 75.9% ($P = 0.02$). With a cut-off of 9.41, we found statistical significance in the whole group of patients ($P = 0.006$).

Serum CEA and luminal and non-luminal MBC

[Table 3](#) shows serum CEA and response to treatment in responders and non-responders according to breast cancer type. Among responders, median pre-treatment CEA for luminal type was 14.7 (5.4-50.6) ng/mL and post-treatment CEA was 3.0 (1-10) ng/mL ($P = 0.001$). Even in the luminal group, pre-

Table 3 Serum carcinoembryonic antigen and response to treatment in responders and non-responders according to breast cancer type

Classification		Responders			Non-responders		
		Median pre-CEA	Median post-CEA	P value	Median pre-CEA	Median post-CEA	P value
Hormonal classification	Luminal	14.7 (5.4-50.6)	3 (1-10)	0.001	22.39 (3.9-84.4)	21.00 (10.6-164.15)	0.26
	Non-luminal	1.85 (1-3.65)	1.25 (0.5-3)	0.046	4.15 (0.85-10.17)	5.65 (2.65-12.05)	0.161
Genomic classification	Luminal	14.7 (5.4-50.6)	3 (1-10)	0.001	22.39 (3.9-84.47)	20.67 (10.6-164.17)	0.260
	HER2	4 (1.2-4)	3.25 (0.5-3.25)	0.18	11.7	13	—
	TNBC	1.85 (0.74-2.4)	1.25 (0.67-1.88)	0.144	4 (0.5-5.6)	5.3 (2.2-9.2)	0.237

TNBC: Triple negative breast cancer; CEA: Carcinoembryonic antigen.

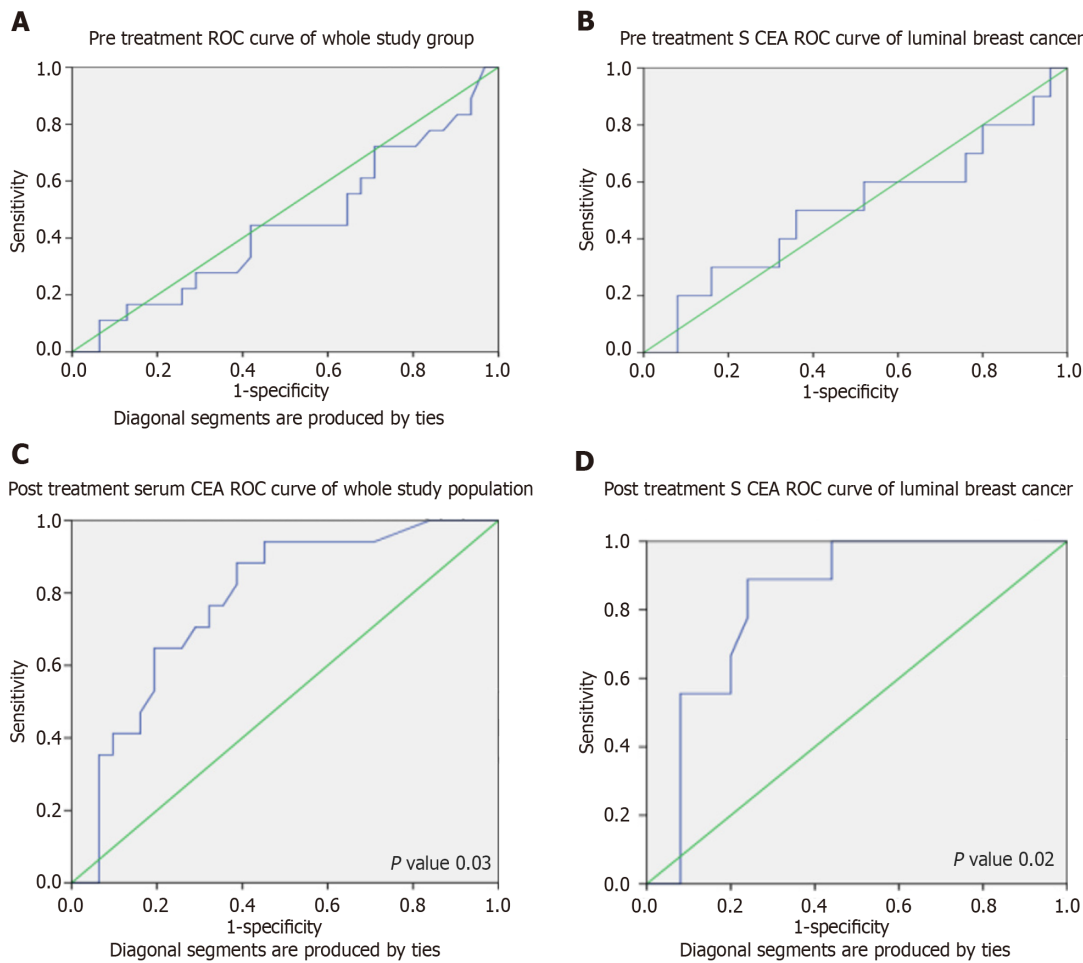


Figure 1 Receiver operator characteristic curves. A: Pre-treatment receiver operator characteristic (ROC) curve for whole study population; B: Pre-treatment ROC curve for luminal type; C: Post-treatment ROC curve for whole study population; D: Post-treatment ROC curve for luminal type. ROC: Receiver operator characteristic; CEA: Carcinoembryonic antigen.

treatment serum CEA was not a predictor of response, but post-treatment CEA was a significant predictor of tumour progression (Figure 2).

Association of serum CEA with various sites of MBC

Figure 3 shows median pre-treatment and post-treatment serum CEA levels in responders and non-responders according to site of metastasis. Among responders, median pre-treatment serum CEA levels of patients with bone metastases, lung metastases, and liver metastases were 27.2 ng/mL, 8.4 ng/mL, and 24.5 ng/mL respectively. Among non-responders, median post-treatment serum CEA levels of

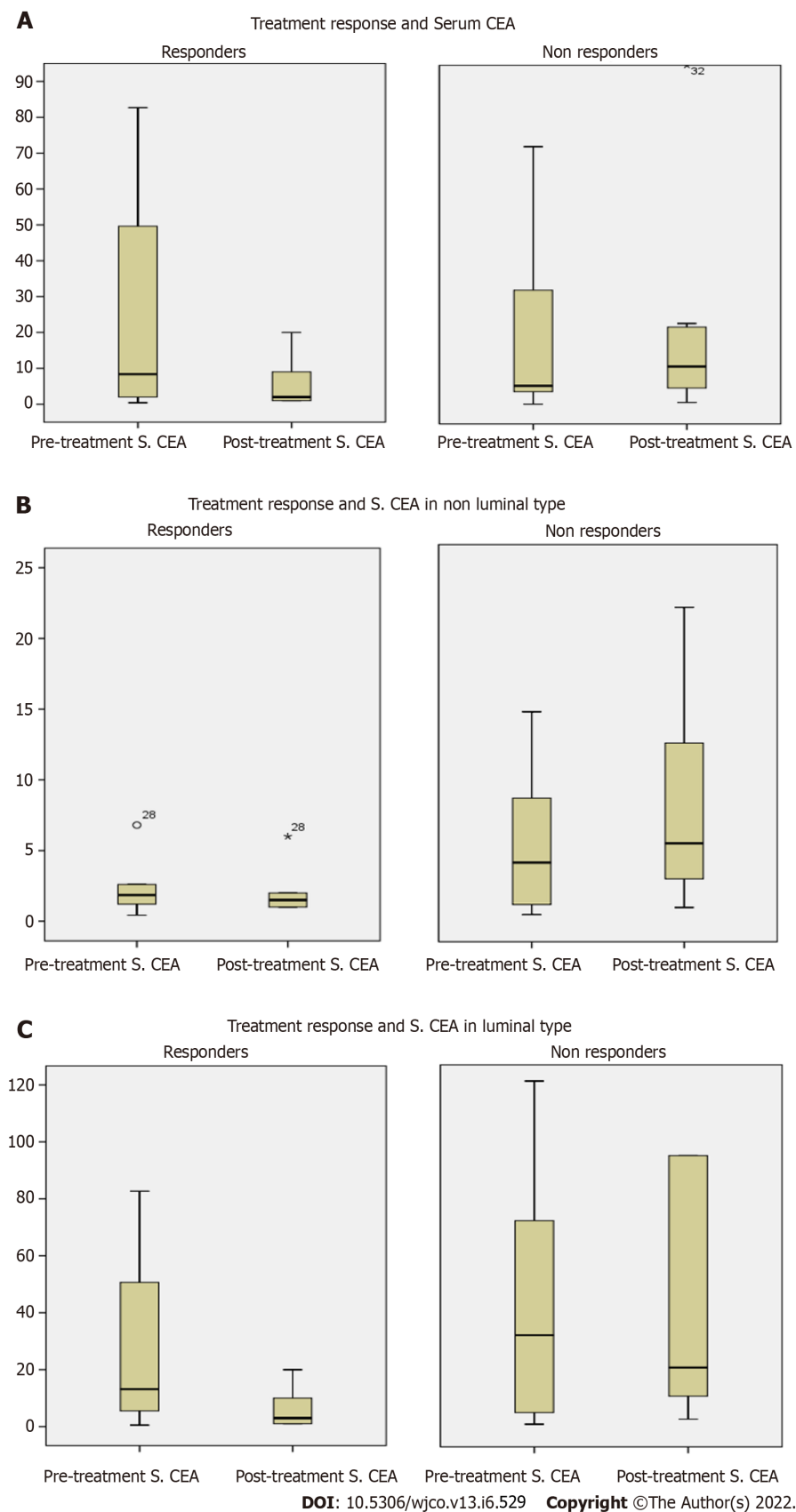
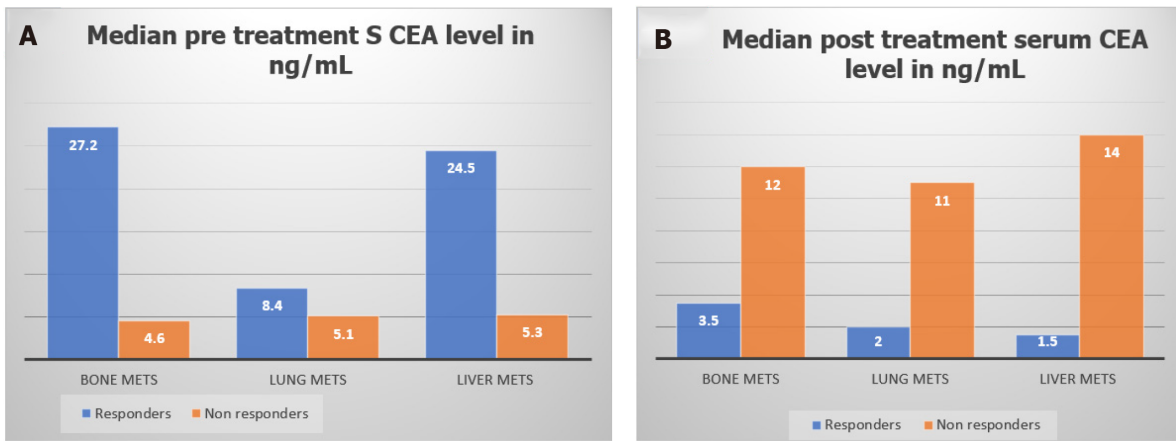


Figure 2 Treatment response. A: Association of treatment response with serum carcinoembryonic antigen (CEA) (pre-treatment and post-treatment) in whole study population; B: Association of treatment response with serum CEA in non-luminal type; C: Association of treatment response with serum CEA in luminal type. CEA: Carcinoembryonic antigen.



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Figure 3 Median pre-treatment and post-treatment serum carcinoembryonic antigen levels in responders and non-responders according to various sites of metastasis. A: Median pre-treatment serum carcinoembryonic antigen (CEA) level; B: Median post-treatment serum CEA level. CEA: Carcinoembryonic antigen.

patients with bone metastases, lung metastases, and liver metastases were 12 ng/mL, 11 ng/mL, and 14 ng/mL, respectively.

Table 4 shows serum CEA and response to treatment in bone, liver, and lung metastases. In patients with liver and lung metastases, post-treatment CEA level difference was not statistically significant in both responders and non-responders though the values were higher in non-responders.

In non-responders, comparing patients with or without bone metastases, the median post-treatment serum CEA of patients with bone metastases was 12 ng/dL whereas median post-treatment serum CEA in those without bone metastases was 10 ng/mL; post-treatment CEA level difference was statistically significant ($P = 0.063$). Among those with bone metastases, 69.5% had elevated post-treatment serum CEA, and only 37.5% had elevated serum CEA in those with no bone metastases (Figure 4).

DISCUSSION

The measurement of serum tumour marker levels could provide useful information for earlier detection of recurrence or accurate prediction of outcomes after recurrence in various cancers. They are more useful when patients have elevated level at baseline. The commonly studied tumour markers in breast cancer are CA15-3 and CEA. The significance of these markers remains unclear[17,18]. Even though the prognostic value of CA15-3 in breast cancer had been documented in some studies, serum CEA is less widely investigated as a prognostic factor than CA15-3 because of its poor sensitivity and specificity[18,19]. Elevated serum levels of CA15-3 and CEA preoperatively were significantly associated with tumour size, axillary node metastasis, and advanced stage[20-23]. A recent meta-analysis investigated the prognostic value of these two markers (serum CA15-3 and CEA) in 12993 breast cancer patients and indicated that elevated CA15-3 level significantly corresponded with poor disease-free survival and OS of breast cancer[23].

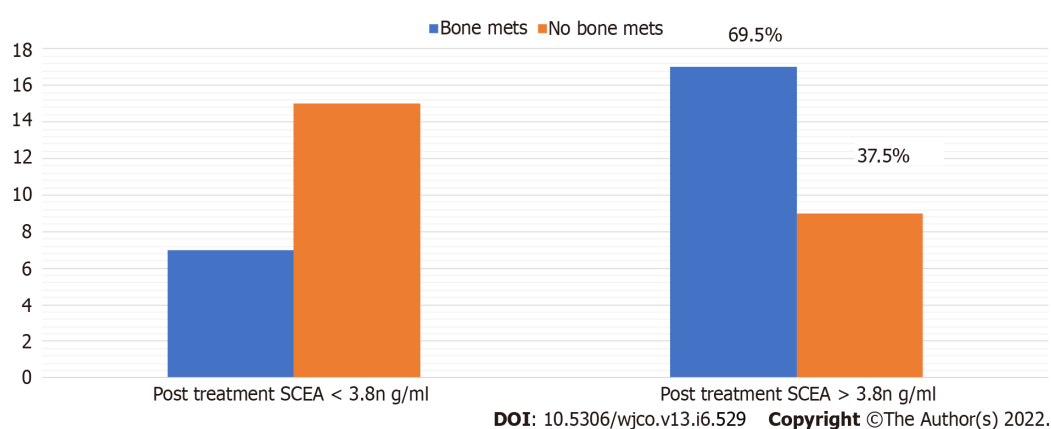
In our study, no clinically meaningful significance was seen in factors like menstrual status, grade of the tumour, number and sites of metastases, presence or absence of metastases, HER2 status, and TNBC status except luminal type. This finding was consistent with a study by Geng *et al*[23]. Elevated CEA levels were significantly associated with breast cancer molecular subtypes and luminal subtypes exhibited a higher percentage of elevated CEA levels compared to non-luminal subtypes. The reason for this differential expression of CEA is that the expression patterns of luminal, HER2 positive, and basal-like tumours are closely associated with their maturation and differentiation. Luminal subtypes have high expression of hormone receptor related genes, whereas HER2 positive or basal-like tumours have low expression of hormone receptor related genes, which explains the association between CEA elevation and luminal subtype. Our study showed that pre-treatment serum CEA cannot be taken as a predictor of response even in luminal subtype but post-treatment CEA was a significant predictor of tumour progression. Hence, we can conclude that monitoring CEA levels in luminal MBC at the end of treatment is a significant predictor of treatment response.

The correlation between tumour marker levels and various metastatic sites in MBC is poorly defined [24,25]. A study by Yerushalmi *et al*[26] identified that tumour marker elevation was documented in the majority of patients with MBC and luminal subtypes expressed more frequently compared with the non-luminal groups[26]. CEA elevation was not different between different sites of metastasis. Whereas in our study, in patients with liver and lung metastases, post-treatment CEA level difference was not

Table 4 Serum carcinoembryonic antigen and response to treatment in bone, liver, and lung metastases

Serum CEA (ng/mL)	Bone metastases	No bone metastases	P value
Median pre-treatment serum CEA	11.7 (2.9-48.4)	6.8 (2-32.3)	0.788
Median post-treatment serum CEA	9 (2-20)	2 (1-9)	0.063
	Liver metastases	No liver metastases	
Median pre-treatment serum CEA	11.7 (4.4-62.7)	6.8 (1.9-22.7)	0.244
Median post-treatment serum CEA	8 (1.2-19.75)	3 (1.25-11.5)	0.352
	Lung metastases	No lung metastases	
Median pre-treatment serum CEA	7.8 (1.9-31.3)	9.78 (5.15-66.64)	0.353
Median post-treatment serum CEA	3.5 (1-13.75)	5 (1.5-10)	0.93

CEA: Carcinoembryonic antigen.

**Figure 4 Association of post-treatment serum carcinoembryonic antigen with bone metastases.** CEA: Carcinoembryonic antigen.

statistically significant in both responders and non-responders even though the values were higher in non-responders.

A study by Yazdani *et al*[27] showed that age, menopausal status, number of axillary lymph node metastases, tumor size, and ALP were identified as prognostic factors for bone metastasis in patients with breast cancer, whereas significantly persistent elevated post-treatment serum CEA levels were seen with bone metastases in our study[27]. Kosaka *et al*[28] proposed that in hormone receptor positive breast cancer, nodal metastasis and elevated serum CEA were associated with a poor prognosis and there was a significant rate of recurrence in those with high serum CEA levels compared with those with low levels of CEA[28]. Elevated serum levels of HER2, BCL2, CA15-3, and CEA in breast cancer patients are useful markers for predicting aggressive behaviour and relapse[29,30].

One major limitation of our study is the small sample size (50 patients) and it limits the predictive power of these markers and needs larger studies to confirm the findings.

CONCLUSION

Pretreatment serum CEA is elevated in luminal subtype. With treatment, responders have a significant fall in serum CEA level but it is clinically significant in luminal breast cancer type. Elevated post-treatment serum CEA levels are associated with disease progression and poor response to therapy. Persistently elevated post treatment serum CEA levels are associated with bone metastases. Elevated serum CEA and hormonal status are significant predictors of treatment response.

ARTICLE HIGHLIGHTS

Research background

In breast cancer patients, elevated serum carcinoembryonic antigen (CEA) levels are particularly noted in metastatic and recurrent disease and its significance in clinical practice is doubtful.

Research motivation

We aimed to estimate the serum CEA level in our metastatic breast cancer patients and correlate it with response to treatment and clinical outcome.

Research objectives

To evaluate the efficacy of serum CEA levels as a prognostic marker in metastatic breast cancer patients.

Research methods

This is a prospective clinical study of 50 patients with metastatic breast cancer treated at a breast clinic with newly diagnosed metastatic breast cancer planned for palliative chemotherapy, targeted therapy, and hormone therapy. We estimated the proportion of patients with elevated serum CEA levels at baseline and after palliative care, and investigated the association of serum CEA levels with known prognostic factors. Response to treatment was correlated with serum CEA levels in both responders and non-responders.

Research results

Pretreatment serum CEA was elevated in luminal subtype. With treatment, responders had a significant fall in serum CEA level but it was clinically significant in luminal breast cancer type. Metastatic breast cancer patients with bone metastases had significantly elevated post-treatment serum CEA levels after treatment.

Research conclusions

Based on our results, we suggest that serum CEA has potential clinical value in monitoring the treatment response of metastatic breast cancer patients, especially in patients with bone metastasis.

Research perspectives

Serum CEA as a tumour marker warrants further studies in metastatic breast cancer especially with bone metastases.

FOOTNOTES

Author contributions: Anoop TM designed the study, drafted the manuscript, and supervised the study and treatment; Joseph P R participated in the design and supervision of the study and treatment; Chacko S participated in the design of the study; Soman S was involved in data collection, analysis, and statistics; Mathew M participated in data collection; all authors read and approved the final manuscript.

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