

Cancer Center Support Grant (CCSG) Publication Compliance Policy

January 2022

MSK's Cancer Center Support Grant (CCSG) is awarded by the National Cancer Institute (NCI) and provides the major extramural funding for many of the Center's research core facilities. This funding has been integral to MSK's role as an international leader in cancer research and care, and our ongoing status as a CCSG recipient benefits all members of the MSK community.

- To comply with NIH guidelines, effective September 1, 2015, all peer-reviewed research publications by MSK faculty or employees based on research conducted at MSK or while MSK authors on the paper were in MSK's employ are required to cite the core grant on the manuscript being submitted, be deposited in PMC, and ensure the paper acquires its PMCID. Once obtained, the PMCID must be included at the end of the full citation in NIH grant applications and progress reports.
- Research is defined as "a systematic investigation designed to develop and contribute to
 generalizable knowledge." Examples of activities that constitute research include any biomedical or
 biobehavioral study intended to result in publication or public presentation; any activity resulting in
 publication or public presentation, even though it involves only review of existing data that were
 collected with no intent to publish, or any use of an investigational drug or device.
- Research papers that are the result of work done prior to the author's employment at MSK are exempt from the policy.

<u>MSK Author Responsibilities</u>: Compliance is **a multi-step process**, and authors must complete all requirements at each step.

- 1. <u>ACKNOWLEDGE</u>: The MSK Author must list the **Core Grant (P30 CA008748)** in the manuscript's funding acknowledgement section when submitting the research manuscript to a journal for publication. If not the submitting author, s/he must request of the submitting author that this be done. Some journals, including NEJM and the AACR journals, will only deposit into NIHMS/PMC papers that include an acknowledgement of NIH funding.
- 2. <u>ACQUIRE</u>: The MSK Author must ensure that all applicable **research papers** are submitted to PMC, usually via the NIHMS system, and **a PMCID** is acquired. There are four methods for depositing to PMC. The method used is journal dependent and must be consistent with the author's publishing agreement (See: http://publicaccess.nih.gov/submit_process.htm).
- **3.** <u>ASSOCIATE</u>: The MSK Author must associate the Core Grant (P30 CA 008748) to all applicable publications in the **My Bibliography** tool in <u>My NCBI</u>.

Policy Exceptions:

- Non-peer-reviewed articles
- Meeting/conference abstracts or posters
- Books or book chapters
- Non-peer-reviewed reviews
- Practice guidelines (e.g., NCCN Guidelines)
- Position papers

- Editorials or correspondence
- Letters to the Editor
- Articles in trade magazines
- Multimedia or other types of publications

Policy Compliance:

Proper Acknowledgement:

Proper acknowledgement is the first step in a smooth compliance process and can be ensured by adding the following text to the funding acknowledgements section of the manuscript at submission:

> This research was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748.

The above text represents the minimum required acknowledgement. Additional acknowledgement of specific core facilities may also be included. **IMPORTANT:** All other applicable funding sources must also be acknowledged.

Method C Journals:

For publications in Method C journals, the MSK Author (whether or not s/he is the corresponding author) must take responsibility for depositing the final peer-reviewed manuscript and acquiring a <u>PMCID</u> for the paper within 3 months of the official date of publication. The official publication date is the date of full publication, not the "epub ahead of print" date.

General Compliance:

Adherence to MSK's CCSG Publication Compliance Policy in no way replaces, negates, or alters MSK's NIH Public Access Policy requirements. All peer-reviewed research articles that result, in whole or in part, from the direct costs of NIH grants, contracts or collaborative agreements, regardless of whether or not they are indexed in MEDLINE, are subject to the NIH Public Access Policy. The MSK NIH Public Access Policy outlines in detail how to comply. Full compliance with the National Institutes of Health (NIH) Public Access Policy is a federal requirement. Failure to meet the compliance standard set out therein will delay processing of competitive renewals and non-competing continuation awards.

Authorship Guidelines:

Please consider the MSK <u>Authorship Guidelines</u> when agreeing to be named as an author on a publication. Consulting, editing, and/or advising may not be a contribution significant enough to warrant an authorship designation. In these cases, an acknowledgment is more appropriate and would not fall within the scope of the CCSG Publication Compliance Policy. Guest, honorary, or courtesy authorships, where the named author did not make a contribution consistent with the criteria for authorship, are prohibited. **However, once one is named an author on a peer-reviewed research publication, there is an expectation and an obligation to comply with this policy.**

Compliance Support:

The NIH has released a Notice that affects all NIH-funded researchers at MSK and applies to awards they receive with anticipated start dates on or after July 1, 2013 (NOT-OD-13-042).

MSK has set up an infrastructure to assess general compliance with the NIH Public Access Policy. This infrastructure complements the collaborative work done by specific MSK groups (Library, Research and Technology Management, SKI Administration) to educate faculty members and support staff about the policy, and to assist with bringing NIH-funded publications into compliance.

There are many useful resources on the MSK <u>NIH Public Access Policy LibGuide</u>. If you need assistance with acquiring a PMCID, please contact **Donna Gibson**, Director, Library Services (gibsond@mskcc.org).

If you have questions about acknowledging the Core Grant or with My Bibliography, please contact your **Grants Management Specialist**, or email the general core grant mailbox (**coregrant@mskcc.org**). You can also see this **handout** for **step-by-step instructions**.

If you have questions about the MSK NIH Public Access Policy or would like additional training, contact the Vice President, Research, Outreach & Compliance (ROC), RTMROC@mskcc.org.	