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Retrospective Study

Real-world efficacy and safety of tofacitinib treatment in Asian patients with ulcerative colitis

Kentaro Kojima, Kenji Watanabe, Mikio Kawai, Soichi Yagi, Koji Kaku, Maiko Ikenouchi, Toshiyuki Sato, Koji Kamikozuru, Yoko Yokoyama, Tetsuya Takagawa, Masahito Shimizu, Shinichiro Shinzaki

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Abstract

BACKGROUND

Real-world data on tofacitinib (TOF) covering a period of more than 1 year for a sufficient number of Asian patients with ulcerative colitis (UC) are scarce.

AIM

To investigate the long-term efficacy and safety of TOF treatment for UC, including clinical issues.

METHODS

We performed a retrospective single-center observational analysis of 111 UC patients administered TOF at Hyogo Medical University as a tertiary inflammatory bowel disease center. All consecutive UC patients who received TOF between May 2018 and February 2020 were enrolled. Patients were followed up until August 2020. The primary outcome was the clinical response rate at week 8. Secondary outcomes included clinical remission at week 8, cumulative persistence rate of TOF administration, colectomy-free survival, relapse after tapering of TOF and predictors of clinical response at week 8 and week 48.

RESULTS

The clinical response and remission rates were 66.3% and 50.5% at week 8, and 47.1% and 43.5% at week 48, respectively. The overall cumulative clinical remission rate was 61.7% at week 48 and history of anti-tumor necrosis factor- α (TNF- α) agents use had no influence ($P = 0.25$). The cumulative TOF persistence rate at week 48 was significantly lower in patients without clinical remission than in those with remission at week 8 (30.9% *vs* 88.1%; $P < 0.001$). Baseline partial Mayo Score was significantly lower in responders *vs* non-responders at week 8 (odds ratio: 0.61, 95% confidence interval: 0.45-0.82, $P = 0.001$). Relapse occurred in 45.7% of patients after TOF tapering, and 85.7% of patients responded within 4 wk after re-increase. All 6 patients with herpes zoster (HZ) developed the infection after achieving remission by TOF.

CONCLUSION

TOF was more effective in UC patients with mild activity at baseline and its efficacy was not affected by previous treatment with anti-TNF- α agents. Most relapsed patients responded again after re-increase of TOF and nearly half relapsed after tapering off TOF. Special attention is needed for tapering and HZ.

Key Words: Ulcerative colitis; Tofacitinib; Janus kinase inhibitor; Real-world; Biologics

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Core Tip: This is a retrospective single-center observational study to investigate the long-term efficacy and safety of tofacitinib (TOF) treatment for ulcerative colitis (UC), including clinical issues. TOF is more effective in low-activity UC patients and its efficacy is not affected by previous treatment with anti-tumor necrosis factor- α agents. Most patients in the clinical remission group at week 8 could continue TOF over a long follow-up period. Most relapsed patients responded again after re-increase of TOF and nearly half relapsed after tapering off TOF. Although most patients continue TOF without severe adverse events, careful monitoring for herpes zoster is necessary.

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INTRODUCTION

Ulcerative colitis (UC) is an idiopathic disease causing chronic mucosal inflammation in the colorectum. The pathogenesis of UC is not fully established, but genetic factors and environmental factors are thought to be intricately intertwined with each other[1]. In addition, some factors may cause a dysregulated immune response in the intestinal tract that is involved in the onset and persistence of inflammation as a multifactorial disease[1]. Although various therapeutic agents have been developed, insufficient efficacy for remission induction and remission maintenance is observed. Several treatment options are currently available as advanced therapy, including calcineurin inhibitors, anti-tumor necrosis factor- α (TNF- α) agents (infliximab, adalimumab, golimumab), anti- $\alpha 4\beta 7$ integrin antibodies (vedolizumab), and anti-interleukin-12/23 subunit p40 antibodies (ustekinumab) for patients with intractable UC. In 2018, the small molecule Janus kinase (JAK) inhibitor tofacitinib (TOF) was approved for use in Japan. The selective JAK1 inhibitors filgotinib and upadacitinib were also recently approved in Japan.

Cytokines are involved in both intestinal homeostasis and pathologic processes associated with inflammatory bowel disease (IBD)[2]. TOF prevents the activation of JAK, phosphorylation of signal transducer and activator of transcription (STAT), and translocation to the nucleus to activate gene transcription, and thus downregulates cytokine production[3]. The JAK/STAT pathway plays an important role in cell growth and survival, differentiation, and proliferation. JAK consists of JAK1, JAK2, JAK3, and tyrosine kinase 2, and TOF is a non-selective pan-JAK inhibitor, targeting mainly JAK1/JAK3[4,5]. TOF is orally bioavailable and has predictable pharmacokinetics and no immunogenicity in contrast to monoclonal antibody therapies such as the anti-TNF- α agents used for the treatment of IBD[2].

The efficacy and safety of TOF for moderately to severely active UC were globally shown in a phase II trial; and 2 phase III induction studies (OCTAVE Induction 1 and 2); a phase III maintenance study (OCTAVE Sustain); and an open-label, long-term extension study (OCTAVE Open)[6-8]. In Japanese patients, post hoc analyses of TOF treatment in 2 studies (OCTAVE Induction 1 and OCTAVE Sustain) are reported[9]. The importance of real-world data, however, has become increasingly recognized in recent years because the setting of a clinical (drug) trial is a special situation[10]. Additionally, genetic backgrounds and phenotypes of IBD differ considerably between Asian and Western patients[11, 12]; thus, it is critical to determine the appropriate treatment targets, outcomes, and responses in populations with different genetic backgrounds[13]. It is well-known that the risk of herpes zoster (HZ) as an adverse event associated with TOF is greater in Asian UC patients than in Western UC patients[14]. The United States Food and Drug Administration noted the risk of major adverse cardiovascular events, malignancy, and thrombosis for JAK inhibitors in patients with

other immune-mediated diseases, *e.g.*, rheumatoid arthritis[15]. It is possible, however, that the difference in the risks depends on the disease or geographical region.

Only 1 published study of more than 100 Asian UC cases with a 1-year observation period focusing on the efficacy and safety of TOF is available from Korea[16]. Real-world data, however, including clinical issues of TOF, such as relapse rate after tapering TOF or efficacy of re-increasing the dosage of TOF, with a sufficient number of subjects are essential for optimizing treatment with TOF and comparing with the other data of JAK1 inhibitors to optimize the JAK inhibitor treatment strategies in Asian UC patients. The aim of this investigation was to evaluate the clinical efficacy, safety, and clinical issues related to TOF in more than 100 Japanese UC patients with a median observation period of more than 1 year in our specialized IBD center.

MATERIALS AND METHODS

Study design and patients

We performed a retrospective single-center observational analysis to investigate the efficacy and safety of TOF for intractable UC patients at the Hyogo Medical University as a tertiary IBD center. This investigation was approved by the Institutional Ethics Committee at our institute (number 3030).

All consecutive UC patients who received TOF between May 2018 and February 2020 were enrolled. Patients were followed up until August 2020. The diagnosis of UC was based on the diagnostic criteria of the Research Committee on Inflammatory Bowel Disease in Japan[17]. For evaluation of the efficacy, we excluded patients with IBD-unclassified, patients who underwent total colectomy, and patients who could not be followed up ≥ 8 wk after the initiation of TOF. Patients with a partial Mayo Score (pMS) ≤ 2 at TOF initiation were also excluded[18]. For the safety evaluation, all patients who were administered TOF were included. Patients aged ≤ 16 years were excluded from assessment of the efficacy and safety of TOF.

Data collection

All data on patient demographics were retrieved from electronic medical records. Data were collected on age, sex, duration of UC, disease extent according to the Montreal classification[19], family history of IBD, smoking status, comorbidities, past history of HZ, disease activity according to the total or pMS[18], endoscopic activity according to the Mayo endoscopic subscore, intractability to steroids, details of previous and concomitant UC therapies, and details of TOF treatment (dosage and duration). Laboratory data included white blood cell count, lymphocyte count, hemoglobin, serum albumin, C-reactive protein (CRP), and total cholesterol levels. Potential adverse events related to TOF were recorded in all patients who received at least 1 dose of TOF.

Outcomes and definitions

All patients were administered TOF (10 mg p.o.) twice daily as induction therapy. After achieving clinical remission, the dosage of TOF was tapered and then withdrawn according to the patient's condition. If relapse occurred after tapering or withdrawing, dose escalation or re-administration were accepted.

The primary outcome was the proportion of patients with a clinical response (defined as a decrease in pMS ≥ 2 points from baseline with an accompanying decrease in the rectal bleeding subscore ≥ 1 point or an absolute rectal bleeding subscore ≤ 1 point). Secondary outcomes included clinical remission (defined as pMS ≤ 2 , with no subscore > 1 and a rectal bleeding subscore of 0) at week 8[20], steroid-free remission (defined as clinical remission without corticosteroid use at that time point), cumulative remission rate among patients who achieved clinical remission at week 8, cumulative persistence rate of TOF administration, colectomy-free survival, relapse after tapering of TOF (defined as increasing dosage of TOF or concomitant treatment drug, addition of treatment drug, or an increase in a pMS of at least 2 points or an increase with absolute rectal bleeding subscore ≥ 1 point from the time of TOF reduction), and predictors of clinical response at week 8 and week 48. A delayed responder was defined as a patient who did not achieve clinical remission at week 8 but had achieved clinical remission by week 24. Complete endoscopic remission was defined as a Mayo endoscopic score (MES) of 0. Endoscopic remission was defined as a MES ≤ 1 and endoscopic response was defined as a decrease from baseline in the MES of at least 1 point. Endoscopic efficacy at week 16 was assessed in 25 patients who underwent endoscopy by week 16, and the other 14 clinical non-responders who did not undergo endoscopy until week 16 were regarded as endoscopic non-responders with MES ≥ 2 at week 16. Patients who did not undergo colonoscopy were excluded from the evaluation of endoscopic efficacy despite being in clinical remission. Treatment failure was defined as TOF discontinuation due to symptomatic recurrence or adverse events, or additional therapy. Patients considered to have failed treatment were counted as non-responders. For example, a patient who discontinued TOF at week 8 was classified as a non-responder until week 60. If TOF was discontinued due to the desire to have children or the patient was transferred, it was censored at that time. The follow-up period was defined as the time between the initiation of TOF and the last visit or discontinuation of TOF until August 2020.

Statistical analyses

In the descriptive statistics of quantitative variables, the median and interquartile range (IQR) were calculated depending on whether the data were normally distributed. Categorical variables are presented as percentage with 95% confidence intervals (CI). We used the Mann-Whitney U test to compare the quantitative variables and Fisher's exact test to compare categorical variables for patients in the responder and non-responder groups at week 8 and week 48. Paired *t* test was

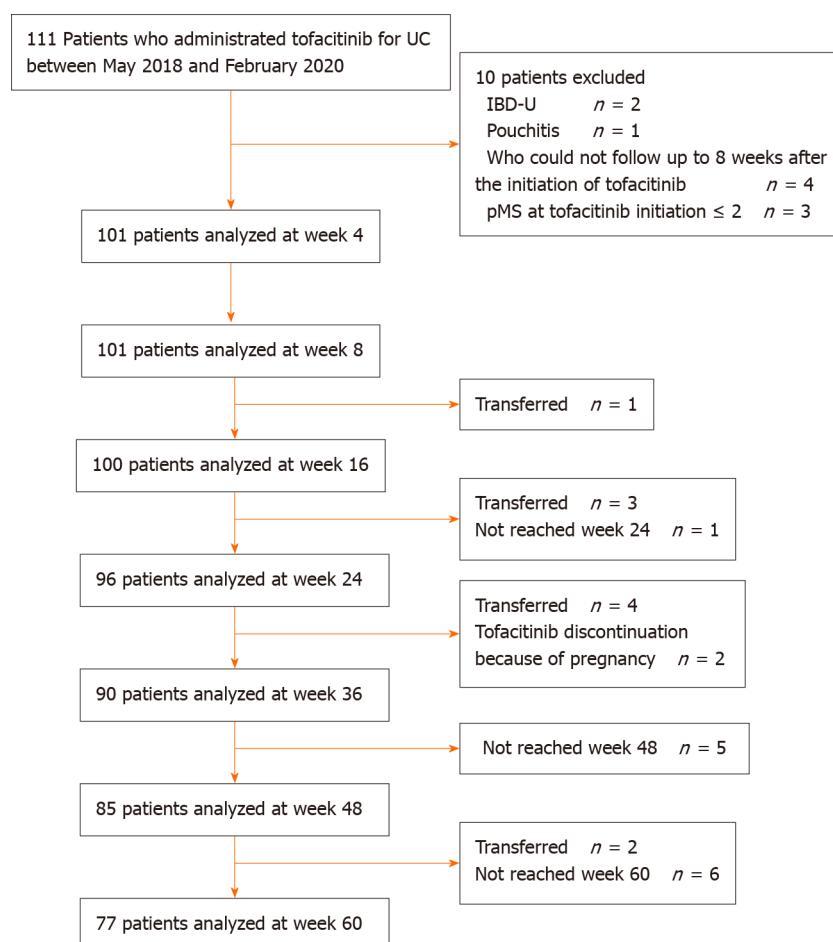


Figure 1 Flow diagram of the participants analyzed. IBD-U: Inflammatory bowel disease-unclassified; pMS: Partial Mayo Score; UC: Ulcerative colitis.

used to determine the level of significance of change in total cholesterol levels over time during the treatment of TOF. Survival was analyzed using the Kaplan-Meier method and log-rank test. *P* values for log-rank trend tests were examined whether increased score of pMS at baseline stratified by number of previous anti-TNF- α agent failures associate with the cumulative remission rate or persistence rate of TOF administration. Variables associated with predictors of the baseline characteristics of the efficacy at week 8 were explored using a logistic regression model. *P* values < 0.05 were considered as statistically significant. Statistical analyses were performed with EZR ver. 1.38 (Saitama Medical Center, Jichi Medical University, Saitama, Japan), which is a graphical user interface for R (The R Foundation for Statistical Computing, Vienna, Austria)[21].

RESULTS

Patient's characteristics at baseline

The study included 111 patients treated with TOF between May 2018 and February 2020. Among them, 101 patients were included in the assessment of the efficacy of TOF after excluding 10 patients (Figure 1). The median follow-up time was 365 (IQR: 231–500) d. Patients' baseline characteristics are shown in Table 1. The median age was 35.0 (IQR: 28.0–47.0) years, 60 (59.4%) patients were male, and the median disease duration was 4.8 (IQR: 1.5–10.0) years. Most patients had pancolitis-type UC (82.2%). Seven (6.9%) patients had a family history of IBD and 14 (13.9%) were current smokers. Seven (6.9%) patients had hypertension, 6 (5.9%) had dyslipidemia, 3 (3.0%) had diabetes, and 2 (2.0%) had thrombosis. Only 1 (1.0%) patient had a past history of HZ. Forty-eight (47.5%) patients were steroid-dependent and 51 (50.5%) were steroid-refractory, and 23 (22.8%) received systemic corticosteroid therapy at TOF administration. Seventy (69.3%) patients had previously received an immunomodulator, 27 (26.7%) received cytapheresis, 31 (30.7%) received tacrolimus, and 67 (66.3%) received biologics. Sixty-three (62.4%) patients received anti-TNF- α agents, 11 (10.9%) received vedolizumab, and 7 (6.9%) received both. The number of anti-TNF- α agent failures was 44 (43.6%) patients with 1 failure, 17 (16.8%) patients with 2 failures, and 2 (2.0%) patients with 3 failures. The median pMS was 6.0 (IQR: 4.0–7.0) and median CRP was 0.3 (IQR: 0.1–1.0) mg/dL. At baseline, 54 (53.5%) patients underwent endoscopy, with a median MES of 2.0 (IQR: 2.0–3.0) and a median total Mayo Score of 9.0 (IQR: 7.0–10.0).

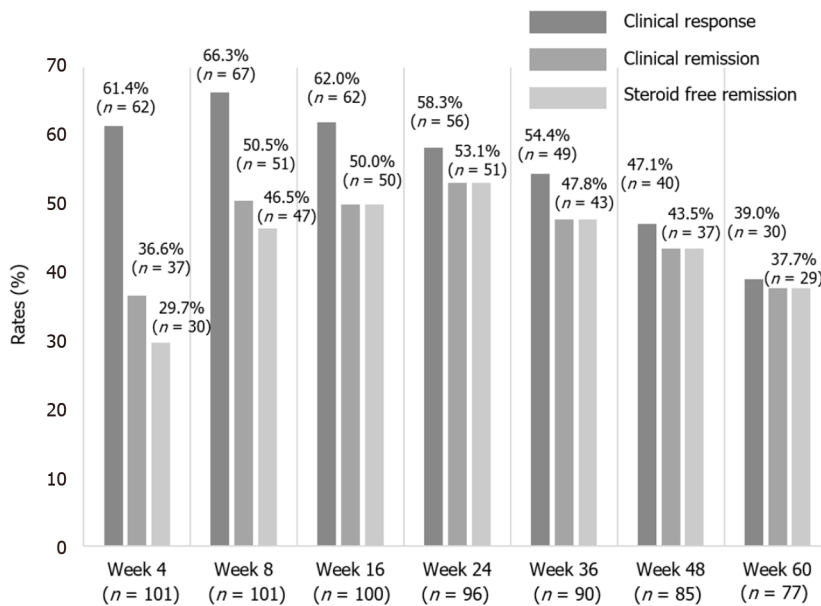


Figure 2 Rate of clinical response, clinical remission, and steroid-free remission at weeks 4, 8, 16, 24, 36, 48, and 60.

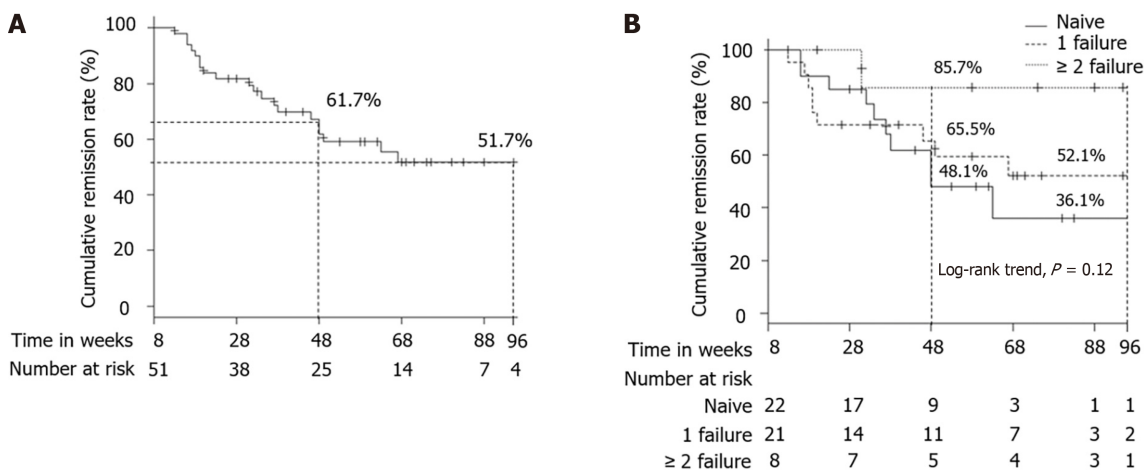


Figure 3 Cumulative remission rate. A: Cumulative remission rate in patients who achieved clinical remission at week 8; B: Cumulative remission rates according to previous history of anti-tumor necrosis factor α agent failure (naive vs 1 failure vs ≥ 2 failure) in patients who achieved clinical remission at week 8.

Clinical efficacy of TOF

The proportion of patients with a clinical response, clinical remission, and steroid-free remission was 61.4% (62/101), 36.6% (37/101), 29.7% (30/101), respectively, at week 4, and 66.3% (67/101), 50.5% (51/101), 46.5% (47/101), respectively, at week 8 (Figure 2). After week 16, all patients with clinical remission achieved steroid-free remission. Seven (6.9%) patients were delayed responders. The proportion of patients with clinical response and (steroid-free) clinical remission was 47.1% (40/85) and 43.5% (37/85), respectively, at week 48, and 39.0% (30/77) and 37.7% (29/77), respectively, at week 60.

The cumulative remission rate in patients who achieved clinical remission at week 8 was 61.7% at week 48 and 51.7% at week 96 (Figure 3A). According to a previous history of anti-TNF- α agent failure (naive vs 1 failure vs ≥ 2 failure), the cumulative remission rate was 48.1% vs 65.5% vs 85.7% at week 48, and 36.1% vs 52.1% vs 85.7% at week 96 (Figure 3B). The cumulative remission rate did not differ significantly among the 3 groups (log-rank trend test, $P = 0.12$).

On the other hand, the cumulative persistence rate of TOF administration was 78.2% at week 8, 67.9% at week 24, 60.2% at week 48, and 57.2% at week 96 (Figure 4A). According to the raw data of pMS at baseline, we divided participants into 3 groups (Group 1: pMS 3-4, Group 2: pMS 5-6, Group 3: pMS 7-9), and the cumulative persistence rate of TOF administration among 3 groups, respectively, was 96.3%, 80.0%, and 64.1% at week 8; 80.0%, 67.2%, and 39.8% at week 48; and 80.0%, 67.2%, and 31.8% at week 96 (log-rank trend test, $P < 0.001$) (Figure 4B). Additionally, the cumulative persistence rate of TOF administration between patients who were in clinical remission and non-clinical remission at week 8 was, respectively, 98.0% and 36.8% at week 24, 88.1% and 30.9% at week 48, 82.9% and 30.9% at week 96 ($P < 0.001$; Figure 4C).

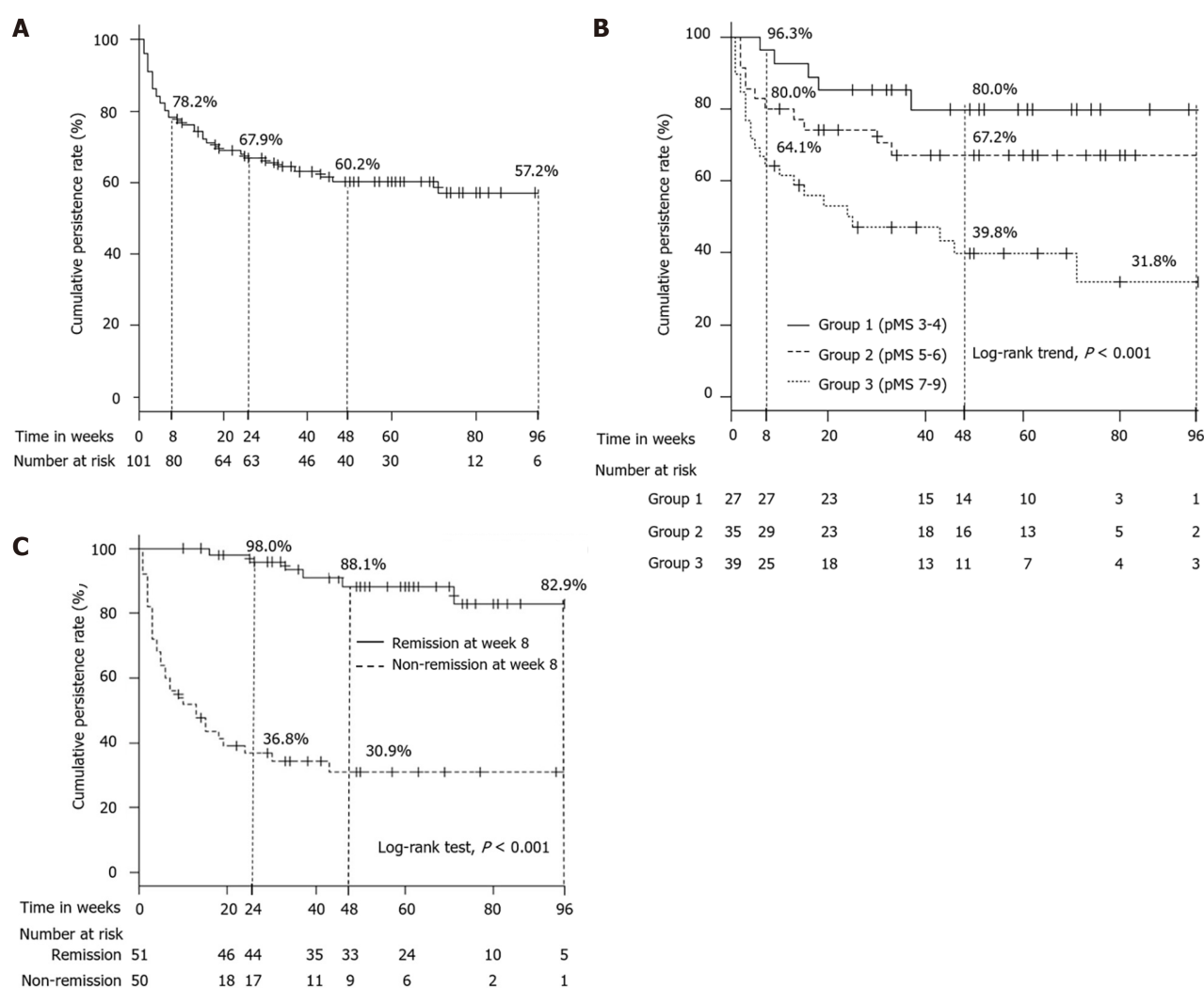


Figure 4 Cumulative persistence rate of tofacitinib administration. A: Overall cumulative persistence rate of tofacitinib administration; B: Cumulative persistence rate of tofacitinib administration according to partial Mayo Score (pMS) at baseline (Group 1: pMS 3-4, Group 2: pMS 5-6, Group 3: pMS 7-9); C: Cumulative persistence rate of tofacitinib administration between patients who were classified as in clinical remission or non-clinical remission at week 8. pMS: Partial Mayo Score.

Nine (8.9%) patients required a colectomy during the follow-up period; 2 due to primary failure of TOF and 7 due to failure of remission maintenance therapy after withdrawing the TOF. After excluding cases that transferred, could not be followed up, or whose treatment was discontinued due to pregnancy (Figure 1), the colectomy-free survival rate was 91.9% at week 48 and 89.1% at week 96 (Figure 5).

For endoscopic efficacy at week 16, the complete endoscopic remission rate was 7.7% (3/39), the endoscopic remission rate was 23.1% (9/39), and the endoscopic response rate was 38.5% (15/39).

Baseline factors associated with the efficacy of TOF

Clinical and demographic baseline characteristics between responders and non-responders at week 8 are shown in Table 2. Baseline pMS of responders at week 8 was significantly lower than that of non-responders [5.0 (IQR: 4.0-7.0) *vs* 7.0 (IQR: 5.3-8.0), $P < 0.001$]. In multivariate analysis, lower baseline pMS was independently associated with responders at week 8 [Odds ratio (OR): 0.61, 95%CI: 0.45-0.82, $P = 0.001$].

Relapse rate after tapering and efficacy of re-increasing the dosage of TOF

Figure 6 shows the number of patients treated with 5 mg or 10 mg twice daily at each time point in whole patients. Seventy-one (70.3%) patients were administered TOF at week 8. Among of them, 36 (35.6%) underwent tapering of TOF (5 mg twice daily) after achieving clinical remission, and the median period from baseline to the beginning of tapering was 92 (IQR: 63-120) d. The relapse rate after tapering (excluding 1 patient that withdrew from TOF due to an adverse event) was 45.7% (16/35) (Figure 7A). In these 16 patients with relapse, 14 re-increased the dosage of TOF. The clinical response and remission rate of re-increasing the dosage was, respectively, 85.7% (12/14) and 57.1% (8/14) at week 4, 84.6% (11/13) and 69.2% (9/13) at week 12 (Figure 7B). The median period from beginning of tapering to re-increasing the dosage was 95 (IQR: 38-216) d.

Table 1 Patient characteristics at baseline

Characteristic	<i>n</i> = 101
Age, years, median (IQR)	35 (28.0, 47.0)
Sex	
Male, <i>n</i> (%)	60 (59.4)
Female, <i>n</i> (%)	41 (40.6)
Duration of UC, years, median (IQR)	4.8 (1.5, 10.0)
Disease extent, <i>n</i> (%)	
Left-sided colitis	18 (17.8)
Pancolitis	83 (82.2)
Family history of IBD, <i>n</i> (%)	7 (6.9)
Smoking classification, <i>n</i> (%)	
Never smoked	66 (65.3)
Current smoker	14 (13.9)
Ex-smoker	21 (20.8)
Comorbidities, <i>n</i> (%)	
Hypertension	7 (6.9)
Dyslipidemia	6 (5.9)
Diabetes mellitus	3 (3.0)
Thrombosis	2 (2.0)
Past history of herpes zoster, <i>n</i> (%)	1 (1.0)
Total Mayo score (<i>n</i> = 54), median (IQR)	9.0 (7.0, 10.0)
Partial Mayo score, median (IQR)	6.0 (4.0, 7.0)
Mayo endoscopic subscore (<i>n</i> = 54), median (IQR)	2.0 (2.0, 3.0)
1, <i>n</i> (%)	3.0 (5.0, 6.0)
2, <i>n</i> (%)	26 (48.1)
3, <i>n</i> (%)	25 (46.3)
Intractability, <i>n</i> (%)	
Steroid dependent	48 (47.5)
Steroid refractory	51 (50.5)
C-reactive protein (mg/dL), median (IQR)	0.3 (0.1, 1.0)
Albumin (g/dL), median (IQR)	3.9 (3.5, 4.2)
White blood cells (/μL), median (IQR)	7180.0 (5490.0, 9160.0)
Lymphocytes (/μL), median (IQR)	1506.0 (1083.0, 1874.0)
Hemoglobin (g/dL), median (IQR)	12.3 (11.1, 13.8)
Total Cholesterol (mg/dL) (<i>n</i> = 71), median (IQR)	179.0 (163.0, 199.0)
Concomitant drugs at baseline, <i>n</i> (%)	
5-aminosalicylic acid	68 (67.3)
Systemic corticosteroid	23 (22.8)
Topical corticosteroid	22 (21.8)
History of treatment at baseline	
Previous corticosteroid, <i>n</i> (%)	99 (98.0)
Previous immunomodulator, <i>n</i> (%)	70 (69.3)

Previous cytapheeresis, <i>n</i> (%)	27 (26.7)
Previous tacrolimus, <i>n</i> (%)	31 (30.7)
Previous biologics, <i>n</i> (%)	67 (66.3)
Anti-TNF- α agent, <i>n</i> (%)	63 (62.4)
Infliximab	38 (37.6)
Adalimumab	27 (26.7)
Golimumab	19 (18.8)
Number of anti-TNF- α agent failure, <i>n</i> (%)	
1 agent	44 (43.6)
2 agents	17 (16.8)
3 agents	2 (2.0)
Vedolizumab, <i>n</i> (%)	11 (10.9)
Anti-TNF- α agent and vedolizumab, <i>n</i> (%)	7 (6.9)

IBD: Inflammatory bowel disease; IQR: Interquartile range; TNF- α : Tumor necrosis factor α ; UC: Ulcerative colitis.

Table 2 Baseline factors associated with the efficacy of Tofacitinib at week 8

Variable	Univariate analyses		Multivariate analyses			
	Non-responder (<i>n</i> = 34)	Responder (<i>n</i> = 67)	<i>P</i> value	Odds ratio	95%CI	<i>P</i> value
Age, years, median (IQR)	32.5 (27.5, 46.0)	37.0 (28.0, 47.5)	0.27	1.02	0.99-1.05	0.28
Sex (M/F), <i>n</i>	20/14	40/27	1.00			
Baseline partial Mayo score, median (IQR)	7.0 (5.3, 8.0)	5.0 (4.0, 7.0)	< 0.001	0.61	0.45-0.82	0.001
Disease duration, years, median (IQR)	6.2 (1.7, 9.9)	4.5 (1.6, 10.0)	0.84			
Disease extent, <i>n</i> (%)						
Left-sided colitis	8 (23.5)	10 (14.9)				
Extensive colitis	26 (76.5)	57 (85.1)	0.29			
Family history of IBD, <i>n</i> (%)	3 (8.8)	4 (6.0)	0.69			
Current smoker, <i>n</i> (%)	5 (14.7)	9 (13.4)	1.00			
Intractability (dependent/refractory), <i>n</i>	16/18	32/33	1.00			
Albumin, g/dL, median (IQR)	3.9 (3.5, 4.3)	3.9 (3.6, 4.2)	0.88			
C-reactive protein, mg/dL, median (IQR)	0.2 (0.1, 0.7)	0.3 (0.1, 1.1)	0.49			
White blood cells, / μ L, median (IQR)	6660.0 (5107.5, 8415.0)	7260.0 (5760.0, 9460.0)	0.15	1.00	1.00-1.00	0.17
Hemoglobin, g/dL, median (IQR)	12.4 (11.1, 13.7)	12.3 (11.2, 14.0)	1.00			
Baseline systemic corticosteroid use, <i>n</i> (%)	8 (23.5)	15 (22.4)	1.00			
Previous immunomodulator, <i>n</i> (%)	24 (70.6)	46 (68.7)	1.00			
Previous tacrolimus, <i>n</i> (%)	11 (32.4)	20 (29.9)	0.82			
Previous biologics, <i>n</i> (%)						
Previous anti TNF- α agent	22 (64.7)	41 (61.2)	0.83			
Previous vedolizumab	4 (11.8)	7 (10.4)	1.00			

CI: Confidence interval; IBD: Inflammatory bowel disease; IQR: Interquartile range; TNF- α : Tumor necrosis factor α .

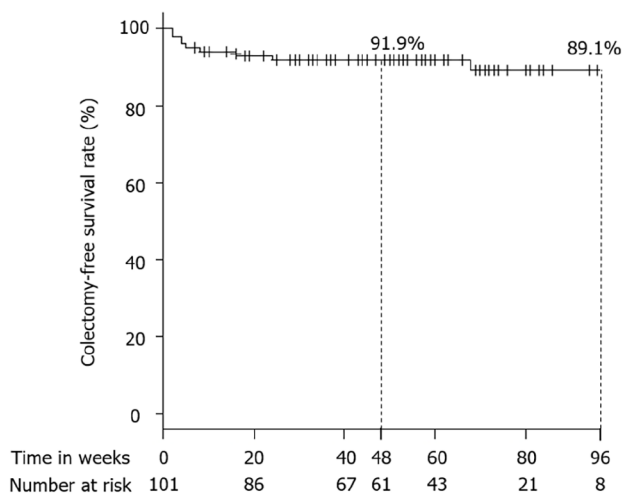


Figure 5 Colectomy-free survival after the initiation of tofacitinib.

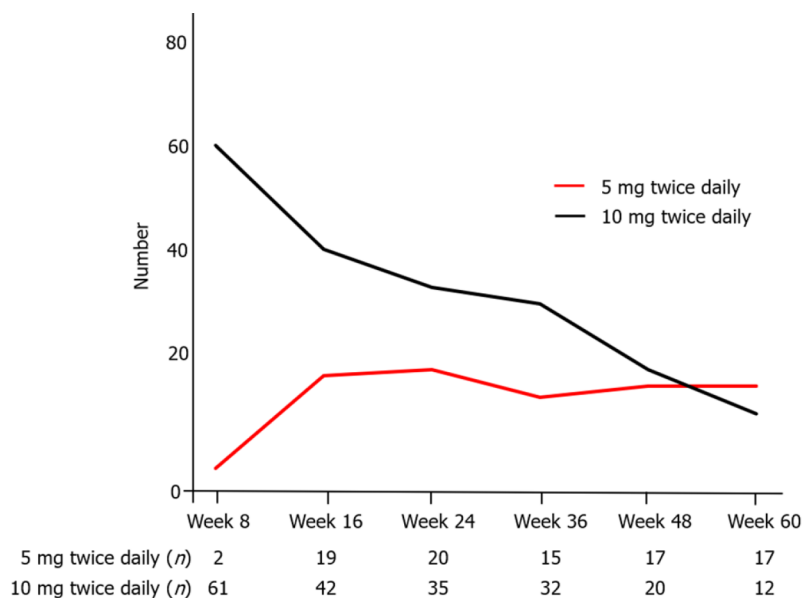


Figure 6 Number of patients in each dosage group of tofacitinib administration at each time point.

Safety profile

All 111 patients who received TOF were included in the safety evaluation and observed for 80.5 patient-years. A total of 58 adverse events occurred during the follow-up period, 38 (34.2%) patients experienced at least 1 adverse event. All adverse events are shown in Table 3. Seven (6.3%) patients discontinued TOF due to adverse events; 3 (2.7%) with fever, and 1 each (0.9%) with bronchitis, elevation of creatine kinase, lower leg edema, general fatigue, or sore throat.

The most common adverse event was hypercholesterolemia in 17 (15.3%) patients, and all 17 patients were administered an oral antihyperlipidemic drug. Total cholesterol levels were not significantly different at weeks 0, 4, and 8 between responders and non-responders at week 8 (Figure 8).

In terms of infectious disease, HZ developed in 6 patients, upper respiratory tract infection in 5, influenza in 3, herpes labialis in 1, norovirus enteritis in 1, and *Clostridioides difficile* colitis in 1. Among patients with HZ, the median age was 51 (IQR: 35–54) years, and the median lymphocyte count was 1466 (IQR: 1167–1750) (/μL) (Table 4). There were no specific features for TOF dosage, duration of TOF administration, or concomitant drug in these patients. All patients with HZ developed it while in the clinical remission stage. The median age [50 (IQR: 34–54) vs 35 (IQR: 28–47) years, $P = 0.20$] and lymphocyte count [1369 (IQR: 1131–1504) vs 1485 (IQR: 1064–1892) (/μL), $P = 0.45$] were also not different in patients with HZ and without HZ (Figure 9). All patients that had HZ were treated with oral medications. Three patients continued TOF administration, 2 patients were withdrawn temporarily, and 1 was discontinued permanently. HZ occurred in multiple dermatomes in only 1 patient, and postherpetic neuralgia remained in 1 patient.

Deep vein thrombosis was observed in 1 patient; a 53-year-old man with emphysema developed deep vein thrombosis in the left soleus vein with an unknown trigger. He was examined with ultrasonography because his D-dimer was slightly elevated (from 0.6 μg/mL to 2.4 μg/mL). The size of the thrombus was unchanged throughout the observation

Table 3 Adverse event, *n* (%)

Safety profile		Incidence rates per 100 patient-years
Hypercholesterolemia	17 (15.3)	21.1
Herpes zoster	6 (5.4)	7.5
Upper respiratory tract infection	5 (4.5)	6.2
Fever	4 (3.6)	5.0
Headache	3 (2.7)	3.7
Influenza	3 (2.7)	3.7
Lower leg edema	2 (1.8)	2.5
General fatigue	2 (1.8)	2.5
Sore throat	2 (1.8)	2.5
Bronchitis	1 (0.9)	1.2
Herpes labialis	1 (0.9)	1.2
Norovirus enteritis	1 (0.9)	1.2
Clostridioides difficile colitis	1 (0.9)	1.2
Folliculitis	1 (0.9)	1.2
Palpitations	1 (0.9)	1.2
Joint pain	1 (0.9)	1.2
General pain	1 (0.9)	1.2
Dizziness	1 (0.9)	1.2
Sleepiness	1 (0.9)	1.2
Deep vein thrombosis	1 (0.9)	1.2
Dysplasia	1 (0.9)	1.2
Elevation of creatine kinase	1 (0.9)	1.2
Abnormal liver function tests	1 (0.9)	1.2

period even while continuing TOF without administering an antithrombotic agent.

DISCUSSION

The main purpose of our study was to evaluate the efficacy and safety of TOF in the real-world. Our study provides support for the efficacy of both short-term and long-term TOF treatment in active UC patients. Two-thirds of the patients had a clinical response and half achieved clinical remission at week 8. In addition, 44% of patients achieved corticosteroid-free remission at week 48 and the cumulative remission rate at week 48 among those patients in clinical remission at week 8 was 62%.

These results are fairly consistent with previously reported real-world studies. With regard to short-term efficacy, Honap *et al*[22] reported that a clinical response [defined as the reduction of The Simple Clinical Colitis Activity Index (SCCAI) or pMS ≥ 3] was achieved in 74% at week 8 and clinical remission (SCCAI ≤ 2 or pMS ≤ 1) was achieved in 57% of patients in a multicenter retrospective study involving 134 UC patients (83% previously treated with biologics). Similarly, Chaparro *et al*[23] reported that a clinical response (reduction in pMS ≥ 3 points and at least 30% from baseline, with a decrease ≥ 1 point in the rectal bleeding subscale) was achieved in 60% at week 8 and clinical remission (pMS < 2) was achieved in 31% of patients in a multicenter prospective study involving 113 UC patients (100% previously treated with biologics). With regard to long-term efficacy, Lair-Mehiri *et al*[24] reported steroid-free clinical remission (pMS < 3 with a combined stool frequency and rectal bleeding subscore ≤ 1) in 34% of patients at week 48 in a multicenter retrospective study involving 38 UC patients (100% previously treated with biologics). Chaparro *et al*[23] reported that 38% of patients who achieved remission at week 8 relapsed over time (median of exposure to TOF, 44 wk).

In the OCTAVE Induction 1 and 2 trials (almost 50% previously treated with anti-TNF- α agent), 19% and 17% of UC patients treated with TOF achieved remission at week 8, and 60% and 55% achieved a clinical response at week 8, respectively. In the OCTAVE Sustain trial, which included patients who had a clinical response to induction therapy, the sustained remission rate at week 52 was 37% in the 5 mg TOF twice-daily group and 47% in the 10 mg TOF twice-daily

Table 4 Characteristics of patients who developed herpes zoster

Case	Age	Sex	Prior TNF- α failure	TOF dose (mg/day)	Week of onset	pMS at onset	Lymphocyte count (μ L)	Concomitant drug	Therapy for HZ	TOF therapy	Area of HZ	Postherpetic neuralgia
1	68	F	(+)	10	30	1	1362	5ASA; PPI; ARB	OAD	Continuation	Lower leg	(+)
2	30	F	(+)	20	5	0	1570	Prednisolone 10 mg	OAD	Resumption after drug withdrawal	Head; Neck; Back	(-)
3	25	F	(+)	10	23	1	1102	5ASA	OAD	Continuation	Back	(-)
4	48	M	(+)	10	31	1	1810	5ASA	OAD	Resumption after drug withdrawal	Abdomen	(-)
5	54	F	(-)	20	5	1	2127	Iron preparations	OAD	Discontinuation	Buttocks	(-)
6	53	M	(+)	10	26	0	922	(-)	OAD	Continuation	Back	(-)

5ASA: 5-aminosalicylate; ARB: Angiotensin II receptor blocker; HZ: Herpes zoster; OAD: Oral antiviral drug; pMS: Partial Mayo Score; PPI: Proton pump inhibitor; TNF- α : Tumor necrosis factor α ; TOF: Tofacitinib.

group[7]. Although our results appear to be better than these results, the OCTAVE trials included endoscopic results and had a more stringent definition of efficacy, unlike real-world studies, which may explain this discrepancy.

Predictors of clinical response were investigated in other studies. Honap *et al*[22] reported that a younger age (OR: 1.04, 95%CI: 1.01-1.07) and higher CRP (OR: 0.292, 95%CI: 0.121-0.655) at baseline were associated with non-response at week 8. Biemans *et al*[25] reported that prior exposure to vedolizumab (OR: 0.327, 95%CI: 0.100-0.907) and SCCAI per point (OR: 0.825, 95%CI: 0.686-0.992) were associated with a reduced corticosteroid-free clinical remission rate at week 24. In the present study, we showed that a higher pMS at baseline was associated with non-responders at week 8 in multivariate analysis (OR: 0.61, 95%CI: 0.45-0.82, $P = 0.001$). Patients with higher activity tend to have a lower drug persistence rate, so it is more beneficial for patients with lower activity. Also, we revealed that patients who achieved clinical remission at week 8 continued TOF for a longer time than those who did not. The proportion of patients who had previously failed an anti-TNF- α agent in our study was lower than in the other real-world studies. Drug persistence was not significantly different between anti-TNF- α agent-naïve and -failure patients, but the cumulative remission rate tended to be higher in patients who had previously failed anti-TNF- α agents.

In our study, although the relapse rate after tapering TOF was 46%, the proportion of patients who achieved a clinical remission after dose re-escalation was 57% at week 4 and 69% at week 12. Sands *et al*[26] reported that 35.1% and 49.1% of patients after dose escalation recaptured clinical remission at months 2 and 12, strongly suggesting that efficacy may be recaptured by dose re-escalation and making it a possible option for patients undergoing relapse.

With regard to safety, the most common adverse events in our study were hypercholesterolemia and infectious diseases such as HZ and upper respiratory tract inflammation. It was previously reported that the risk of HZ is increased in UC patients receiving TOF, especially in the elderly, Asians, and patients who previously failed anti-TNF- α agents[14]. The overall cohort (phase II and OCTAVE Induction 1 and 2, OCTAVE Sustain, OCTAVE Open) reported that 5.6% of patients developed HZ during TOF use[14]. In the present study, 6 (5.4%) patients developed HZ, a higher percentage than previously reported in Europe[23,25]. All patients were treated with oral antiviral therapy, but the oldest patient (68 years) developed postherpetic neuralgia. One patient switched to alternative therapy because of an insufficient clinical

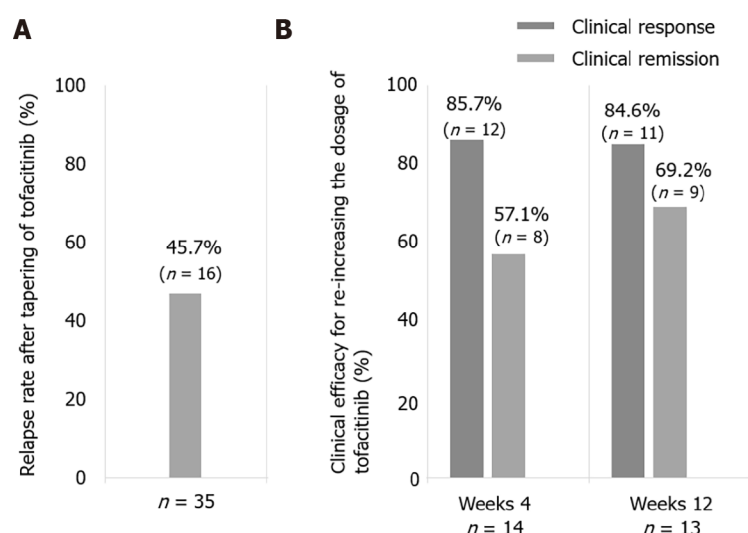


Figure 7 Relapse rate and Clinical efficacy for re-increasing the dosage of tofacitinib. A: Relapse rate after tapering of tofacitinib; B: Clinical efficacy for re-increasing the dosage of tofacitinib due to relapse after tapering of tofacitinib at weeks 4 and 12.

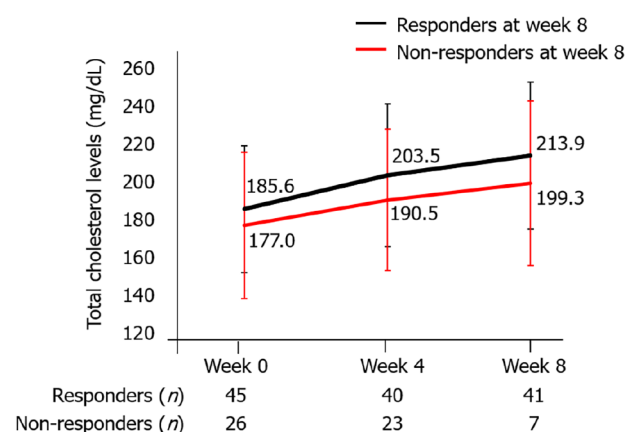


Figure 8 Total cholesterol levels from baseline to week 8 between responders and non-responders at week 8. Data represent the mean and standard deviation.

response, and others continued TOF after recovering from HZ.

Lipid levels reversibly increase during TOF administration in UC patients as well as in patients with rheumatoid arthritis and psoriatic arthritis[27-29]. Consistent with this finding, in our study, total cholesterol levels were significantly higher at week 4 and week 8 compared with baseline, but no severe cardiovascular events were observed. IBD patients have a higher risk of venous thromboembolism events compared with non-IBD patients, with a relative risk of 2.27[30]. In a United States Food and Drug Administration post-marketing requirement safety study including patients with rheumatoid arthritis aged at least 50 years old with at least 1 cardiovascular risk factor, patients treated with 10 mg TOF twice daily had a higher frequency of pulmonary embolism and death than patients treated with 5 mg TOF twice daily or with anti-TNF- α agent[31].

The present study has several limitations. First, the study was conducted retrospectively at a single center, with the inherent risk of bias and data missing for some patients. There is a possibility of selection bias to administrate TOF by each physician. Second, as endoscopy was not mandatory at baseline and follow-up, endoscopic data were only available in a small number of patients, and efficacy was judged only on the basis of clinical symptoms, so the evaluation may be insufficient. A strength of our study is that it is a large real-world study in an Asian population that demonstrated the efficacy and safety including related clinical needs of TOF. Shin *et al* [16] reported also analyzed more than 100 patients of Asian UC treated with TOF, but they did not analyze the efficacy of TOF according to the history of anti-TNF- α agent failure, relapse rate at dose reduction and the efficacy at dose re-escalation. In addition, while most of the included patients in the other studies had previously failed anti-TNF- α agents, only 62% of anti-TNF- α failure patients were included in our study. Therefore, we were able to determine whether previous treatment with anti-TNF- α agents affected the TOF efficacy. We analyzed for the first time in more than 100 Asian UC patients that the efficacy of TOF was effective irrespective to prior history of anti-TNF- α agents, relapse rate after tapering of TOF and effective rate for reinduction of TOF. These data is essential for clinical strategy of TOF treatment. The observation period of present study was one year, but we are planning to report further data for 3-year follow-up in the near future.

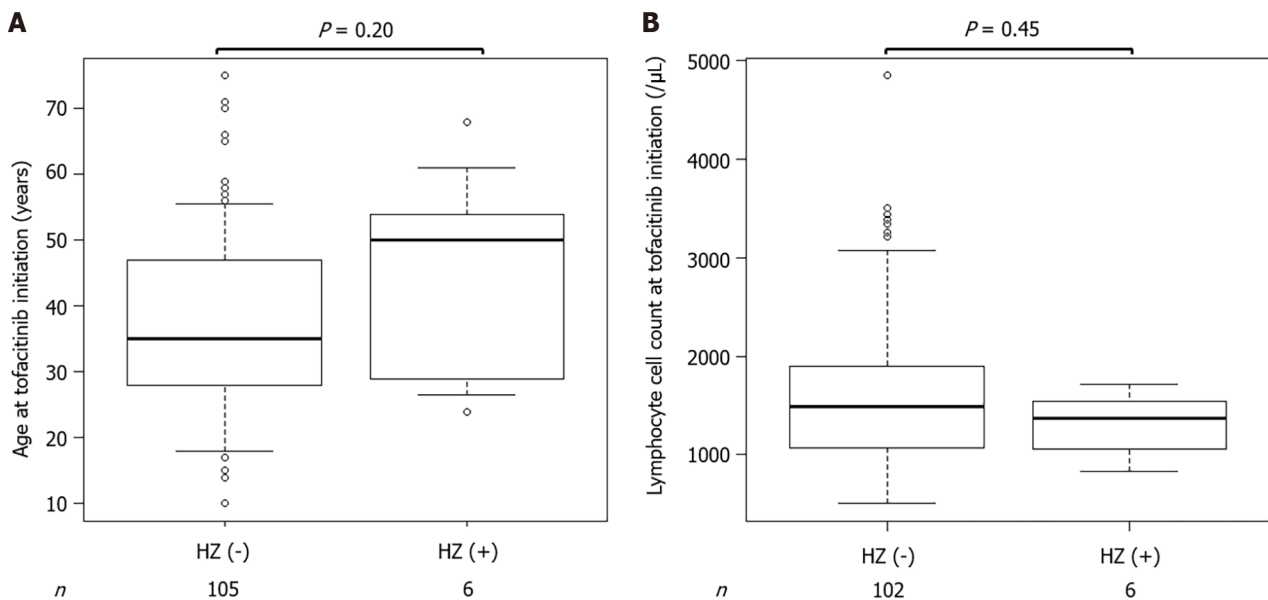


Figure 9 Comparison of patient characteristics with and without herpes zoster. A: Comparison of patient age at tofacitinib initiation between those who developed herpes zoster and those who did not; B: Comparison of the lymphocyte cell count at tofacitinib initiation between patients who developed herpes zoster and those who did not. HZ: Herpes zoster.

CONCLUSION

In conclusion, TOF is more effective in low-activity UC patients in real practice and its efficacy is not affected by previous treatment with anti-TNF- α agents. Most patients in the clinical remission group at week 8 could continue TOF over a long follow-up period. Relapse occurred in 45.7% of patients after tapering TOF, but 85.7% of those patients recaptured a response to TOF by week 4. Although most patients continue TOF without severe adverse events, careful monitoring for HZ is necessary. Further studies are needed to investigate predictive factors for a response to TOF treatment and the positioning of TOF in many treatment options for active UC.

ARTICLE HIGHLIGHTS

Research background

Various therapeutic agents are currently available as advanced therapies. Tofacitinib (TOF) was approved to treat the patients with intractable ulcerative colitis (UC) as first Janus kinase inhibitor in Japan.

Research motivation

Real-world data for the efficacy and safety of TOF treatment covering a period of more than 1 year with a sufficient number of Asian patients with UC are scarce. We investigated to optimize TOF treatment by using data of our specialized IBD center.

Research objectives

The aim of this study is to investigate the efficacy and safety of TOF treatment covering a period of more than 1 year in patients with intractable UC.

Research methods

We performed a retrospective single-center observational analysis of 111 UC patients administered TOF between May 2018 and February 2020. The primary outcome was the clinical response rate at week 8.

Research results

The overall cumulative clinical remission rate was 61.7% at week 48 and history of anti-tumor necrosis factor- α (TNF- α) agents use had no influence ($P = 0.25$). Baseline partial Mayo Score was significantly lower in responders compared with non-responders at week 8 (odds ratio: 0.61, 95% confidence interval: 0.45-0.82, $P = 0.001$). Relapse occurred in 45.7% of patients after TOF tapering, and 85.7% of patients responded within 4 wk after re-increase.

Research conclusions

TOF was more effective in UC patients with mild activity at baseline and its efficacy was not affected by previous

treatment with anti-TNF- α agents. Although careful monitoring for herpes zoster is necessary, most patients continue TOF without severe adverse events.

Research perspectives

Future prospective studies with a large number of UC patients and long follow-up periods are needed in clinical practice and we should consider the positioning of TOF in many treatment options for active UC.

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FOOTNOTES

Author contributions: Kojima K and Watanabe K participated in the conception and design of the study and were involved in the acquisition, analysis, or interpretation of data; Kojima K wrote the manuscript; Watanabe K and Shinzaki S accessed and verified the study data. All authors critically reviewed and provided final approval of the manuscript; all authors were responsible for the decision to submit the manuscript for publication.

Institutional review board statement: This investigation was approved by the Institutional Ethics Committee of Hyogo Medical University Hospital, No. 3030.

Informed consent statement: The need for patient consent was waived due to the retrospective nature of the study.

Conflict-of-interest statement: Watanabe K received honoraria and had expenses paid to attend or give a presentation or advice at a meeting for the following companies: AbbVie GK, EA Pharma Co., Ltd., Pfizer Japan Inc., Takeda Pharmaceutical Co., Ltd., Mitsubishi Tanabe Pharma Corporation, Kyorin Pharmaceutical Co., Ltd., Mochida Pharmaceutical Co., Ltd., Kissei Pharmaceutical Co., Ltd.; received research grants from EA Pharma Co., Ltd., Takeda Pharmaceutical Co., Ltd., EP-CRSU Co., Ltd., received scholarship grants from AbbVie GK, EA Pharma Co., Ltd., Mitsubishi Tanabe Pharma Corporation, JIMRO Co., Ltd., Nippon Kayaku Co., Ltd.; and has been an endowed chair for AbbVie GK, EA Pharma Co., Ltd., Mitsubishi Tanabe Pharma Corporation, Zeria Pharmaceutical Co., Ltd., JIMRO Co., Ltd., Otsuka Pharmaceutical Factory, Inc., Asahi Kasei Medical Co., Ltd., Mochida Pharmaceutical Co., Ltd. SS received honoraria and had expenses paid to attend or give a presentation or advice at meetings for AbbVie GK, EA Pharma Co., Ltd., Pfizer Japan Inc., Takeda Pharmaceutical Co., Ltd., Mitsubishi Tanabe Pharma Corporation, Kyorin Pharmaceutical Co., Ltd., Mochida Pharmaceutical Co., Ltd., Kissei Pharmaceutical Co., Ltd., Janssen Pharmaceutical K.K., Nippon Kayaku Co., Ltd., Gilead Sciences, JIMRO Co., Ltd., Nippon Kayaku Co., Zeria Pharmaceutical Co., Ltd., Alfresa Pharma Corporation, Astra Zeneca K.K., Eisai Co., Ltd., Sekisui Medical Co., Ltd. And received research grants from Sekisui Medical Co., Ltd.

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