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# Diagnosis of autoimmune pancreatitis

Hiroyuki Matsubayashi, Naomi Kakushima, Kohei Takizawa, Masaki Tanaka, Kenichiro Imai, Kinichi Hotta, Hiroyuki Ono

Hiroyuki Matsubayashi, Naomi Kakushima, Kohei Takizawa, Masaki Tanaka, Kenichiro Imai, Kinichi Hotta, Hiroyuki Ono, Division of Endoscopy, Shizuoka Cancer Center, Shizuoka 411-8777, Japan

Author contributions: All author contributed on this work.

Correspondence to: Hiroyuki Matsubayashi, MD, PhD, Chief of Pancreatobiliary Endoscopy, Division of Endoscopy, Shizuoka Cancer Center, 1007, Shimonagakubo, Nagaizumi, Suntogun, Shizuoka 411-8777, Japan. [h.matsubayashi@scchr.jp](mailto:h.matsubayashi@scchr.jp)

Telephone: +81-55-9895222 Fax: +81-55-9895692

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## Abstract

Autoimmune pancreatitis (AIP) is a distinct form of chronic pancreatitis that is increasingly being reported. The presentation and clinical image findings of AIP sometimes resemble those of several pancreatic malignancies, but the therapeutic strategy differs appreciably. Therefore, accurate diagnosis is necessary for cases of AIP. To date, AIP is classified into two distinct subtypes from the viewpoints of etiology, serum markers, histology, other organ involvements, and frequency of relapse: type 1 is related to IgG4 (lymphoplasmacytic sclerosing pancreatitis) and type 2 is related to a granulocytic epithelial lesion (idiopathic duct-centric chronic pancreatitis). Both types of AIP are characterized by focal or diffuse pancreatic enlargement accompanied with a narrowing of the main pancreatic duct, and both show dramatic responses to corticosteroid. Unlike type 2, type 1 is characteristically associated with increasing levels of serum IgG4 and positive serum autoantibodies, abundant infiltration of IgG4-positive plasmacytes, frequent extrapancreatic lesions, and relapse. These findings have led several countries to propose diagnostic criteria for AIP, which consist of essentially similar diagnostic items; however, several differences exist for each country, mainly due to differences in the definition

of AIP and the modalities used to diagnose this disease. An attempt to unite the diagnostic criteria worldwide was made with the publication in 2011 of the international consensus diagnostic criteria for AIP, established at the 2010 Congress of the International Association of Pancreatologists (IAP).

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**Key words:** Autoimmune pancreatitis; Diagnosis; Criteria; Japanese; International consensus diagnostic criteria

**Core tip:** Autoimmune pancreatitis (AIP) was first reported in Japan in 1995. Since then, a large series of studies has been documented and the concept of AIP is now recognized worldwide. Two distinct subtypes of AIP occur with different incidences in Asian and western countries. Type 1 is often associated with IgG4-related systemic diseases and shares histological features of lymphoplasmacytic sclerosing pancreatitis. Type 2 is usually not associated with IgG4 abnormality and histologically shows idiopathic duct-centric pancreatitis with granulocytic epithelial lesions. Independent diagnostic criteria had previously been used in individual countries, but international consensus diagnostic criteria were published in 2011.

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## INTRODUCTION

Autoimmune pancreatitis (AIP) was first documented in 1995 by Yoshida *et al*<sup>[1]</sup>, who reported a case of chronic

pancreatitis that fulfilled the definition of an autoimmune disease<sup>[2]</sup> with respect to hyperglobulinemia, positive serum autoantibody, and steroid response. In 2001, Hamano *et al.*<sup>[3]</sup> reported increased serum levels of IgG4 in Japanese patients with AIP. This disease is a form of chronic pancreatitis characterized by frequent presentation with obstructive jaundice, simultaneous and/or metachronal occurrences of extrapancreatic lesions, histology of lymphoplasmacytic infiltrates with fibrosis, and a dramatic response to corticosteroids<sup>[4-9]</sup>. Symptoms, blood test data, and clinical images of the AIP often resemble those of pancreatic cancer (PC)<sup>[10-12]</sup>, malignant lymphoma<sup>[1,13]</sup>, and other types of pancreatitis. Therefore, differential diagnosis must be conducted carefully.

The first diagnostic criteria for AIP were established in Japan in 2002<sup>[14]</sup>, revised in 2006<sup>[15]</sup>, and revised again in 2011 (Table 1)<sup>[16]</sup>. During this period, the concepts of AIP were well recognized worldwide and nationwide diagnostic criteria were proposed in South Korea<sup>[17,18]</sup>, the United States, Germany<sup>[19]</sup>, and Italy<sup>[20]</sup>. The conditions and methodologies used in each criterion varied; hence, the cases diagnosed as AIP sometimes differed by country. AIP was later revealed to consist of two distinct subtypes: type 1 AIP, which is characterized by histology resembling that of “lymphoplasmacytic sclerosing pancreatitis (LPSP),” and type 2 AIP or “idiopathic duct-centric pancreatitis (IDCP)”<sup>[21]</sup>, with “granulocytic epithelial lesion (GEL)”<sup>[8,22]</sup>. Type 1 AIP is now considered the pancreatic manifestation of systemic organ disorders termed “IgG4-related diseases (IgG4-RD)”<sup>[23]</sup>, while type 2 is usually not associated with IgG4 activity or extra-pancreatic lesions other than ulcerative colitis (UC). The proportions of type 1 and type 2 AIP vary substantially in western and eastern countries. Consensus meetings have been held and international criteria were established in Asia in 2008<sup>[24]</sup>, and on a worldwide scale (international consensus diagnostic criteria: ICDC) in 2011 (Tables 2-4 and Figures 1-3)<sup>[25]</sup>. The ICDC are presently evaluated as the most sensitive and specific criteria for diagnosing AIP<sup>[26]</sup>.

## CLASSIFICATION OF AIP

A worldwide survey of AIP<sup>[27]</sup> indicated that most cases of AIP in Asia fit the histological profile of LPSP, or type 1 AIP, while European and American cases are a mixture of LPSP and idiopathic duct-centric pancreatitis (IDCP)<sup>[21,27,28]</sup>. The necessity of adequate pancreatic specimens for histology makes accurate diagnosis of IDCP difficult before resection, and this is probably the reason for the limited number of reported cases of type 2 AIP. The two types of AIP also differ in characteristics depending on the geographical distribution, age and gender of the patients, serological findings, association with extra pancreatic lesions, and relapse ratios (Table 5).

### Type 1 AIP

Type 1 AIP is histologically characterized as LPSP and is often associated with: (1) abundant lymphoplasmacytic

**Table 1 Clinical diagnostic criteria for autoimmune pancreatitis in 2011 by Japan Pancreas Society (JPS-2011)<sup>[16]</sup>**

<b>A: Diagnostic items</b>
I: Enlargement of the pancreas:
(a) Diffuse enlargement
(b) Segmental/focal enlargement
II: ERP (endoscopic retrograde pancreatography) shows irregular narrowing of the main pancreatic duct
III: Serological findings
Elevated level of serum IgG4 ( $\geq 135$ mg/dL)
IV: Pathological findings: Among (1)-(4) listed below
(a) Three or more are observed
(b) Two are observed
(1) Prominent infiltration of lymphocytes and plasmacytes and fibrosis
(2) More than 10 IgG4-positive plasmacytes per high-power microscope field
(3) Storiform fibrosis
(4) Obliterative phlebitis
V: Extra-pancreatic lesions: sclerosing cholangitis, sclerosing dacryoadenitis/sialoadenitis/retroperitoneal fibrosis
(a) Clinical lesions
Extrapancreatic sclerosing cholangitis, sclerosing dacryoadenitis/sialoadenitis (Mikulicz disease) or/retroperitoneal fibrosis
(b) Pathological lesions
Pathological examination shows characteristic features of sclerosing cholangitis, sclerosing dacryoadenitis/sialoadenitis or/retroperitoneal fibrosis
<Option> Effectiveness of steroid therapy
A specialized facility may include in its diagnosis the effectiveness of steroid therapy, once pancreatic or bile duct cancers have been ruled out. When it is difficult to differentiate from malignant conditions, it is desirable to perform cytological examination using an endoscopic ultrasound-guided fine needle aspiration (EUS-FNA). Facile therapeutic diagnosis by steroids should be avoided unless the possibility of malignant tumor has been ruled out by pathological diagnosis.
<b>B: Diagnosis</b>
I: Definite diagnosis
(1) Diffuse type
I a + III/IVb/V (a/b)
(2) Segmental/focal type
I b + II + two or more of < III/IVb/V (a/b) >
or
I b + II + < III/IVb/V (a/b) > + Option
(3) Definite diagnosis by histopathological study
IVa
II: Probable diagnosis
Segmental/focal type: I b + II + < III/IVb/V (a/b) >
III: Possible diagnosis <sup>1</sup>
Diffuse type: I a + II + Option
Segmental/focal type: I b + II + Option

When a patient with a focal/segmental image of AIP on CT/MRI without ERCP findings fulfill more than one of III, IVb and V (a/b) ERP criteria, he/she can be diagnosed as probable AIP only after the negative workup for malignancy by EUS-FNA, and confirmed as definitive one by an optional steroid response. <sup>1</sup>Possible diagnosis: A case may possibly be type 2, although it is extremely rare in Japan. AIP: Autoimmune pancreatitis; CT: Computed tomography; MRI: Magnetic resonance image.

infiltration with IgG4-positive cells [ $> 10$  cells/high power field (HPF)]; (2) storiform fibrosis; and (3) obliterative phlebitis (Tables 1, 2 and 5). Type 1 AIP frequently occurs in elderly men and is geographically distributed in greater numbers in Asia<sup>[29,30]</sup> than in western countries<sup>[19,20,22,31]</sup>. Type 1 AIP is the pancreatic manifestation

**Table 2** Diagnosis of definitive and probable type 1 autoimmune pancreatitis using international consensus diagnostic criteria<sup>[25]</sup>

Diagnosis	Primary basis for diagnosis	Imaging evidence	Collateral evidence
Definitive type 1 AIP	Histology Imaging  Response to steroid	Typical/indeterminate Typical Indeterminate Indeterminate	Histologically confirmed LPSP (level 1 H) Any non-D level 1/level 2 Two or more from level 1 (+ level 2 D <sup>1</sup> ) Level 1 S/OOI + Rt or level 1 D + Level 2 S/OOI/H + Rt
Probable type 1 AIP		Indeterminate	Level 2 S/OOI/H + Rt
<b>Criterion</b>	<b>Level 1</b>		<b>Level 2</b>
P: Parenchymal imaging	Typical: Diffuse enlargement with delayed enhancement (sometimes associated with rim-like enhancement)		Indeterminate (including atypical <sup>3</sup> ): Segmental/focal enlargement with delayed enhancement
D: Ductal imaging (ERP)	Long (> 1/3 length of the main pancreatic duct) or multiple strictures without marked upstream dilatation		Segmental/focal narrowing without marked upstream dilatation (duct size, < 5 mm)
S: Serology	IgG4, > 2 × upper limit of normal value		IgG4, 1-2 × upper limit of normal value
OOI: Other organ involvement	a or b a: Histology of extrapancreatic organs Any three of the following: (1) Marked lymphoplasmacytic infiltration with fibrosis and without granulocytic infiltration (2) Storiform fibrosis (3) Obliterative phlebitis (4) Abundant (> 10 cells/HPF) IgG4-positive cells  b: Typical radiological evidence At least one of the following: (1) Segmental/multiple proximal (hilar/intrahepatic) or proximal and distal bile duct stricture (2) Retroperitoneal fibrosis		a or b a: Histology of extrapancreatic organs including endoscopic biopsies of bile duct <sup>4</sup> : Both of the following: (1) Marked lymphoplasmacytic infiltration without granulocytic infiltration (2) Abundant (> 10 cells/HPF) IgG4-positive cells  b: Physical or radiological evidence At least one of the following (1) Symmetrically enlarged salivary/lachrymal glands (2) Radiological evidence of renal involvement described in association with AIP
H: Histology of the pancreas	LPSP (core biopsy/resection) At least 3 of the following: (1) Periductal lymphoplasmacytic infiltrate without granulocytic infiltration (2) Obliterative phlebitis (3) Storiform fibrosis (4) Abundant (> 10 cells/HPF) IgG4-positive cells		LPSP (core biopsy) Any 2 of the following: (1) Periductal lymphoplasmacytic infiltrate without granulocytic infiltration (2) Obliterative phlebitis (3) Storiform fibrosis (4) Abundant (> 10 cells/HPF) IgG4-positive cells
Response to steroid (Rt) <sup>2</sup>	Diagnostic steroid trial Rapid (≤ 2 wk) radiologically demonstrable resolution or marked improvement in pancreatic/extrapancreatic manifestations		

<sup>1</sup>Level 2 D is counted as level 1 in this setting; <sup>2</sup>Diagnostic steroid trial should be conducted carefully by pancreatologists with caveats (see text) only after negative workup for cancer including endoscopic ultrasound-guided fine needle aspiration; <sup>3</sup>Atypical: Some AIP cases may show low-density mass, pancreatic ductal dilatation, or distal atrophy. Such atypical imaging findings in patients with obstructive jaundice and/or pancreatic mass are highly suggestive of pancreatic cancer. Such patients should be managed as pancreatic cancer unless there is strong collateral evidence for AIP, and a thorough workup for cancer is negative (see algorithm); <sup>4</sup>Endoscopic biopsy of duodenal papilla is a useful adjunctive method because ampulla often is involved pathologically in AIP. AIP: Autoimmune pancreatitis; ICDC: International consensus diagnostic criteria; HPF: High power field; LPSP: Lymphoplasmacytic sclerosing; OOI: Other organ involvement.

of IgG4-related disease (IgG4-RD)<sup>[23,32]</sup>; consequently, a variety of systemic lesions with IgG4-positive cells infiltrates develop simultaneously or metachronously, in association with elevated level of serum IgG or IgG4 (> 135 mg/dL) and positive serum autoantibodies. These systemic lesions include sclerosing cholangitis (60%), sialadenitis (14%), retroperitoneal fibrosis (10%), interstitial pneumonitis (8%), and tubulointerstitial nephritis (8%)<sup>[4]</sup>, and many other organs are recognized as possible targets of IgG4-RD or type 1 AIP<sup>5</sup> (Table 6). Response to corticosteroid therapy is usually excellent (97%-98%)<sup>[33,34]</sup>; however, a high rate of relapse is also observed (56% in 1 year within steroid initiation and 92% within 3 years) (Table 5).

### Type 2 AIP

Type 2 AIP is regarded as a specific pancreatic disease, characterized histologically by duct-centric pancreatitis with a GEL<sup>[21,22,27,35]</sup>. Type 2 AIP patients are more frequently diagnosed in western countries, with a younger age of onset and without gender deviation, compared to type 1<sup>[36]</sup>. Type 2 AIP occasionally coexists with inflammatory bowel disease (16%-30%)<sup>[36,37]</sup>. Response to steroids is excellent, as in type 1, but type 2 AIP rarely relapse (Table 5)<sup>[37]</sup>.

Patients with type 2 AIP have no serological markers of autoimmunity. Therefore, the classification of type 2 AIP as a clinical entity of AIP is still debated. Nevertheless, the deposition of C3c and IgG in the basement

**Table 3** Diagnosis of definitive and probable type 2 autoimmune pancreatitis using international consensus diagnostic criteria<sup>[25]</sup>

Diagnosis	Imaging evidence	Collateral evidence
Definitive type 2 AIP	Typical/indeterminate	Histologically confirmed IDCP (level 1 H) or clinical inflammatory bowel disease + level 2 H + Rt
Probable type 2 AIP	Typical/indeterminate	Level 2 H/clinical inflammatory bowel disease + Rt
<b>Criterion</b>	<b>Level 1</b>	<b>Level 2</b>
P: Parenchymal imaging	Typical: Diffuse enlargement with delayed enhancement (sometimes associated with rim-like enhancement)	Indeterminate (including atypical <sup>2</sup> ): Segmental/focal enlargement with delayed enhancement
D: Ductal imaging (ERP)	Long (> 1/3 length of the main pancreatic duct) or multiple strictures without marked upstream dilatation	Segmental/focal narrowing without marked upstream dilatation (duct size, < 5 mm)
OOI: Other organ involvement		Clinically diagnosed inflammatory bowel disease
H: Histology of the pancreas (core biopsy/resection)	IDCP	
	Both of the following: (1) Granulocytic infiltration of duct wall (GEL) with or without granulocytic acinar inflammation (2) Absent or scant (0-10 cells/HPF) IgG4-positive cells	Both of the following: (1) Granulocytic and lymphoplasmacytic acinar infiltrate (2) Absent or scant (0-10 cells/HPF) IgG4-positive cells
Response to steroid (Rt) <sup>1</sup>	Diagnostic steroid trial Rapid (≤ 2 wk) radiologically demonstrable resolution or marked improvement in manifestations	

<sup>1</sup>Diagnostic steroid trial should be conducted carefully by pancreatologists with caveats (see text) only after negative workup for cancer including endoscopic ultrasound-guided fine needle aspiration; <sup>2</sup>Atypical: Some AIP cases may show low-density mass, pancreatic ductal dilatation, or distal atrophy. Such atypical imaging findings in patients with obstructive jaundice and/or pancreatic mass are highly suggestive of pancreatic cancer. Such patients should be managed as pancreatic cancer unless there is strong collateral evidence for AIP, and a thorough workup for cancer is negative (see algorithm). AIP: Autoimmune pancreatitis; ICDC: International consensus diagnostic criteria; IDCP: Idiopathic duct-centric pancreatitis.

**Table 4** Diagnosis of autoimmune pancreatitis-not otherwise specified using international consensus diagnostic criteria<sup>[25]</sup>

Diagnosis	Collateral evidence (case with only D1/2)
AIP-not otherwise specified	D1/2 + Rt

membrane of the pancreatic ducts and acini suggests an immune complex-mediated destruction of ducts and acini in type 2 as well as type 1 AIP<sup>[38]</sup>.

## DIAGNOSTIC CRITERIA OF AIP

Diagnostic criteria, either nationwide<sup>[9,16-20]</sup> or international<sup>[24,25]</sup>, consist mostly of common diagnostic items such as image findings of the pancreatic parenchyma, pancreatography, and extrapancreatic lesions; serological findings; histology of the pancreatic lesion; and response to steroid therapy (Tables 1-3). The diagnostic items are very similar, but the method or approach for analyzing each finding varies depending on the country. For instance, in Japan<sup>16</sup>, endoscopic retrograde pancreatography (ERP) is performed even by general clinicians but is usually precluded in western countries to avoid causing or worsening pancreatitis. In contrast, the Mayo Clinic in the United States<sup>[9]</sup> routinely performs pancreatic core biopsy for diagnosing AIP. These differences in the methodology seem to reflect the diagnostic criteria or diagnostic algorithm used by individual country<sup>[9,16-20]</sup>.

### Pancreatic parenchymal imaging

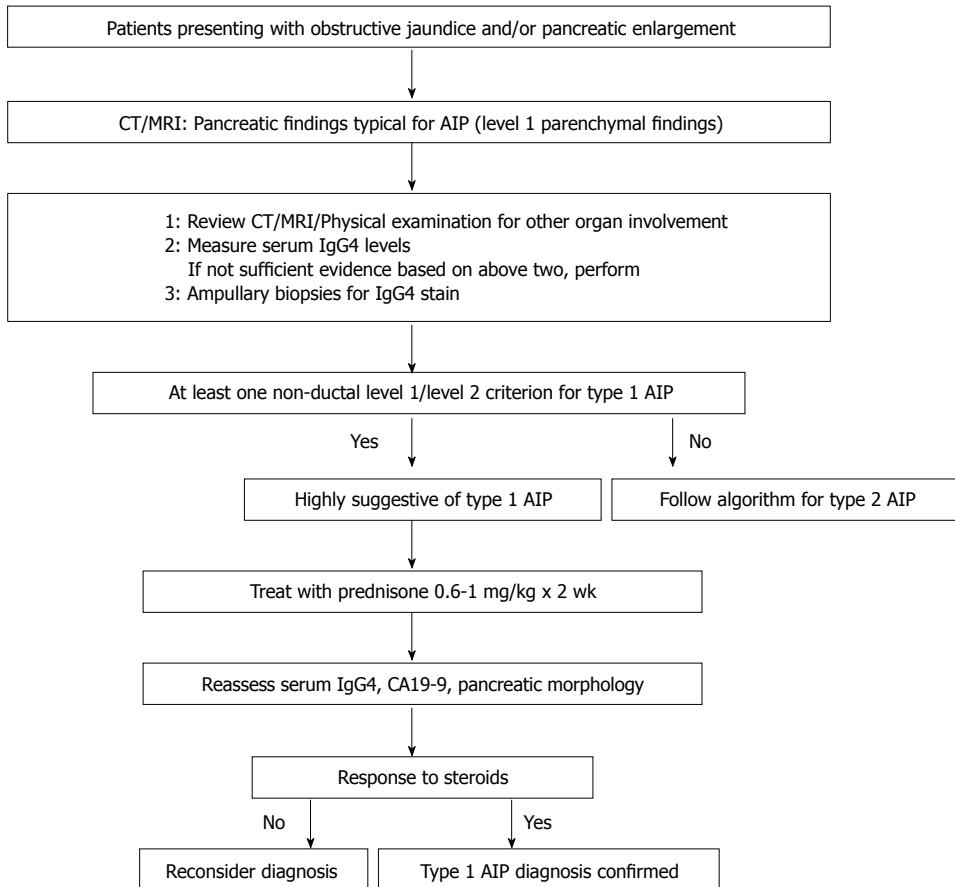
Focal or diffuse pancreatic enlargement is a common finding in both types of AIP. A dynamic study showed

that enhancement of the pancreatic parenchyma is repressed during the arterial to parenchymal phase and is recovered at the portal phase to delayed phase<sup>[39]</sup>. This enhancement pattern is distinct from that of PC and is applied to contrast-enhanced EUS for the differentiation of AIP and cancer by analyzing time-intensity curves<sup>[40,41]</sup>. Typically, a linear or band-like structure, depicted as low density by computed tomography (CT) and a hypo-intensity signal by T2-weight magnetic resonance image (MRI), appears at the margin of the enlarged pancreatic parenchyma and is referred to as a “capsule-like rim”, reflecting the fibrous tissue<sup>[39,42]</sup>. Abdominal ultrasonography (US) and EUS show similar findings to those of early chronic pancreatitis, including hyperechoic foci (91%-100%), hyperechoic strands (30%-81%), lobularity (15%-53%), and a hyperechoic wall of the main pancreatic duct (30%) in cases with AIP, and these findings decrease after steroid therapy<sup>[33,43]</sup>. Ultrasound of typical diffuse-type AIP shows a diffusely enlarged low-echoic pancreas without ductal dilation, or so-called “sausage-like appearance.” Elastographic studies have revealed inconsistent results regarding the hardness of pancreatic lesions associated with AIP<sup>[44,45]</sup>.

### Pancreatographic imaging

An irregular narrowing of the main pancreatic duct (MPD), but not a complete stenosis or obstruction, is seen in cases of AIP. Nishino *et al*<sup>[46]</sup> analyzed the differences in ERP findings between AIP and PC, and found a higher prevalence of narrowing of the MPD for ≥ 3 cm of its length and a higher prevalence for the presence of side branches in the narrowed portion of the MPD in the AIP group than in the PC group ( $P < 0.001$  and  $P < 0.001$ ,





**Figure 1 Algorithm of international consensus diagnostic criteria to diagnose type 1 autoimmune pancreatitis in subjects presenting with obstructive jaundice and/or pancreatic enlargement.** This schematic drawing shows a flow to diagnose type 1 AIP with typical diffuse enlargement of the pancreas on CT/MRI (level 1 parenchymal findings)<sup>[29]</sup>. AIP: Autoimmune pancreatitis; CT: Computed tomography; MRI: Magnetic resonance image.

respectively). In addition, an obvious dilation of the MPD ( $\geq 4$  mm) upstream of the lesion was recognized in 87% of the PC cases, but this was seen in only 11% of the AIP cases ( $P < 0.001$ ). The narrowed portion of the MPD is not visualized by magnetic resonance cholangiopancreatography (MRCP)<sup>[47]</sup>; however, use of ERP is only mandatory in the Japanese criteria (Table 1). Either MRCP or ERP is acceptable in the Korean criteria<sup>17,18</sup> and modality is not specified in the Mayo criteria (HISORT)<sup>[9]</sup>. The ERCP finding seems to be extremely important in atypical cases<sup>[10,33]</sup>, for instance, a case that does not show marked shrinkage following steroid therapy<sup>[33,48]</sup> or a case of PC mimicking<sup>[11]</sup> or accompanying<sup>[12]</sup> AIP.

### Serology

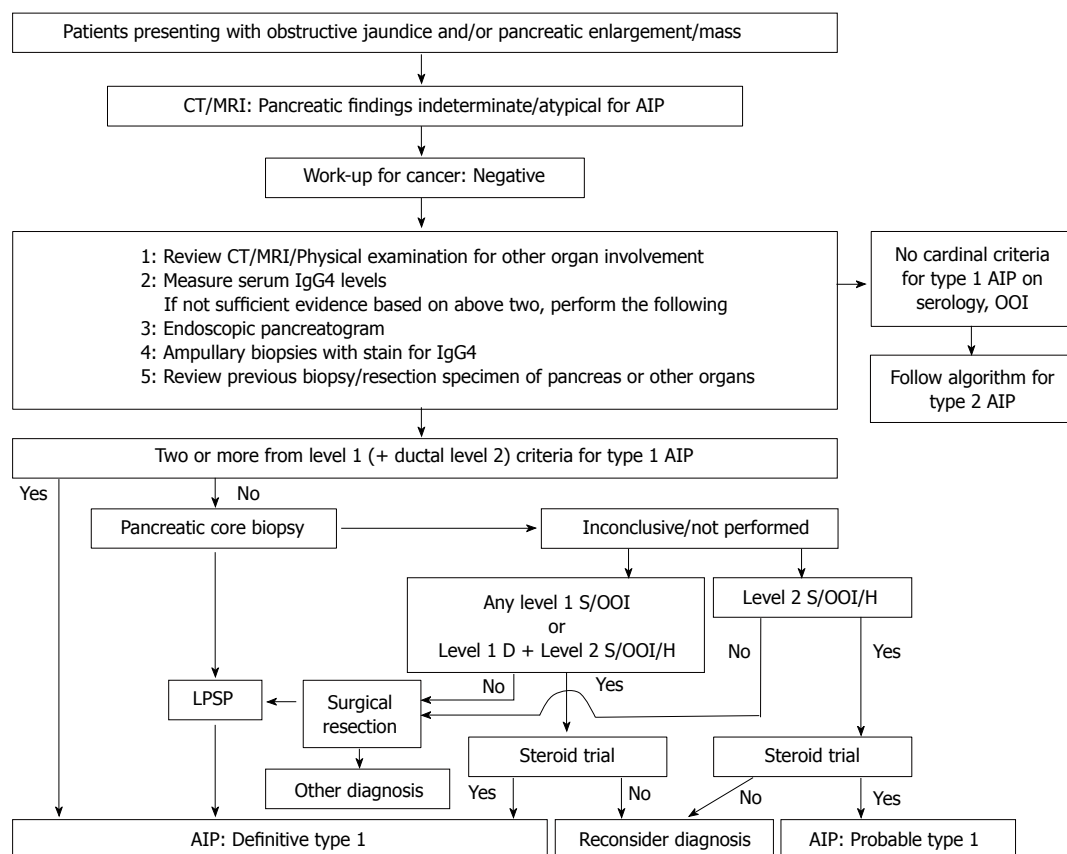
The most sensitive and specific serum marker for type 1 AIP is IgG4 ( $\geq 135$  mg/dL, sensitivity: 86%, specificity to AIP against PC: 96%). However, IgG4 is not actually specific for AIP<sup>[5]</sup>, and elevated serum IgG4 or infiltrations of numerous IgG4-bearing plasma cells have also been reported in cases with PC (10%, 13/135)<sup>[49]</sup>. Various antibodies appear in the sera of AIP patients, such as anti-lactoferrin antibody, anti-carbonic anhydrase II antibody, antinuclear antibody (ANA), and rheumatoid factor (RF) at respective frequencies of 75%, 55%, 60%, and 20%-30%<sup>[50]</sup>. The sensitivity of a set of non-specific se-

rum markers (IgG + ANA + RF) (91%) is similar to that of IgG4, but the specificity (61%) is significantly lower than for IgG4<sup>[5]</sup>. The SS-A (Ro) and SS-B (La) antibodies, which are markers of Sjögren's syndrome, are rarely seen in AIP patients, giving additional grounds for the idea that sclerosing sialadenitis seen in AIP patients is distinct from Sjögren's syndrome.

The level of serum markers is usually correlated with the autoimmune activity and a large number of systemic lesions are more often recognized in type 1 AIP with high levels of serum markers (IgG4, soluble IL2 receptor, *etc.*)<sup>[51,52]</sup>. Relapse is also often recognized in cases with elevated levels of serum IgG<sup>[33]</sup> or IgG4<sup>[34]</sup>. Hence, these serum markers are also applicable to the clinical follow up of patients with type 1 AIP.

### Extrapaneatatic lesions (other organ involvement)

Extrapaneatatic lesions are often associated with type 1 AIP and are correlated with disease activity. The most common extrapancreatic lesion seen in type 1 AIP is sclerosing cholangitis (bile duct), with other typical lesions including dacryoadenitis (lacrimal gland), sialadenitis (salivary gland), interstitial pneumonitis (lung), tubulointerstitial nephritis (kidney), retroperitoneal fibrosis (retroperitoneum), and lymph node lesions at the hepatic hilar portion. Many of reported extrapancreatic lesions



**Figure 2** Algorithm of international consensus diagnostic criteria to diagnose type 1 autoimmune pancreatitis in subjects presenting with obstructive jaundice and/or pancreatic mass. This schematic drawing shows a flow to diagnose type 1 AIP with indeterminate or atypical findings of the pancreas on CT/MRI (level 2 parenchymal findings)<sup>[29]</sup>. AIP: Autoimmune pancreatitis; CT: Computed tomography; MRI: Magnetic resonance image; OOI: Other organ involvement.

are summarized in Table 5 and classified as having close association or possible association with AIP. Representative extrapancreatic lesions have been reported as showing pathological findings similar to the pancreas, including massive lymphoplasmacytic infiltration and fibrosis, obliterating phlebitis, and presence of prominent IgG4 positive plasma cells<sup>[7]</sup>. These lesions can be detected incidentally in cross-sectional images and whole body imaging such as <sup>18</sup>F-Fluoro-deoxyglucose positron emission tomography (PET)<sup>[53,54]</sup> and Gallium scintigraphy<sup>[55]</sup>. These extrapancreatic lesions sometimes confuse the diagnosis; *i.e.*, type 1 AIP is sometimes accompanied by pseudotumor of the liver or lung, mimicking metastases from PC<sup>[56]</sup>. The occurrence of OOI in AIP patients sometimes causes serious physical conditions, such as loss of consciousness due to swelling of the pituitary gland<sup>[57]</sup> or hemorrhagic risk due to the decreased platelet numbers caused by autoimmune thrombocytopenic purpura in cases with anticoagulant intake<sup>[58]</sup>.

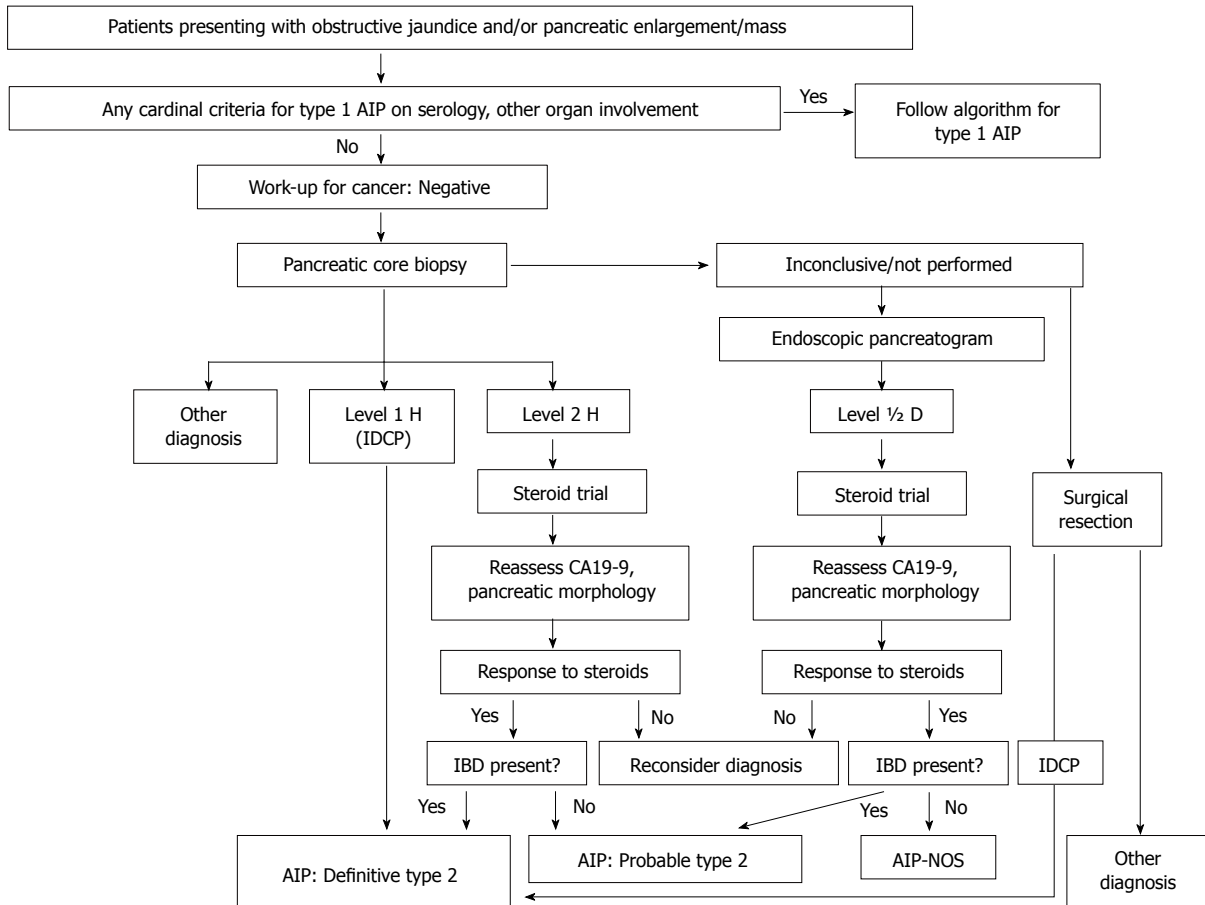
### Histology of the pancreatic lesion

The pancreatic lesion of type 1 AIP histologically shows LPSP with 3 essential features: (1) a lymphoplasmacytic infiltrate surrounding small-sized interlobular pancreatic ducts that does not destroy the pancreatic ductal epithelium; (2) a swirling fibrosis centered around ducts and veins (storiform fibrosis); and (3) obliterative phlebitis

wherein the infiltrate surrounds and obliterates pancreatic veins. Destructive changes to the ducts and acini caused by infiltrating granulocytes are typically absent. Immunostaining reveals abundant IgG4-positive cells (> 10 cells/HPF)<sup>[27,31]</sup>.

Type 2 AIP histology typically shows IDCP (AIP with GELs)<sup>[21,27,31]</sup>, which is a distinct histological pattern from that of LPSP. The predominant interlobular stroma composed of lymphocytes plasma cells and reactive fibroblasts/myofibroblasts seen in type 1 AIP is replaced by the presence of GELs as the most distinctive feature of IDCP. These changes may lead to the destruction and obliteration of the duct lumen, seen in the medium to small-sized ducts and also in the acini. Infiltrates of IgG4-positive plasma cells are scant or absent in IDCP<sup>[27,31]</sup>. Currently, a definitive diagnosis of type 2 AIP requires histology (Table 3 and Figure 3). This unique histological subtype could be distinguished from type 1 AIP by expert pathologists with high diagnostic ratio (concordances: 60%-100%, multirater kappa: 0.54) using the international consensus histopathological diagnostic criteria<sup>[28]</sup>.

The feasibility of arriving at a histological diagnosis for AIP using endoscopically obtained tissue samples has been argued<sup>[59-62]</sup>. Several studies demonstrated that tissue samples obtained by endoscopic ultrasound-guided fine needle aspiration (EUS-FNA) enabled histological diagnosis of both type 1<sup>[60-62]</sup> and type 2<sup>[63,64]</sup> AIP.



**Figure 3** Algorithm of international consensus diagnostic criteria to diagnose type 2 autoimmune pancreatitis in subjects presenting with obstructive jaundice and/or pancreatic mass. This schematic drawing shows a flow to diagnose type 2 AIP with typical/indeterminate (atypical) findings of the pancreas on CT/MRI (level 1 and 2 parenchymal findings)<sup>[25]</sup>. AIP: Autoimmune pancreatitis; IBD: Inflammatory bowel disease; IDCP: Idiopathic duct-centric chronic pancreatitis.

### Exclusion of the pancreatobiliary malignancies

Exclusion of pancreatobiliary malignancies is necessary for the diagnosis of AIP, especially in atypical cases. Today, the diagnosis of pancreatic mass lesions by EUS-FNA provides a sensitivity for detecting PC tissue that exceeds 90% (91%-93%)<sup>[59,65,66]</sup>, making EUS-FNA the most effective tool for excluding pancreatic malignancies. However, core biopsy using a large-caliber needle<sup>[60,61,67]</sup> may increase the chance of a definitive histological diagnosis of AIP. A Japanese nationwide survey published in 2012<sup>[68]</sup> reported that histological confirmation was obtained in about 40% of AIP cases by EUS-guided tissue sampling, in 22% by resection, and in 18% by percutaneous biopsy. The choice of suitable modalities for histological evaluation can therefore eliminate non-necessary surgery in a large number of cases.

AIP is often associated with sclerosing cholangitis, which needs differential diagnosis from bile duct cancer. In this sense, periampullary forceps biopsy (and cytology) should be added in cases with biliary stricture, as this method has high sensitivity for confirming cancer tissue in the biliary cancer cases (77%<sup>[69,70]</sup>-92%<sup>[71]</sup>).

### Response to steroid

Steroid response is seen in 97%-98% of both type 1 and

type 2 AIP cases<sup>[33,34]</sup>; hence, it is considered a useful diagnostic tool. Moon *et al.*<sup>[72]</sup> performed a 2 wk steroid trial on 22 consecutive patients with a pancreatic mass lesions atypical for AIP and used by CT and MRCP/ERCP to determine the steroid response. All 15 patients who responded to steroid were diagnosed with AIP, whereas all 7 patients who did not show a steroid response were confirmed as having PC<sup>[72]</sup>. We also used abdominal US to analyze the steroid response of the pancreatic lesion of AIP, and we recognized a steroid response (shrinkage of the pancreatic lesion) in 86% of the cases in 2 wk and in 97% after one month<sup>[33]</sup>. However, one case in this study showed no response by US and CT and required ERCP, which revealed an improvement in the narrowing of the MPD and the occurrence of hilar bile duct stenosis after the withdrawal of corticosteroid<sup>[33,48]</sup>. Similarly, some cases of AIP fulfill the diagnostic criteria after cessation of steroid<sup>[73]</sup>, so that clinicians need to remain aware of this. Many diagnostic criteria including those for ICDC (Table 2) can include evaluation of a steroid response either in the pancreatic or extrapancreatic lesions<sup>[9,17,18,25]</sup>, but the diagnosis is worrisome when the steroid response is seen only in the extrapancreatic lesions and not in the pancreas.

Today, a “response to steroid” is a commonly evalu-

**Table 5** Characteristics of clinicopathological findings in type 1 and type 2 autoimmune pancreatitis

	Type 1 AIP	Type 2 AIP
Geographical distribution	Asia > United States, Europe	Europe > United States > Asia
Age at presentation	60-70 s	40-50 s
Gender	Male >> Female	Male = Female
Symptoms	Jaundice, Abdominal pain	Jaundice, Abdominal pain
Serology	IgG4, IgG, Autoantibodies	Usually negative
Pancreatic images	Enlarged (focal, diffuse)	Enlarged (focal, diffuse)
Pancreatic histology	LPSP	IDCP with GEL
Extrapaneatic lesions	Sclerosing cholangitis, sialoadenitis, retroperitoneal fibrosis, interstitial nephritis, etc.	Inflammatory bowel disease
Steroid response	Excellent	Excellent
Relapse	High rate	Rare

AIP: Autoimmune pancreatitis; LPSP: Lymphoplasmacytic sclerosing pancreatitis; IDCP with GEL: Idiopathic duct-centric pancreatitis with granulocyte epithelial lesion.

ated diagnostic item for AIP in almost all diagnostic criteria<sup>[9,16-20,25]</sup>. However, it had not been included in the previous Japanese diagnostic criteria (2006)<sup>[15]</sup> in order to avoid simplistic therapeutic diagnosis by a steroid response without exclusion of possible pancreatobiliary malignancies. Clinicians must be careful in making differential diagnoses, and when malignant conditions are difficult to differentiate, pathological examination by EUS-FNA is preferable.

## CONCLUSION

AIP is a unique form of chronic pancreatitis consisting of two distinct subtypes and associated with various systemic disorders. An accurate diagnosis can only be obtained when clinicians have a good understanding well on this disease entity and need to make use of diagnostic items including clinical images for pancreatic parenchyma, pancreatography and extrapancreatic lesions, serum markers, histological examinations of the pancreatic lesion, and steroid responses.

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**Table 6** Extrapaneatic lesions associated with type 1 autoimmune pancreatitis

Close association	Possible association
Lachrymal gland inflammation	Hypophysitis
Sialoadenitis	Autoimmune neurosensory hearing loss
Hilar lymphadenopathy	Uveitis
Interstitial pneumonitis	Chronic thyroiditis
Sclerosing cholangitis	Pseudotumor (breast, lung, liver)
Retroperitoneal fibrosis	Gastric ulcer
Tubulointestinal nephritis	Swelling of Papilla of Vater
	IgG4 hepatopathy
	Periaortitis
	Prostatitis
	Schonlein-Henoch purpura
	Autoimmune thrombocytopenia

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