

87635_Auto_Edited.docx

Name of Journal: *World Journal of Psychiatry*

Manuscript NO: 87635

Manuscript Type: ORIGINAL ARTICLE

Observational Study

Depression and sarcopenia-related traits: A Mendelian randomization study

Wang DK *et al.* Depression and sarcopenia

Abstract

BACKGROUND

Observational studies have suggested that depression is associated with sarcopenia. However, the causal relationship between depression and sarcopenia remains unclear.

AIM

To investigate the causal relationship between depression and sarcopenia.

METHODS

We performed a Mendelian randomization (MR) analysis to identify the bidirectional relationship between depression and sarcopenia-related traits. Summary-level data and independent variants used as instrumental variables came from large genome-wide association studies of depression (414055 cases and 892299 controls), of appendicular lean mass (ALM, 450243 participants), and of hand grip strength (exposure: 360000 participants; outcome: 334925 participants).

RESULTS

We identified a negative association of depression with lower ALM [odds ratio (OR): 0.932, 95% confidence interval (95%CI): 0.889-0.979, $P = 0.005$]. In the reverse MR analysis,

we also observed an inverse association of hand grip strength with depression (OR: 0.200, 95%CI: 0.108-0.370, $P < 0.001$). Similar results were obtained in sensitivity analyses.

CONCLUSION

Depression was causally related to decreased muscle mass, and declined muscle strength might lead to a higher risk of depression.

Key Words: Appendicular lean mass; Depression; Hand grip strength; Mendelian randomization; Older adults; Sarcopenia

Wang DK, Li YH, Guo XM. Depression and sarcopenia-related traits: A Mendelian randomization study. *World J Psychiatry* 2023; In press

Core Tip: In this Mendelian randomization study, we established a bidirectional relationship between depression and reduced muscle mass, specifically lower appendicular lean mass and hand grip strength. Our findings highlight a potential bidirectional relationship between depression and sarcopenia with implications for both mental and physical health.

INTRODUCTION

Sarcopenia is a complex geriatric disorder marked by a gradual and progressive reduction of skeletal muscle mass, decrease in skeletal muscle strength, and deterioration in physical performance^[1]. In addition to elevating the risks of disability, sarcopenia also relates closely to a wide range of adverse consequences, such as falls, hospitalization due to fall-related injuries, and even mortality^[2,3]. As the population ages, the prevalence of sarcopenia increases, making it an important public health issue and a global health burden. In addition, depression is common among the elderly, with an average 12-mo occurrence rate of approximately 6%. This is related to negative health consequences, including increased mortality and reduced quality of life^[4,5]. Thus, depression and

sarcopenia are important concerns for the elderly population, and it is crucial to establish a clear understanding of their relationship.

Numerous observational studies have suggested that depression and sarcopenia are common comorbidities, but there is no direct evidence of causality^[6-8]. In a clinical trial investigating sarcopenia as a therapeutic target, the management of sarcopenia was found to be associated with a notable reduction in depressive symptoms^[9]. Some studies have suggested that skeletal muscle may influence psychiatric illnesses through neurotrophic factors^[10]. However, those studies were unable to provide convincing evidence to elucidate a null association for the effect of depression on sarcopenia.

Mendelian randomization (MR) represents a compelling genetic epidemiological approach that utilizes genetic variants associated with exposures, which can effectively avoid the potential methodological limitations of observational studies, including reverse causation bias. We conducted this bidirectional MR study to examine the causal relationship between depression and sarcopenia.

MATERIALS AND METHODS

Study design

The diagram of this MR study is displayed in the Figure 1. The genetic variations selected as instrumental variables (IVs) were based on three predominant assumptions: (1) Selected IVs are strongly associated with exposures; (2) There is no observed association between the IVs and potential confounding factors; and (3) The IVs affect outcomes only through exposures without any other pathways^[11].

IVs

The IVs for the MR analyses were derived from several different genome-wide association studies (GWASs). Single nucleotide polymorphisms (SNPs) were chosen as IVs when the SNPs for exposures reached genome-wide significance ($P < 5.0 \times 10^{-8}$). All IVs were clumped for independence (linkage disequilibrium $r^2 < 0.1$; region size, 3000 kb) according to the European data from the 1000 Genomes Project. If the SNPs for exposures

were not available in the outcome datasets, proxy SNPs (linkage disequilibrium $r^2 > 0.8$) were adopted online (ldlink.nci.nih.gov/). Palindromic SNPs were excluded in the analyses when harmonizing the directions of SNP effects on exposures and outcomes. We also calculated the F - statistics to assess the instrument strength. IVs with F - statistics < 10 were considered to have a weak instrument bias.

Data sources

Genetic IVs associated with depression were obtained from a GWAS meta-analysis that included 414055 cases and 892299 controls from the UK Biobank, 23andMe_307k, and PGC_139k^[12]. In the UK Biobank cohort, the depression phenotype, referred to as “broad depression,” was determined based on self-reported responses to a web-based questionnaire. In the cohort from 23andMe_307k, the depression phenotype was determined based on self-reported information regarding clinical diagnosis or treatment for depression. In PGC_139k, the depression phenotype was clinically diagnosed. In total, this GWAS identified 102 independent SNPs located at 101 Loci that were associated with depression at a level of genome-wide significance, which led to an 8.9% variance in depression.

Appendicular lean mass (ALM) and hand grip strength were selected as a measure of muscle mass and strength. Unlike whole body lean mass, ALM is primarily affected by skeletal muscle and is recommended by the European Working Group on Sarcopenia in Older People due to its high predictive power for sarcopenia-related health outcomes^[1]. The summary statistic for ALM was obtained from a GWAS of the UK Biobank ($n = 450243$)^[13]. That GWAS had measured ALM by bioelectrical impedance analysis (BIA) for fat-free mass at the arms and legs. The summary statistic for hand grip strength was obtained from the UK Biobank (nealelab.is/uk-biobank) and included approximately 360000 participants from Europe. Right-hand grip strength ($n = 359729$) and left-hand grip strength ($n = 359704$) were meticulously assessed using a calibrated Jamar J00105 hydraulic hand dynamometer adjusted to accommodate hand size variations. We derived 139 independent genetic IVs associated with hand grip from a

GWAS from the UK Biobank, which included 334925 individuals^[14]. These variants accounted for 1.7% of the variability in grip strength. In this study, relative grip strength was applied, as it may show a better correlation with physical capability than absolute hand grip strength.

Each study incorporated in the GWAS used in the present study was approved by local research ethics committees or Institutional Review Boards, and all participants had given their informed consent.

Statistical analysis

In the main analyses, the random-effects inverse-variance weighted (IVW) approach was applied to evaluate a bidirectional relationship between depression and sarcopenia-related traits^[15]. In addition, several sensitivity analyses were also conducted to identify potential pleiotropy. Cochran's Q test was used to assess the heterogeneity among various IVs. The weighted median method was used to include only valid IVs, allowing less than 50% of the genetic variants to be invalid IVs^[16]. The MR-Egger method was conducted to detect and correct for any pleiotropic bias^[17]. Furthermore, we used the MR Pleiotropy Residual Sum and Outlier (MR-PRESSO) method to conduct a global test of heterogeneity and to identify horizontal pleiotropy. Any identified SNPs with pleiotropic effects were excluded, and a repeated IVW analysis was subsequently performed to ensure the robustness of the results^[18].

All tests were two-sided, and the Bonferroni-corrected significance threshold was set to $P \leq 0.01$ (correcting for 5 outcomes) to account for multiple comparisons. The P values ranging from 0.05 to 0.01 were considered to be suggestive of a potential association between exposures and outcomes. All analyses were conducted using TwoSampleMR and MR-PRESSO packages in R software (Version 4.1.3).

1 RESULTS

The main characteristics of all SNPs adopted in the MR analyses are shown in Table 1. The F -statistics for all IVs were higher than the threshold of 10, indicating the absence of

¹ weak instrument bias in the present study. The summary information of SNPs for the three traits is displayed in Supplementary Tables 1 and 2. The results of Cochran's Q tests and MR-Egger regression are shown in Table 2.

In the random-effect IVW estimates, genetically determined depression was causally associated with lower ALM [odds ratio (OR): 0.932, 95% confidence interval (95%CI): 0.889 – 0.979, $P = 0.005$; Figure 2]. This association was robust in the weighted median. The MR-PRESSO analysis identified 18 potential SNP outliers. After removing the outliers, the result was similar. There was significant association of depression with left hand grip and right hand grip (³OR: 0.962, 95%CI: 0.936 – 0.989, $P = 0.007$; OR: 0.961, 95%CI: 0.935 – 0.987, $P = 0.004$). However, these results were not confirmed in the sensitivity analyses. Several potential SNP outliers were identified in the MR-PRESSO tests. After removing the outliers, the results remained significant.

A significant association was observed between decreased ALM and depression (OR: 0.969, 95%CI: 0.947 – 0.992, $P = 0.047$; Figure 3). In the sensitivity analyses, weighted median and MR-Egger tests revealed similar effects but with broader confidence intervals. Nine SNPs were detected in the MR-PRESSO test. After removing outliers, the result indicated a suggestive association (OR: 0.978, 95%CI: 0.957 – 0.999, $P = 0.044$). In addition, genetically determined hand grip strength was causally associated with depression by the ⁶IVW method (OR: 0.200, 95%CI: 0.108 – 0.370, $P < 0.001$). The weighted median method yielded similar results despite the MR-Egger test revealing a null association. In the MR-PRESSO test, three outliers were identified and removed, and the result remained significant.

DISCUSSION

We performed this MR study to explore the bidirectional causal association between depression and sarcopenia. In the forward MR analyses, depression was associated with decreased ALM. Results from IVW suggested that decreased ALM was associated with depression and that depression was associated with lower hand grip strength. However, these results could not be repeated in sensitivity analyses. In the reverse MR analyses,

lower hand grip strength was associated with a higher risk of depression. Overall, we reported a significant bidirectional association between depression and sarcopenia.

Previous observational studies, mainly cross-sectional in design, suggested that there was a positive association between depression and sarcopenia^[8,19-22]. Two meta-analyses included also drew the conclusion that the prevalence of depression in patients with sarcopenia is higher than in the general population^[6,7]. Despite considering many common covariates, these studies were still unable to provide evidence of causality between the two conditions. A recent longitudinal study comprising 115601 older adults reported that higher hand grip strength was associated with a lower risk of depression among older adults^[23]. Similar results were also drawn from a 7-year prospective cohort study conducted in China^[24]. Although this topic has received widespread attention, few studies have investigated the effect of depression on sarcopenia. Overall, our MR study provided evidence that depression and sarcopenia are possibly connected in a bidirectional manner, whereas previous studies primarily focused on investigating the effect of depression on sarcopenia in a unidirectional manner.

The exact mechanism between depression and sarcopenia remains inconclusive. However, there are several potential connections between the two conditions. First, in the original GWAS of hand grip strength, expression quantitative trait loci analyses revealed multiple genes associated with neurodevelopmental disorders or brain function^[14]. The results of a meta-analysis demonstrated a significant enrichment of gene expression of brain-related transcripts^[14]. In another study, researchers used twin data from China to explore the genetic overlap between depression and grip strength^[25]. They observed potential genetic correlations, SNPs, genes, and pathways common to both conditions, which indicates a shared genetic basis^[25]. Second, some studies have suggested that brain - derived neurotrophic factor secreted by skeletal muscle may play a role in depression and anxiety^[10]. Brain-derived neurotrophic factor drives hippocampal neurogenesis, and the hippocampus is a key region of the brain implicated in psychiatric illness^[26]. Third, chronic inflammation could potentially serve as a shared risk factor for both depression and sarcopenia^[27]. Inflammatory biomarkers, such as C-reactive protein

and interleukin 6, are negatively associated with ALM^[28]. Meanwhile, increased C-reactive protein and interleukin 6 are also associated with future depression^[29]. This could be attributed to increased inflammatory cytokines in patients with sarcopenia, which could negatively impact the central nervous systems of older adults leading to a depressed mood and reduced mobility^[27]. Finally, patients with depression are typically lacking in physical activity, which is a well-known factor leading to sarcopenia^[30]. These findings collectively supported the conclusion of our current MR analysis, indicating a bidirectional relationship between depression and sarcopenia.

One of the key strengths of this analysis was the utilization of well-powered GWAS data for depression and sarcopenia-related traits. The implementation of a bidirectional MR design allowed for a comprehensive evaluation of the mutually causal relationship. Nevertheless, there are several limitations that need to be addressed. First, sample overlap between the exposure and outcome populations might potentially influence the study results. There was also a study, however, supporting the applicability of a single large dataset from large biobanks in two-sample MR studies^[31]. Second, ALM was not directly measured by the BIA equipment but was estimated based on whole-body electrical conductivity. The estimates of BIA can be influenced by factors such as age, ethnicity, hydration status, and other related discrepancies. All of these factors may subsequently influence the MR results. Third, in the original GWAS the authors reported that they used the broad definition of depression in the cohort of the UK Biobank. This reporting mechanism differed from the self-declared clinical depression phenotype of the 23andMe_307k cohort and the clinically obtained phenotype of the PGC_139k cohort. This difference may have an impact on the results of the MR analysis. Fourth, the population in our study was restricted to Europe, which limits the generalizability of our findings to non-European populations. Finally, potential directional pleiotropy may contribute to bias in the estimation of causal inference even though MR-Egger regression and MR-PRESSO methods were applied.

CONCLUSION

We provided evidence of a bidirectional association between depression and sarcopenia. Depression was causally related to decreased muscle mass. Meanwhile, declined muscle strength might lead to a higher risk of depression. Our study highlighted the importance of assessing sarcopenia and depression among older adults to understand and address the interplay between physical and mental health.

ARTICLE HIGHLIGHTS

Research background

Sarcopenia is a complex geriatric disorder marked by a gradual and progressive reduction of skeletal muscle mass, decrease in skeletal muscle strength, and deterioration in physical performance. Depression is also common among the elderly. Observational studies have suggested that depression is associated with sarcopenia.

Research motivation

The causal relationship between depression and sarcopenia remains unclear.

Research objectives

To investigate the causal relationship between depression and sarcopenia.

Research methods

² We performed a Mendelian randomization (MR) analysis to identify the bidirectional relationship between depression and sarcopenia-related traits. ¹ Summary-level data and independent variants were used as instrumental variables that came from large genome-wide association studies of depression (414055 cases and 892299 controls), of appendicular lean mass (ALM, 450243 participants), and of hand grip strength (exposures: 360000 participants; outcomes: 334925 participants).

Research results

We identified a negative association of depression with lower ALM. In the reverse MR analysis, we also observed an inverse association of hand grip strength with depression. Similar results were obtained in the sensitivity analyses.

Research conclusions

Depression was causally related to decreased muscle mass. Declined muscle strength might lead to a higher risk of depression.

Research perspectives

Our findings highlighted a potential bidirectional relationship between depression and sarcopenia with implications for both mental and physical health.

ACKNOWLEDGEMENTS

5

The authors thank the participants in the UK Biobank for their contribution to the study.

7%

SIMILARITY INDEX

PRIMARY SOURCES

- 1

www.frontiersin.org
Internet

90 words — 3%
- 2

www.ncbi.nlm.nih.gov
Internet

42 words — 2%
- 3

journals.lww.com
Internet

16 words — 1%
- 4

Elif Citak, Serkan Feyyaz Yalin, Mehmet Riza, Mehmet Guven. "Investigation of XPD, miR-145 and miR-770 expression in patients with end-stage renal disease", Research Square Platform LLC, 2023
Crossref Posted Content

15 words — 1%
- 5

Qiaochu Xue, Xiang Li, Xuan Wang, Hao Ma, Yoriko Heianza, Lu Qi. "Subtypes of Type 2 Diabetes and Incident Cardiovascular Disease Risk: UK Biobank and All of Us Cohorts", Mayo Clinic Proceedings, 2023
Crossref

14 words — 1%
- 6

Peng Wang, Kun Xiang, Yuan-Yuan Xu, Yi-Sheng He, Yu-Qian Hu, Jing Ni, Hai-Feng Pan. "Genetically Predicted Circulating Omega-3 Fatty Acids Levels Are Causally Associated With Increased Risk for Systemic Lupus Erythematosus", Frontiers in Nutrition, 2022
Crossref

12 words — < 1%

EXCLUDE QUOTES	ON	EXCLUDE SOURCES	< 12 WORDS
EXCLUDE BIBLIOGRAPHY	ON	EXCLUDE MATCHES	< 12 WORDS