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Expression of Hepatocyte Nuclear Factor 4 alpha, Wingless-Related Integration Site,

and β-Catenin in Clinical Gastric Cancer

Qian Hu, Ling-Li Li, Ze Peng, Ping Yi

Abstract

**BACKGROUND** 

Gastric cancer (GC) is the second most common cause of cancer-related deaths

worldwide. Hepatocyte nuclear factor 4 alpha (HNF4α) that belongs to the nuclear

hormone receptor superfamily, is overexpressed in GC tissues, and might be involved

in the development of gastric cancer by regulating its downstream Wingless-related

integration site (WNT)/ $\beta$ -catenin signaling.

AIM

Therefore, we aimed to clarify the expression of  $HNF4\alpha/WNT5a/\beta$ -catenin signaling

proteins in clinical gastric cancer tissues.

**METHODS** 

We immunohistochemically stained pathological blocks of GC and matched para-

cancerous tissues. The intensity of HNF4α, WNT5a and β-catenin staining in the tumor

cells was determined according to cell rates and staining intensity. The correlations

between gastric cancer and HNF4α, WNT5a, and β-catenin expression using chi-square

and paired chi-square tests. Relationships between double-positive HNF4α and WNT5a

expression and types of gastric tumor tissues were assessed using regression analysis.

Correlations between HNF4 $\alpha$  and WNT5a expression at the RNA level in GC tissues found in the TCGA database were analyzed using Pearson correlation coefficients.

#### **RESULTS**

We found more abundant HNF4 $\alpha$  and WNT5a proteins in GC, especially in mucinous adenocarcinoma and mixed GC than in adjacent tissues (P < 0.001). Low and high levels of cytoplasmic  $\beta$ -catenin respectively expressed in GC and adjacent tissues (P < 0.001) were not significantly associated with pathological parameters.

### CONCLUSION

The expressions of HNF4 $\alpha$  and WNT5a could serve as early diagnostic biomarkers for gastric cancer.

**Key Words:** B-catenin; Biomarker; Gastric cancer; Hepatocyte Nuclear Factor 4 alpha; Wingless-Related Integration Site

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Core Tip: Gastric cancer is the second most common cause of cancer-related deaths worldwide. A precise biopsy biomarker that can predict the progression of gastric cancer and change its prognosis is urgently needed. We previously found that HNF4 $\alpha$ /WNT signaling is involved in the process of gastric cancer in preclinical models. Here, we obtained gastric tumor and para-cancerous tissue sections from Tongji Hospital and investigated the expression of HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin. The expression of HNF4 $\alpha$  and WNT5a could serve as an early diagnostic biomarker of gastric cancer.

# INTRODUCTION

Gastric cancer (GC) is the second most common cause of cancer-related deaths worldwide. Because early symptoms are not obvious, most GCs are diagnosed at a late stage when a radical cure is largely ineffective<sup>[1,2]</sup>. Thus, to diagnose the disease at an early stage when the treatment is likely to be more effective is critical. Several screening approaches have been proposed, such as serum pepsinogens, serum ghrelin and Gastrin-17. However, further investigation is needed to determine the overall effectiveness of these approaches<sup>[3]</sup>. Upper gastrointestinal endoscopy is the most sensitive and specific diagnostic screening method, and biopsy tissues can be morphologically examined<sup>[4,5]</sup>. However, endoscopic diagnosis of early GC is quite challenging because it requires advanced endoscopic techniques, and expert endoscopists who are familiar with current and emerging techniques<sup>[4]</sup>. Therefore, accurate biopsy biomarkers are needed that can predict the progression and prognosis of GC in para-cancerous or cancerous tissues. Identifying such markers would lead to the development of accurately targeted therapy for GC.

Hepatocyte nuclear factor 4 alpha (HNF4α) is an endoderm-specific zinc-finger transcription factor that has a highly conserved orphan receptor and belongs to the nuclear hormone receptor superfamily<sup>[6]</sup>. It is found in the liver, kidney, pancreas, stomach, small intestine, and colon<sup>[7]</sup> and is associated with several types of cancer. The abundance of HNF4α varies in different types of cancer and even reverses. For example, HNF4α is expressed at lower levels in colon carcinoma and hepatocellular carcinoma tissues than in adjacent normal tissues<sup>[6, 8]</sup>. In contrast, it is overexpressed in gastric tumor tissues compared to adjacent normal tissues and associated with a poorer prognosis in patients with GC<sup>[9]</sup>. Moreover, protein-protein interaction networks have revealed that HNF4α is the most significant node in GC and might be a useful diagnostic marker and therapeutic target<sup>[10]</sup>. However, a relationship between HNF4α and the pathological classification or demographic characteristics of GC has not been identified.

Wingless-related integration site (WNT) signaling pathways are deregulated in cancers<sup>[11]</sup>. Nam *et al* applied PATHOME, a novel algorithm that can sensitively detect expressed pathways in GC gene expression datasets<sup>[12]</sup>. They found that WNT pathway are involved in the development of primary GC and that WNT5a is a potential target gene<sup>[12,13]</sup>. A meta-analysis similarly associated WNT5a expression in human GC with aggressiveness and poor prognosis<sup>[14]</sup>. Anti-WNT5a antibodies can suppress GC metastasis *in vivo*<sup>[15]</sup>. Moreover, WNT5a is closely associated with the epithelial-mesenchymal transition (EMT) and participates in GC development by promoting the EMT<sup>[16,17]</sup>.

Hepatocyte nuclear factor 4 alpha is a transcription factor that regulates the transcription of WNT5a by competing with  $\beta$ -catenin for binding to transcription factor 4 (TCF4) and inhibiting WNT/ $\beta$ -catenin signaling in hepatocellular carcinoma cells<sup>[6, 12, 18]</sup>. A double-negative feedback mechanism controls WNT/ $\beta$ -catenin signaling and HNF4 $\alpha$  expression during EMT regulation, which eventually affects hepatocellular carcinoma development<sup>[19]</sup>. We previously found that an HNF4 $\alpha$ /WNT5a/ $\beta$ -catenin signaling axis in GC cell lines and animal models<sup>[20, 21]</sup>, and affects the growth and development of GC<sup>[12]</sup>. However, the expression of the HNF4 $\alpha$ /WNT5a/ $\beta$ -catenin signaling pathway in clinical GC tissues remains unclear. Thus, we investigated the relationship between this pathway and GC in a preclinical model of GC tissues.

In this study, 158 cases of gastric tumoral tissues and 164 cases of adjacent paracancerous tissues in Tongji hospital were selected. We immunohistochemically stained HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin expression in these tissues. And then we explored relationships between these molecules and the clinicopathological features of GC as well as the sociological features of patients. This is an extension of a series of studies.

### MATERIALS AND METHODS

### Sample collection and characterization

Human GC tissues were obtained from patients who presented between 2016 and 2018 at Tongji Hospital of Tongji Medical College of Huazhong University of Science and

Technology (Wuhan, China). According to the WHO histological classifications, GC comprises signet ring cell carcinoma, and tubular, as well as mucinous adenocarcinoma. We initially selected wax blocks of paraffin-embedded GC and matched para-cancerous tissue blocks (n = 164 each) to reduce the influence of pathological WHO classification of GC on the expression of HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin in GC tissues. However, six small gastric tumor tissues of insufficient quality were excluded. Therefore, we analyzed 158 GC (59 signet-ring cell carcinoma, and 35 tubular, and 64 mucinous adenocarcinomas) and 164 para-cancerous tissues. The gastric cancer tissues comprised 97, 46, and 15 samples of intestinal, diffuse mixed types according to the Lauren classification. Pathologists from the Department of Pathology at Tongji Hospital determined the histological classification. The study was approved by the Ethics Committee of Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology (Approval no: TJ-IRB20190501).

### Immunohistochemistry staining

The paraffin-embedded tissues were cut into 4-μm-thick sections and mounted on triplicate APES-coated slides (AR0001; Boster Biological Technology Co., Ltd., Wuhan, China). The tissues were deparaffinized in xylene (Hubei Jing-Hengye Technology Co., Ltd., Wuhan, China) and rehydrated in graded ethanol (National Medicine Group Chemical Reagent Co., Ltd.). Endogenous peroxidase activity was quenched with a 3% hydrogen peroxide in methanol (National Medicine Group Chemical Reagent Co., Ltd.) at room temperature for 30 min, then the tissues were rinsed in phosphate-buffered saline (PBS) at pH 6.0 after antigen retrieval in 10 mmol/L citrate buffer (pH 6.0) at 94°C for 8 and 10 min, the slides were immediately cooled for 20 min at room temperature. Nonspecific antigen binding sites were blocked by incubation with wash buffer containing 10% normal goat serum (YJ0130; Shanghai Yanjin Biology Science and Technology Co., Ltd., Shanghai, China) at 37°C for 30 min. The sections were then incubated overnight at 4°Cwith primary antibodies against HNF4α diluted 1:200 (#3113; Cell Signaling Technologies, Danvers, MA, USA), WNT5a diluted 1:80 (abs113167;

Abgent, San Diego, CA, USA), and  $\beta$ -catenin diluted 1:100 (#8480; Cell Signaling Technologies). Positive tissues were stained brown with the peroxidase substrate 3-3′-diaminobenzidine tetrahydrochloride (DAB) (Hubei Biossci Biological Co., Ltd., Wuhan, China) and all tissues were lightly counterstained with hematoxylin. Specimens were examined under a light microscope (200× magnification).

# Interpretation of immunohistochemical findings

The intensity of HNF4 $\alpha$ , WNT5a and  $\beta$ -catenin staining in the tumor cells was determined using a single-blind method. The samples were scored as 0, +1 or +2 according to cell rates, and from 0 to +3 according to staining intensity<sup>[22]</sup>. Cell positivity scores: 0, weakly positive (< 10% of tumor cells emitted faint signals); +1, moderately positive (10%~50% of tumor cells emitted clear signals); +2 strongly positive (>50% of tumor cells emitted signals). Staining intensity of tumor cells was scored as 0 (negative), +1, +2 or +3 (weakly, moderately, and strongly positive, respectively). The product of the positive cell rate and staining intensity generated the immunohistochemical score, where 0-1 was negative (-), and 2-3, 4, and 6 were mildly (+), moderately positive (++), and strongly (+++) positive, respectively.

#### Statistical analyses

All data were analyzed using SPSS v. 20.0 (IBM Corp, Armonk, NY, USA). Relationships between the expression of HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin and the pathological features of GC as well as the sociological features of the patients were analyzed using chi-squared tests. Correlations among HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin expression were evaluated using chi-squared tests of paired comparisons. Relationships between double-positive HNF4 $\alpha$  and WNT5a expression and types of gastric tumor tissues were assessed using regression analysis. Correlations between HNF4 $\alpha$  and WNT5a expression at the RNA level in GC tissues found in the TCGA database were analyzed using Pearson correlation coefficients. Values with two-tailed P < 0.05 were considered statistically significant.

### RESULTS

# Demographic characteristics of patients and pathological characteristics of gastric cancer sections

Among the 158 GC tissues from 101 men and 57 women, 97, 52 and 9 were poorly, moderately, and highly differentiated, respectively. The GC tissues were staged according to the depth of invasion (T), lymph node (N), and distant metastasis (M) (TNM classification). We staged 28, 38, 74, and 18 GC tissues as I, II, III, and IV, respectively (Table 1).

# Expression of HNF4a in gastric tumoral tissues and para-cancerous tissues

Figure 1A and B shows representative HNF4 $\alpha$  expression in different grades of GC and para-cancerous tissues. The positive rates of HNF4 $\alpha$  in GC and para-cancerous tissues were 145 (91.7%) of 158 vs. 16 (9.8%) of 164 (P < 0.001 Table 2).

### Expression of WNT5a in gastric tumoral tissues and para-cancerous tissues

As a ligand for the Frizzled family of atypical G protein-coupled receptors, WNT5a plays a critical role in postnatal cellular functions and development<sup>[14]</sup>. Both HNF4 $\alpha$  and WNT5a, which is a downstream signaling molecule and effector of HNF4 $\alpha$ , are involved in GC development. Therefore, we analyzed WNT5a expression in clinical samples<sup>[18]</sup>. Figure 2 shows WNT5a expression in different grades of gastric tumor and para-cancerous tissues, and cytoplasmic staining. The positive rate of WNT5a expression was 149 (94.3%) of 158 (Table 3) in GC, and significantly decreased in paracancerous tissues (P < 0.001).

### Relationships between HNF4a, WNT5a expression and different types of gastric cancer

The WHO histologically classifies GC as tubular, signet ring cell, and mucinous adenocarcinoma. Table 4 shows that HNF4 $\alpha$  expression was abundant in tubular and mucinous adenocarcinomas and relatively weak in signet ring cell carcinoma (P <

0.001). Conversely, WNT5a expression was more abundant in mucinous, than in tubular adenocarcinoma and signet ring cell carcinoma (P < 0.001). The results of regression analyses showed that tubular GC was more likely to moderately express positive WNT5a (Supplementary Table 1).

The Lauren classification also categorizes GC into intestinal, diffuse, and mixed types. Compared with intestinal and diffuse GC, both HNF4 $\alpha$  and WNT5a were strongly positive in mixed GC (Table 5, P < 0.001), but overall, both were abundantly expressed in mucinous and mixed GCs.

# Co-expression of HNF4a and WNT5a in gastric tumoral tissues and correlation with the clinicopathological characteristics

We examined pairwise co-expression associations between HNF4 $\alpha$  and WNT5a in clinical GC tissue specimens. The X<sup>2</sup> test of paired comparisons found no positive correlations between HNF4 $\alpha$  and WNT5a expression in these tissues (X<sup>2</sup> = 1.5, P > 0.05; Table 6), although both were more abundantly expressed in GC, than para-cancerous tissues.

We analyzed the diagnostic accuracy, sensitivity, specificity, and positive and negative predictive rates of HNF4 $\alpha$  and WNT5a to further determine their potential roles in diagnosing GC. Table 7 shows that the diagnostic accuracy, sensitivity, specificity, and positive and negative predictive rates of HNF4 $\alpha$  were 91.0%, 91.8% 90.2%, 90.1% and 91.9%, respectively. In contrast, the diagnostic accuracy and specificity of WNT5a were relatively low at 85.7% and 77.4%, respectively, but the diagnostic sensitivity reached 94.3%. The positive and negative predictive rates of WNT5a expression were 80.1% and 93.4%, respectively. The expression of HNF4 $\alpha$  and WNT5a in GC tissues did not correlate with the patients' sex and age, or tumor differentiation, invasion depth, distant metastasis, and TNM stage (P > 0.05). In contrast, HNF4 $\alpha$  expression was associated with GC differentiation, and the positive rate was the highest among the moderately differentiated GC tissues (P < 0.05) (Supplementary Table 2).

### Beta-Catenin expression in gastric tumoral tissues and para-cancerous tissues

Excessive cytoplasmic  $\beta$ -catenin in the  $\beta$ -catenin-dependent WNT signaling pathway migrates to the nucleus where it acts as a transcription factor and activates a series of downstream signaling pathways. We analyzed whether the canonical  $\beta$ -catenin-dependent or the non-canonical  $\beta$ -catenin-independent WNT pathway participates in clinical gastric carcinogenesis. Figure 3 shows abundant membranous and cytoplasmic  $\beta$ -catenin expression in gastric tumoral and para-cancerous tissues, but the ratio of positive  $\beta$ -catenin expression was significantly lower in the latter 114 (72.2%) of 158 vs. 153 (93.3%) of 164 (P < 0.001; Table 8).

We further analyzed correlations between  $\beta$ -catenin expression in the GC tissues and the clinical demographic features of the patients as well as the histopathological characteristics of GC. The expression of  $\beta$ -catenin was not significantly associated with sex, age, tumor differentiation, depth of invasion, distant metastasis, and TNM stage in these patients (P > 0.05; Supplementary Table 3).

### DISCUSSION

The nuclear transcription factor HNF4α is expressed in the liver, kidney, and intestine<sup>[6]</sup>. It participates in glucose and lipid metabolism and insulin secretion, and is associated with metabolic diseases such as diabetes and obesity<sup>[24-26]</sup> as well as malignant tumor differentiation *via* gene regulation<sup>[27]</sup>. For example, Sugano *et al* found that HNF4α could serve as a marker for invasive mucinous adenocarcinoma of the lung, and Ma *et al.* found that HNF4α is linked to GC caused by *Helicobacter pylori*<sup>[9]</sup>, <sup>28]</sup>. We previously showed that HNF4α can regulate the proliferation, invasion, and metastasis of GC *in vitro and in vivo* by modulating the tumorigenic WNT signaling pathway<sup>[20]</sup>. The present study found that more nuclei stained with HNF4α in GC, than paracancerous tissues had high diagnostic accuracy, sensitivity, and specificity. Furthermore, HNF4α regulates organic acid metabolism, sustains oncogenic metabolism in GC, and promotes GC development by positively regulating the

isocitrate dehydrogenase 1 (IDH1) gene<sup>[29]</sup>. Moreover, HNF4 $\alpha$  enhances multidrug resistance by increasing GC cell apoptosis, thus suppressing therapeutic responses<sup>[30]</sup>. Therefore, HNF4 $\alpha$  can promote GC development by affecting the metabolism and multiple drug resistance of GC cells.

Aberrant WNT signaling often leads to tumor development and WNT5a is a ligand for seven Frizzled family transmembrane receptors, most of which are associated with GC. We previously found significantly increased expression of WNT5a in SGC7901 and MGC803 GC cells and in mice with GC xenografts. Consistent with these results, WNT5a is abundantly expressed in GC tissues, weakly in para-cancerous tissues, and in the cytoplasm of clinical GC sections<sup>[12, 31]</sup>. The selective effects of WNT5a on cancer cells include the promoted invasion but not proliferation of KKLS GC cells, and the stimulation of both in A549 Lung cancer cells<sup>[32]</sup>. The progression of GC is promoted by WNT5a mainly by increasing the expression of metastatic proteins such as laminin gamma 2 and the EMT<sup>[16, 33]</sup>. However, WNT5a induces the M2 polarization of tumorassociated macrophages through the CaKMII-ERK1/2-STAT3 pathway in CRC, thus promoting the proliferation, migration, and invasiveness of cancer cells<sup>[34]</sup>. Therefore, abundant WNT5a in gastric tumor tissues might contribute to GC metastasis and invasion by affecting EMT.

We previously found that the WNT5a/ $\beta$ -catenin signaling pathway is cell downstream of HNF4 $\alpha$  in GC cells, and the HNF4 $\alpha$ /WNT5a signaling affected GC development in GC cell lines and animal models<sup>[20, 21]</sup>. The present study also found more abundant HNF4 $\alpha$  and WNT5a expression in GC, than that in adjacent tissues, especially in mucinous adenocarcinoma and mixed GC, and that both had high diagnostic accuracy. However, HNF4 $\alpha$  and WNT5a expression did not correlate in GC tissues. We similarly identified a weak correlation (0 < r = 0.11) between HNF4 $\alpha$  and WNT5a in GC tissues at RNA level in the Cancer Genome Atlas (TCGA, https://cancergenome.nih.gov/) (Supplementary file 2), whereas others have found that HNF4 $\alpha$  and WNT5a expression in GC positively correlates at the RNA and protein levels<sup>[12, 18, 20]</sup>. Other than the abundant HNF4 $\alpha$  expression in moderately differentiated

GC, the present study found that neither HNF4 $\alpha$  nor WNT5a expression correlates with the demographic and pathological characteristics of GC of sex, age, tumor differentiation degree, invasion depth, distant metastasis and TNM stage.

The present study included tissues from patients who were treated between 2016 and 2018, and follow-up is currently underway. Therefore, we were unable to provide a complete (or 5-year) survival analysis of double positive or double negative HNF4α and WNT5a in GC. However, we analyzed the progression-free (PFS), and overall (OS) survival of 447 patients with HNF4α and WNT5a double positive and negative GC in the TCGA database. We found that the although patients tended to survive longer if they were double negative than double positive, the differences in 5-year OS or PFS did not reach statistical significance (Supplementary file 3-6). A retrospective study found that a combination of traditional Chinese Medicine (TCM) and chemotherapy significantly prolongs survival and improves the quality of life among patients with GC compared with chemotherapy alone<sup>[35]</sup>. For example, berberine, an extract of *Rhizoma Coptidis*, improves the chemotherapy effect and inhibit GC development by regulating the HNF4α/WNT5a signal axis<sup>[20]</sup>.

The WNT/ $\beta$ -catenin pathway is crucial for tissue development and homeostasis in all animal, and its dysregulation is one of the most relevant events linked to cancer development and dissemination<sup>[36]</sup>. The representative WNT ligand WNT5a can activate both canonical  $\beta$ -catenin-dependent and non-canonical  $\beta$ -catenin-independent WNT pathways<sup>[37]</sup> that participate in cancer cell migration and invasion by mediating the EMT<sup>[23, 32, 37-43]</sup>. Inhibition of the WNT/ $\beta$ -catenin signaling pathway also suppresses GC metastasis<sup>[21, 44]</sup>. We examined  $\beta$ -catenin expression in clinical gastric tumor sections to determine which of the WNT/ $\beta$ -catenin pathways are involved in the development of GC. We found more abundant  $\beta$ -catenin in the membrane and cytoplasm of paracancerous, than GC tissues, and that this did not correlate with sex and age of patients, or the degree of differentiation, invasion depth, distant metastasis, or TNM stage of tumors. This implies that WNT5a activated the canonical WNT signaling pathway in GC, resulting in the reduction of cytoplasmic  $\beta$ -catenin. However, to confirm that

WNT5a regulates the canonical  $\beta$ -catenin-dependent signaling pathway in GC metastasis requires further investigation of  $\beta$ -catenin nuclear staining.

# **CONCLUSION**

The expression of HNF4 $\alpha$  and WNT5a was more abundant in GC, than para-cancerous tissues, whereas that of cytoplasmic  $\beta$ -catenin was lower. The diagnostic accuracy rate of HNF4 $\alpha$  and WNT5a for GC was 91.0% and 85.7%, respectively, indicating that both could serve as potential diagnostic tools for GC. To our knowledge, this is the first study to find that HNF4 $\alpha$ , WNT5a, and  $\beta$ -catenin proteins are expressed in GC. However, this study is limited by the retrospective design. Because all specimens were pathological paraffin blocks of clinical GC, we could not investigate expression of the HNF4 $\alpha$ /WNT5a/ $\beta$ -catenin signaling axis using western blotting or PCR. Therefore, clinical trials are warranted to verify our conclusion.

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#### **PRIMARY SOURCES**

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