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#### **ABOUT COVER**

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#### **AIMS AND SCOPE**

The primary aim of World Journal of Gastroenterology (WJG, World J Gastroenterol) is to provide scholars and readers from various fields of gastroenterology and hepatology with a platform to publish high-quality basic and clinical research articles and communicate their research findings online. WJG mainly publishes articles reporting research results and findings obtained in the field of gastroenterology and hepatology and covering a wide range of topics including gastroenterology, hepatology, gastrointestinal endoscopy, gastrointestinal surgery, gastrointestinal oncology, and pediatric gastroenterology.

#### **INDEXING/ABSTRACTING**

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ORIGINAL ARTICLE

## **Retrospective Study** Immune checkpoint inhibitor-mediated colitis is associated with cancer overall survival

Alexa R Weingarden, John Gubatan, Sundeep Singh, Tatiana Clorice Balabanis, Akshar Patel, Arpita Sharma, Aida Habtezion

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#### Abstract

#### BACKGROUND

Immune checkpoint inhibitor-mediated colitis (IMC) is a common adverse event following immune checkpoint inhibitor (ICI) therapy for cancer. IMC has been associated with improved overall survival (OS) and progression-free survival (PFS), but data are limited to a single site and predominantly for melanoma patients.

#### AIM

To determine the association of IMC with OS and PFS and identify clinical predictors of IMC.

#### **METHODS**

We performed a retrospective case-control study including 64 ICI users who developed IMC matched according to age, sex, ICI class, and malignancy to a cohort of ICI users without IMC, from May 2011 to May 2020. Using univariate and multivariate logistic regression, we determined association of presence of IMC on OS, PFS, and clinical predictors of IMC. Kaplan-Meier curves were gen-erated to compare OS and PFS between ICI users with and without IMC.

#### RESULTS

IMC was significantly associated with a higher OS (mean 24.3 mo vs 17.7 mo, P =0.05) but not PFS (mean 13.7 mo vs 11.9 mo, P = 0.524). IMC was significantly associated with OS greater than 12 mo [Odds ratio (OR) 2.81, 95% confidence interval (CI) 1.17-6.77]. Vitamin D supplementation was significantly associated with increased risk of IMC (OR 2.48, 95%CI 1.01-6.07).

#### CONCLUSION



IMC was significantly associated with OS greater than 12 mo. In contrast to prior work, we found that vitamin D use may be a risk factor for IMC.

Key Words: Immune checkpoint inhibitors; Immune checkpoint inhibitor-mediated colitis; Immune-related adverse events

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**Core Tip:** Immune checkpoint inhibitor-mediated colitis (IMC) is a common adverse event following immune checkpoint inhibitor (ICI) therapy for cancer. We sought to determine the association of IMC with overall survival (OS) and progression-free survival (PFS) among cancer patients treated with ICI and identify clinical predictors of IMC. We performed a retrospective case-control study including 64 ICI users who developed IMC. In multivariate logistic regression analysis, IMC was significantly associated with a higher OS but not PFS. IMC was significantly associated with OS greater than 12 mo. Vitamin D supplementation was associated with increased risk of IMC.

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#### INTRODUCTION

Immune checkpoint inhibitors (ICI) have dramatically changed the landscape of cancer therapy. Early studies showed significantly prolonged survival in patients with metastatic melanoma compared to standard chemotherapy[1], and evidence now exists for improved outcomes in a variety of tumors ranging from lung cancers to urothelial carcinoma to breast cancer<sup>[2-5]</sup>. Although these are powerful treatments in our armamentarium against malignancy, ICI can cause immune-related adverse events (irAE) characterized by autoimmune-like inflammation in a variety of non-tumor organs, leading to increased morbidity for patients[6].

One of the most common irAE is immune checkpoint inhibitor-mediated colitis (IMC). IMC may occur in up to 40% of patients treated with ipilimumab, an antibody targeting CTLA-4, 11%-17% of patients treated with antibodies against anti-PD-1 or anti-PD-L1, such as nivolumab, pembrolizumab, or atezolizumab, and around 32% of patients treated with a combination of anti-CTLA-4 and anti-PD-1[7]. Prior retrospective analyses of patients with IMC have attempted to identify characteristics associated with development of IMC, including type of malignancy, ICI class, dose of ICI, cancer stage, and vitamin D use[8-11]. Intriguingly, two prior studies have suggested that development of IMC may positively correlate with improved progression-free survival (PFS) and overall survival (OS)[9,10]. One of these studies controlled for confounding effects of ICI class via frequency matching, but was limited to patients with melanoma, hindering wider applicability of their findings[10]. These findings also conflict with data suggesting that use of steroids and the anti-TNF antibody infliximab in patients treated with ICI are associated with worse cancer outcomes [12,13]. These discrepancies represent a significant knowledge gap that impedes our ability to evaluate and manage IMC and ICI use.

Here we present data from a retrospective study of patients treated with ICI at our institution who developed IMC across malignancy types. We compare this cohort to a matched control cohort to determine whether IMC was associated with improved progression-free survival and overall survival. We also evaluate which clinical characteristics increase the risk of developing IMC, including severe IMC.

#### MATERIALS AND METHODS

#### Study design and population

We conducted a retrospective case-control single-center study after obtaining approval from the Institutional Review Board at Stanford University (IRB 57125, approved 6/30/2020). Our primary aim was to determine the association of presence and severity of IMC on OS and PFS in ICI users. Our secondary aim was to identify clinical variables which predicted development of IMC in ICI users. We evaluated all patients over the age of 18 who had been treated with immune checkpoint inhibitors (ICI) for



malignancy at Stanford Health Care from May 2011 to May 2020, including anti-CTLA-4 (ipilimumab), anti-PD-1 (nivolumab, pembrolizumab), and anti-PD-L1 (atezolizumab, avelumab, durvalumab), with follow up through October 2020. Using the Stanford Research Repository tool, we screened patients treated with ICI who were assigned International Classification of Diseases (ICD) 9 and ICD 10 codes associated with non-infectious colitis and diarrhea (Supplementary Table 1). Each chart which passed the initial screen was further screened by review of clinic notes to confirm diagnosis of immune checkpoint inhibitor-related colitis by oncology providers. Any patient found to have other explanations for their clinical presentation was excluded from the study.

Control patients were matched one to one with each IMC patient for sex, age, malignancy, type of ICI used, prior ICI exposure, and duration of ICI exposure (matched to number of doses from initiation of ICI to development of colitis in study cohort). Control patients were initially screened by those lacking the above ICD codes and were confirmed *via* direct evaluation of each chart to lack diarrhea and/or colitis ascribable to ICI per their treating oncologist.

We extracted clinical data on IMC and control patient charts including demographics (age at time of ICI initiation, sex, body mass index, race per patient report), medical history (presence of prior non-liver and non-upper gastrointestinal disease, personal history of autoimmune disease, family history of autoimmune disease), and cancer history (type of malignancy, tumor stage at ICI initiation, prior chemotherapy, prior radiation therapy, type of ICI used, duration of ICI use, OS and PFS) (Supplementary Table 2). OS was determined as time from initiation of ICI to death, while PFS was determined as time from initiation of ICI to death or progression of disease as determined by oncology providers, based on radiographic evidence of progression. IMC severity was graded using commonly accepted determinants of IMC and irAE grading[14]. We specifically noted prior use of therapies designed to increase immune responses [interleukin (IL)-2, interferon (IFN)-y, toll-like receptor (TLR)-9 agonist, tebentafusp, or anti-CD47 antibody]. Vitamin D and non-steroidal anti-inflammatory (NSAID) use were defined as vitamin D supplement or NSAID medication, respectively, noted in the history of present illness or on the patient's medication list at the clinic visit closest to their date of ICI initiation.

We collected data on IMC diagnosis including number of patients who received endoscopy (flexible sigmoidoscopy or colonoscopy), findings on endoscopy, and fecal calprotectin (Supplementary Table 3). Data on management of IMC included treatment with anti-diarrheal medications, mesalamine, steroids (prednisone, budesonide, dexamethasone), infliximab, and vedolizumab.

#### Statistical analysis

The rate of the primary outcomes (OS > 12 mo and PFS > 6 mo among all ICI users, OS > 12 mo and PFS > 6 mo in patients with IMC) and secondary outcomes (risks of IMC among patients with malignancy using ICI, IMC severity), predictive value of clinical variables on primary and secondary outcomes, odds ratio (OR) with its 95% confidence interval (CI), and P values were calculated using Statistics/Data Analysis (Stata/IC 15.1 for Windows, College Station, TX, United States). Dichotomous variables were analyzed for outcomes using the chi-squared test or the Fisher's exact test where appropriate, and continuous variables were analyzed using Student's t-tests if normally distributed, or the Wilcoxon signed-rank test for non-normal data. For our multivariate analyses, model building was based on forward stepwise logistic regression, with a P value of 0.05 required for entry, and known predictors were also included. We constructed Kaplan Meier curves for the outcomes of OS and PFS between patients with and without IMC and patients with mild vs severe IMC using GraphPad Prism (version 8.3; GraphPad Software, Inc., La Jolla, CA, United States). All authors had access to the study data and reviewed and approved the final manuscript.

#### RESULTS

#### Clinical characteristics associated with IMC

We identified a total of 314 patients treated with ICI at Stanford Health Care from May 2011 to May 2020 who had ICD codes matching our query (Supplementary Table 1). Of these, 64 had a diagnosis of IMC per review of Oncology providers' notes, after excluding patients with alternative diagnoses for their symptoms. 24 (37.5%) of these IMC patients underwent an endoscopy (colonoscopy or flexible sigmoidoscopy) during workup, of which seven (29.2%) had a normal endoscopic appearance, consistent with prior reports demonstrating that approximately one third of patients with IMC related to anti-PD-1 therapy have microscopic colitis[15] (Supplementary Table 3). An additional 14 patients (21.9%) had imaging findings suggestive of IMC while 3 patients (4.69%) without imaging or endoscopy had an elevated calprotectin or fecal lactoferrin.

These 64 patients were manually matched 1:1 with control patients based on age, sex, malignancy, type of ICI, whether or not the patient had prior ICI exposure, and duration of ICI use. We compared clinical characteristics of patients from the IMC cohort and the control cohort (Table 1). None of the matched characteristics were significantly different between the two cohorts. The mean age across the combined cohorts was 66.6 years, with an average age of 67.4 in the cohort with IMC compared with 65.8 in the control cohort (P = 0.42). 57.81% of patients in each group were male (P = 1.00). Patients were



Table 1 Baseline characteristic	s of patients w	ith immune ch	eckpoint inhibit	or use			
Clinical variables	All patients (n	n = 128)	Patients with I	MC ( <i>n</i> = 64)	Patients without	ut IMC ( <i>n</i> = 64)	P value
Age, yr (mean $\pm$ SD) <sup>1</sup>	66.6 (± 11.5)		67.4 (± 11.7)		65.8 (± 11.3)		0.420
Sex <sup>1</sup>							
Male, <i>n</i> (%)	74	57.81%	37	57.81%	37	57.81%	1.000
Female, <i>n</i> (%)	54	42.19%	27	42.19%	27	42.19%	
Race							
White, <i>n</i> (%)	102	79.69%	52	81.25%	50	78.13%	0.660
Black, <i>n</i> (%)	4	3.13%	2	3.13%	2	3.13%	1.000
Asian, <i>n</i> (%)	9	7.03%	4	6.25%	5	7.81%	0.730
Type of malignancy <sup>1</sup>							
Melanoma, n (%)	66	51.56%	33	51.56%	33	51.56%	1.000
RCC, <i>n</i> (%)	15	11.72%	8	12.50%	7	10.94%	0.783
NSCLC, <i>n</i> (%)	12	9.38%	6	9.38%	6	9.38%	1.000
Sarcoma, n (%)	11	8.59%	5	7.81%	6	9.38%	0.752
Head and neck SCC, $n$ (%)	7	5.47%	3	4.69%	4	6.25%	0.697
Other, <i>n</i> (%)	17	13.28%	9	14.06%	8	12.50%	0.795
Stage IV malignancy, <i>n</i> (%)	114	89.07%	56	87.50%	58	90.63%	0.778
Type of immune checkpoint inhibitor <sup>1</sup>							
Ipilimumab plus nivolumab, n (%)	48	37.50%	24	37.50%	24	37.50%	1.000
Ipilimumab, n (%)	22	17.19%	11	17.19%	11	17.19%	1.000
Nivolumab, n (%)	12	9.38%	6	9.38%	6	9.38%	1.000
Pembrolizumab, n (%)	38	29.69%	19	29.69%	19	29.69%	1.000
Atezolizumab, n (%)	8	6.25%	4	6.25%	4	6.25%	1.000
Number of Infusions <sup>a</sup> (mean $\pm$ SD) <sup>1</sup>	6.91 (± 8.40)		6.09 (± 7.20)		7.73 (± 9.40)		0.268
Dose of ICI (mg/kg) (mean $\pm$ SD)	2.47 (±1.30)		2.63 (± 1.60)		2.31 (±1.00)		0.318
Prior ICI use <sup>1</sup>	19	14.84%	10	15.63%	9	14.06%	0.500
Medical history, $n$ (%)							
Non-liver, non-upper GI disease <sup>b</sup> , <i>n</i> (%)	28	21.88%	18	28.13%	10	15.63%	0.087
Personal history of autoimmune disease <sup>b</sup> , $n$ (%)	30	23.44%	20	31.25%	10	15.63%	0.037
Prior ir $AE^{b}$ , <i>n</i> (%)	8	12.50%	7	10.90%	1	1.56%	0.062
Family history of autoimmune disease <sup>b</sup> , $n$ (%)	10	7.81%	8	12.50%	2	3.13%	0.048
Prior immune-enhancing therapy <sup>b</sup> , <i>n</i> (%)	11	8.59%	2	3.13%	9	14.06%	0.027
Prior interferon- $\gamma$ therapy, <i>n</i> (%)	7	5.47%	1	1.56%	6	9.38%	0.115
Vitamin D use, <i>n</i> (%)	38	29.69%	25	39.06%	13	20.31%	0.020
Smoking (current or prior), <i>n</i> (%)	61	47.66%	33	51.56%	28	43.75%	0.376
NSAID use, $n$ (%)	21	16.41%	10	15.63%	11	17.19%	0.811
Any vaccine, <i>n</i> (%)	25	19.53%	9	14.06%	16	25.00%	0.119
Flu vaccine, n (%)	19	14.84%	7	10.94%	12	18.75%	0.214

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Pneumonia vaccine, <i>n</i> (%)	11	8.59%	4	6.25%	7	10.94%	0.344
Other vaccine, $n$ (%)	2	1.56%	1	1.56%	1	1.56%	1.000
Weight at start of ICI (kg) (mean ± SD)	78.1 (± 17.4)		79.4 (± 16.9)		76.8 (± 17.9)		0.396
Medications							
Steroid at start of ICI, $n$ (%)	20	15.63%	11	17.19%	9	14.06%	0.626
Steroid duration (d)	N/A		107.7 (± 164.2)		N/A		
Infliximab use, $n$ (%)	N/A		10	15.63%	N/A		
Vedolizumab use, n (%)	N/A		1	1.56%	N/A		
Malignancy outcomes							
Mean PFS (mo)	12.8 (± 15.3)		13.7 (± 14.9)		11.9 (± 15.8)		0.524
PFS > 6 mo, <i>n</i> (%)	63	49.22%	35	54.69%	28	43.75%	0.216
OS (mo)	21.0 (± 18.9)		24.3 (± 19.4)		17.7 (± 18.0)		0.050
$OS > 12 \text{ mo, } n \ (\%)$	72.0	56.25%	42	65.63%	30	46.88%	0.025
Death, <i>n</i> (%)	20	15.63%	6	9.38%	14	21.88%	0.051

<sup>1</sup>Variable matched between cases and controls.

<sup>a</sup>Number of infusions of immune checkpoint inhibitor prior to immune checkpoint inhibitor-mediated colitis diagnosis (cases) or total (controls). <sup>b</sup>See Supplementary Table 2.

IMC: Immune checkpoint inhibitor-mediated colitis; ICI: Immune checkpoint inhibitor; SD: Standard deviation; RCC: Renal cell carcinoma; NSCLC: Nonsmall cell lung cancer; SCC: Squamous cell carcinoma; PFS: Progression-Free Survival; irAE: Immune related adverse event; OS: Overall survival.

> predominantly white in both groups, with 52 (81.25%) white individuals in the IMC cohort compared to 50 (78.13%) in the control group (P = 0.66). The most common malignancy in each group was melanoma [33 (51.56%) in both cohorts], followed by renal cell carcinoma [8 (12.5%) in the IMC cohort and 7 (10.94%) in the control cohort] and non-small cell lung cancer [6 (9.38%) in both cohorts]. Both groups had similar numbers of patients with stage IV malignancy [56 (87.5%) in the IMC cohort and 58 (90.63%) in the control cohort, P = 0.778]. Combination ipilimumab and nivolumab was the most commonly used checkpoint therapy [24 (37.5%) of patients in each cohort], followed by nivolumab monotherapy [19 (29.69%) of each cohort] and ipilimumab monotherapy [11 (17.19%) of each cohort].

> Among the remainder of the clinical characteristics evaluated, personal history of autoimmune disease (including prior irAE) and family history of autoimmune disease were significantly more common in patients with IMC (P = 0.037 and 0.048, respectively). Intriguingly, prior use of a therapy designed to increase immune responses was more common in the control cohort without IMC (P = 0.027). In contrast to prior data[11], use of vitamin D supplementation at the time of first dose of ICI was significantly more prevalent in patients with IMC (P = 0.020). Neither smoking status, NSAID use at time of ICI initiation, steroid use at the time of ICI initiation, nor recent vaccination were significantly more common in IMC patients compared to controls.

#### IMC significantly increases overall survival

As IMC has previously been associated with increased OS and PFS in cancer patients[9,10], we evaluated whether this association was seen in our study. We found that OS was significantly longer in patients who developed IMC compared to those who did not, with a mean OS of 24.3 mo in patients with IMC and 17.7 mo in control (P = 0.05, Table 1). OS at 12 mo following ICI initiation was significantly higher in patients who developed IMC compared to those who did not (P = 0.02, Figure 1). However, in contrast to prior findings, our study did not find a significant difference in PFS between IMC patients and controls, with a mean PFS 13.7 mo in IMC patients and 11.9 mo in controls (P = 0.524) (Table 1). PFS also did not differ between patients who developed mild vs severe IMC (P = 0.690, Supplementary Table 5).

Across both cohorts, we identified clinical characteristics significantly associated with OS greater than 12 mo and PFS greater than 6 mo, which are correlated with cancer outcomes in patients treated with ICI[16] (Tables 2 and 3) (Supplementary Tables 4 and 5). IMC was significantly and independently associated with OS > 12 mo in the multivariate model (OR 2.81, 95% CI 1.17-6.77, P = 0.021) (Table 2). Number of ICI infusions was also positively associated with OS > 12 mo (OR 1.23, 95% CI 1.09-1.40), while sarcoma as underlying malignancy was significantly associated with OS < 12 mo (OR 0.17, 95% CI 0.029-0.947). Within the IMC cohort, nivolumab use was associated with OS < 12 mo in the univariate analysis (OR 0.09, 95% CI 0.01-0.83), while only age was associated with OS < 12 mo in multivariate analysis (OR 0.93, 95%CI 0.88-0.99) (Table 3). No individual malignancy was significantly associated



Table 2 Univariate and multivariate predictors of overall survival > 12 mo among patients with malignancy using immune checkpoint inhibitor (*n* = 128)

Clinical variables	Univariate pre	dictors		Multivariate p	redictors	
	OR	95%CI	P value	OR	95%CI	P value
Demographics						
Age (yr)	1.00	0.97-1.03	0.970			
Male	0.92	0.45-1.87	0.822			
Female	1.08	0.53-2.20	0.822			
Race						
White	1.37	0.58-3.25	0.473			
Black	2.39	0.24-23.6	0.456			
Asian	0.97	0.25-3.79	0.965			
Other	0.45	0.14-1.45	0.181			
Type of malignancy						
Melanoma	0.87	0.43-1.74	0.688			
RCC	1.65	0.53-5.12	0.390			
NSCLC	2.52	0.65-9.80	0.181			
Sarcoma	0.15	0.03-0.72	0.018	0.17	0.03-0.95	0.043
Head and neck SCC	1.04	0.22-4.84	0.961			
Other	1.50	0.52-4.35	0.453			
Presence of IMC	2.16	1.06-4.41	0.034	2.81	1.17-6.77	0.021
Presence of high grade IMC	0.47	0.16-1.38	0.167			
Stage IV malignancy	0.48	0.14-1.61	0.233			
Type of Immune Checkpoint Inhibitor						
Ipilimumab plus nivolumab	1.32	0.30-5.77	0.714			
Ipilimumab	0.74	0.29-1.85	0.517			
Nivolumab	1.63	0.46-5.70	0.448			
Pembrolizumab	2.93	1.27-6.73	0.011	1.06	0.38-2.98	0.911
Atezolizumab	1.32	0.30-5.77	0.714			
Number of ICI infusions <sup>a</sup>	1.19	1.08-1.32	0.001	1.23	1.09-1.40	0.001
Dose of ICI (mg/kg)	1.33	0.86-2.05	0.198			
Prior ICI use	0.51	0.19-1.37	0.183			
Medical history						
Non-liver, non-upper GI disease <sup>b</sup>	0.87	0.38-2.02	0.747			
Personal history of autoimmune disease <sup>b</sup>	1.47	0.63-3.40	0.373			
Family history of autoimmune disease <sup>b</sup>	1.03	0.32-4.41	0.804			
Prior irAE	2.84	0.31 - 25.9	0.356			
Prior immune-enhancing therapy <sup>b</sup>	0.62	0.18-2.15	0.454			
Vitamin D use	0.60	0.28-1.29	0.190			
Smoking (current or prior)	0.74	0.37-1.50	0.410			
NSAID use	1.04	0.41-2.69	0.928			
Any vaccine	0.36	0 14-0 89	0.026	1.03	0 16-6 70	0 972

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Flu vaccine	0.22	0.08-0.67	0.007	0.30	0.04-2.31	0.248
Pneumonia vaccine	0.41	0.11-1.48	0.175			
Other vaccine	0.77	0.05-12.66	0.858			
Weight at start of ICI (kg)	0.99	0.97-1.01	0.207			
Medications						
Steroid at start of ICI	0.74	0.29-1.93	0.541			
Steroid duration (d)	1.00	0.997-1.01	0.368			
Infliximab use	0.76	0.21-2.77	0.226			
Vedolizumab use	1.00	0.99-1.01	1.000			

<sup>a</sup>Number of infusions of immune checkpoint inhibitor prior to immune checkpoint inhibitor-mediated colitis diagnosis (cases) or total (controls). <sup>b</sup>See Supplementary Table 2.

ICI: Immune checkpoint inhibitor; IMC: Immune checkpoint inhibitor-mediated colitis; OR: Odds ratios; CI: Confidence interval; SD: Standard deviation; RCC: Renal cell carcinoma; NSCLC: Non-small cell lung cancer; SCC: Squamous cell carcinoma; irAE: Immune related adverse event.



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Figure 1 Overall survival at 12 mo in patients with and without immune checkpoint inhibitor-mediated colitis. Kaplan-Meier curve of overall survival at 12 mo in patients with immune checkpoint inhibitor-mediated colitis (IMC, red) and without IMC (black). IMC: Immune checkpoint inhibitor-mediated colitis; HR: Hazard ratio.

with OS > 12 mo within the IMC cohort (Table 3).

#### Significant risk factors for developing IMC and severe IMC

As certain clinical characteristics were significantly more common in patients with IMC compared to controls, we evaluated whether any of these clinical characteristics were associated with risk of developing IMC (Table 4). In univariate analysis, history of autoimmune disease and vitamin D use were both significantly associated with increased risk of IMC (OR 2.45, 95%CI 1.04-5.78, P = 0.040 for autoimmune disease; OR 2.51, 95%CI 1.14-5.54, P = 0.022 for vitamin D use). Interestingly, the use of vitamin D supplementation has previously been associated with a decreased risk of IMC, in contrast to our findings here[11]. Prior use of an immune-enhancing therapy (Supplementary Table 2) was associated with a significantly decreased risk of IMC (OR 0.20, 95%CI 0.04-0.95, P = 0.043). In the multivariate model which incorporated these characteristics, only the use of immune-enhancing therapy remained significantly associated with decreased risk of IMC, with an OR of 0.20 (95%CI 0.04-1.00, P = 0.050).

We next determined if any variables were associated with an increased risk of severe IMC. Consistent with prior studies of irAE in ICI[17-19], we defined grade 1-2 IMC as mild and grade 3 or higher IMC as severe. In our study, 38 of the 64 patients (59.4%) had severe IMC (Supplementary Table 3). In the univariate model, ipilimumab and vitamin D supplementation were significantly associated with development of severe IMC (OR 8.93, 95%CI 1.07-74.8, P = 0.043 for ipilimumab; OR 3.33, 95%CI 1.10-10.14, P = 0.034 for vitamin D) (Supplementary Table 6). Combination therapy (ipilimumab plus nivolumab) trended towards an increased risk of severe IMC but did not reach significance (P = 0.053). In contrast, pembrolizumab was significantly associated with a decreased risk of severe IMC (OR 0.26, 95%CI 0.09-0.81, P = 0.020). In the multivariate model no characteristic reached significance for association with severe IMC, although both combination therapy and ipilimumab monotherapy approached significance for increased risk of severe IMC (P = 0.058 and 0.060, respectively).

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#### Table 3 Univariate and multivariate predictors of overall survival > 12 mo among patients with immune checkpoint inhibitor colitis (n = 64)

Clinical variables	Univariate pre	dictors		Multivariate p	redictors	
	OR	95%CI	P value	OR	95%CI	P value
Demographics						
Age (yr)	0.96	0.92-1.01	0.103	0.93	0.88-0.99	0.023
Male	0.82	0.29-2.32	0.711			
Female	1.22	0.43-3.44	0.711			
Race						
White	0.87	0.23-3.27	0.835			
Black	1.00	0.90-1.34	0.996			
Asian	0.54	0.07-4.10	0.550			
Other	1.07	0.97-1.11	0.912			
Type of malignancy						
Melanoma	1.26	0.45-3.51	0.654			
RCC	0.51	0.12-2.28	0.381			
NSCLC	0.53	0.10-2.85	0.456			
Sarcoma	2.38	0.25-22.65	0.451			
Head and neck SCC	1.05	0.89-1.10	0.865			
Other	5.33	0.62-45.68	0.127			
Stage IV malignancy	0.60	0.11-3.26	0.554			
Presence of high grade IMC	0.91	0.32-2.57	0.855			
Type of immune checkpoint inhibitor						
Ipilimumab plus nivolumab	0.95	0.31-2.88	0.922			
Ipilimumab	0.98	0.25-3.77	0.974			
Nivolumab	0.09	0.01-0.83	0.033	0.13	0.01-1.43	0.096
Pembrolizumab	2.74	0.78-9.58	0.114	3.46	0.84-14.19	0.084
Atezolizumab	1.74	0.17-17.73	0.641			
Number of ICI infusions <sup>a</sup>	0.28	0.04-1.82	0.183			
Dose of ICI (mg/kg)	1.88	0.36-9.83	0.457			
Prior ICI use	0.46	0.12-1.80	0.265			
Medical history						
Non-liver, non-upper GI disease <sup>b</sup>	1.67	0.51-5.49	0.397			
Personal history of autoimmune disease <sup>b</sup>	0.78	0.26-2.31	0.648			
Family history of autoimmune disease <sup>b</sup>	0.93	0.20-4.29	0.922			
Prior immune-enhancing therapy <sup>b</sup>	0.55	0.03-9.23	0.678			
Prior interferon-g therapy	1.00	0.99-1.10	0.976			
Vitamin D use	2.45	0.80-7.46	0.116	2.77	0.75-10.20	0.124
Smoking (current or prior)	1.66	0.59-5.65	0.334			
NSAID use	2.55	0.49-13.16	0.265			
Any vaccine	5.33	0.62-45.68	0.127			
Flu vaccine	1.46	0.26-8.19	0.668			

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Pneumonia vaccine	1.00	0.99-1.05	0.995
Other vaccine	1.00	1.00-1.01	0.941
Weight at start of ICI (kg)	1.02	0.98-1.05	0.329
Medications			
Steroid at start of ICI	0.98	0.25-3.77	0.974
Steroid duration (d)	1.00	1.00-1.01	0.736
Infliximab use	2.55	0.49-13.16	0.265
Vedolizumab use	1.00	1.00-1.01	0.936

<sup>a</sup>Number of infusions of immune checkpoint inhibitor prior to immune checkpoint inhibitor-mediated colitis diagnosis (cases) or total (controls). <sup>b</sup>See Supplementary Table 2.

ICI: Immune checkpoint inhibitor; IMC: Immune checkpoint inhibitor-mediated colitis; SD: Standard deviation; RCC: Renal cell carcinoma; NSCLC: Nonsmall cell lung cancer; SCC: Squamous cell carcinoma; irAE: Immune related adverse event.

#### DISCUSSION

In our study, development of IMC following ICI use was associated with improved overall survival, although not improved progression-free survival, compared to ICI users without IMC. This is similar to findings at another center demonstrating both improved OS and PFS in patients with IMC[9,10]. We also found that vitamin D supplementation at the start of ICI treatment is a risk factor for developing IMC, in contrast to other research suggesting vitamin D use is associated with lower risk of IMC[11]. Our results, therefore, provide critical additional information on these previous associations and present a need for prospective studies.

Both publications showing improved survival in patients with IMC were retrospective analyses performed at the same center[9,10]. One study noted that ICI class was significantly associated with development of IMC[9], a finding that has been demonstrated several times in retrospective work[8,17, 18,20-23]. However, unlike our work, this study did not match control patients to account for this likely confounder, as ICI class has been associated with differences in PFS in some malignancies[24,25]. The second study at this center examined survival in melanoma patients with IMC, compared to our work across multiple malignancies, although frequency matching was performed to account for use of different ICI classes[10]. Since our study is the first to examine survival in patients with IMC at a different center, our work here reinforces that IMC may be associated with increased overall survival and prompts a need for prospective studies.

The only other independent factor in our study positively associated with OS > 12 mo was number of ICI doses. This finding may be due to trivial length-time bias, as patients who survive longer are more likely to receive more doses of ICI. It is also possible that patients who required cessation of ICI due to IMC had worse outcomes, although prior work has suggested that patients still derive equivalent longterm benefit from ICI even if stopped due to irAE[26]. Type of underlying malignancy (sarcoma) was independently associated with OS < 12 mo in our study. These findings are not unexpected, as most advanced soft tissue sarcomas have a median OS of less than one year [27].

In contrast to prior work, we found a positive association between vitamin D supplementation and development of IMC[11]. It is unclear if this is related to low serum vitamin D levels or negative impact of the supplementation itself, as vitamin D levels near the time of ICI initiation were not recorded in most patients. Additionally, the prior report on vitamin D in IMC was in melanoma patients only, which may partially account for discrepancies with our study. As this association did not remain significant in our multivariate analysis, it is possible that another confounding factor may explain the association between vitamin D supplementation and IMC in our study.

In addition to challenging existing findings, we report here on additional novel risk factors for IMC. We are the first to report that prior use of immune-enhancing medications prior to ICI, such as IL-2 or interferon- $\gamma$ , is significantly and independently associated with decreased risk of IMC. Much more work should be done to evaluate the relationship between these medications and future risk of IMC.

Finally, our study is the first to examine risk factors for severe IMC. In addition to increasing risk for IMC overall, we find that vitamin D supplementation may also be a risk factor for severe IMC. Similarly, our results suggest that the use of ipilimumab may be associated with increased risk of severe IMC, while pembrolizumab may be associated with decreased risk of severe IMC in patients who develop this syndrome. As ipilimumab has previously been associated with increased risk of IMC overall, while anti-PD-1, including pembrolizumab, are associated with lower risk of IMC overall[8,9], these findings emphasize that ICI class may affect severity of IMC.

Our findings may significantly impact clinical practice by identifying novel risks for IMC and severe IMC that clinicians, including oncologists and gastroenterologists, should be aware of, while also potentially providing reassurance to physicians and patients that development of IMC may be a positive



#### Table 4 Univariate and multivariate predictors of immune checkpoint inhibitor-mediated colitis among patients using immune checkpoint inhibitor (n = 128)

Clinical variables	Univariate p	redictors		Multivariate pre	dictors	
	OR	95%CI	P value	OR	95%CI	P value
Demographics						
Age (yr)	1.01	0.98-1.04	0.417			
Male	1.00	0.50-2.02	1.000			
Female	1.00	0.50-2.02	1.000			
Race						
White	1.21	0.51-2.88	0.661			
Black	1.00	0.14-7.33	1.000			
Asian	0.79	0.20-3.07	0.730			
Other	0.84	0.27-2.66	0.770			
Type of malignancy						
Melanoma	1.00	0.50-2.00	1.000			
RCC	1.16	0.40-3.42	0.784			
NSCLC	1.00	0.30-3.28	1.000			
Sarcoma	0.82	0.24-2.83	0.753			
Head and neck SCC	0.74	0.16-3.44	0.698			
Other	1.15	0.41-3.18	0.795			
Stage IV malignancy	0.72	0.24-2.22	0.572			
Type of Immune Checkpoint Inhibitor	•					
Ipilimumab plus nivolumab	1.00	0.49-2.05	1.000			
Ipilimumab	1.00	0.40-2.51	1.000			
Nivolumab	1.00	0.30-3.28	1.000			
Pembrolizumab	1.00	0.47-2.13	1.000			
Atezolizumab	1.00	0.24-4.18	1.000			
Number of Infusions <sup>a</sup>	0.98	0.93-1.02	0.273			
Dose of ICI (mg/kg)	1.23	0.82-1.84	0.327			
Medical History						
Non-liver, non-upper GI <sup>b</sup>	2.11	0.89-5.03	0.091			
Autoimmune disease <sup>b</sup>	2.45	1.04-5.78	0.040	1.87	0.74-4.74	0.186
Prior irAE	7.74	0.92-64.82	0.059			
Family history of autoimmune disease <sup>b</sup>	4.43	0.90-21.74	0.067	3.98	0.74-21.38	0.107
Prior immune-enhancing therapy <sup>b</sup>	0.20	0.04-0.95	0.043	0.19	0.04-1.01	0.052
Prior interferon-γ therapy	0.15	0.018-1.31	0.087			
Vitamin D use	2.51	1.14-5.54	0.022	2.48	1.01-6.07	0.047
Smoking (current or prior)	1.37	0.68-2.74	0.377			
NSAID use	0.89	0.35-2.28	0.811			
Any vaccine	0.49	0.20-1.21	0.123			
Flu vaccine	0.53	0.19-1.45	0.219			
Pneumonia vaccine	0.54	0.15-1.95	0.350			



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Other vaccine	1.00	0.06-16.34	1.000
Weight at start of ICI (kg)	1.01	0.99-1.03	0.393

<sup>a</sup>Number of infusions of immune checkpoint inhibitor prior to immune checkpoint inhibitor-mediated colitis diagnosis (cases) or total (controls). <sup>b</sup>See Supplementary Table 2.

ICI: Immune checkpoint inhibitor; IMC: Immune checkpoint inhibitor-mediated colitis; RCC: Renal cell carcinoma; NSCLC: Non-small cell lung cancer; SCC: Squamous cell carcinoma; irAE: Immune related adverse event.

> prognosticator for cancer survival. Neither prior work nor ours found that treatment of IMC, including steroids or infliximab, negatively impacts OS[9,10], and therefore appropriate treatment of IMC should be pursued early on to minimize morbidity and mortality. Both steroid and infliximab use have been suggested to worsen survival in ICI users[12,13], but all current evidence suggests that use of these medications for IMC specifically does not impair cancer outcomes. Our work also cautions against supplementation with vitamin D in ICI users, as this may increase risk of IMC and severe IMC, although carefully designed studies with vitamin D measurements should be performed.

> Our work has several strengths. We performed robust cohort matching to minimize confounding effects of ICI class and malignancy. This is also the first study to explore risk factors associated with severe IMC. However, there are limitations to our work. As a retrospective, observational study, it is subject to recall bias and cannot evaluate causation, and may also be subject to immortal time bias (ITB). Patients may have longer exposure to checkpoint inhibitors before developing IMC, compared to patients who do not manifest this irAE, leading to a period where they must survive for long enough to develop IMC and are therefore "immortal" [28]. We found that OS > 12 mo was significantly associated with greater numbers of ICI infusions (Table 2), which is likely due to ITB. However, greater numbers of infusions were not associated with IMC (Table 4). This suggests that the association between OS > 12 mo and IMC is likely independent of the number of ICI infusions, limiting this as a source of ITB in our study.

> Other weaknesses of our work include selection of patients based on clinical criteria for IMC, including those who did not undergo endoscopy or other objective testing for intestinal inflammation, and therefore may not have had a true colitis. Like prior work, this is also a single-center study, and our results may not be widely generalizable, particularly since we identified fewer patients compared to prior work and our patient population is highly variable, including individuals with several different underlying malignancies. We did not exclude patients with prior non-GI irAEs in either group, although the presence of these was not independently associated with increased OS in our study. We also have not accounted for other factors which may be potential predictors of ICI response, including tumor PD-L1 expression burden, tumor mutational burden, gut microbial composition, proton pump inhibitor use, and combination treatment with tyrosine kinase inhibitors[29-34].

#### CONCLUSION

In conclusion, our findings suggest presence of IMC is associated with improved OS in cancer patients when cases were matched closely to controls. We also found that vitamin D supplementation was significantly associated with development of both IMC and severe IMC, while immune-enhancing medications were significantly associated with decreased risk of IMC. Future work should focus on broader populations to resolve the discrepancies raised in our work, and to confirm the association between IMC and increased cancer survival. Closely involving gastroenterologists with the workup and management of IMC will be crucial to ensuring the best care possible for these patients.

#### **ARTICLE HIGHLIGHTS**

#### Research background

Immune checkpoint inhibitor-mediated colitis (IMC) is a common immune-related side effect (irAE) of checkpoint inhibitor treatment for cancer. Prior work has suggested that IMC may be associated with increased survival from cancer.

#### Research motivation

We sought to determine if IMC was associated with increased overall survival (OS) in a cohort of patients at our institution. These findings could expand existing data on IMC and cancer outcomes and might suggest a common immunological underpinning between the efficacy of checkpoint inhibitors and certain irAEs.



#### Research objectives

We performed a retrospective case-control study of individuals treated with immune checkpoint inhibitors at our institution who developed IMC, closely matched to a cohort of patients treated with checkpoint inhibitors without IMC. Using univariate and multivariate logistic regression, we determined significant clinical predictors of IMC and the association of presence of IMC on OS.

#### Research methods

We found that IMC was significantly associated with a higher OS as well as OS greater than 12 mo. In contrast to previous findings, vitamin D supplementation was significantly associated with development of both IMC and severe IMC. However, prior treatment with immune-enhancing medications was significantly associated with decreased risk of IMC.

#### Research results

In multivariate logistic regression analysis, IMC was significantly associated with a higher OS but not PFS. IMC was significantly associated with OS greater than 12 mo. Vitamin D supplementation was associated with increased risk of IMC.

#### Research conclusions

Our findings lend strength to the idea that IMC is associated with improved cancer outcomes with checkpoint inhibitor treatment. This may suggest common immunologic underpinnings between IMC and the anti-tumor effects of checkpoint inhibitors. These results also emphasize the importance of involving gastroenterologists with the management of IMC.

#### Research perspectives

Future research in this area should seek to expand current knowledge of the relationship between IMC and cancer survival. In particular, future work should focus on broadening the type and number of patients treated with immune checkpoint inhibitors and on tracking patients prior to initiating checkpoint inhibitors to determine if this relationship remains significant prospectively.

#### FOOTNOTES

Author contributions: Weingarden AR and Habtezion A designed the research study; Weingarden AR, Balabanis T, Patel A, and Sharma A performed data collection; Gubatan J analyzed data; Weingarden AR, Gubatan J, Singh S, and Habtezion A wrote and edited the manuscript; all authors have read and approve the final manuscript.

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