



DEPARTMENT OF VETERANS AFFAIRS
Health Services Research & Development Service
810 Vermont Ave, NW
Washington, DC 20420

SEP 12 2016

In Reply Refer To: 10P9H

Director (00/151)
VA Medical Center
1055 Clermont Street
Denver, CO 80220

SUBJECT: Funding Notification
Health Services Research and Development Service
Project #: IIR 14-048
eRA application number: I01 HX001604
Title: Secondary Event Prevention using Population Risk Management After PCI
Corresponding Principal Investigator: Liron Caplan, M.D., Ph.D.
Co-Principal Investigator: Michael Ho, M.D.

1. **Funding Decision.** I am pleased to notify you that the Health Services Research and Development Service (HSR&D) has approved funding for the subject project. Acceptance of this funding acknowledges agreement to comply with VA policies regarding intellectual property disclosure obligations and ownership rights resulting from this work. The multiple PI request has been **approved**. Funding will begin on **September 1, 2016**.
2. **Budget Information.** We will ask the Office of the Chief Financial Officer, Allocation Control Service, to transfer Program 824 funds to your facility for **FY16** with plans for continued support through **August 31, 2020** as detailed in the enclosed budget documents (**Enclosure 1**). Support beyond the current fiscal year is contingent upon the availability of HSR&D funds and satisfactory progress of the research. The project budget will be transmitted electronically to the Research and Development Computer Center (RDCC) office for distribution to the field.
 - **Unfilled to-be-named (TBN) positions.** When funds are required for previously unfilled positions, please e-mail Mary Jones at mary.jones@va.gov with a copy to the Scientific Portfolio Manager. The following information is needed: amount of budgeted funds needed and number of people to be hired.
 - **Distribution of funds to other sites.** HSR&D requires notification of regulatory approvals at other sites before any funds will be distributed to sites other than Denver.
3. **Reporting Requirements.** HSR&D requires three types of regular reports for every research project: annual progress report (abstract); copies of all publications based on the HSR&D-funded work; and a final report. In addition, ORD (and HSR&D) requires quad charts to be submitted for all funded studies. Quad charts must be included in all yearly annual progress reports as well as the final report. Approval of future HSR&D funding is contingent on the investigator's adherence to these critical requirements. For additional information and details regarding investigator reporting requirements, please consult your local R&D office.
 - a. **Annual Abstract.** An annual project abstract and quad chart are due on the project funding anniversary date of each project year. Current HSR&D guidance regarding content, format, and the process for submitting Project Abstracts is available on HSR&D's Website: http://www.hsrd.research.va.gov/funding/reporting_guidelines.cfm.
 - b. **Publication Transmittal.** Investigators are required to promptly notify HSR&D of all publications resulting from HSR&D-funded research. Submit your notification as soon as it is accepted for publication, by following the steps below:

- Go to the PubTracker Website by copying and pasting the following URL into your browser:
<http://vawww.pubtracker.research.va.gov/PubTracker/default.cfm>
(Access restricted to VA Intranet using Internet Explorer)
 - Select the appropriate submission type from the “New Pre-pub Notification” and fill in the form
(Be sure to upload a copy of the complete accepted article or presentation abstract).
- Final Report. A Final Report, (conforming to current HSR&D instructions) and quad chart are required at the conclusion of the funding period. Final Report instructions are available on HSR&D Website: http://www.hsrds.research.va.gov/funding/final_reports.cfm.

4. Modification Request Policy. Any significant modification in the approved research plan or budget requires a written request, for review and approval by HSR&D. **Approval of requests for modifications will be based on compelling justification, e.g., for situations beyond the control of the Principal Investigator that affect completion of a project as approved.** Please contact your local Research Office for details.

5. VA Acknowledgment. Each publication, press release or other document that cites results from VA-supported research must include an acknowledgment of VA support using the eRA application number, such as “The project described was supported by (type of award, e.g., Merit Review, Career Development Award, Pilot Project) Award Number I01 HX001604 from the United States (U.S.) Department of Veterans Affairs Health Services Research & Development Service of the VA Office of Research and Development.” When the work was solely funded by VA, authors must list their VA affiliation first. When the author also holds a faculty appointment, the academic title and school also may be acknowledged. All publications should include a disclaimer similar to this statement: “The views expressed in this article are those of the author(s) and do not necessarily represent the views of the Department of Veterans Affairs.”

6. Communications. You may direct general questions regarding this project to Linda Lipson, Scientific Portfolio Manager, at 202-443-5747 or Linda.Lipson@va.gov. For questions regarding the distribution of project funds, your administrative officer should contact Mary Jones at 202-443-5628 or Mary.Jones@va.gov. If, while conducting this study, you encounter any research barriers that HSR&D should be notified about and/or may assist in resolving, they should be forwarded to HSR&D by the COIN AO or Research AO via the following link: <http://vawww.hsrd.research.va.gov/research-barriers/>. Please include the project number (above) in any communication concerning this project. Please be reminded that all communication regarding this project should go through the local research office. The principal investigator is responsible for relating all communications from VA Central Office to any co-investigators, as necessary.

Liza M.
Catucci
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for David Atkins, M.D., M.P.H.
Director, Health Services Research
and Development Service

Enclosure: Fiduciary Responsibility
Budget sheet

cc: Liron Caplan, M.D., Ph.D.
Michael Ho, M.D.
ACOS/R&D
COIN Director, Denver, CO
ORD Finance
Project File