

# Grammarly Two

by Subho Chakrabarti

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# Grammarly Two

Bipolar disorder in the International Classification of Diseases-Eleventh version: a review of the changes, their basis, and usefulness

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## ABSTRACT

The World Health Organization's 11th revision of the International Classification of Diseases (ICD-11) including the chapter on mental disorders has come into effect this year. This review focuses on the "Bipolar or Related Disorders" section of the ICD-11 draft. It describes the benchmarks for the new version, particularly the foremost principle of clinical utility. The alterations made to the diagnosis of bipolar disorder (BD) are evaluated on their scientific basis and clinical utility. The change in the diagnostic requirements for manic and hypomanic episodes has been much debated. Whether the current criteria have achieved an optimum balance between sensitivity and specificity is still not clear. The ICD-11 definition of depressive episodes is substantially different, but the lack of empirical support for these changes has meant that the reliability and utility of bipolar depression are relatively low. Unlike the Diagnostic and Statistical Manual of Mental Disorders, 5th edition (DSM-5), the ICD-11 has retained the category of mixed episodes. Though the concept of

mixed episodes in the ICD-11 is not perfect, it appears to be more inclusive than the DSM-5 approach. Additionally, there are some uncertainties about the guidelines for the subtypes of BD and cyclothymic disorder. The initial results on the reliability and clinical utility of BD are promising, but the newly created diagnostic categories also appear to have some limitations. Although further improvement and research are needed, the focus should now be on facing the challenges of implementation, dissemination, and education and training in the use of these guidelines.

Keywords: ICD-11 guidelines; Bipolar disorder; Utility; Reliability

#### CORE TIP

This review evaluates the clinical utility and the scientific basis for the changes made to the section on bipolar disorders in the 11th version of the International Classification of Diseases. The diagnostic requirements for many categories have changed. However, some of these alterations are still controversial based on the existing evidence. The examination of the reliability and utility of the newly created categories has yielded encouraging results, but certain limitations are evident. Thus, there is scope for further improvement, but the greater challenge will be to implement and disseminate the new guidelines and train the potential users of these guidelines.

#### INTRODUCTION

Bipolar disorder (BD) is a complex condition with several facets that influence its diagnosis and treatment [1, 2]. Some of these aspects include early onset, a lifelong course characterized by frequent relapses and recurrences, inter-episodic morbidity consisting of residual symptoms, cognitive dysfunction, and

functional impairment, high rates of psychiatric and medical comorbidity, and high risks for self-harm or violence. There is a predominance of depression, from the onset of the illness and throughout its course including the inter-episodic periods. Therefore, distinguishing BD from unipolar depression is difficult. The full spectrum of BD commonly includes milder and subthreshold disorders that overlap with normal variations of mood, personality, and other non-mood disorders. In contrast, the more severe forms such as psychotic BD are often indistinguishable from schizophrenia. These complexities mean that the accurate diagnosis and initiation of treatment are often delayed by several years.

In the absence of laboratory tests, the diagnostic process in psychiatry relies on signs, symptoms, and the course of psychiatric disorders [3-5]. Psychiatric classifications utilize these features to frame operational definitions that enhance the diagnostic accuracy of the disorders. Apart from naming and providing explicit descriptions of the disorders, psychiatric classifications also determine their place in the organizational structure. This provides a theoretical perspective that aids research regarding their scientific basis. The creation of classificatory systems in psychiatry has a long history and much effort is spent on revising them to keep pace with the recent advancements in the field.

The principal psychiatric classifications are the Diagnostic and Statistical Manual of Mental Disorders (DSM) of the American Psychiatric Association and the International Classification of Diseases (ICD) of the World Health Organization (WHO). The fifth version of the DSM (DSM-5) has been published in 2013 [6]. The WHO's 11th revision of the ICD (ICD-11) including the chapter on mental, behavioral, or neurodevelopmental disorders has come into effect from January 2022 [7]. The draft versions of the ICD-11 guidelines including the

one on mood disorders are available on the Global Clinical Practice Network (GCPN) website [8].

Revising the ICD is a part of the core responsibility of the WHO. Its Department of Mental Health and Substance Abuse was responsible for developing the ICD-11 guidelines for the chapter on mental, behavioral, or neurodevelopmental disorders [9-13]. The benchmarks for the revision of this ICD-11 chapter included attention to several guiding principles and priorities. These are summarized in Table 1.

Table 1 here

This review focuses on the "Bipolar or Related disorders" section of the ICD-11, Clinical Descriptions and Diagnostic Requirements (CDDR) on mood disorders. It summarizes the changes that have been made in this section and attempts to evaluate the scientific basis and the usefulness of these changes.

#### SUMMARY OF THE CHANGES MADE

New nomenclature and revised organizational structure

The name of the section has been changed from mood (affective) disorders in the tenth revision of the ICD (ICD-10) [14] to mood disorders in the ICD-11 version. Consequently, the term "bipolar affective disorder" has become "bipolar disorder". This is appropriate since the word "affective" was redundant, while the label BD is more precise [15]. Additionally, the part on BD is now labeled "Bipolar or Related Disorders" which is similar to the DSM-5.

During their development, efforts were made to forge a comparable organizational structure for both the DSM-5 and the ICD-11 CDDR [16, 17]. Reviews regarding the placement of BD concluded that considering the available evidence, the best possible solution would be an independent cluster for BD [18, 19]. The DSM-5 thus created a separate chapter for BD. The ICD-11

organization was also influenced by these efforts and its structure is largely similar to the DSM-5 [13, 20]. However, the ICD-11 configuration was also determined by surveys of mental health professionals and studies examining their conception of a more clinically useful structure [13, 21-24]. The structure of mood disorders in the ICD-11 was changed based on these studies. The "Mood Disorders" section was retained to refer to a "superordinate" grouping of bipolar and depressive disorders. This avoided cutting the cord between BD and depressive disorders, which belong to the same spectrum [25, 26]. Following the spectrum approach, the ICD-11 has grouped cyclothymia with BD. The "Mood Disorders" section opens with the definitions of mood episodes. The longitudinal pattern of mood episodes determines the diagnosis of either depression or BD [13]. This simpler and more clinically useful "building blocks" approach to diagnosing mood disorders [27] is in line with the DSM-5.

Manic and hypomanic episodes

The descriptions of manic and hypomanic episodes in the ICD-11 guidelines differ substantially from ones in the ICD-10 but are analogous to those in the DSM-5. This is depicted in Table 2.

Table 2 here

There are only minor differences between the two classifications. Nevertheless, the ICD-11 definitions are somewhat broader than the DSM-5 ones. This is the result of a flexible diagnostic approach used by the ICD-11 CDDR, which avoids rigid and often arbitrary cut-offs imposed in the DSM-5 [29]. The requirements for a minimum number of accessory symptoms for mania and hypomania and a minimum duration of symptoms for hypomania have been avoided. This circumvents many difficulties associated with these diagnoses [30]. Moreover, it places greater emphasis on exercising clinical

judgment and therefore resembles the diagnostic process in everyday practice [31, 32]. The differences in the two diagnostic approaches also reflect the differences between the prototype-based methods followed by the ICD-11 guidelines in contrast to the operational diagnostic criteria used by the DSM-5 [33-37]. Though prototype-based methods are not infallible, they are often more congruent with the clinician's diagnostic practices and therefore preferred by them. They are less complex and cumbersome than the operational criteria, but equally reliable and useful in diagnosing mood disorders. The ICD-11 guidelines attempted to enhance the utility of the prototype approach by using a standardized content form that contained systematic and consistent diagnostic information for all disorders [10, 13].

The expanded gate criterion is the most important alteration in the definitions of mania and hypomania both in the ICD-11 CDDR and the DSM-5. It was not present in the earlier versions of both these classifications including the ICD-10 guidelines. Changes in both mood and activity or energy are mandatory for the diagnosis now. This change was made to improve the diagnostic accuracy, specificity, and reliability of mania and hypomania [13, 38-40]. It was also meant to differentiate the diagnoses from normal mood fluctuations, particularly in the case of hypomania. The intention was to prevent the overdiagnosis of manic or hypomanic episodes as well as BD. Simultaneously, this change aimed to facilitate the earlier detection of BD by minimizing the under-reporting of hypomania in those with major depression.

Adding overactivity to mood symptoms is evidence-based and considered to be a well-founded change [30, 38, 41-43]. The empirical support for including hyperactivity as a core criterion derives from factor-analytic investigations of mania and large-scale community studies of BD. Recent reviews of the factor-

analytic studies of mania have indicated that overactivity is the most prevalent symptom of this condition [44, 45]. It is more common than mood changes and is associated with several other key symptoms of mania. Although community-based studies have also shown that any of the three criteria, euphoria, irritability, and overactivity are sufficient for diagnosing mania or hypomania, overactivity is the foremost diagnostic criterion with the maximum sensitivity [46-50]. In contrast, there is less evidence for irritability being an entry-level criterion for mania or hypomania. Irritability is common in many other disorders and is not specifically associated with mania or hypomania. Moreover, it is rarely associated with overactivity [30, 40, 41]. The ICD-11 draft also includes lability of mood as a symptom of mania and hypomania, but its diagnostic role is not clear. Though there is a high prevalence of mood lability during manic episodes [51], very few factor-analytic studies have found it to be an important constituent of mania [45].

Additionally, the inclusion of antidepressant treatment-induced prolonged manic or hypomanic switches is also reasonable because such switches occur mainly in those predisposed to bipolarity [41, 49, 52]. In contrast, the exclusion of mood episodes secondary to medical conditions or substance use is considered faulty because it is based on causal attributions [53]. Lastly, the ICD-11 guidelines have added functional impairment to the definition of mania to bring it more in line with the DSM-5. The ICD-10 had avoided using functional impairment as a diagnostic requirement because cultural factors were thought to confound socio-occupational performance. However, the ICD-11 has included impaired functioning as a part of the diagnosis because it helps in distinguishing mood disorders from normal mood changes, determining their severity, and improving their clinical utility [5, 9, 10].

The change that has generated the maximum debate is the diagnostic requirement of combined mood changes and overactivity for mania and hypomania. Proponents of this change have insisted that the combination provides an optimal balance between diagnostic specificity and sensitivity [42, 43]. Moreover, the higher diagnostic threshold reduces the chances of a false positive diagnosis of BD. They argue that an incorrect diagnosis of BD may be more harmful than being falsely diagnosed with major depression. However, the majority of the other researchers feel that this requirement is too restrictive [31, 39, 41, 53, 54]. They believe that the dyadic criterion decreases the chances of diagnosing mania and hypomania. Consequently, the prevalence of type I BD (BP-I) or type II BD (BP-II) will decline because many patients will be relegated to the categories of subthreshold BD or major depression. They point out that community studies of BD have demonstrated that either mood change or overactivity is sufficient for the diagnosis. Thus, using either mood change or overactivity as entry-level criteria could increase the sensitivity of the manic and hypomanic diagnoses without affecting the prevalence of BD [29, 40, 53]. These contrasting propositions have been examined in some studies on the prevalence of BD using the DSM-5 and ICD-11 criteria. These are included in Table 3.

Table 3 here

This table shows that prevalence studies using the DSM-5 criteria are far more common. Only one study has considered the ICD-11 guidelines. Angst et al. 2020 [31] used the ICD-10, DSM-5, and the ICD-11 criteria to re-analyze the prevalence of mania and hypomania according to the Zurich cohort study. They proposed that the rate of hypomania will be doubled with the ICD-11 criteria compared to the ICD-10 and the DSM-5. This was presumably because of the broader definition of hypomania in the ICD-11 and the inclusion of patients

with antidepressant-induced prolonged hypomanic switches. The lifetime prevalence of BD according to DSM-5 appears to be unchanged [55-58]. In contrast, several DSM-5-based studies have found about a 20%-60% reduction in the point prevalence of manic and hypomanic episodes or BD [38, 59-61]. In these studies, patients diagnosed according to the DSM-5 criteria had more severe manic symptoms [40, 59, 61] than those diagnosed with DSM-IV criteria [62, 63]. Moreover, these studies suggested that the prevalence with DSM-5 criteria was lowest early in the course of BD and increased with time [38, 58, 59]. This was confirmed by the study of newly diagnosed patients with BD, in which the rate of DSM-5 BD was reduced by 62% at the baseline, but only by 50% on long-term follow-up [61]. This is because newly diagnosed patients are a more heterogeneous group and are less likely to meet the stricter DSM-5 definitions than those with more chronic illnesses [40]. Thus, the reduction in the prevalence of BD attenuated with time and there were no differences in the lifetime rates or clinical characteristics of mania, hypomania, and BD diagnosed with DSM-5 or DSM-IV criteria [39, 40, 61]. These findings imply that although the DSM-5 criteria may prevent overdiagnosis of BD as intended, patients with less severe and recent-onset BD may be missed [40].

Extrapolating from these results, it appears that though the short-term prevalence of BD may be reduced, the long-term prevalence of BD is likely to remain unchanged despite the use of the new definitions in the ICD-11 CDDR [39, 40, 61].

The description of hypomanic episodes in the ICD-11 draft brings it closer to the DSM-5 definition in several aspects. Both distinguish mania from hypomania based on the lack of marked functional impairment, no requirement for hospitalization, and the absence of psychotic symptoms in hypomania. However, these distinguishing features of hypomania are not without their

problems. For example, the lack of marked impairment in functioning is often difficult to make out with certainty [64-66]. There are no clear criteria to determine the level of impairment and it is often a subjective judgment on the part of the clinician. Moreover, many patients with hypomania report an improvement in their functioning. Similarly, the decision to hospitalize someone with hypomania is often determined by several cultural, socioeconomic, or health-service-related factors than simply by the lesser clinical severity of the episode [31, 65, 67]. In many instances, those with hypomania are more likely to be hospitalized than those with mania [65]. Lastly, there is some evidence of an association between psychosis and hypomania, particularly from longitudinal community-based studies [68, 69]. Then again, other studies have shown that patients with hypomania/BP-II disorder are much less likely to experience psychotic episodes, or be hospitalized because of psychosis than those with BP-I disorder [66].

#### Depressive episodes and bipolar depression

The ICD-11 CDDR has made many changes to the definition of the ICD-10 depressive episode so that the ICD-11 description corresponds to the DSM-5 definition [13, 29, 30]. These changes are shown in Table 4.

Table 4 here

There are certain minor differences between the ICD-11 and DSM-5 definitions, but the major difference is the inclusion of the "bereavement exclusion" criterion while diagnosing depression in the ICD-11 draft [29, 30]. The DSM-5 has been widely criticized for removing the (operationally defined) "bereavement exclusion" criterion and supplanting it with the application of clinical judgment. The ICD-11 has followed the DSM-IV approach in setting a

higher threshold in terms of duration and severity while diagnosing depression in the context of bereavement. Nevertheless, the subject of "bereavement exclusion" remains controversial, with some justifying its removal [71, 72] and others claiming its retention to be more in agreement with the evidence [73, 74].

Another problem is that the definitions of depressive episodes in the ICD-11 and the DSM-5 lack empirical support [29, 75, 76]. These definitions arbitrarily impose a categorical threshold on what is essentially a dimensional concept. Accordingly, the distinction between major depression and normality, minor depression, and severe melancholic depression is unclear. The functional impairment criterion does not resolve this threshold problem. Therefore, major depression is a heterogenous category both in terms of the diagnostic criteria and the patients meeting these criteria. Moreover, it has been shown that the current definitions do not include the most important symptoms and that simpler definitions of major depression may be more appropriate. All these limitations lead to poor reliability and clinical utility of the current category.

The definitions of unipolar depression and bipolar depression are identical in both the ICD-11 and the DSM-5 [29, 54]. This is primarily because the existing evidence indicates that there are no characteristic features that could distinguish the two categories [77-79]. However, certain symptoms, course characteristics, and family history are more common in either unipolar or bipolar depression and in those with unipolar depression who convert to BD. These features could be used to distinguish between unipolar or bipolar depression [77]. Although this "probabilistic" approach might have reasonable predictive power [80, 81], there are obvious difficulties in incorporating such a scheme in the current classifications. Nevertheless, the lack of distinction

between unipolar and bipolar depression is problematic, because one of the reasons that the diagnosis of BD is often missed is the inability to distinguish between the two types of depression [82].

Finally, the issue that has been the bone of contention for a long time is the requirement for a minimum duration of four days for hypomania in the DSM-5. The existing evidence derived mainly from large community studies shows that there is no difference between hypomanic episodes lasting less or more than four days in terms of prevalence, clinical features, and associated impairment [29, 53, 54, 65, 66]. However, the proposal to include short-lasting hypomanic episodes was not accepted by the DSM-5 because of concerns about the overdiagnosis of BD [29]. Nevertheless, the DSM-5 has included some of these short-lasting presentations in the category of "Other Specified Bipolar and Related Disorders" and its section three as a condition for further study. By defining the minimum duration as "several days", the ICD-11 guidelines seem to have avoided this controversy, but they are likely to have the same limitations as the DSM-5 criteria for hypomania [65]. It is also unclear whether the lack of clear thresholds will hamper the clinical utility of the ICD-11 diagnosis [70].

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### Mixed episodes

Mixed states consist of an admixture of the usual manic and depressive symptoms along with certain characteristic features such as agitation, irritability, and hostility [83-87]. More than a third (30%-70%) of the patients with BD present with mixed mania or mixed depression. Mixed states are associated with a more severe form of BD, higher comorbidity, poorer course and outcome, inadequate treatment response, higher disability, and greater risk of suicide.

The DSM-IV TR definition of mixed episodes was thought to be too restrictive because it required the concurrent presence of full manic and depressive syndromes. Since the most common presentation of mixed episodes is subsyndromal with a few symptoms of the opposite polarity, the DSM-5 replaced mixed episodes with a "mixed features" specifier [83]. This was defined by the presence of a full mood episode of one polarity accompanied by at least three contrapolar symptoms, excluding those common to both kinds of

episodes (overlapping symptoms). The DSM-5 also made it possible to use the specifier for major depressive episodes because of the high rates of subthreshold bipolarity in unipolar depression. It was anticipated that this definition would be better at capturing the subsyndromal manifestations of mixed presentations in BD [82, 83]. Indeed, studies showed that with the use of the new DSM-5 specifier mixed presentations were about three times more common than with the DSM-IV TR [85, 87]. However, several problems with the new specifier have gradually become apparent. The DSM-5 decision to leave out overlapping symptoms has often led to the exclusion of symptoms that are considered to be central to the presentation of mixed states. Several reviews on the subject have pointed out that psychomotor agitation is the principal component of these core features, followed by irritability or hostility (dysphoric mood), mood lability, and distractibility [86-90]. Though these features are more prominent in mixed manic episodes, they are present in both mania/BD and depression/unipolar disorder. Accordingly, the DSM-5 definition of mania or hypomania with mixed features is consistent with the existing evidence [29]. However, the category of major depression with mixed features has been criticized because it leaves out many of these key symptoms while including relatively rare ones such as euphoria and grandiosity [85, 88-90]. Leaving out the characteristic symptoms means that a considerable proportion of those with mixed depression will be missed by the DSM-5 criteria. Moreover, it has been demonstrated that patients with major depression and mixed features often convert to BD and therefore should be included with the bipolar spectrum disorders [84, 91, 92]. Additionally, the minimum number of contrapolar symptoms required for the specifier is unclear [84, 87, 93]. Lastly, the specifier is likely to have poor clinical utility because of its poor predictive validity and uncertain treatment implications of the symptoms included [91, 94].

Therefore, it was suggested that the ICD-11 should retain the mixed episode category rather than adopt the DSM-5 approach [95, 96]. Retaining the category allows for further research examining its usefulness and treatment requirements. It also ensures that information about mixed states is properly captured because the category is coded. The ICD-10 definition of mixed episodes only required the rapid alternation of prominent manic, hypomanic, and depressive symptoms for two weeks. Although it was less restrictive and more in tune with the existing concepts, it was neither too detailed nor precise. Additionally, the two-week duration was considered to be excessive. Consequently, a departure from the ICD-10 approach was also proposed [95, 97]. The need to include the core symptoms of agitation, irritability, lability, and distractibility was endorsed, as was the retention of the rapid alternating pattern of symptoms [95, 96]. Nevertheless, the ICD-11 draft has essentially followed the ICD-10 approach by including the concurrent presence or rapid alternations of manic or depressive symptoms for two weeks or less if treatment is initiated [13, 29]. Unlike the ICD-10, it has included all the core contrapolar symptoms mentioned above. However, no threshold has been set for the number of such symptoms required for diagnosis. The episodes should cause significant functional impairment. The diagnosis of a mixed episode will automatically signify a diagnosis of BP-I disorder. Therefore, the ICD-11 does not have a category equivalent to major depression with mixed features in the DSM-5. The exclusion of mixed episodes from the BP-II diagnosis is also debatable because of their high prevalence in this subtype [98, 99]. Though the concept of mixed episodes in the ICD-11 is not perfect, it may still turn out to be more inclusive than the DSM-5 approach, but this can only be established by further research.

## Bipolar I disorder

A history of at least one manic or mixed episode will be sufficient to make a diagnosis of BP-I disorder in the ICD-11 CDDR, unlike the ICD-10 which required the presence of at least two episodes. The reliance on a single episode of mania to define BP-I disorder is based on the current evidence, which demonstrates that the occurrence of mania predicts the typical course of bipolar disorders, and separates it from other mood and psychotic disorders [30]. Consequently, an independent diagnosis of a manic episode is no longer possible as it was in the ICD-10. However, like the ICD-10, the ICD-11 draft consigns the illnesses characterized by recurrent manic or hypomanic episodes without depression to the "Other Specified Bipolar or Related Disorders" category. Recently, Angst et al. [31, 53, 100] have presented evidence that contradicts the traditional view of recurrent mania as a rare condition indistinguishable from BD [27]. Rather, epidemiological studies have found recurrent mania to be common [101] and clinical studies indicate that about 15%-20% of the patients with BD have this condition [102]. The rates are considerably higher in Asian studies coupled with the predominantly manic course of BD in these countries [103]. Moreover, recurrent mania can be reliably distinguished from BP-I disorder in terms of its diagnostic stability, lifetime course, familial-genetic features, and treatment response [31, 53, 100, 102, 104]. Therefore, reviving the recurrent mania diagnosis has been proposed.

## Bipolar II disorder

The most noticeable change in the ICD-11 CDDR, distinguishing it from the ICD-10 is the inclusion of the BP-II subtype. Similar to the DSM-5, a diagnosis of BP-II disorder will require a history of at least one hypomanic episode and one depressive episode. The BP-II subtype was officially recognized in the DSM-IV based on its diagnostic stability and familial-genetic links with BD [105]. Although historically perceived to be a milder form of BD, it is now clear that BP-II disorder is a chronic and highly recurrent condition that is equally, if not more disabling than the BP-I subtype. A predominance of depressive pathology during the acute episodes, subthreshold depression in the inter-episodic periods, and suicidal behavior are more common in BP-II disorder [29, 106]. The initial evidence suggested that BP-II disorder could be distinguished from BP-I disorder based on its epidemiology, familial-genetic aspects, longitudinal course, and higher suicidal risk [98, 107, 108]. However, subsequent reviews concluded that there were more similarities than differences between the two subtypes [109-111]. More recently, this debate has been revived in a slightly different fashion. The essential controversy seems to be whether to use a dimensional or a categorical model of BD. Those that favor a dimensional model have argued that BP-II disorder has to be subsumed under the broader bipolar spectrum diagnosis [70, 99, 112-114], whereas others who favor a categorical approach maintain that there is sufficient evidence for an independent BP-II category [115-119]. The actual evidence in terms of validators provides almost equal support for both the dimensional and the categorical approaches. Moreover, the size of the evidence base is small and plagued by numerous methodological problems. Additionally, most of the differences seem to arise from the way BP-II disorder (and hypomania) is defined and assessed across the different studies [32, 42, 111, 120]. Nevertheless, the final verdict seems to be that it would be premature to

abandon the BP-II subtype. Rather, it should be retained to encourage further research that may improve its definition and utility [118, 119, 121-123]. The controversies surrounding the BP-II diagnosis in the ICD-11 and the DSM-5 classifications are detailed in Table 5.

Table 5 here

### Cyclothymic disorder

The ICD-11 draft has made substantial changes to the diagnostic requirements for cyclothymic disorder compared to the ICD-10 version, bringing the definition closer to the one in the DSM-5. These changes are shown in Table 6.

Table 6 here

Unlike the DSM-5, there is no requirement for mood symptoms to be present more than half the time in the ICD-11 version. Moreover, the diagnosis of hypomania can be made at any time after the onset of the disorder, and that of depressive disorder after the first two years. Thus, the definition is less rigid than the DSM-5 one.

However, the existing literature suggests that cyclothymic disorder is not only characterized by persistent subsyndromal mood changes, but also by mood lability, irritability, increased emotional sensitivity, and a lifelong pattern of impulsivity and interpersonal difficulties that make up the cyclothymic temperament [124-126]. Moreover, cyclothymic temperament seems to be the central part of the presentation of cyclothymia and has been linked to an increased risk of suicide. Accordingly, the selective emphasis on mood changes and the neglect of personality characteristics in the ICD-11 definition may be misplaced. Moreover, the complex diagnostic requirements may reduce the

utility of the disorder [127]. The decision to allow hypomanic episodes creates further difficulties. Mixed states are very common in cyclothymia but they have been excluded from the ICD-11 because they denote a diagnosis of BP-I disorder. Therefore, more comprehensive and precise guidelines may be required to improve the reliability and clinical utility of cyclothymia in the ICD-11 CDDR.

### Bipolar spectrum disorders

The ICD-11 has followed a somewhat contradictory approach to introducing a dimensional aspect to the BD category. Although it has tacitly accepted the existence of a bipolar spectrum by including BP-II disorder, mixed episodes, cyclothymia, and antidepressant-induced mania as a part of BD, it has stopped short of including other categories from this spectrum. This is contrary to the evidence supporting a wider spectrum of bipolar disorders [128-132]. This evidence indicates that bipolar spectrum disorders are possibly more common than BP-I and BP-II disorders [133-136]. Additionally, up to half of those with major depression show signs of subthreshold bipolarity. Spectrum disorders are clinically significant forms of BD, often associated with a poor prognosis and enhanced risk of converting to BP-I or BP-II disorders. The failure to detect spectrum disorders often leads to inappropriate or delayed diagnosis and ineffective or harmful treatment. However, the ICD-11 draft chose not to include these disorders. This was because of the concerns about the uncertain boundaries of spectrum disorders and the risk of overdiagnosis and inappropriate treatment [132-135]. The relative lack of external validators, the problems with diagnostic and prognostic validity, and the absence of controlled data on treatment also proved problematic. Incidentally, the DSM-5 has included some of these disorders in the "Other specified Bipolar and Related Disorders" category. Moreover, a community study utilizing DSM-5 criteria for

BD has shown that the spectrum disorders are as frequent and disabling as BP-I and BP-II disorders [55].

### Specifiers

Much like the DSM-5, the ICD-11 CDDR uses several specifiers for mood disorders to create more homogeneous subgroups. These specifiers are also intended to increase diagnostic specificity, assist treatment selection, and help prognostication [29]. They include those related to the course, severity, and descriptive symptom patterns. However, unlike the DSM-5 all specifiers can be coded in the ICD-11 draft so that this information is preserved. The primary specifiers include psychotic symptoms, severity in the case of depressive disorders, and course specifiers such as partial or full remission. Additional specifiers for melancholia and chronicity apply to depressive episodes. The rapid cycling specifier is used to describe BP-I and BP-II disorders. Specifiers common to both depression and BD include the presence of prominent anxiety symptoms, panic attacks, seasonal patterns, and the puerperal onset of episodes. Though most of these specifiers have been included in successive DSM classifications and are evidence-based, there are some uncertainties about their definition and clinical utility [29]. The anxiety symptoms specifier is new to both the ICD-11 and the DSM-5. It is based on the evidence for the frequent occurrence of anxiety symptoms and the influence of these symptoms on the course and outcome of BD [137-140].

### Clinical utility

The notion of clinical utility and its examination in the ICD-11 was influenced by different aspects of the concept. These included its working definition [141, 142], the need for clinical utility [143- 145], levels of utility [141, 145], and

clinical, research, and public health aspects of utility [146-148]. These are shown in Table 7.

Table 7 here

Although clinical utility has been a consideration for the DSM-5 and the earlier versions of both classifications, systematic attention to its study was much greater during the preparation of the ICD-11 CDDR [147, 148]. Notably, it was the guiding principle at all stages of the development of the ICD-11 draft, from its adoption as the primary principle, framing an operational definition, using it to guide the evidence review and the description of diagnostic categories, and conducting field trials to examine its relevance [9-11, 13].

The ICD-11 field studies

The clinical utility of the ICD-11 CDDR categories was examined in a series of studies with a varied methodology in naturalistic settings. These studies were coordinated and conducted by the Field Studies Coordination Group and the GCPN [10, 11, 149, 150]. They included internet-based surveys and clinic-based studies conducted at the field trial centers (FTCs). The formative field trials were conducted early during the guideline development and were meant to provide data to help improve the ICD-11 draft. These included surveys of mental health professionals to elicit their opinions and utilization patterns. Studies on the clinicians' organizational map were meant to inform the structure of the ICD-11 CDDR. Evaluative field studies were designed to assess the utility and reliability of the classification and the individual categories. They

included internet-based studies using clinical vignettes and clinic-based FTC studies. The results of these studies regarding BD or mood disorders are shown in Table 8.

Table 8 here

At the first glance, the results are encouraging. The clinical utility and utilization of the ICD-11 BD and mood disorders were very high [22, 151-154]. The overall structure of the ICD-11 version and the structure of the mood disorders section was endorsed by the clinicians [23, 24]. The diagnostic accuracy of BP-II disorders in the ICD-11 CDDR was better than the ICD-10 guidelines [155, 156]. The clinical utility and inter-rater reliability of BP-I disorder, BD, and mood disorders all proved to be high [142, 157-160]. While the clinical utility of these ICD-11 categories was similar to the ICD-10 [161, 162] and the DSM-5 diagnoses [163], their inter-rater reliability was better than the corresponding DSM-5 categories [164, 165]. However, there were a few limitations. There was a divergence of opinion between psychiatrists and other mental health professionals in certain studies [151, 153]. Although the ICD-11 categories were not inferior to the ICD-10 ones in terms of utility and reliability, they were no substantial differences between the two versions [156, 161, 162]. The reliability of BP-II disorder though adequate was relatively low [157]. Certain aspects of the clinical utility, e.g., making treatment decisions based on the diagnoses were difficult [160]. Patients' perceptions were not invariably favorable [158]. Finally, methodological limitations such as a selection bias towards those positively predisposed to the ICD-11 and inadequate generalization of the results to routine clinical practice could confound these findings [149]. Therefore, there is much scope for improving the utility and

reliability of the ICD-11 guidelines as well as conducting further research on the subject.

## CONCLUSION

The ICD-11 guidelines on BD have been more or less finalized following a protracted and complicated process. Many changes have been suggested. Many limitations are also evident, mostly arising from the conflicting nature of the existing evidence. Imperfections are also due to the consensus-based system of creating classifications [166] and the limitations of the current state of knowledge about the etiology of psychiatric disorders [167-171]. The conservative approach followed may lead to some frustration. However, it has to be accepted that any change can only be incremental and that the scope for paradigmatic shifts is limited at present [30, 172]. It is also time to move beyond the endless debates about the necessity of revisions [145, 173, 174] and focus on the challenges of implementation, dissemination, and education and training of the potential users of these guidelines. A provision for continuous upgrading similar to the DSM-5 [175] and a greater focus on treatment-utility is also needed [148]. Though the initial results of clinical utility and reliability of BD seem promising, it will take several years and many studies to evaluate the real impact of the ICD-11 guidelines on the current psychiatric practice. It would be imperative that all stakeholders including the policymakers, professionals, and the people impacted by mental illnesses are engaged in this process [9]. Ultimately, only they will determine if the revision was worth the effort.

