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# Chronic lung allograft dysfunction post-lung transplantation: The era of bronchiolitis obliterans syndrome and restrictive allograft syndrome

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## Abstract

Chronic lung allograft dysfunction (CLAD) following lung transplantation limits long-term survival considerably. The main reason for this is a lack of knowledge regarding the pathological condition and the establishment of treatment. The consensus statement from the International Society for Heart and Lung Transplantation on CLAD in 2019 classified CLAD into two main phenotypes: Bronchiolitis obliterans syndrome and restrictive allograft syndrome. Along with this clear classification, further exploration of the mechanisms and the development of appropriate prevention and treatment strategies for each phenotype are desired. In this review, we summarize the new definition of CLAD and update and summarize the existing knowledge on the underlying mechanisms of bronchiolitis obliterans syndrome and restrictive allograft syndrome, which have been elucidated from clinicopathological observations and animal experiments worldwide.

**Key words:** Lung transplantation; Chronic lung allograft dysfunction; Bronchiolitis obliterans syndrome; Restrictive allograft syndrome; Interaction of immune cells; Anatomical changes in transplanted lungs

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**Core tip:** Long-term prognosis following lung transplantation has not improved due to chronic lung allograft dysfunction (CLAD). Although a decade has passed since restrictive allograft syndrome with poor outcome was proposed, which was subsequently included as a new CLAD phenotype in the consensus report from International Society for Heart and Lung Transplantation in 2019, detailed mechanisms involved remain largely unknown. Here, we discuss the mechanisms of CLAD from an immunological point of view.

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## INTRODUCTION

Lung transplantation is an established surgical treatment for end-stage respiratory failure. Since the first successful case of clinical lung transplantation in 1983<sup>[1]</sup>, the short-term survival within 1 year is over 80% because of better surgical techniques and perioperative management<sup>[2]</sup>. However, the overall survival at 5 years is still approximately 50%-70% without much improvement<sup>[3]</sup>, and is worse than that of other solid-organ transplantations<sup>[4]</sup>. Chronic lung allograft dysfunction (CLAD) has been the most common cause of poor survival for the past 35 years. Half of recipients receiving lung transplantation develop CLAD within 5 years<sup>[5,6]</sup>, which aggravates their respiratory condition and worsens prognosis. The pathological condition of CLAD is thought not to be associated with chronic rejection alone, but rather as a multifactorial syndrome<sup>[7-12]</sup>. The lung is a unique organ that is consistently exposed to the external environment and is easily affected by external stimuli including infection<sup>[8-11]</sup>, air pollution, and aspiration<sup>[12,13]</sup>. Until recently, CLAD was recognized as bronchiolitis obliterans syndrome (BOS) when it was first introduced in 1993<sup>[14]</sup>. The main histologic findings of BOS include obliterative bronchiolitis (OB) accompanying chronic inflammation and fibrosis in the respiratory tract<sup>[15,16]</sup>. In 2010, the concept of restrictive allograft syndrome (RAS), which accompanies restrictive ventilatory impairment and a poor prognosis, was proposed as a new entity of CLAD<sup>[17]</sup>. Interestingly, in pathological specimens of RAS patients, inflammation and fibrotic lesions were observed in the respiratory tract as well as the visceral pleura and peripheral lung tissues. RAS accounts for one-third to one-fourth of all cases of CLAD. A lower survival rate after RAS onset was shown in multiple lung transplant centers (survival period: 1 year for RAS *vs* 2.5 years for BOS)<sup>[18,19]</sup>. In response, the pulmonary council of International Society for Heart and Lung Transplantation (ISHLT) formulated the international diagnostic criteria for RAS in 2019<sup>[20]</sup>. Accordingly, the new definitions and diagnostic criteria for CLAD and BOS were also published in another consensus report<sup>[21]</sup>. The framework of CLAD has greatly changed, and further study is now needed to elucidate the mechanisms involved for each phenotype. This review summarizes the new definition of CLAD and provides some mechanistic insights obtained from clinicopathological observations and animal experiments.

## NEW DEFINITION OF CLAD

CLAD is defined as a substantial and persistent decline in the measured forced expiratory volume in 1 second (FEV<sub>1</sub>) with  $\geq 20\%$  from the baseline value. The baseline value was set as the mean value of the two best FEV<sub>1</sub> values following lung transplantation, which were measured at least 3 wk apart<sup>[21]</sup>.

### **Three steps to diagnose CLAD according to its progress**

**Possible CLAD:** FEV<sub>1</sub> declined by  $\geq 20\%$  from the reference value but the duration of functional decline is still within 3 wk, irrespective of any forced vital capacity (FVC) changes.

**Probable CLAD:** FEV<sub>1</sub> is still  $< 80\%$  of the reference value after more than 3 wk but less than 3 months, despite appropriate treatment for secondary causes such as infection, acute rejection [cellular- or antibody-mediated rejection (AMR)], aspiration, and airway stenosis. In this case, new CLAD staging (Figure 1) and phenotypic clinical subtyping (Table 1) should be temporarily decided.

**Definite CLAD:** FEV<sub>1</sub> has a persistent decline of  $\geq 20\%$  from the reference value after more than 3 months. Finally, a definite CLAD phenotype should be determined. Based on respiratory function examined by spirometry and computed tomography (CT) findings, CLAD is classified into four groups: BOS, RAS, mixed (BOS and RAS), and undefined.

**Table 1** Phenotypes of chronic lung allograft dysfunction

	Obstruction findings	Restriction findings	CT findings
	FEV <sub>1</sub> /FVC < 0.7	TLC decline ≥ 10% from baseline	Parenchymal opacities and/or pleural thickening
BOS	√		
RAS		√	√
Mixed	√	√	√
Undefined (2 types)	√		√
	√	√	

BOS: Bronchiolitis obliterans syndrome; CLAD: Chronic lung allograft dysfunction; CT: Computed tomography; FEV<sub>1</sub>: Forced expiratory volume in 1 second; FVC: Forced vital capacity; RAS: Restrictive allograft syndrome; TLC: Total lung capacity; √: Yes; Black box: No.

If patients meet the CLAD criteria, their stage is determined by the new CLAD staging based on the decline rate of FEV<sub>1</sub> during the disease course (Figure 1).

CLAD 0: Current FEV<sub>1</sub> > 80% FEV<sub>1</sub> baseline.

CLAD 1: Current FEV<sub>1</sub> > 65%-80% FEV<sub>1</sub> baseline.

CLAD 2: Current FEV<sub>1</sub> > 50%-65% FEV<sub>1</sub> baseline.

CLAD 3: Current FEV<sub>1</sub> > 35%-50% FEV<sub>1</sub> baseline.

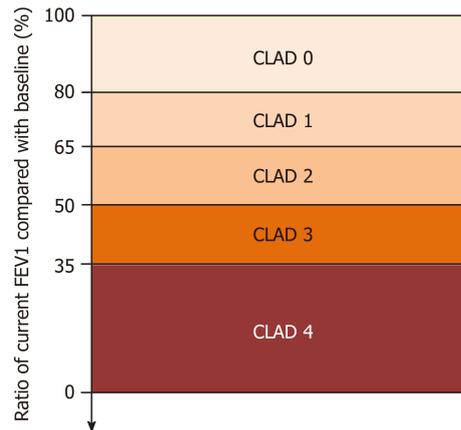
CLAD 4: Current FEV<sub>1</sub> ≤ 35% FEV<sub>1</sub> baseline.

The old BOS staging<sup>[22]</sup> will no longer be used because RAS is included in this CLAD criterion. The four phenotypes (Table 1) represented mainly by BOS and RAS, and CLAD staging (5 stages: from 0 to 4) will be used to describe each disease state.

## RISKS AND MECHANISM

Risk factors for CLAD include alloantigen-dependent (cellular and antibody-mediated rejection) and alloantigen-independent factors (infection, aspiration, ischemia, and autoimmunity). However, it is difficult to categorize them clearly into risk factors of BOS or of RAS because of the small amount of pooled evidence for RAS at present. For patients who underwent lung transplantation, the risk of developing BOS increased because of primary graft dysfunction (PGD), acute rejection, infections such as cytomegalovirus (CMV) pneumonitis or colonization by *Pseudomonas aeruginosa* or *Aspergillus*, and gastroesophageal reflux<sup>[5,23]</sup>. In contrast, RAS is also associated with acute cellular rejection (ACR), lymphocytic bronchiolitis, chronic lung infection caused by *Pseudomonas aeruginosa*, and neutrophil increase caused by bronchoalveolar lavage (BAL)<sup>[23]</sup>. However, among these risk factors, only severe lymphocytic bronchiolitis was thought to be associated with the onset of RAS compared with BOS. As described above, some risk factors overlapped between the two phenotypes. According to further clinical data, early-onset diffuse alveolar damage (DAD) (within 3 months after lung transplantation)<sup>[24]</sup> was considered a risk for BOS development. However, the following factors might increase the risk of RAS relative to BOS: elevated eosinophils in blood and BAL<sup>[25]</sup>, preoperative lung diseases in recipients (not cystic fibrosis but interstitial lung disease/idiopathic pulmonary fibrosis (ILD/IPF) or chronic obstructive pulmonary disease (COPD)<sup>[26]</sup>, antibody-mediated rejection (AMR)<sup>[27]</sup>, HLA mismatching at the eplet level (HLA-DRB1/3/4/5+DQA/B)<sup>[28]</sup>, late-onset DAD (more than 3 months after lung transplantation)<sup>[24]</sup> and acute fibrinoid organizing pneumonia (AFOP)<sup>[29]</sup> (Table 2). In our recent animal experiments, airway stimulation of rats with lipopolysaccharide (LPS) induced airway-centered inflammation similar to BOS in humans<sup>[30]</sup>. We think BOS is caused by inflammation in the local respiratory tract, whereas RAS may be caused by fulminant rejection.

Although RAS has been clearly categorized in the ISHLT CLAD consensus report, most previous studies used the term, BOS, which may also include RAS. Therefore, it is still unclear why CLAD following lung transplantation can take the form of one of the two phenotypes: BOS or RAS. The mechanism that differentiates these two phenotypes is unknown. Thus, based on the findings of previous research, we can start by considering the common pathways involved including innate immunity, cellular rejection, antibody-mediated rejection (humoral immunity), and autoimmunity.



**Figure 1 Chronic lung allograft dysfunction staging.** CLAD: Chronic lung allograft dysfunction; FEV1: Forced expiratory volume in 1 second.

## INTERACTION OF IMMUNE CELLS

### *Innate immunity*

Innate immunity refers to a nonspecific biological defense mechanism that plays an essential role in the initial recognition of pathogens such as bacteria and viruses, the initiation of subsequent inflammatory reactions, and the establishment of adaptive immunity. Inflammatory cell groups are generated in secondary lymphoid organs such as the bone marrow, spleen, and lymph nodes<sup>[31]</sup>. These cells infiltrate damaged tissues through blood vessels and defend and repair the tissues. In acute inflammation, edema is caused by the action of vasoactive mediators such as histamine and leukotriene immediately after injury<sup>[32]</sup>. Subsequently, tissues are infiltrated by cells, mainly consisting of neutrophils, and tissue damage is repaired by infiltrating monocytes or macrophages<sup>[33]</sup>. In general, the innate immune system detects pathogen invasion through pattern-recognition receptors (PRRs) that recognize pathogen-associated molecular patterns<sup>[34]</sup>. PRRs including Toll-like receptor (TLR), RIG-I-like receptor, NOD-like receptor, C-type lectin receptor, and intracellular DNA sensors have been identified<sup>[35]</sup>.

PRRs are mainly expressed by dendritic cells and macrophages. Dendritic cells serve as a bridge between innate and adaptive immunity because they induce T cell responses after being activated and matured via innate immune receptors. TLR recognizes microbial components and autologous molecules. They have attracted increasing attention because of their involvement in autoimmune diseases and lifestyle-related diseases<sup>[36]</sup>. After lung transplantation, alloantigen-independent factors such as air pollution or bacterial infection may act directly on patient airways to induce the release of endogenous danger signals, such as alarmins from injured cells<sup>[37]</sup>. Dendritic cells and macrophages are activated through PRRs to promote the innate immune system and inflammatory responses, which is followed by activation of the adaptive immune system. This serial activation of the immune system might trigger CLAD. Indeed, TLR signaling was reported to activate alloimmune responses after lung transplantation. TLR2, TLR4, and TLR9 polymorphisms, which are involved in bacterial and viral recognition, were associated with CLAD development in humans<sup>[38]</sup>. Rat studies showed that activation of TLR4 resulted in obstructive bronchiolitis induced by the administration of synthetic double-stranded DNA or repeated doses of aerosolized lipopolysaccharide (LPS)<sup>[39,40]</sup>.

We established a BOS model by inducing airway inflammation with LPS<sup>[30,42]</sup> and demonstrated the mechanism of fibrosis by direct TLR4-mediated stimulation of fibroblasts<sup>[46]</sup>. In an animal model of BOS where LPS was transtracheally administered, increased levels of Th1-type transcription factors and cytokines were only present in the grafts, but not in secondary lymphoid organs<sup>[43]</sup>. Although LPS induced macrophage infiltration, effector molecules of innate immunity, the expression of proinflammatory cytokine mRNAs, and T cell reactivity were not enhanced. Furthermore, we found that TLR4 signaling contributed to the activation of fibroblasts in coordination with transforming growth factor (TGF)- $\beta$ 1 *in vitro*. TLR4 signaling may play an important role in allograft fibrosis in addition to activation of alloimmune responses.

Alarmin might be a factor that helps us understand the mechanisms of CLAD and

**Table 2 Possible risk factors of bronchiolitis obliterans syndrome and restrictive allograft syndrome based on the clinical evidence**

BOS	Undetermined <sup>[5,23]</sup>	RAS
Early-onset DAD <sup>[24]</sup>	ACR	AFOP <sup>[29]</sup>
	Air pollution <sup>[37]</sup>	AMR <sup>1[27]</sup>
	Aspiration/GERD	Elevated eosinophils in blood and BAL <sup>[25]</sup>
	CMV pneumonitis	HLA-DRB1/3/4/5+DQA/B <sup>[28]</sup>
	Colonization of <i>Pseudomonas aeruginosa</i> and <i>Aspergillus</i>	Late-onset DAD <sup>[24]</sup>
	PGD	LB <sup>1[23]</sup>
		Specific recipients' lung disease (COPD/ILD/IPF) <sup>[26]</sup>

<sup>1</sup>AMR and LB might be associated with the development of RAS compared with BOS. ACR: Acute cellular rejection; AFOP: Acute fibrinoid organizing pneumonia; AMR: Acute antibody-mediated rejection; BAL: Bronchoalveolar lavage; BOS: Bronchiolitis obliterans syndrome; COPD: Chronic obstructive pulmonary disease; CLAD: Chronic lung allograft dysfunction; CMV: Cytomegalovirus; GERD: Gastro esophageal reflux disease; LB: Lymphocytic bronchiolitis; RAS: Restrictive allograft syndrome.

distinguish between RAS and BOS. When blood flow to the respiratory tract is impaired by primary graft dysfunction or gastroesophageal reflux disease, intracellular molecules are released from damaged cells. Within a few hours after transplantation, high-mobility group box 1 (HMGB1) is released, and innate immunity is mobilized. HMGB1 is secreted from necrotic cells under ischemic conditions, by TLR-mediated signals, and receptor for advanced glycation endproducts (RAGE) signals<sup>[44]</sup>. HMGB1 induced by RAGE signals is involved in early lung dysfunction, but it was also shown to be involved in the development of CLAD through the activation of innate immunity. In patients with RAS, various alarmins, such as S100A9 and HMGB1, were increased in alveolar lavage fluid at disease onset compared with BOS patients<sup>[45]</sup>, suggesting a more intense inflammatory process in RAS than BOS. The analysis of specific alarmins may promote a better understanding of the clinical conditions of the two phenotypes.

### Cellular immunity

The two main modes of cellular immunity (*i.e.*, T cell responses to alloantigens in transplanted organs) are direct and indirect recognition. Direct recognition is associated with rejection that occurs immediately after transplantation<sup>[46]</sup>. In this recognition pathway, the recipient T cell recognizes donor cell molecules (major histocompatibility complex) via its T cell receptor<sup>[47]</sup>. In the early stage of transplantation, donor-derived antigen-presenting cells interact with and activate recipient CD4<sup>+</sup> T cells. For indirect recognition, allo-MHC and other antigens are phagocytosed by recipient antigen-presenting cells, which are then presented to recipient T cells as MHC-peptide complexes. This sequence continues for the duration of the existence of the transplanted organ.

In recent years, exosomes have begun to attract attention as factors that trigger a common immunological mechanism of rejection<sup>[48]</sup>. Exosomes contain self-antigens, costimulatory molecules, MHC class II, transcription factors, and the 20S proteasome<sup>[49]</sup>. Cellular immunity is activated after exposure to these molecules. When a donor lung sustains an injury caused by PGD, viral infection, or acute rejection, stress-induced exosomes are released from the donor lung tissue<sup>[50]</sup>. The antigenicity of the donor lung is enhanced, leading to more intense immune responses against alloantigens and autoantigens (as discussed later), finally resulting in CLAD.

### Humoral immunity

Acute phase humoral immunity typically involves AMR mediated by donor-specific antibodies (DSA). Clinical AMR is sub-categorized into three categories (*Definite, Probable or Possible*) according to (1) the presence of allograft dysfunction; (2) presence of DSA; (3) positive histology suggestive of AMR; and (4) positive C4d staining<sup>[51]</sup>. A representative DSA is the anti-human leukocyte antigen (HLA) antibody, which is involved in alloimmunity and might be associated with the development of CLAD<sup>[52,53]</sup>. The emergence of anti-HLA antibodies might induce alloimmune responses and graft injury through various pathways, including complement-dependent mechanisms (classical, alternative and lectin pathways) and complement-independent mechanisms that induce intracellular signaling in endothelial cells, and finally cause vasculopathy by MHC ligation<sup>[54]</sup>. After binding to airway epithelial cells, anti-HLA class I induces cell death and the release of fibrogenic growth factors such as platelet-derived growth factor, insulin-like growth factor-1, and TGF- $\beta$ <sup>[55]</sup>. These events activate fibroblasts and myofibroblasts, and induce inflammatory cascades and

extracellular matrix regeneration.

As reported for other organs, DSA-positive patients against HLA have a higher incidence of CLAD and a lower survival rate<sup>[56]</sup>. In addition, patients with anti-HLA antibodies prior to transplant determined by a panel reactive antibody test were found to have poor survival<sup>[57]</sup>. Furthermore, the development of *de novo* DSA was associated with CLAD and graft failure<sup>[58]</sup>. In a prospective study, preformed and *de novo* anti-HLA antibodies were monitored regularly every 3 months for 1 year after transplantation using the LABScreen® Single Antigen assay (One Lambda Inc., Canoga Park, CA)<sup>[59]</sup>. The incidence of CLAD did not change between the *de novo* DSA positive group who received antibody-directed therapy and the negative group. However, if the DSA did not disappear after treatment, chronic rejection developed resulting in poor survival. Therefore, the continuous monitoring of *de novo* DSA after lung transplantation using a highly sensitive solid-phase antibody detection immunoassay is considered important for early disease detection and treatment<sup>[60]</sup>. There have been few studies on differentiating between the development of BOS and RAS by DSA or AMR. Long-term continuous AMR might be associated with CLAD<sup>[51]</sup>. It was reported that patients with persistent DSA were more likely to develop RAS than BOS<sup>[56]</sup>.

However, it has been recognized that during AMR, DSA can disappear in the serum of the recipient. An explanation might be that DSA are absorbed by the graft. In a study that examined human CLAD lungs, levels of tissue-bound graft DSA and serum DSA were measured and showed differences between serological and pathological findings<sup>[61]</sup>. In patients with RAS, the level of anti-HLA antibody as DSA in grafts was higher than in BOS, which indicated a strong relationship with fibrosis<sup>[61]</sup>. Furthermore, our laboratory reported that local anti-donor antibody production occurred in tertiary lymphoid structures of the donor lung in a rat lung transplant model<sup>[62]</sup>. Thus, the humoral immune response may occur locally in rejected lung allografts as well as in the spleen, a secondary lymphoid tissue.

### **Autoimmunity**

Researchers have reported a relationship between CLAD and immune responses to lung-associated self-antigens<sup>[63]</sup>. The immunogenic antigens identified included collagen type I, collagen type V (Col-V), and  $\alpha$ -tubulin. Col-V is a heterotrimer consisting of two-fragment  $\alpha 1$  (V) and one-fragment  $\alpha 2$  (V). Many patients without anti-HLA antibodies at transplantation harbored autoantibodies predisposing to chronic rejection. Another study reported that in cases where autoantibodies might exist before transplantation, the incidence of DSA and BOS was increased<sup>[64]</sup>. The development of autoimmune responses is promoted by interleukin-17 (IL-17) among many other factors<sup>[65]</sup>. IL-17-dependent cellular immunity to Col-V predisposes human lung transplants to obliterative bronchiolitis. While alloimmunity initiates lung transplant rejection, *de novo* autoimmunity for Col-V mediated by specific Th17 cells and monocytes or macrophages as accessory cells may ultimately contribute to progressive airway obstruction<sup>[66]</sup>. At BOS onset, the number of IL-10 secreting T cells was decreased and the numbers of CD4<sup>+</sup> T cells secreting interferon- $\gamma$  and IL-17 were significantly increased<sup>[67]</sup>. Some researchers have reported a loss of peripheral tolerance mechanisms after transplantation, mainly mediated by a decrease in Treg and loss of IL-10 response to self-antigens<sup>[63,67]</sup>. This Th phenotype switch may lead to an autoimmune response that predisposes towards chronic rejection. However, there is no evidence for differences in autoantibodies between BOS or RAS.

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## **ANATOMICAL CHANGES IN TRANSPLANTED LUNGS**

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### **Lymphoid neogenesis**

At sites of chronic inflammation in lungs, tertiary lymphoid structures (TLS) or lymph node-like cell aggregates are formed by lymphoid neogenesis, which are considered to play an immunoregulatory role<sup>[68]</sup>. They have been observed in transplanted organs with chronic rejection as well as at sites of chronic inflammation caused by viruses, bacterial infections, autoimmune diseases, and chronic obstructive pulmonary disease<sup>[69]</sup>. Bronchus-associated lymphoid tissue (BALT) is a representative TLS in the lungs<sup>[70]</sup>, and might actively promote local immune responses and cause rejection by triggers including infection or GERD.

Chronic inflammation occurs when there is insufficient repair of tissue damage in acute inflammation. It can also be observed when mild tissue damage persists without significant acute inflammatory responses as seen in patients with collagen diseases such as rheumatoid arthritis<sup>[71]</sup>. During this chronic inflammation phase, tissue remodeling may occur with the replacement of blood vessels and connective tissues.

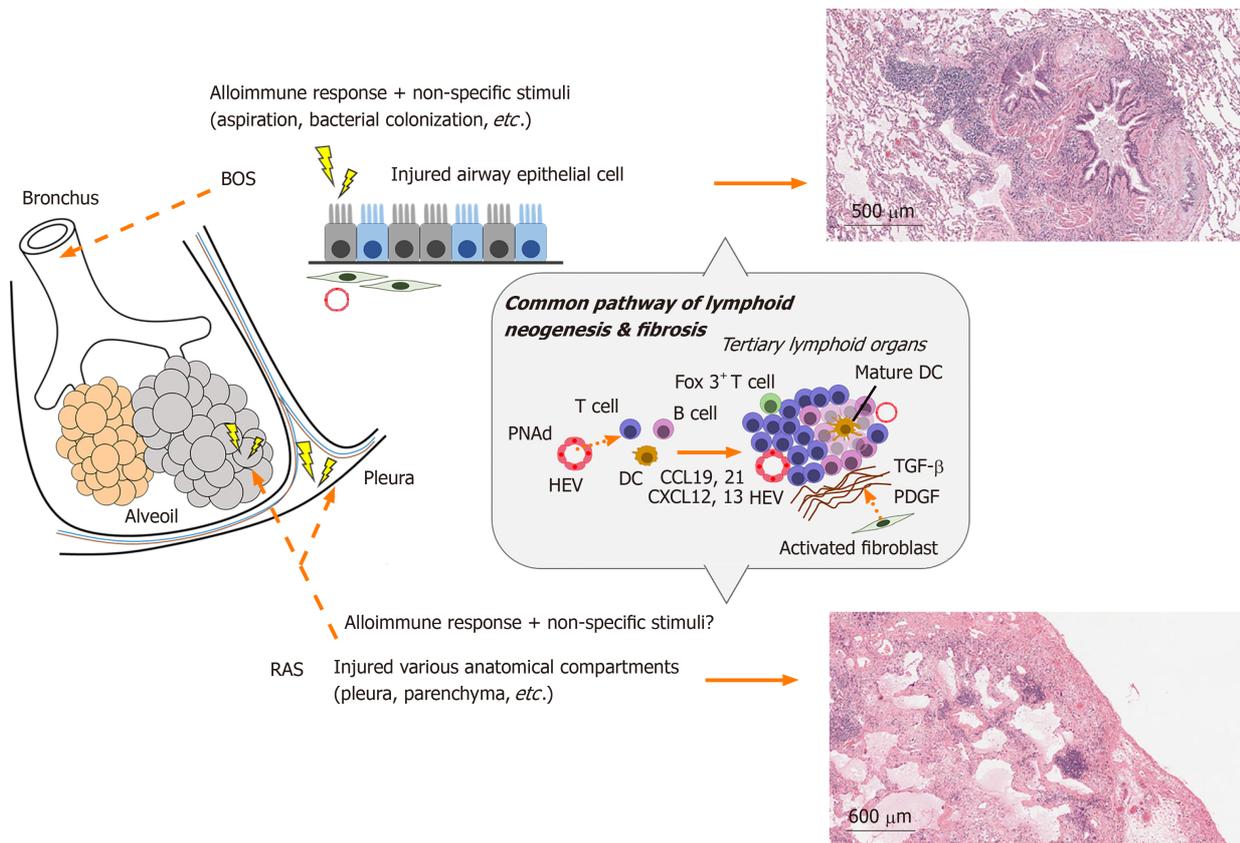
Although this remodeling was also reported in donor lungs affected by CLAD, the initiation of lung-specific lymphoid structures such as BALT further complicate the elucidation of the mechanism of CLAD<sup>[68,72]</sup>. During acute rejection, *de novo* lymphatic vessels are formed within 2 wk after transplantation<sup>[73]</sup>. This constructed lymphatic network further promotes the immune response and might be associated with the eventual formation of TLS in CLAD.

When inflammation persists chronically, the activation of resident stromal cells such as epithelial and endothelial cells plays an important role in immune responses. The resident stromal cells induce immune cells in transplanted lungs by expressing ectopic lymphoid chemokines and adhesion molecules (Figure 2). CCL21 attracts DCs and T cells, CXCL12 attracts immature DCs, and CXCL13 attracts B cells<sup>[74-76]</sup>. Furthermore, the peripheral lymph node address (PNAd) of adhesion molecules is expressed by endothelial cells and induces lymphocyte extravasation from the blood circulation<sup>[77]</sup>. Indeed, among lung transplant recipients that developed OB, BALT contained effector memory T cells and high endothelial venules (HEV) characterized by the expression of PNAd<sup>[68]</sup>. Previous reports provided evidence showing ectopic lymphoid tissues in chronically rejected grafts in the heart and kidney play a role in generating local humoral alloimmune responses<sup>[72]</sup>. Moreover, in a recent study of mouse orthotopic lung transplantation, chronic rejection after ischemia-reperfusion injury showed an increase in B cells in TLS of the graft<sup>[78]</sup>. Thus, BALT may be associated with rejection after lung transplantation by inducing immune cells. In our investigation on the development of OB after human lung transplantation, we showed that lymphoid tissue was generated around small airways<sup>[68]</sup>, which was thought to be a pathological feature of BOS. Furthermore, in another animal model of OB, lymphoid neogenesis in the lung contributed to allograft airway rejection<sup>[68]</sup>. However, the association between BALT and CLAD (BOS or RAS) remains controversial because another group confirmed lymphoid follicles in transplanted lungs affected by RAS but not BOS<sup>[79]</sup>. However, in transplanted lungs, which maintained allograft tolerance, the induction of BALT was related to the local immune static state<sup>[80]</sup>. Of note, the existence of Foxp3<sup>+</sup> T (Regulatory T; Treg) cells in BALT was considered a key factor to prevent CLAD after lung transplantation. Mouse lung retransplant studies showed that untreated mice with reimplanted lung allografts survived for a long time after the first 72 hours of engraftment to immunosuppressed recipients<sup>[80]</sup>. This suggests that immunoregulatory pathways are established within lung allografts after a short period in immunosuppressed hosts. B cells and Treg cells are abundant in BALT. Recently, the development of HEV with the expression of PNAd, and mobilization of B cells was shown to be dependent on IL-22 but not on Treg cells in intra-graft BALT<sup>[81]</sup>. Treg cells maintain tolerance of the autoimmune system by controlling the activity of effector T cells. Furthermore, they inhibited Th1 autoimmunity by inducing IL-10-producing T cells following human lung transplantation<sup>[82]</sup> and prevented AMR by inhibiting the local activation of B cells. In a study of the long-term peripheral blood dynamics of CD4<sup>+</sup> CD25<sup>high</sup> CD127<sup>-</sup> Treg cells in lung recipients with CLAD, the number of Treg cells gradually decreased with the higher severity of CLAD. High numbers of Treg cells was associated with a low risk of CLAD development<sup>[83]</sup>. Thus, Treg cells are considered to play a major role in maintaining “calm” status after transplantation<sup>[84]</sup>. However, there have been no studies on the association of Treg cells and the two phenotypes in CLAD.

### Fibrosis

The representative pathological hallmark of CLAD is obliterans bronchiolitis (OB). Although it is unclear how the two phenotypes of CLAD differentially develop in a patient, it is likely that these factors cause a fibroproliferative response in a transplanted donor lung. BOS is histologically correlated with OB of the terminal bronchioles and results in abnormal remodeling of the airway epithelium, vasculature, stroma, and lymphatic system<sup>[85]</sup>. The histological findings of RAS include OB and peripheral lesions such as pleural and interlobular hypertrophy. The final morphology includes pleuroparenchymal fibroelastosis, which is frequently dominant in the upper lobe, and this finding was confirmed in half of RAS patients<sup>[86]</sup>. The different fibrotic sites in the BOS and RAS phenotypes might be explained by the lymphangitic distribution of lymphoid neogenesis.

A potentially important mechanism that promotes the progressive and treatment-resistant nature of BOS and probably that of RAS is a cycle of continuous damage and abnormal fibrotic remodeling<sup>[87]</sup>. The mechanism of inflammation and tissue remodeling is likely to be multifactorial and complex. Particularly, myofibroblasts play a central role in fibroproliferative airway remodeling by producing large amounts of extracellular matrix in OB after lung transplantation<sup>[88]</sup>, which is probably related to the group of enzymes termed matrix metalloproteinases (MMPs). Immune cells promote airway remodeling through the production of MMP-9<sup>[89]</sup> or MMP-2,



**Figure 2 Anatomical and histopathological differences between bronchiolitis obliterans syndrome and restrictive allograft syndrome based on immune responses in transplanted lungs.** BOS: Bronchiolitis obliterans syndrome; CCL: CC chemokine ligand; CXCL: CXC chemokine ligand; DC: Dendritic cell; HEV: High endothelial venules; PDGF: Platelet-derived growth factor; PNAAd: Peripheral node addressin; RAS: Restrictive allograft syndrome; TGF- $\beta$ : Transforming growth factor beta.

which are expressed by myofibroblasts<sup>[90]</sup>. The potential origins of myofibroblasts are considered to be (1) tissue-resident fibroblasts; (2) peripheral blood mononuclear cells (PBMC) including bone marrow-derived fibrocytes<sup>[88,91]</sup>; (3) donor-derived multipotent mesenchymal stem cells (MSC)<sup>[92]</sup>, or (4) the epithelial-to-mesenchymal transition (EMT) of donor cells in transplanted lungs<sup>[93]</sup>. According to a study analyzing the origin of myofibroblasts in OB lesions after lung transplantation, myofibroblasts were derived from recipient and donor fibroblasts, indicating microchimerism<sup>[94]</sup>. These results suggest the complexity of fibrosis after lung transplantation. Further research is required to clarify the fibrotic mechanism of these two phenotypes.

## ARTICLE HIGHLIGHTS

Currently, CLAD is mainly classified into two clinical phenotypes, BOS and RAS. These mechanisms are not clear but considered to involve complex immune-mediated mechanisms such as innate immunity, cellular immunity, humoral immunity and autoimmunity. Finally, tissue remodeling takes place, resulting in irreversible fibrosis. An apparent histological difference between BOS and RAS is the anatomical locations involved: namely, BOS mainly involves small airways while peripheral lung tissue remains relatively intact, while RAS involves multiple anatomical compartments including airways, pleura, interlobular septum, alveoli, and vasculature. Such difference in the distribution of fibrosis may be associated with different magnitude and quality of immune mechanisms including lymphoid neogenesis.

## CONCLUSION

Consensus reports on the international classification of CLAD and the definition of the BOS and RAS subtypes were published in 2019. Although the associated mechanisms are largely unknown, multiple complex immunological pathways

including innate immunity and cellular and humoral adaptive immune responses are likely to be involved. Based on these new insights into the refined classification system and recent basic research, strategies for individualized diagnosis and treatment need to be explored further.

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