

REVIEW

# Therapy of gastric mucosa associated lymphoid tissue lymphoma

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Received: 2007-04-02 Accepted: 2007-04-26

## Abstract

Gastric mucosa associated lymphoid tissue (MALT) lymphoma has recently been incorporated into the World Health Organization (WHO) lymphoma classification, termed as extranodal marginal zone B-cell lymphoma of MALT-type. In about 90% of cases this lymphoma is associated with *H pylori* infection which has been clearly shown to play a causative role in lymphomagenesis. Although much knowledge has been gained in defining the clinical features, natural history, pathology, and molecular genetics of the disease in the last decade, the optimal treatment approach for gastric MALT lymphomas, especially locally advanced cases, is still evolving. In this review we focus on data for the therapeutic, stage dependent management of gastric MALT lymphoma. Hence, the role of eradication therapy, surgery, chemotherapy and radiotherapy is critically analyzed. Based on these data, we suggest a therapeutic algorithm that might help to better stratify patients for optimal treatment success.

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**Key words:** Gastric lymphoma; Mucosa-associated lymphoid tissue; *H pylori*; Eradication; Radiation; Surgery; Chemotherapy; Molecular genetics

Morgner A, Schmelz R, Thiede C, Stolte M, Miehke S. Therapy of gastric mucosa associated lymphoid tissue lymphoma. *World J Gastroenterol* 2007; 13(26): 3554-3566

<http://www.wjgnet.com/1007-9327/13/3554.asp>

## INTRODUCTION

Primary gastric lymphomas are of extranodal non-

Hodgkin type (NHL). Even though they represent only 2%-3% of all non-Hodgkin lymphomas, and 7% of all gastric tumours, they are nevertheless the most common extranodal non-Hodgkin lymphoma manifestation, and most of them are of B-cell origin<sup>[1]</sup>. According to the current World Health Organisation (WHO) classification, 40% of primary gastric lymphomas are termed as indolent (former low grade), and 60% as aggressive (high grade) type<sup>[2]</sup>. The grading of gastric lymphomas is very important in both the prognosis and treatment of the disease. Indolent gastric lymphomas include mantle cell lymphoma, chronic lymphocytic leukaemia, follicular lymphoma, and gastric mucosa associated lymphoid tissue (MALT) lymphoma. The latter has also been termed low-grade gastric MALT lymphoma, gastric marginal B-cell lymphoma, and extranodal marginal zone B-cell lymphoma of MALT type<sup>[3]</sup>. Gastric MALT lymphoma represents the vast majority of the three different types of marginal-zone B-cell lymphomas (MZBCL) corresponding to the Revised European American Lymphoma classification (REAL)<sup>[1,2]</sup>. The observation that the histology of certain extranodal NHLs was related to MALT rather than that of peripheral lymph nodes was first made by Isaacson and Wright in 1983<sup>[4]</sup>. In about 90% of cases, MZBCL of MALT type is associated with *H pylori* infection which has been clearly shown to play a causative role in the pathogenesis of gastric MALT lymphoma<sup>[5]</sup>.

Primary gastric aggressive-type lymphomas are classified as diffuse large B-cell lymphomas (DLBCL). They contain an indolent MALT component in about one third of cases. This lesion likely represents progression of disease from indolent to aggressive lymphomas<sup>[6,7]</sup>. The remaining two thirds of high-grade lymphomas have no detectable low-grade MALT component. However, it is controversial whether these tumours arose from indolent lesions with subsequent obliteration of the low-grade component in any case or whether these tumours may be considered better as de novo extranodal diffuse large B-cell lymphomas rather than transformed MALT lymphomas. For both, an association with *H pylori* has been described as it induces acquired MALT in the gastric mucosa, promotes malignant transformation of reactive B-cells and induces genotoxic effects via neutrophil released ROS, causing a wide range of genetic abnormalities<sup>[8]</sup>. Hence, newly described translocations such as t (11; 18) (q21; q21) or t (1; 14) (p22; q32) may play a key role in patients stratification for the most effective therapeutic approach in the future.

As simple as the initial diagnostic, staging and therapeutic approach to patients with gastric MALT

lymphoma may seem, proper patient management is nevertheless crucial. Furthermore, there is still controversy regarding the most effective treatment strategy, especially in patients who do not respond to eradication therapy. This review will focus on treatment strategies for gastric MALT lymphoma under consideration of *H. pylori* infection, molecular genetics, and the trend to effective conservative treatment modalities.

## BASIC CONSIDERATIONS

### Role of *H. pylori* in Lymphomagenesis

The first evidence of *H. pylori* infection being associated with a gastric immune response was found in 1988<sup>[9]</sup>. It was followed by the discovery of coherence between *H. pylori* infection and gastric MZBCL of MALT-type in 1991<sup>[5]</sup>. The latter study showed that the presence of *H. pylori* increases the risk of gastric MALT lymphoma, because the vast majority of patients with gastric MALT lymphoma were infected with *H. pylori*<sup>[8]</sup>. Moreover, case control studies have shown an association between previous *H. pylori* infection and the development of primary gastric lymphoma<sup>[10]</sup>. Direct evidence confirming the importance of *H. pylori* in the pathogenesis of gastric MALT-lymphoma was obtained from studies that detected the lymphoma B-cell clone in biopsy specimens of patients with chronic gastritis only that preceded the development of lymphoma. *H. pylori* infection was found to cause an immunological response, leading to chronic gastritis with formation of lymphoid follicles within the stomach<sup>[11]</sup>. These lymphoid follicles resemble nodal tissues found throughout the body and are composed of reactive T cells and activated plasma cells and B cells. Moreover, the bacterial infection provokes a neutrophilic response, which causes the release of oxygen free radicals. These reactive species may promote the acquisition of genetic abnormalities and malignant transformation of reactive B cells. The B cells are responsible for initiating a clonal expansion of centrocyte-like cells that form the basic histology of MALT lymphoma<sup>[8]</sup>. In addition, a series of *in vitro* studies showed that lymphoma growth could be stimulated in culture by *H. pylori* strain-specific T cells when crude lymphoma cultures were exposed to the organism<sup>[12]</sup>. Finally, Wotherspoon *et al.*<sup>[13]</sup> in 1993, and subsequently several other groups<sup>[14-25]</sup>, showed that eradication of *H. pylori* with antibiotics alone resulted in regression of gastric MALT lymphoma in 60%-90% of cases.

### Molecular genetics

A number of genetic and epigenetic abnormalities have been described in MALT lymphoma at various sites. These abnormalities include the trisomy of chromosomes 3, 12 and 18 and a number of translocations, which are mutually exclusive for low grade MALT lymphomas, including t (11; 18) (q21; q21), t (14; 18) (q32; q21), t (1; 14) (p22; q32), and t (3; 14) (p14; q32)<sup>[26]</sup>, the latter 2 translocations occur more frequently in non-gastrointestinal MALT lymphomas<sup>[26,27]</sup>. Trisomies 3 and/or 18 are present as the sole abnormality in 22% of cases, but in many others, is frequently associated with IGH-MALT1, IGH-BCL10

and IgH-FOXP1 but only rarely with API2-MALT1. Other detected abnormalities of unknown significance are aberrations of the *C-MYC* oncogene, *F4S* gene mutations, hypermethylation of p15 and p16 genes, microsatellite instability, and BCL-2 overexpression<sup>[28-32]</sup>.

The translocation t (11; 18) (q21; 21) is the most common chromosomal abnormality associated with MALT lymphomas<sup>[33]</sup>, occurring in 20%-60% of cases<sup>[34-37]</sup>. This translocation fuses the member of the inhibitors of apoptosis (IAO) family *API2* (also known as *BIRC3*, *cIAP2*, *HIOP1*, *MIHC*) on chromosome 11 with the *MALT1* (MALT lymphoma-associated translocation) gene on chromosome 18, resulting in the expression of a chimeric protein product<sup>[38,39]</sup>. This fusion product API2-MALT1 of t (11; 18) appears to be capable of increasing the translocation of NF- $\kappa$ B into the nucleus<sup>[40,41]</sup>. NF- $\kappa$ B transactivates genes such as cytokines and growth factors that are important for cellular activation, proliferation, and survival thus contributing to lymphoma development<sup>[42]</sup>. The clinical significance of this genetic aberration was suggested by a study of 18 patients with early gastric MALT lymphoma who responded to eradication therapy and in whom t (11; 18) was not found<sup>[43]</sup>. On the other hand, patients not responding to eradication therapy were mostly t (11; 18) positive<sup>[36,44]</sup>. To date this translocation has shown to be predictive for advanced stage of low grade gastric MALT lymphoma and only occasional response to *H. pylori* eradication treatment<sup>[37,45]</sup>.

The translocation t (1; 14) (p22; q32) is found in less than 5% of MALT lymphomas<sup>[46]</sup>. As a result of this translocation, the entire coding region of the *BCL10* gene on chromosome 1 is relocated to chromosome 14, thereby bringing *BCL10* gene under control of the *IGH* enhancer region, and this event results in overexpression of nuclear BCL10 protein<sup>[46]</sup>. BCL10 is essential for both the development and function of mature B and T cells, linking antigen-receptor signaling to the NF- $\kappa$ B pathway. The deregulated expression of wild-type BCL10 as a result of the translocation t (1; 14) seems to be one important event in MALT lymphomagenesis<sup>[47]</sup>.

## DIAGNOSIS AND STAGING

Clinical symptoms of primary gastric lymphoma are vague and varied, with abdominal pain being the most common complaint, followed by dyspepsia, vomiting and gastric bleeding<sup>[48-51]</sup>. Constitutional B symptoms are exceedingly uncommon. The endoscopic appearance of primary gastric lymphoma is variable and can be infiltrative, exophytic or ulcerative. In addition, primary gastric lymphoma may represent as multifocal disease within the stomach with numerous clonally identical lymphoma foci in apparently unaffected tissue<sup>[52]</sup>. A gastric mapping of macroscopically unaffected mucosa is therefore recommended and crucial for diagnosis. An important feature of gastric MALT lymphoma is the presence of lymphoepithelial lesions formed by invasion of individual glands by aggregates of lymphoma cells with centrocyte like morphology<sup>[3]</sup>, whereas aggressive lymphoma occur as infiltration of centroblast-like lymphocytes<sup>[53]</sup>. In difficult to diagnose

Table 1 Paris staging system of primary gastrointestinal lymphomas<sup>[59]</sup>Paris staging system<sup>1,2</sup>

T stage	
TX	Lymphoma extend not specified
T0	No evidence of lymphoma
T1	Lymphoma confined to the mucosa/submucosa
T1m	Lymphoma confined to the mucosa
T1sm	Lymphoma confined to the submucosa
T2	Lymphoma infiltrates muscularis propria or subserosa
T3	Lymphoma penetrates serosa (visceral peritoneum) without invasion of adjacent structures
T4	Lymphoma invades adjacent structures or organs
N stage	
NX	Involvement of lymph nodes not assessed
N0	No evidence of lymph node involvement
N1 <sup>3</sup>	Involvement of regional lymph nodes
N2	Involvement of intra-abdominal lymph nodes beyond the regional area
N3	Spread to extra-abdominal lymph nodes
M stage	
Mx	Dissemination of lymphoma not assessed
M0	No evidence of extranodal dissemination
M1	Non-continuous involvement of separate site in gastrointestinal tract (e.g. stomach and rectum)
M2	Non-continuous involvement of other tissues (e.g. peritoneum, pleura) or organs (e.g. tonsils, parotid gland, ocular adnexa, lung, liver, spleen, kidney <i>etc.</i> )
B stage	
BX	Involvement of bone marrow not assessed
B0	No evidence of bone marrow involvement
B1	Lymphomatous infiltration of bone marrow
TNMB	Clinical staging: status of tumour, node, metastasis, bone marrow
pTNMB	Histopathological staging: status of tumour, node, metastasis, bone marrow
pN:	The histological examination will ordinarily include 6 or more lymph nodes

<sup>1</sup>Valid for lymphomas originating from the gastro-esophageal junction to the anus. <sup>2</sup>In case of more than 1 visible lesion synchronously origination in the gastrointestinal tract, give the characteristics of the more advanced lesion. <sup>3</sup>Anatomical designation of lymph nodes as 'regional' according to site: stomach: perigastric nodes and those located along the ramifications of the celiac artery in accordance with compartments I and II of the Japanese Society for gastric Cancer (1995).

cases, B-cell clonality, assessed by PCR for amplification of the VDJ region of the immunoglobulin heavy chain gene (IgH), is used to support the diagnosis of gastric MALT lymphoma<sup>[54]</sup>. The presence of *H. pylori* infection should be diagnosed with routine biopsies taken and/or rapid urease test. In case of negativity, serology should be performed to identify truly negative gastric MALT lymphomas. Once the diagnosis of gastric lymphoma has been established, the accurate determination of the extension of the disease is crucial for the therapeutic approach. The initial staging should comprise a gastroduodenal endoscopy combined with endoscopic ultrasound to determine the extent of the gastric wall involvement. This method is more accurate than CT scan for the detection of spread to perigastric lymph nodes<sup>[11,55,56]</sup>, especially in limited stages. Furthermore, colonoscopy, bone marrow aspiration, examination of the pharynx, chest x-ray and abdominal ultrasound should be performed to exclude lymphoma spread to other lymphatic or extra-lymphatic organs.

The clinical stage will be determined in accordance with the Ann Arbor classification of extranodal NHL modified by Musshoff as proposed in 1977<sup>[57]</sup>. In 1994, this staging system was refined with emphasis on separate description of local penetration into neighbouring structures. The subdivision in stage III and IV disease was taken together and considered as widely disseminated disease. Radaszkiewicz *et al*<sup>[58]</sup> (1992) defined stadium I 1

as involvement of mucosa and submucosa, stadium I 2 as lymphoma extending beyond the submucosa, and differentiated stage II into disease with neighbouring (II 1) and distant lymphnodes (II 2). In 2003 Ruskoné-Fourmestreaux *et al*<sup>[59]</sup> introduced a modified TNM classification system, the Paris staging system, to adequately describe (1) depth of tumor infiltration, (2) extent of nodal involvement as well as (3) specific lymphoma spreading (Table 1), which tries to address the different behaviour of MALT-lymphoma, especially with respect to local infiltration.

### What stage-what kind of therapy?

The most effective management of primary gastric lymphoma depends on the exact diagnosis of the lymphoma, the accurate clinical staging of the disease, and the presence of *H. pylori* infection. Despite a load of literature on different therapeutic strategies for patients with gastric MALT lymphoma, published data sometimes are confusing: insufficient staging and outdated histologic classifications are a major problem of the older reports, and more recent studies often refer to retrospective studies of patients not uniformly staged and treated.

However, most studies report on treatment outcomes for localized gastric MALT lymphoma. Patients have been treated with a variety of combinations of surgery, radiotherapy, and chemotherapy including *H. pylori*

eradication and a watch-and-wait strategy, but the optimal therapeutic algorithm for patient management still remains to be determined.

## ERADICATION THERAPY

### *H pylori* positive patients

As indicated earlier, experimental data have extended the knowledge of the mere association of gastric MALT lymphoma and infection with *H pylori*. The perception that growth of low-grade MALT lymphoma is modulated *in vitro* by *H pylori*-related factors, and that cure of the infection may influence tumour growth leading to lymphoma remission started in 1992. Meanwhile, many clinical studies have shown that cure of *H pylori* infection is associated with complete remission of gastric MALT lymphoma in approximately 80% of patients with *H pylori*-positive gastric low-grade MALT lymphoma in stage I<sup>[14-25,60]</sup>; but until recently only few data existed on the long-term stability of the remissions induced. A recent paper by Wündisch and co-workers<sup>[24]</sup> report on the long-term follow up of 120 patients with stage I disease. The median follow-up was 75 (range: 1-116) mo, and five-year survival was 90%. Overall, 80% of patients achieved a complete histologic remission (CR) with 80% of them being in continuous complete remission (CCR). Only 3% showed clinical lymphoma relapse and were referred to alternative treatment strategies. These findings suggest that at least a fraction of the patients may actually be cured from their lymphoma by a therapy directed against the underlying infection only. Histologic residual disease (RD) and ongoing B-cell clonality are present in a considerable number of patients<sup>[61]</sup>. But due to the indolent nature of the lymphoma a careful watch-and-wait strategy seems to be justified<sup>[24,25]</sup>. To date, eradication therapy in *H pylori*-positive, primary gastric MALT lymphoma patients with localized stage I disease has to be considered as treatment of choice with any of the highly effective antibiotic regimens proposed can be used<sup>[62,63]</sup>. The role of eradication therapy in stage II lymphomas is still under discussion. Treatment success is lower, being only 40% in stage II<sup>[20,55]</sup>. In any case, a strict endoscopic follow-up is recommended. Current protocols recommend to wait at least for 12 mo after successful eradication therapy before a non-responder is defined and second-line therapy is applied. Long-term follow-up of antibiotic treated patients is mandatory.

### **Watch-and-Wait: Is it safe to do nothing?**

Many patients, approximately 20%, with *H pylori*-positive, early stage gastric MALT lymphoma do not respond to eradication therapy with complete lymphoma remission. They may show lymphoma progression or, more often, persistent lymphoma infiltrates despite normal endoscopy and endoscopic ultrasound examination. For those patients, the term minimal residual disease has been postulated. The consensus has been that these patients should be referred to further oncological treatment. However, in a recent report by Fischbach and colleagues<sup>[64]</sup>, seven patients with so called minimal residual disease after eradication therapy refused any further treatment, but

nevertheless agreed to regular follow-up. Despite persistent B-cell clonality, neither lymphoma progression nor high-grade transformation arose during a mean observation period of 34 (range 22-44) mo. In view of the favourable course of these patients, a watch-and-wait strategy could be a valid approach to the management of this disease in individual cases, taking into account the clinical situation of the patient (age, comorbidities) as well as potential risk factors (molecular markers). Very thorough investigations are, however, mandatory when deciding to do so.

### *H pylori* negative patients

Approximately 5% to 10% of gastric MALT lymphomas are *H pylori* negative, and the pathogenesis of these cases is poorly understood<sup>[65]</sup>. For the definite diagnosis of *H pylori* negativity in case of negative biopsy specimens, serological analysis for CagA antibodies and *H pylori*-IgG antibodies should be performed<sup>[66]</sup>, and other *Helicobacter* species such as *H heilmannii* or *H felis* should be excluded<sup>[19,67]</sup>. Possible pathogenic mechanisms that are currently under discussion for *H pylori*-negative gastric MALT lymphomas include hepatitis C virus infection<sup>[68,69]</sup>, autoimmune diseases<sup>[70]</sup>, and the translocation t(11, 18)<sup>[71,72]</sup>.

Currently, there are no guidelines how to treat patients with low-grade *H pylori*-negative gastric MALT lymphoma. So far, multiple treatment modalities including chemotherapy, surgery or local radiation have been used with various response and remission rates. The role of eradication therapy despite absence of the infection is currently under discussion. Analyzing predictive factors for complete lymphoma remission after eradication therapy it became evident that lack of *H pylori* infection adversely affects response to antibacterial treatment. As reported by Ruskoné-Fourmestreaux and co-workers<sup>[20]</sup>, 10 patients within the group of patients with low-grade gastric MALT lymphoma who received eradication therapy were *H pylori* negative, and none of them (23% of all cases) showed lymphoma remission, that is 40% of all non-responders. Hence, eradication treatment in patients with low-grade gastric MALT lymphoma, whatever the *H pylori* status is, is therefore of doubtful clinical relevance. Comparable results were documented in a paper by Steinbach and colleagues in which 6 patients with *H pylori*-negative gastric MALT lymphoma did also show no signs of lymphoma remission after antibiotic therapy<sup>[17]</sup>. In this analysis, not only the *H pylori* status seems to be important, but also the distribution of the lymphoma is probably related to the differing clinical course of these lymphomas. Steinbach *et al* postulated that proximal localized MALT lymphomas might either be *H pylori* associated or may be *H pylori*-independent autoimmune gastritis associated, which is generally proximal in distribution. Therefore, *H pylori* negativity and proximal localized MALT tumours seem to be negative predictive factors for fully response to antibiotic treatment. On the other hand, Raderer and co-workers report in a single center study on six patients with *H pylori*-negative localised gastric MALT lymphoma who underwent antibiotic treatment<sup>[73]</sup>. *H pylori* infection was ruled out by histology, urease breath test, serology, and stool antigen testing. Following antibiotic treatment, five patients responded with lymphoma regression between

three and nine months (one partial remission and four complete responses). One patient had stable disease for 12 mo and was then referred for chemotherapy.

Based on the patients compliance and the patients decision concerning other oncological treatment approaches, eradication therapy might be an individualized option, but can clearly not be recommended generally in all *H pylori*-negative patients.

## ROLE OF SURGERY

### *Is there a role at all?*

Surgery has long been the therapeutic standard approach for gastric lymphoma. It was important in the diagnosis, staging, and management of early stage disease. The exact histological composition of the lymphoma based on a resected stomach as well as the pathological staging has always been an accurate and reproducible diagnostic tool. Several series demonstrated five-year survival rates of over 90% with resection alone<sup>[74,75]</sup>. In a meta-analysis of 80 studies investigating more than 3500 patients with gastric lymphoma, 83% of them were treated primarily by surgery<sup>[76]</sup>. The 5-year-survival rate (60%) was significantly higher when compared to the conservative treatment approach, i.e. chemo- or radiotherapy. However, complication rates for gastrectomy and extensive lymphadenectomy occur in up to 50% of patients<sup>[74]</sup>. Thus, while surgery can clearly result in excellent survival for patients with localized disease, it is associated with both short-term and long-term morbidity.

Several German Multicenter Study Groups did clearly show that an organ-preserving approach for early gastric lymphoma is not inferior to primary surgery<sup>[77,78]</sup>. There was no significant difference in survival between surgery and nonsurgery groups. The overall 5-year survival rate was 82% and 84%, respectively<sup>[77]</sup>. In a recent, again nonrandomized multicenter trial, these results were confirmed in almost 750 patients<sup>[48]</sup>. In addition, two randomized studies by Avilés *et al*<sup>[79,80]</sup> further supported that surgery could be omitted from primary treatment of early gastric lymphoma. Most contemporary treatment algorithms no longer include surgical resection in the primary treatment of gastric lymphoma and reserve surgery for the management of complications or unique cases of locally persistent disease.

## ROLE OF RADIATION THERAPY

### *As part of the therapeutic strategy*

Since surgery was the preferred approach for a long time, the curative potential of radiotherapy as conservative strategy alone, or in combination with chemotherapy first became clear when interest in non-invasive treatment modalities became evident. MALT lymphomas have recently been reported as being highly sensitive to radiotherapy<sup>[77,81-84]</sup> and treatment is potentially curative for those with localized stage I and II. Involved field radiotherapy is therefore applied with doses of 30 to 35 Gy to the entire stomach, paragastric and celiac lymph nodes resulting in > 95% local control. The limit of the total dose to both kidneys should be < 20 Gy, while keeping a

significant volume of liver (> 50%) exposed to low doses (< 25 Gy)<sup>[83,85]</sup>. Fields should be shaped with multi-leaf collimators to further minimize exposure of the liver and kidneys, and preliminary data suggest, that further dose reduction to 25.2 Gy in localized gastric MALT lymphoma might be as effective as doses of 30 to 35 Gy<sup>[86]</sup>.

In planning radiation for the stomach the principle and technique similar to that used for gastric carcinoma can be adapted for use, hence, CT planning is indispensable. Although transient nausea and anorexia is common with radiotherapy, no serious long-term toxicity such as ulceration or hemorrhage has been observed with the 30 to 35 Gy doses<sup>[87]</sup>, and relapse of gastric MALT lymphoma rarely occurs. The risk for the development of a second malignancy, such as adenocarcinoma, attributable to primary radiation, is low<sup>[88,89]</sup>. Generally, patients with gastric lymphoma tend to be at increased risk for gastric adenocarcinoma due to a common pathogenesis of both diseases, irrespective of the treatment modality<sup>[90-93]</sup>.

In conclusion, radiotherapy is effective and safe and offers the significant advantage of low morbidity compared to surgery. It represents a curative treatment option for patients with gastric MALT lymphoma being *H pylori*-negative or unresponsive to eradication therapy with lack of complete lymphoma remission as part of a multistep treatment approach.

## ROLE OF CHEMOTHERAPY

### *Efficacy and curative potential*

MZBCL of MALT type was long thought to be a localized disease, hence local treatment approaches, i.e. surgery and radiation were applied predominantly. Systemic dissemination, non-responder and recurrences after localized treatment have induced the evaluation of systemic treatment approaches<sup>[94,95]</sup>. Various chemotherapeutics including alkylating agents, nucleoside analogs or the combination of the latter have been tested but only limited data especially on untreated patients with localized disease exist to date. So far, there is no chemotherapeutic standard treatment for patients with MZBCL of MALT type either presenting with disseminated or relapsed or progressive disease after local treatment or after *H pylori* eradication. This fact is attributable to the lack of phase-III studies on the one hand, and the low prevalence of the disease on the other.

Complete remission (CR) rates of gastric MZBCL of MALT type in stage I after oral monochemotherapy (OMC) with cyclophosphamide or chlorambucil are reported to range from 82% to 100%, and from 50% to 57% for stage IV disease<sup>[96,97]</sup>. In a recent study by Nakamura and co-workers from Japan a similar CR rate of 89% was achieved after OMC with cyclophosphamide 100 mg/d<sup>[98]</sup>. In this study, the results in CR rates after OMC were comparable to the CR rates achieved with radiotherapy, hence, OMC might also be a suitable second line therapeutic option after failure of first line treatment approach such as *H pylori* eradication. The presence of the translocation t (11; 18) has been shown to be a negative predictive factor for lymphoma response to eradication therapy. The role of this translocation for the prediction

of response to chemotherapy is yet under investigation. Recent data have shown that for oral alkylating agents such as cyclophosphamide or chlorambucil the presence of t (11; 18) in gastric MZBCL of MALT type is predictive of resistance<sup>[99]</sup>. Complete remission rates of gastric MZBCL of MALT type after 1 and 8 years were 42% and 8% for t (11; 18)-positive, and 89% and 89% for t (11; 18)-negative patients, respectively ( $P = 0.0003$ , 8 years). Hence, oral alkylating agents might only be administered in patients with t (11; 18)-negative lymphoma.

A combination therapy with fludarabine plus mitoxantrone (FM) has also been shown to be effective in the frontline or salvage treatment in patients with non-gastrointestinal stage I MZBCL of MALT type. All patients ( $n = 20$ ) treated with FM achieved complete lymphoma remission<sup>[100]</sup>. The treatment efficacy of FM was compared to a polychemotherapy regimen containing cyclophosphamide, vincristine, and prednisone (CVP) ( $n = 11$ ). Of those, 4 patients experienced disease recurrence after CVP therapy, achieving a second CR after FM salvage therapy. Hence, the fludarabine-containing regimen as frontline or salvage therapy seems to be superior to CVP in terms of efficacy at least in non-gastric MZBCL of MALT type. Polychemotherapy with mitoxantrone, chlorambucil, and prednisone (MCP) in chemotherapy-naïve patients with MZBCL of MALT type at various sites seems also to be an option with a good response rate over 80%<sup>[101]</sup>. However, CR rates are lower with only 53% after a median number of 5 cycles per patients. Subjective tolerance of chemotherapy is generally good, and toxicities are mainly mild. Grade 3 or 4 toxicity (WHO) is observed in up to 1/3 of patients treated with various mono- or polychemotherapy approaches, and mainly includes haematological adverse events such as leukocytopenia.

## NOVEL AGENTS AND THE INDIVIDUAL APPROACH

### Purine analogs

Based on the good therapeutic efficacy of the nucleoside analog fludarabine in nongastric MZBCL of MALT type<sup>[100]</sup> the administration of other nucleoside analogs, which have demonstrated therapeutic potential in various types of indolent lymphoma seems compelling. Cladribine or 2-chlorodeoxyadenosine [2-CdA] has been investigated in a phase-II study<sup>[102]</sup> in patients with gastric ( $n = 19$ ) and nongastric ( $n = 7$ ) MZBCL of MALT type at any stage. Patients had to be chemotherapy-naïve, not responding to *H pylori* eradication therapy in case of gastric lymphoma or suffering from a relapse after radiation therapy. In addition to the direct cytotoxic potential of this agent, 2-CdA is associated with a pronounced T-cell depletory activity. This additional effect may enhance efficacy of this drug in gastric MZBCL of MALT type due to the potential role of antigen-specific T-cells in lymphomagenesis<sup>[103,104]</sup>. 2-CdA was administered at a dose of 0.12 mg/kg body weight by intravenous infusion over 2 h on d 1 to 5, and was repeated every 4 wk. After a median number of 4 cycles virtually all patients responded to the treatment, and 84% achieved a complete lymphoma remission including

all patients with a gastric lymphoma. In contrast to the oral alkylating agents, the presence of the translocation t (11; 18) does not adversely affect the response to 2-CdA chemotherapy<sup>[105]</sup>. However, 3 patients with gastric lymphoma have relapsed locally after 13, 18, and 22 mo, and were salvaged with radiotherapy. Approximately 38% of patients experienced toxicities of WHO grade 3 and 4 including mainly leukocytopenia, a herpes zoster in one patient, and cardiac toxicity in another. In the literature, some patients that have been treated with 2-CdA, developed a treatment-related myelodysplastic syndrome and acute leukaemia<sup>[106]</sup>. However, since these reports are rare, 2-CdA can be considered as a highly effective and relatively safe drug, and might therefore represent a good individual therapeutic option in patients with MZBCL of MALT type.

### Platinum-derivates

Platinum derivates have shown a broad range of anticancer activity. Oxaliplatin (L-OHP), a diaminocyclohexane (DACH) platinum, has shown a differential spectrum of cytotoxicity compared to cisplatin, with activity in primary or secondary cisplatin-resistant solid tumors. Also in terms of adverse events, it is substantially different from both carboplatin and cisplatin, and it has become part of standard therapy for advanced colorectal carcinoma.

The tolerance and activity of oxaliplatin has been investigated in patients with heavily pretreated refractory or recurrent non-Hodgkin's lymphoma. Administered as single-agent therapy, the objective response rate is between 27% and 40%<sup>[107,108]</sup>. Combined oxaliplatin with high-dose cytarabine and dexamethasone (DHAox) increases the response rate up to 73%<sup>[109,110]</sup>. However, due to combination therapy, toxic side effects are more common than in L-OHP single agent therapy with up to 75% neutropenia and 75% thrombocytopenia WHO grade 3 and 4<sup>[110]</sup>. After a closer look on these data on L-OHP efficacy in non-Hodgkin's lymphoma, it becomes clear that the patients cohorts investigated are heterogeneous concerning the lymphoma entity and histology including up to 73% of patients with an aggressive non-Hodgkin's lymphoma histology<sup>[107,108]</sup>. Therefore, a recent phase-II study by Raderer and co-workers evaluated the activity of single-agent L-OHP in MZBCL of MALT type<sup>[111]</sup>. Sixteen patients with a MZBCL of MALT type of various sites including 3 patients with a gastric lymphoma were treated with L-OHP 130 mg/m<sup>2</sup> i.v. for 2 h, repeated every 21 d for a maximum of 6 cycles. After a median of 4 cycles, the objective response rate was 94%, with 9 patients (56%) achieving a complete remission. Of the 16 patients tested for the translocation t (11; 18), 11 (69%) were positive, but the presence of the translocation did not affect treatment outcome. So far, 1 patient relapsed after 12 mo, and 2 patients experienced progression 4 and 6 mo after partial response, respectively. Tolerance of therapy was excellent and no grade 3 or 4 toxicities were described. Only mild side effects were experienced such as sensory neuropathy WHO grade 2, nausea/emesis WHO grade 2 or anemia, leukocytopenia and thrombocytopenia WHO grade 1 and 2. Overall, L-OHP is highly effective and nontoxic in

MZBCL of MALT type irrespective of the translocation status or previous treatment approaches, and might represent another individual rescue option.

### **Monoclonal antibody (anti-CD 20) - Rituximab**

Rituximab is a chimeric antibody targeting the CD20 epitope expressed on mature B-cells, and various types of lymphomas of the B cell lineage including gastric MZBCL express CD20, too. The clinical efficacy of this agent has first been demonstrated in follicular lymphoma<sup>[112]</sup>. Currently, the use of rituximab has been extended to other subtypes of non-Hodgkin lymphomas both as a single agent<sup>[112,113]</sup> or in combination with cytotoxic chemotherapy<sup>[114-116]</sup>. Mechanisms responsible for the anti-lymphoma efficacy of rituximab include immune-mediated effects, i.e. lysis and cytotoxicity, and direct effects induced by ligation of the epitope leading to apoptosis<sup>[117]</sup>.

The efficacy of rituximab in patients with gastric MZBCL has not been extensively evaluated. The first phase II study was published in 2003 analysing rituximab monotherapy given at doses of 375 mg/m<sup>2</sup> once weekly for 4 wk in patients with untreated and relapsed extranodal MZBCL at any stage<sup>[118]</sup>. Of 35 patients investigated, 15 had a primary gastric lymphoma. Only 2 patients were *H pylori* negative initially, the other patients had previous treatment attempts including eradication therapy, chemotherapy or chemoradiotherapy. The study demonstrated a significant clinical activity of rituximab. The overall response rate (ORR) in primary gastric MZBCL was 64% with a 29% complete remission rate with only mild to moderate and self-limiting toxicity. However, prior receipt of chemotherapy resulted in a drop of ORR to 45%, and the relapse rate after end of treatment was high (36%) suggesting that the 4-weekly dosing regimen used may not be sufficient to achieve continuous complete remission. A retrospective analysis by Raderer and co-workers<sup>[119]</sup> in 9 unselected patients with advanced MZBCL at different sites that were treated with rituximab also given at doses of 375 mg/m<sup>2</sup> once weekly for 4 wk are in line with the data presented by Conconi<sup>[118]</sup>. The objective response rate was 50% with a complete remission rate of 33%. However, results are interpreted with more caution in terms of inducing objective responses indicating that rituximab might not optimally penetrate into the gastric mucosa. Analysing a less heterogeneous cohort of patients with early stage disease, i.e. *H pylori*-negative gastric MZBCL, ORR is increasing over 70% with a complete remission rate of almost 45%<sup>[120,121]</sup>. Hence, in individual patients, rituximab monotherapy seems to be a good therapeutic option to induce complete lymphoma remission, and the prevalence of the translocation t (11; 18) seems not to have an effect on the lymphoma response to rituximab therapy<sup>[120,122]</sup>.

## **WHAT ABOUT HIGH-GRADE LYMPHOMA?**

### **Role of *H pylori*, role of eradication therapy and the standard approach**

Gastric diffuse large B-cell lymphoma (DLBCL) is the most common lymphoma type arising in this organ.

Transformation of MALT lymphoma to DLBCL has been described<sup>[7]</sup>, and genetic evidence for a clonal link between low- and high-grade components do indeed exist. Hence some cases of gastric DLBCL are transformed MALT lymphomas, but others are true primary DLBCL. However, there is no difference in the clinical behaviour between transformed MALT lymphomas and primary DLBCL<sup>[42]</sup>. In some cases, *H pylori* infection, low-grade MALT component and DLBCL are present simultaneously. Regardless of *H pylori* infection, these malignancies have been termed "diffuse large B-cell lymphoma with concomitant low-grade MALT component"<sup>[2]</sup>.

*H pylori* infection is found in 35% of gastric DLBCL, being more common in cases with a concomitant MALT component (65% versus 15%, respectively<sup>[123,124]</sup>. In contrast to tumor cells of gastric MALT lymphoma, the growth of high-grade lymphoma cells *in vitro* was independent on the presence of *H pylori* antigens or *H pylori*-specific T-cells<sup>[103]</sup>, hence, the role of eradication therapy in *H pylori*-positive gastric DLBCL with or without concomitant MALT components remains unclear.

Recent retrospective and prospective studies as well as isolated case reports have shown that *H pylori* eradication in gastric DLBCL with concomitant MALT components in an early localized stage results in durable complete lymphoma remission in 50% to 63% of cases<sup>[125-131]</sup>. Hence, at least in an initial phase, high-grade lymphoma transformation is not necessarily associated with a loss of *H pylori* dependence and might therefore lead to complete lymphoma remission without any other additional oncological treatment. Despite these positive results, the standard approach for patients with gastric DLBCL stage I and II should include rituximab plus conventional-dose anthracycline-containing chemotherapy, which may or may not be followed by radiation therapy<sup>[48,114,116]</sup>. In addition to chemotherapy, confirmed *H pylori* infection should always be eradicated to minimize the risk of low-grade relapse<sup>[132]</sup>. Surgery should only be performed in patients with massive bleeding or perforation.

## **CONCLUSION**

Gastric MALT lymphoma has been incorporated into the WHO lymphoma classification, termed as extranodal marginal zone B-cell lymphoma of MALT-type. Its pathogenesis has been extensively studied, and chronic *H pylori* infection has been clearly shown to play a causative role. The increasing insight into molecular genetics of gastric MALT lymphomas has deepened our understanding of clinical lymphoma behaviour, with the most relevant translocation t (11; 18) (q21; q21) being present in up to 40% of cases. The t (11; 18) translocation is seen more frequently in disseminated and advanced lymphomas, and it has been associated with early stage cases that do not respond to *H pylori* eradication.

Prior to any therapeutic approach, a thorough clinical staging procedure and the exact determination of *H pylori* status are of utmost importance. Clinical staging should be based on the modified Ann Arbor system or on the newly proposed TMNB-Paris staging system (Table 1) to allow

optimal comparability of patients cohorts.

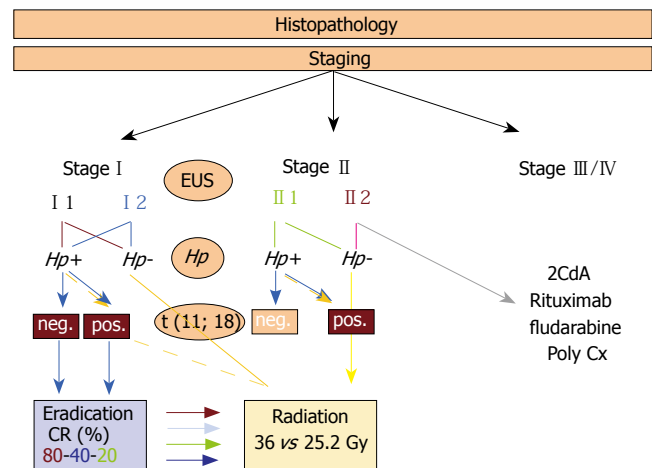
Based on the data given, there is increasing evidence that eradication therapy of *H pylori* can be effectively employed as the sole initial treatment of localized gastric MALT lymphoma. Diagnosis of lymphoma remission after eradication therapy may take up to 18 mo or even longer. Current protocols recommend to wait for at least 12 mo before a non-responder is defined and second-line therapy is applied. Long-term data also show, that these complete lymphoma remissions are stable for at least 10 years and patients are basically cured of their disease. The role of eradication therapy in truly *H pylori*-negative lymphomas, that is negativity by histology, urea-breath test and serology, is currently under discussion and not generally recommendable. For those patients, and for patients that do not achieve complete lymphoma remission after eradication treatment, second line therapeutic strategies should be employed. Conservative approaches such as chemotherapy, radiotherapy or the chimeric monoclonal antibody anti-CD20 represent good options with very good response rates and low toxicities, still harbouring a high curative potential. Surgery should only be performed in patients with gastric complications such as perforation of bleeding. In advanced lymphoma stages, chemotherapy is still the treatment of choice, but curative protocols are currently not available. However, newer cytotoxic agents such as platinum-derivates or purin-analogs might improve therapeutic efficacy also in advanced lymphoma stages.

In gastric high-grade lymphoma, either derived from a low-grade MALT counterpart or developed *de novo*, the conventional antracycline-based chemotherapy plus anti-CD-20 antibody, which may or may not be followed by radiation therapy, remains the treatment of choice. In case of *H pylori* infection, eradication therapy should always be performed to influence low-grade components and to minimize the low-grade relapse risk after successful radiochemotherapy (Figure 1).

## ISSUES IN THE FUTURE

Up to date, the pathogenesis of gastric MALT lymphoma has extensively been studied and many insights have been gained. These insights, such as the translocation t (11; 18), should now be evaluated prospectively to further understand their relevance for therapeutic decisions. The aim should be a better patient stratification prior to therapeutic decisions to increase treatment success. In future it should be possible to characterize the individual patients profile including parameters such as lymphoma stage, *H pylori* status, translocation status, B-cell monoclonality and other possible markers to predict the individual risk for treatment failure for the chosen treatment option.

Conservative treatments such as chemotherapy and radiotherapy should be analyzed further. Both options harbour a high curative potential, the first also for higher stages. In future, chemotherapy protocols should be combined to increase the number of patients. This engagement might allow phase-III studies to be performed with a larger scientific benefit.



**Figure 1** Therapeutic algorithm for the stage dependent treatment of gastric MALT lymphomas based on the exact histopathology and thorough staging procedure. Patients stratification is orientated at three key decision points: (1) staging with endoscopic ultrasound (EUS), (2) *H pylori* (Hp) status, and (3) the presence of t (11; 18). As second line therapy in case of eradication non responders, radiation should be performed.

The role of radiotherapy might be more important than currently thought of. Volume-reduced radiation protocols have shown a high curative efficacy in stage I and II lymphomas with lower toxicities when compared to conventional protocols. Furthermore, a dose reduction of less than 26 Gy is under investigation as for the efficacy and toxicity in patients with gastric MALT lymphoma stage I and II, and preliminary results are promising. The authors would currently recommend radiotherapy as treatment of choice in second line approach or *H pylori*-negative first line approach in patients with gastric MALT lymphoma stage I and II.

## ACKNOWLEDGMENTS

This paper is dedicated to Professor Brigitte Dragosics. Her enthusiasm for gastric lymphomas will never be forgotten.

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