

73634\_Auto\_Edited.docx

**Name of Journal:** *World Journal of Methodology*

**Manuscript NO:** 73634

**Manuscript Type:** SYSTEMATIC REVIEWS

**Single-use duodenoscopes for the prevention of endoscopic retrograde cholangiopancreatography -related cross-infection – from bench studies to clinical evidence**

Andrea Lisotti, Pietro Fusaroli, Bertrand Napoleon, Anna Cominardi, Rocco Maurizio Zagari

**Abstract**

**BACKGROUND**

Several strategies have been implemented to reduce or abolish the life-threatening risk of endoscopic retrograde cholangiopancreatography (ERCP)-related multidrug-resistant infections due to duodenoscopes contaminations; <sup>1</sup> among those strategies, serial microbiologic tests, thorough reprocessing schedules, and use of removable scope cap have been adopted, but the potential cross-infection risk was not eliminated.

**AIM**

To review available evidence in the field of single-use duodenoscopes (SUD) use for ERCP.

**METHODS**

An overview on ongoing clinical studies was also performed to delineate which data will become available in the next future.

**RESULTS**

One bench comparative study and four clinical trials performed with EXALT model-D (Boston Scientific Corp., United States) have been identified. Of them, one is a

randomized controlled trial, while the other three studies are prospective single-arm, cross-over studies. Pooled technical success rate (4 studies, 368 patients) was 92.9% [95% confidence interval (CI): 89.9-95.5;  $I^2$ : 11.8%]. Pooled serious adverse event (4 studies, 381 patients) rate was 5.9% [3.7%-8.5%;  $I^2$ : 0.0%].

## CONCLUSION

Although few clinical trials are available, evidence is concordant in identifying an absolute feasibility and safety and feasibility for SUD use for ERCP. The expertise and quality of evidence in this field are going to be improved by further large clinical trials; data on cost-effectiveness and environmental impact will be needed for a worldwide spread of SUD use for ERCP.

## INTRODUCTION

Endoscopic retrograde cholangiopancreatography (ERCP) has significantly changed the management and natural history of patients with biliary and pancreatic diseases<sup>[1-3]</sup>.

Millions of ERCP procedures have been performed annually and this worldwide amount is going to constantly increase due to epidemiological trends of main indications (*i.e.*, biliary stone disease, malignant biliary obstruction), aging of population, and increasing therapeutic applications<sup>[4,5]</sup>.

In recent years, several outbreaks of multi-drug resistant ERCP-related infections have been reported; main risk factors for ERCP-related infections are patients' immunocompromised status and interventional procedures, such as biliary stenting for intrahepatic strictures<sup>[6,7]</sup>. Contamination of the biliary tract from endogenous gut microbiota bacteria is responsible for the vast majority of post-ERCP infections. However, several issues related to multidrug resistant infections related to duodenoscope contaminations have been reported (*i.e.* *P. aeruginosa* and carbapenem-resistant enterobacteriaceae)<sup>[8,9]</sup>.

While in the past decades ERCP procedure were considered safe and bearing low-risk for exogenous pathogens transmission, the risk of duodenoscopes contaminations and related cross-infection was recently demonstrated and quantified<sup>[10-12]</sup>.

Food and Drug Administration alerted physicians' community about duodenoscope-related infections in 2015. The peculiar design of these side-viewing instruments was identified as the potential sources of contamination. Indeed, in the tip of the scope is allocated the elevator mechanism with his dedicated cable passing through the scope body; this complex mechanism, despite adequate procedures, is difficult to accurately clean making reprocessing more challenging due to the possible formation of bacteria-containing biofilm<sup>[13]</sup>.

Post-market studies conducted by main manufacturers demonstrated an unexpected higher rate of duodenoscope contamination. A recent meta-analysis tried to overcome the lack of data and quantify the risk of cross-infection in ready-to-use duodenoscopes. A pooled contamination rates up to 15% was identified and none of the available standard reprocessing protocols are able to correctly clean these instruments<sup>[14,15]</sup>.

Several strategies have been proposed to overcome duodenoscope-related infections, such as deep bacterial cultures, improved protocol for reprocessing, and avoiding the use of scopes with fixed cap to allow decontamination. Unfortunately, duodenoscope contaminations could not be avoided with these strategies<sup>[16-19]</sup>.

Four reusable duodenoscopes with detachable cap are available, from three manufacturers. For a detailed focus on this field, a recent American Society for Gastrointestinal Endoscopy (ASGE) practice guideline was published<sup>[4,20]</sup>.

Two different single-use duodenoscopes (SUD) are commercially available in the US. The sterile single-use package allow the avoidance of exogenous contaminations<sup>[4]</sup>.

The aim of this study was to perform a systematic review of all available clinical evidence on the use of SUD for ERCP.

## **MATERIALS AND METHODS**

### *Study selection*

A systematic literature research was performed through MEDLINE using Pubmed, Google Scholar, and Embase interfaces at the end of November 2021. The search queries were ("duodenoscope"[all fields] OR "single-use"[all fields] OR "disposable"[all fields]) AND "ERCP"[all fields]). Institutional Review Board evaluation for this purpose was not required. Relevant studies were independently analyzed by two authors (AL, RMZ).

Inclusion criteria were: (1) Population: all adult individuals who underwent ERCP; (2) Interventions: SUD use for ERCP; (3) Objectives: Technical success (amount of successfully-completed procedures with SUD among all procedures); and (4) safety: Incidence of ERCP-related complications.

### *Statistical analysis*

Technical success rate and other aims were pooled through a random-effects model based on DerSimonian and Laird test. Heterogeneity was estimated using  $I^2$  tests:  $I^2$  less than 30% was considered low, while  $I^2 > 30\%$  but  $< 60\%$  was considered weak. Funnel plots inspection was used to assess possible publication bias.

Main objective was the technical success, (completed ERCP using SUD among the entire amount conducted). Secondary objectives were adverse events (AEs).

Statistical analysis was performed with MedCalc package v20 (MedCalc Software Ltd, Ostend, Belgium; <https://www.medcalc.org>; 2021).

## **RESULTS**

### *Development of single use duodenoscopes*

In 2017, several animal studies on porcine and canine models have been conducted with ERCP experts, to evaluate duodenoscope prototypes. Simulated ERCP procedures have been tested with the SUD prototype (Boston Scientific, United States) and a reusable duodenoscope. Involved physicians were asked to rate specific endoscopes tasks

qualitatively and quantitatively. These pre-clinical tests allowed the development of the EXALT model-D by Boston Scientific<sup>[21]</sup>.

### ***Bench model comparison***

In 2019, ERCP experts from United States completed the first comparative study on two simulators. Three reusable duodenoscopes from the major companies in the field (Olympus Corp., Japan, Pentax Corp., Japan and Fujifilm Holdings Corp., Japan) were compared to EXALT-D using a 0-10 score in four different tasks (and 14 sub-tasks): Guidewire locking with elevator, plastic and metal stents placement and removal, and Dormia Basket passage. The technical success rate for each task and time to achieve the completion was recorded and compared.

The results of this bench study showed that EXALT-D SUD showed similar overall performance, task completion times, tip control and guidewire locking to three different reusable duodenoscopes. Moreover, mechanical scope navigation and image quality was considered excellent ( $\geq 8$  on a scale of 10)<sup>[21]</sup>.

### ***Clinical studies***

Four studies have been conducted since the introduction of SUD; studies are summarized in Table 1.

Muthusamy *et al*<sup>[22]</sup> conducted in April-May 2019 a multicenter study involving ten US centers and seven ERCP experts; 73 consecutive patients undergoing ERCP have been enrolled. Thirteen patients entered a running “first-in-men study” evaluating the feasibility of ERCP maneuvers. All these “roll-in” procedures (100%) have been successfully completed and the operators stated that they feel confident to extremely-confident in performing ERCP with the SUD.

Sixty consecutive patients have been subsequently enrolled in the study. Most patients (61.7%) were male with a mean age of  $64.4 \pm 14.1$  years. Most cases (73.3%) had a medical history of previous ERCP. In two cases (3.3%), cross-over to a reusable duodenoscope was required due to ERCP technical failure. In one case (tight

intrahepatic stricture dilation in a patient with sclerosing cholangitis) the use of a reusable duodenoscope allowed a successful ERCP completion; in one case, papilla showed neoplastic infiltration.

Between January and March 2020, Bang *et al*<sup>[23]</sup> randomized 98 patients to underwent ERCP with a reusable duodenoscope (TJF-180, Olympus America Inc., United States) or a SUD (EXALT-D, Boston Scientific, United States). Forty-eight patients (54.2% male,  $67.2 \pm 14.1$ -year-old) were allocated to the SUD arm and compared to 50 patients (46.0% male,  $60.8 \pm 18.2$ -year-old) of the reusable duodenoscope arm; no patient had previous ERCP or bilio-pancreatic intervention. The Authors observed comparable selective cannulation rate (95.8% *vs* 100%), with similar time to reach the papilla (20 *vs* 20 sec); the authors observed that the number of attempt (2 *vs* 5) and time to achieve selective biliary cannulation (35 *vs* 99 sec) were significantly lower in the SUD group.

Napoléon *et al*<sup>[24]</sup> have recently published the first study conducted outside the US. In this French multicenter study involving six centres, 60 patients (43.3% male, median  $65.5^{[55-76]}$  year-old) were prospectively enrolled. 95% of the procedures were successfully completed with the SUD, while in three cases the Authors switched to a reusable duodenoscope. In these 3 cases, ERCP could not be completed even with the use of a reusable duodenoscope because of a complete duodenal stricture, a neoplastic infiltration of the ampullary region and a complete biliary stricture; these patients were treated with surgery, EUS- hepaticogastrostomy and percutaneous trans-hepatic drainage, respectively. In this study, 46.7% of patients had previous ERCP. Among the remaining cases, selective biliary cannulation was achieved in 93.8% of cases, after a median of 1 minute and 1.5 guidewire attempts<sup>[24]</sup>.

The results of a large prospective study, conducted in United States, have been published by Slivka *et al*<sup>[25]</sup>. The Authors enrolled 200 patients undergoing ERCP for various indications; in fact, 40.5% of ERCP procedures presented high complexity (ASGE 3-4). The Authors reported an overall 90.5% technical success rate. Interestingly, this is the first study that included not only expert operators (defined as > 2000 lifetime

ERCP performed), but also five “non-expert” operators. The Authors observed that the crossover to a reusable duodenoscope rate (2.5% *vs* 11.3%), the ERCP completion rate (97.5% *vs* 96.3%) and procedure time (28.5 *vs* 25.0 min) were similar among expert and non-expert groups<sup>[25]</sup>.

### ***Safety profile***

Muthusamy reported a case of post-ERCP pancreatitis in 1 out of 13 patients involved in the roll-in study. Moreover, they reported 2 post-ERCP pancreatitis (3.3%), one post-sphincterotomy bleeding (1.7%) and one infection of a walled-off pancreatic necrosis in the 60 patients included in the main study. The overall serious adverse event rate was 6.7%<sup>[22]</sup>.

No difference was observed in term of adverse event (AE) and mortality, when ERCPs performed with the SUD were compared to those performed with a reusable duodenoscope. The authors observed two adverse events in the SUD arm (4.2%) compared to 8% adverse event rate in the control group. The Authors reported an ERCP-related mortality of 2.1% and 2% in the two groups, respectively<sup>[23]</sup>.

Napoléon *et al*<sup>[24]</sup> reported 3 ERCP-related adverse events (5.0%). Of them, two cases were mild (biliary pain and one mild pancreatitis), while one patient (1.7%) presented worsening of underlying condition due to pancreatic cancer and died one week after the procedure<sup>[24]</sup>.

Slivka *et al*<sup>[25]</sup> reported 13 serious adverse events (6.5%); of them, 5 bleeding, 3 post-ERCP pancreatitis and 2 cholangitis. The incidence of adverse events was similar in expert and non-expert groups (5.0% *vs* 6.9%) and was independent by ASGE complexity grade (low – ASGE 1-2: 7.0% *vs* high – ASGE 3-4: 6.2%).

All studies reported no SUD-related adverse event.

No data on specific SUD contamination after ERCP has been provided in the included studies.

### ***Operators' satisfaction.***



Muthusamy reported a median overall satisfaction with the SUD of 9 (range, 1-10). In 4 cases (6.7%) the Authors observed a poor satisfaction (4 or less), due to difficulty of stent insertion, low image quality, and technical issue with the device (turning off during the procedure)<sup>[22]</sup>.

Bang *et al*<sup>[23]</sup> observed that the SUD present lower image quality and stability comparing to a reusable duodenoscope. From a mechanical point of view, the Authors reported a lower ease to pass into the stomach and frequent dysfunction of air-water valve.

Napoléon *et al*<sup>[24]</sup> reported a median overall satisfaction of 9 on a scale of 10. In two cases (3.3%), the operator reported a low satisfaction (less than 5) due to malfunction of the insufflation valve leading to irrigation water in the lumen, limiting the visibility. Among 22 different tasks, the authors considered the SUD clinically-satisfactory in 100% and comparable to a reusable duodenoscope in 97.9% of cases.

The recently published study by Slivka *et al*<sup>[25]</sup> confirmed an optimal overall satisfaction with the SUD [median 8 (range VAS 1-10)]. Among 23 evaluated maneuvers, all obtained a median of at least 4 (range 1 to 5).

### ***Pooled safety and efficacy***

Our study group recently conducted a meta-analysis including all clinical studies assessing the safety and efficacy of SUD use for ERCP, identifying 4 studies (368 patients) <sup>[26]</sup>. We observed a 92.9% [89.9 – 95.5; *I*<sup>2</sup> 11.8%] overall success rate and 5.9% [3.7 – 8.5; *I*<sup>2</sup> 0%] overall incidence of serious AEs. Overall incidence of pancreatitis (2.5%), infections (1.8%) and bleeding (1.8%) was very low, in line with suggested threshold and confirming the optimal safety<sup>[26]</sup>.

### ***Study pipeline***

Five clinical studies on the use of SUD for ERCP are ongoing (no. 2) or ready to start recruitment (no. 3); these studies and contact information are summarized in Table 2.

Two of them are planned to be conducted in US, while the remaining two studies in Europe (Italy and UK) and one in China.

Following the feasibility and safety studies performed in high-volume centers by extremely experienced operators, one study (NCT04103749) will include large real-life experience with operators with various expertise.

Interestingly, an Italian study is going to assess the performance of SUD in combination with single-use digital cholangioscope in a tertiary referral center.

Finally, a large multicenter study is testing the performance of another SUD, namely the aScope™ Duodeno, manufactured by Ambu A/S (Denmark) on 550 patients undergoing ERCP. In less than 12 mo, the knowledge and quality of evidence in the field of SUD use for ERCP is going to be strongly expanded. The introduction of a validated tool for duodenoscope assessment will allow physician to utilize a reproducible and reliable tool for the assessment of technical performance of duodenoscopes<sup>[27]</sup>.

### ***Cost-effectiveness***

A recently published study, based on a “Montecarlo model” assessed the cost-effectiveness of different approaches adopted for the reduction of duodenoscope-related cross-infections<sup>[28]</sup>. The cost for each ERCP procedure, based on United States data, performed with SUD has been estimated in \$2991. The analysis, based on an estimated < 1% risk of duodenoscope-related cross-infections did not identified routinely SUD use as a cost-effective strategy. The Authors acknowledged that these results should be contextualized based on duodenoscope-related cross-infection rate, local ERCP volume, quality adjusted life years, post-ERCP lifespan and environmental costs<sup>[28,29]</sup>.

### ***Limitations***

The lack of a reliable quantification of the impact of duodenoscope contamination-related infections does not allow to correctly evaluate the benefit of the systematic use of a SUD.

Indeed, all the published studies have been designed to compare SUD to standard reusable duodenoscopes with a non-inferiority purpose, in terms of technical and clinical success rate. Since the estimated rate of duodenoscope-related cross-infection was < 8% published studies are underpowered to detect any clinical difference.

#### *Environmental sustainability*

Another point of critical discussion will be the ecological impact of production and wasting of a single-use endoscope.

A recent international named “Green Endoscopy” (Twitter account @GreenEndoscopy) wrote an inspiring editorial on this issue. The Authors estimated a mean 1.5 kg of waste for each single endoscopic procedure, with very-low amount of recyclable materials.

The disposal SUD is equivalent up to 400 g of household waste and this weight should be added to this waste. The Authors considered “unthinkable” that each ERCP could be performed with SUD based both on cost and environmental burdens.

A comparative study on two different approaches adopted with bronchoscopes [<http://ambu.co.uk/pulmonology/environmental-impact>] has reported that single-use endoscopy does not much differ since the cost of disposing plastic endoscopes should be balanced with sterilization process, disinfecting equipment and consumable costs.

On the other hand, SUDs are made from recycled plastic and are claimed to be recyclable through third party companies, even if material from these duodenoscopes will not be used for production of medical devices<sup>[29]</sup>.

## **DISCUSSION**

In conclusion, the recent identification of several cluster of exogenous multidrug-resistant bacterial infection caused by duodenoscope cross-contamination necessitated

the implementation of various strategies for at least prevention or abolition of that life-threatening risk. Among those strategies, the introduction of sterile, disposable duodenoscopes is able to completely abolish the contamination and cross-transmission of bacteria.

Although there are only few clinical trials available, evidence is concordant in identifying an absolute safety and feasibility. Indeed, no SUD-related adverse event is still reported, and overall risk of adverse events and mortality is comparable to ERCP performed with reusable duodenoscopes. Moreover, the pooled technical success rate in expert hands stands at optimal values, with no significant heterogeneity among studies.

Future studies will deepen the knowledge in this field; data on cost-effectiveness and environmental impact will be needed for a worldwide spread of SUD use for ERCP.

## **CONCLUSION**

In conclusion, the recent identification of several cluster of exogenous multidrug-resistant bacterial infection caused by duodenoscope cross-contamination necessitated the implementation of various strategies for at least prevention or abolition of that life-threatening risk. Among those strategies, the introduction of sterile, disposable duodenoscopes is able to completely abolish the contamination and cross-transmission of bacteria.

Although there are only few clinical trials available, evidence is concordant in identifying an absolute safety and feasibility. Indeed, no SUD-related adverse event is still reported, and overall risk of adverse events and mortality is comparable to ERCP performed with reusable duodenoscopes. Moreover, the pooled technical success rate in expert hands stands at optimal values, with no significant heterogeneity among studies.

However, further studies are needed to provide high-quality data, in terms of cost-effectiveness and environmental impact, potentially allowing a worldwide spread of SUD use for ERCP.

## **ARTICLE HIGHLIGHTS**

### ***Research background***

Single-use duodenoscope use has been proposed as an effective strategy to avoid the risk of duodenoscope-related cross-infections in patients undergoing ERCP.

### ***Research motivation***

Recently, several manuscript have been published reporting the outcomes of clinical studies on single-use duodenoscope use for ERCP.

### ***Research objectives***

To perform a systematic review of the literature and report qualitative and quantitative results in terms of technical success rate, clinical success, and safety.

### ***Research methods***

Systematic review and quantitative analysis.

### ***Research results***

Five original articles have been identified. One bench comparative study and four clinical trials performed with EXALT model-D (Boston Scientific Corp., United States) have been identified. Of them, one is a randomized controlled trial, while the other three studies are prospective single-arm, cross-over studies. Pooled technical success rate (4 studies, 368 patients) was 92.9% [95% confidence interval (CI): 89.9-95.5;  $I^2$ : 11.8%]. Pooled serious adverse event (4 studies, 381 patients) rate was 5.9% [3.7%-8.5%;  $I^2$ : 0.0%].

### ***Research conclusions***

Although few clinical trials are available, evidence is concordant in identifying an absolute feasibility and safety and feasibility for SUD use for ERCP. Data on cost-effectiveness and environmental impact will be needed for a worldwide spread of SUD use for ERCP.

### ***Research perspectives***

Future perspective and study pipelines should assess the use of other models of single-use duodenoscope, cost-effectiveness of single-use duodenoscope use for ERCP and environmental sustainability.

ORIGINALITY REPORT

1 %

SIMILARITY INDEX

PRIMARY SOURCES

1

Bertrand Napoléon, Jean - Michel Gonzalez, Philippe Grandval, Andrea Lisotti et al. "Evaluation of the performances of a single - use duodenoscope: Prospective multi - center national study", Digestive Endoscopy, 2021

Crossref

18 words — 1 %

EXCLUDE QUOTES ON  
EXCLUDE BIBLIOGRAPHY ON

EXCLUDE MATCHES OFF