

# World Journal of *Clinical Cases*

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# Individualized treatment of breast cancer with chronic renal failure: A case report and review of literature

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## Abstract

### BACKGROUND

Studies have shown that patients with chronic renal failure (CRF) are more likely to suffer from breast cancer and other malignant tumors. To our knowledge, CRF can reduce drug excretion, thereby increase drug exposure and lead to increased toxicity, which will limit drug treatment and lead to tumor progression. Currently, there are few successful reports on the combination of docetaxel, trastuzumab, and pertuzumab (THP) as a neoadjuvant treatment regimen for breast cancer patients with CRF.

### CASE SUMMARY

We report a breast cancer (cT2N2M0, Her-2+/HR-) patient with CRF. It was a clinical stage IIIA tumor on the left breast. The patient had suffered from uremia for 2 years, and her heart function was normal. Based on the pathological type, molecular type, and clinical stage of breast cancer, and the patient's renal function, the clinician analyzed the pharmacological and pharmacokinetic characteristics of the antitumor drugs after consulting the relevant literature, and prescribed the neoadjuvant regimen of THP (docetaxel 80 mg/m<sup>2</sup>, trastuzumab 8 mg/kg for the first dose, and 6 mg/kg for the maintenance dose with pertuzumab 840 mg for the first dose and 420 mg for the maintenance dose), once every 3 wk, for a total of 6 courses. The neoadjuvant treatment had a good effect, and the patient then underwent surgery which was uneventful.

### CONCLUSION

CRF is not a contraindication for systemic treatment and surgery of breast cancer. The THP regimen without dose adjustment may be a safe and effective neoadjuvant treatment for HER-2 positive breast cancer patients with CRF.

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**Core Tip:** Renal failure is an important factor limiting the treatment of breast cancer because of the nephrotoxicity of anticancer drugs. There are few successful case reports of the combination of docetaxel, trastuzumab and pertuzumab (THP), especially pertuzumab, as a preoperative neoadjuvant treatment regimen for breast cancer patients with chronic renal failure (CRF) and few pharmacokinetic studies are available on renal failure in these patients. This report describes a breast cancer patient with CRF, which shows the safety of pertuzumab and effectiveness of the THP preoperative neoadjuvant treatment regimen.

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## INTRODUCTION

Renal insufficiency is common in cancer patients, and the risk of impaired renal function increases with age and the appearance of comorbid diseases, such as diabetes. Breast cancer is the most common cancer in women[1-3], accounting for 30% of all newly diagnosed cancers[1]. A large number of studies have confirmed that patients with chronic renal failure (CRF) have a higher incidence of malignant tumors and mortality[4,5]. When the estimated glomerular filtration rate (GFR) drops to 10 mL/min/1.73 m<sup>2</sup>, the mortality rate of cancer patients increases by 22%[6,7]. Therefore, the reduction in GFR will not only lead to serious renal complications, but also limit the treatment of tumors, thereby promoting tumor progression. Renal failure is an important factor limiting the treatment of breast cancer patients as patients with impaired renal function often experience reduced renal excretion or metabolism and changes in absorption and drug distribution, which may lead to increased treatment-related toxicity[8,9]. In hemodialysis patients, it is difficult to determine the safe and effective dosage and dosing schedule of anticancer drugs, as well as the best time for hemodialysis, which makes it difficult to develop an appropriate treatment regimen. Also, almost all clinical studies will exclude patients with CRF. Currently, apart from the case report by Modi *et al*[10], there are few studies on the treatment of breast cancer in patients with end-stage renal disease, and these patients rarely successfully complete a series of standard regimens of neoadjuvant therapy and surgery. This report describes the case of a breast cancer patient with CRF and the successful use of docetaxel, trastuzumab and pertuzumab (THP) as the preoperative neoadjuvant treatment regimen.

## CASE PRESENTATION

### Chief complaints

A 55-year-old female patient with a left breast mass attended our hospital on September 15, 2020.

### History of present illness

The patient found a mass approximately 15 mm × 15 mm in size in the left breast 9 mo ago without any related symptoms. She did not undergo diagnosis and treatment; therefore, the mass has slowly increased over the past 9 mo, and it is now approximately 35 mm × 30 mm in size. This prompted her visit to our hospital.



### History of past illness

The patient was diagnosed with uremia 2 years ago and started on regular hemodialysis treatment (hemodialysis every 48 h), and she did not produce urine.

### Personal and family history

She had no history of food or drug allergies and no history of tumors or genetic diseases in her family.

### Physical examination

At the time of admission, the patient's temperature was 36.5 °C, heart rate was 85 bpm, respiratory rate was 20 breaths/min, blood pressure was 140/90 mmHg and oxygen saturation in room air was 99%. She was in an anemic state. A mass approximately 35 mm × 30 mm was palpable under the nipple of the left breast, with a hard texture, rough surface, no tenderness, poor mobility, unclear boundaries, and was not adhered to the nipple. No mass was observed on the right breast. In addition, no enlarged lymph nodes were palpable in the bilateral axillary and supraclavicular area.

### Laboratory examinations

Laboratory examinations showed that the patient's leukocyte, neutrophil, platelet, hemoglobin, creatinine, urea, cancer antigen 153 (CA153), and carcinoembryonic antigen (CEA) levels were  $6.4 \times 10^9/L$ ,  $4.61 \times 10^9/L$ ,  $183 \times 10^9/L$ , 96 g/L, 581.9  $\mu\text{mol/L}$ , 14.27 mmol/L, 26.20 U/mL, and 6.31  $\mu\text{g/L}$ , respectively.

### Imaging examinations

Breast ultrasound showed a 37 mm × 31 mm × 30 mm primary lesion under the nipple of the left breast and enlargement of multiple lymph nodes in the left axillary area, the largest measuring 16 mm × 10 mm × 10 mm (Figure 1). Histopathological examination of the left breast showed infiltrating ductal carcinoma (Level II according to the WHO classification) (Figure 2). Hormone receptors (HRs), including estrogen receptor (ER) and progesterone receptor (PR), were negative, C-erBb2 was 2+, and Ki-67 was expressed in the nuclei of approximately 40% of tumor cells. The results of fluorescence *in situ* hybridization revealed that HER-2 was positive. Histopathological examination of the left axillary lymph nodes showed metastatic cancer, which was consistent with the breast source. Magnetic resonance imaging (MRI) showed a 37 mm × 34 mm × 31 mm mass below the left nipple and peripheral satellite lesions, which was assessed as Category 6 by the Breast Imaging-Reporting and Data System, with multiple swollen lymph nodes in the left axillary area (Figure 3).

## FINAL DIAGNOSIS

The patient was diagnosed with infiltrating ductal carcinoma of the left breast and uremia. Clinical stage of her left breast cancer was cT2N2aM0, stage IIIA, and the molecular classification was HER-2 positive (HR negative).

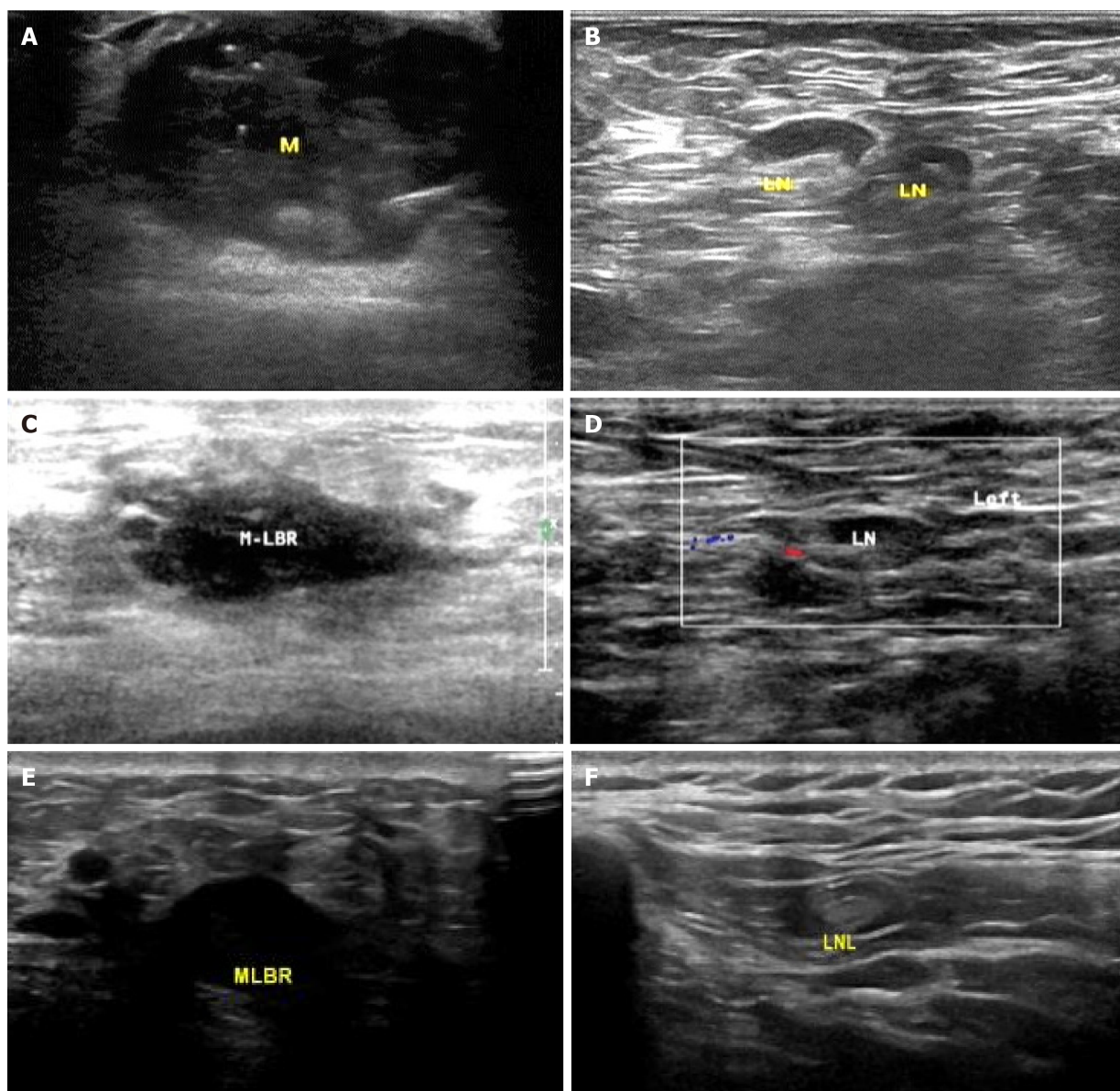
## TREATMENT

According to the patient's age, the pathological type of breast cancer, molecular classification, clinical stage, prognostic factors, and renal function, the THP neoadjuvant treatment regimen was formulated and started on September 27, 2020. Docetaxel 80 mg/m<sup>2</sup>, trastuzumab 8 mg/kg for the first dose, and 6 mg/kg for the maintenance dose with pertuzumab 840 mg for the first dose and 420 mg for the maintenance dose were administered. The patient received a total of six cycles of the THP regimen, and hemodialysis was performed more than 12 h after the medication. The patient tolerated the drug treatment well, and no serious drug toxicity was noted.

## OUTCOME AND FOLLOW-UP

No significant neutropenia or leukopenia, and no significant cytotoxicity were observed by clinical evaluation or cardiac function examination. During subsequent treatment and follow-up, the patient's serum creatinine and urea levels did not change





**Figure 1 Breast ultrasound results of the patient obtained during preoperative neoadjuvant treatment.** A and B: September 15, 2020. M: Left breast mass (37 mm × 31 mm × 30 mm) (A) and LN: Left axillary lymph node (the largest node was 16 mm × 10 mm × 10 mm) (B); C and D: December 21, 2020. M-LBR: Left breast mass (16 mm × 13 mm × 12 mm) (C) and LN: Left axillary lymph node (the largest node was 13 mm × 8 mm × 6 mm) (D); E and F: January 26, 2021. MLBR: Left breast mass (12 mm × 9 mm × 8 mm) (E) and LN: Left axillary lymph node (the largest node was 10 mm × 6 mm × 5 mm) (F).

significantly compared with those pre-chemotherapy, suggesting that dose adjustment of the THP regimen had no significant effect on renal function.

Routine blood analysis (Table 1), liver and kidney function, CEA, and CA153 levels (Table 2) were determined before each chemotherapy cycle, and breast ultrasonography and breast MRI were performed approximately every 3 mo. Prior to surgery, the left breast tumor and left axillary lymph nodes had significantly reduced in size (Figure 1). No obvious abnormalities were observed in the right breast, and no abnormal enlarged lymph nodes were observed in the right axillary and bilateral supraclavicular areas.

In September 2020, the patient had above-normal levels of CEA and normal levels of CA153, but they remained normal during both neoadjuvant chemotherapy and targeted therapy. Due to the patient's CRF, her hemoglobin level was significantly lower than normal. Other routine tests showed no obvious abnormalities. During the follow-up period, the patient was in good condition, and no evidence of disease progression or recurrence has been found.

**Table 1** Peripheral blood analysis results

Date	Leukocytes (10 <sup>9</sup> /L)	Neutrophils (10 <sup>9</sup> /L)	Platelets (10 <sup>9</sup> /L)	Hemoglobin (g/L)
September 22, 2020	6.4	4.61	183	96
October 17, 2020	7.5	6.23	300	81
November 8, 2020	8.3	6.4	206	65
November 29, 2020	9.1	7.34	234	60
December 20, 2020	9.6	7.69	220	63
January 11, 2021	7.7	6.22	329	72

**Table 2** Renal function and serum tumor markers

Date	Creatinine (μmol/L)	Urea (mmol/L)	CA153 (U/mL)	CEA (μg/L)
September 22, 2020	581.9	14.27	26.2	6.31
October 17, 2020	384.5	8.96	32	6.77
November 8, 2020	575.5	17.07	28.6	4.64
November 29, 2020	678.6	21.97	27.8	3.42
December 20, 2020	710.9	20.46	34.3	3.9
January 11, 2021	331.2	8.43	35.4	3.53

CA153: Cancer antigen 153; CEA: Carcinoembryonic antigen.

## DISCUSSION

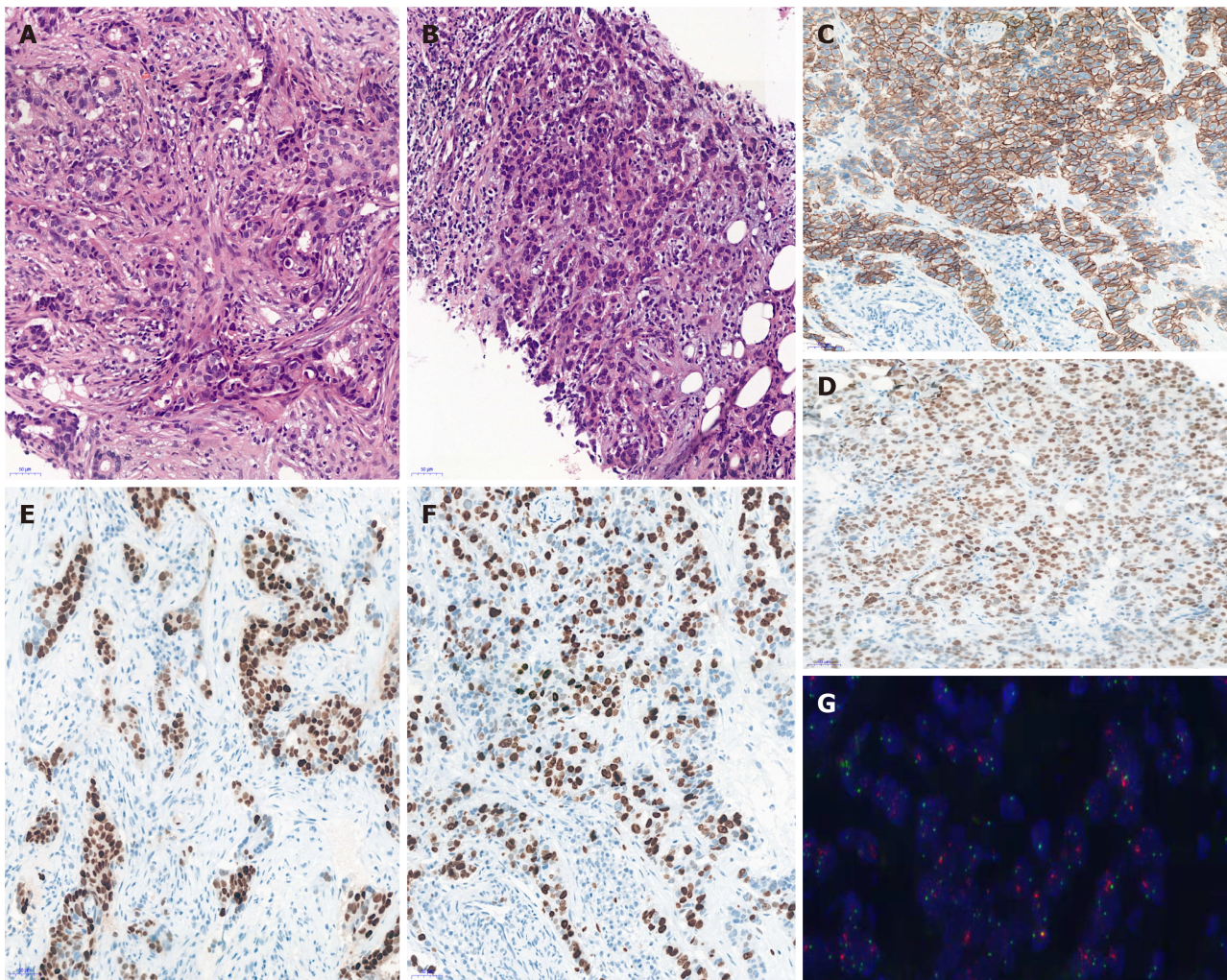
The National Comprehensive Cancer Network and the Chinese Anti-Cancer Association Clinical Oncology Cooperative Professional Committee guidelines indicate that for cT2N2aM0 stage IIIA and HER-2+/ER-/PR- invasive breast cancer patients, the preoperative neoadjuvant treatment regimen of taxanes + trastuzumab + pertuzumab is recommended[11]. After six cycles of neoadjuvant therapy, the clinical stage of left breast cancer in this patient was cT1N1aM0, stage IIa, and she underwent modified radical mastectomy for left breast cancer and left axillary lymph node dissection with clear surgical margins.

Based on the patient's renal function, the pharmacokinetics, pharmacodynamics, and safety of various drugs, we chose the neoadjuvant treatment regimen of THP for this patient.

Docetaxel and paclitaxel are commonly used taxane chemotherapeutics. Both are rarely excreted by the kidneys, but the renal excretion rate of paclitaxel is higher than that of docetaxel. It has been reported that paclitaxel causes mild nephrotoxicity, while docetaxel does not cause nephrotoxicity[12]; and the incidence and severity of allergic reactions to docetaxel are lower than those of paclitaxel[13]. Docetaxel is a new anti-microtubule agent, which can promote the polymerization of tubulin and stabilize the microtubules by preventing their disintegration[14]. Docetaxel enters the liver through the blood and binds to proteins under the action of the cytochrome P450 subtype enzyme type 3A4 enzyme (CYP3A4) in the liver. More than 70% of the drugs are transformed into inactive metabolites, which are then excreted in feces through transport by P-glycoprotein in the intestine and bile. Another 10% of the drugs are excreted in the urine, and only a few drugs are excreted as prototypes[15]. Studies have shown that the pharmacokinetic parameters of docetaxel in hemodialysis patients are not affected, and its exposure is slightly increased, but no toxic effects have been observed in patients[16]. Docetaxel was selected as a chemotherapeutic drug for this patient by comprehensive evaluation. Liu *et al*[17] administered docetaxel in a patient with the same disease, and no obvious side effects were observed.

Trastuzumab is a recombinant humanized monoclonal antibody directed against HER-2[18]. After trastuzumab binds to HER-2 on the surface of tumor cells, it can induce antibody-dependent cell-mediated cytotoxicity and has a killing effect on tumor cells overexpressing HER-2. It has been approved for the treatment of early[19, 20] and metastatic[20,21] breast cancer. In the third phase of the study, the addition of



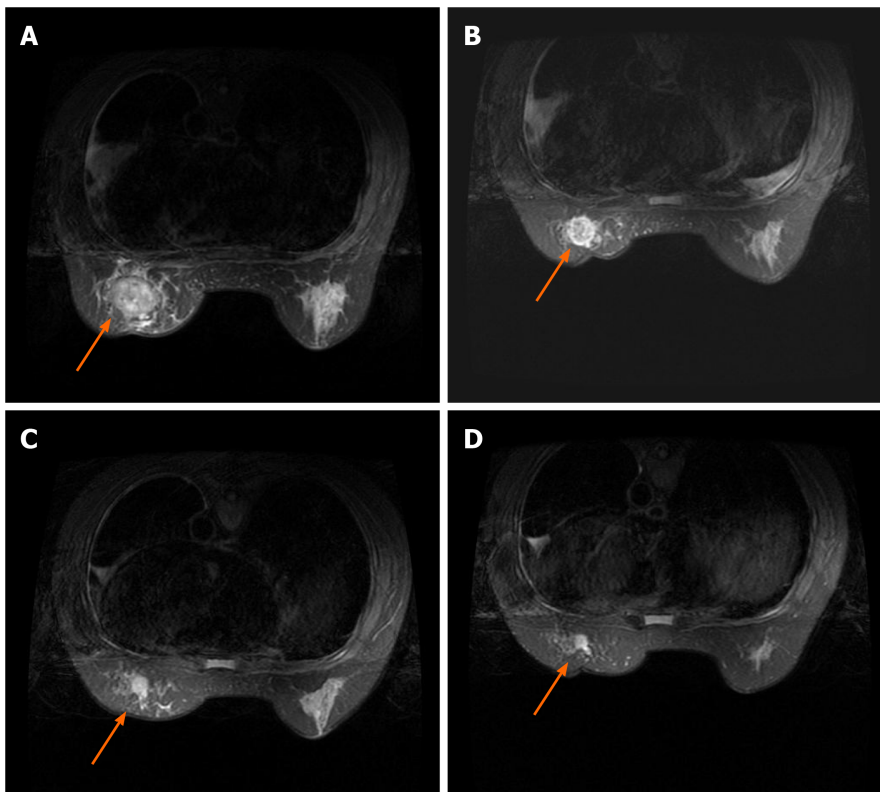


**Figure 2** Pathological results of left breast tumor and left axillary lymph node biopsies. A: Hematoxylin and eosin-stained sections revealed that the tumor cells grew in a solid and patchy infiltrating manner (original magnification: 200 ×); B: Hematoxylin and eosin-stained sections revealed that the left axillary lymph node was metastatic carcinoma, which was consistent with the breast source (original magnification: 200 ×); C: C-erbB2 (2+) was uncertain in neoplastic cells by immunohistochemical analysis (original magnification: 200 ×); D and E: Estrogen receptor and progesterone receptor were negative in neoplastic cells by immunohistochemical analysis (original magnification: 200 ×); F: Ki-67 was expressed in the nuclei of approximately 40% of tumor cells (original magnification: 200 ×); G: HER-2 was amplified by fluorescence *in situ* hybridization (original magnification: 200 ×).

trastuzumab to standard chemotherapy was associated with disease progression time (7.4 mo *vs* 4.6 mo), effective time (9.1 mo *vs* 6.1 mo), and overall survival (25.1 mo *vs* 20.3 mo). The renal excretion of trastuzumab is very low[22,23], and its main toxicity is cardiotoxicity[24], while renal toxicity is low. In a pivotal trial conducted by Slamon *et al*[19], 0.3% of patients receiving trastuzumab combined with chemotherapy developed severe (grades 3 and 4) renal damage. Micallef *et al*[25] treated breast cancer in two hemodialysis patients using trastuzumab and achieved good clinical results.

Pertuzumab is a recombinant humanized monoclonal antibody directed against the extracellular dimerization domain (subregion II) of HER-2, thereby blocking the ligand-dependent heterodimerization reaction between HER-2 and other HER-2 family members, including epidermal growth factor receptor, HER-3, and HER-4[26]. Pertuzumab has been approved for the neoadjuvant treatment of patients with HER-2-positive, locally advanced, inflammatory or high-risk early breast cancer[27], or for the first-line treatment in patients with advanced breast cancer overexpressing HER-2 in the European Union[28]. The NEOSPHERE study confirmed that adding pertuzumab to TH can further increase the pathological complete response rate of HER-2 positive patients[29]. The PEONY study verified the effectiveness and safety of the THP regimen in an Asian population[30]. Studies on the potential effects of trastuzumab or docetaxel on the pharmacokinetics of pertuzumab have been carried out. An analysis showed that there was no evidence that trastuzumab or the combination of docetaxel and trastuzumab had an effect on the metabolism of pertuzumab[31].





**Figure 3** Magnetic resonance imaging of the patient obtained during preoperative neoadjuvant treatment. A: September 28, 2020: Left breast mass (37 mm × 31 mm × 34 mm); B: November 12, 2020: Left breast mass (22 mm × 21 mm × 25 mm); C: December 21, 2020: Left breast mass (16 mm × 13 mm × 12 mm); D: January 27, 2021: Left breast mass (12 mm × 9 mm × 8 mm). Red arrows indicate localization of masses.

To the best of our knowledge, a pharmacokinetic study of pertuzumab has not been conducted in patients with renal impairment, and there are few reports on the application of pertuzumab in breast cancer patients with CRF. However, nephrotoxicity of pertuzumab is uncommon, and the clinical trials CLEOPATRA, NEOSPHERE, TRYPHAENA, and APHINITY have not found any obvious renal adverse reactions. In addition, the monoclonal antibody is mainly cleared through a large-volume, non-specific Fc receptor-mediated immunoglobulin G (IgG) clearance mechanism and a specific targeted-mediated drug disposal pathway. The intact monoclonal antibody cannot be filtered by the glomerulus to be excreted through the kidney due to its large molecular weight. Monoclonal antibodies can be excreted by the kidneys after being broken down into peptide fragments and amino acids by the lysosomal pathway in the corresponding effector cells. At the same time, the peptide fragments and amino acids generated by decomposition can also participate in the body's energy supply and in the synthesis of new proteins[32]. The metabolism of endogenous IgG occurs in various tissues and plasma in the body. Using a physiologically based pharmacokinetic model, it is estimated that the contribution of skin, muscle, liver, and intestinal tissues to the clearance of endogenous IgG are 33%, 24%, 16%, and 12%, respectively[33]. This shows that the kidney's contribution to the elimination of endogenous IgG is low. In addition, based on a population pharmacokinetic analysis, renal impairment is not expected to affect exposure to pertuzumab. Based on limited clinical studies and reports, we conclude that renal excretion of pertuzumab is very low. The product description also does not regard renal damage as a contraindication to pertuzumab. Therefore, we preferred to use trastuzumab and pertuzumab dual target therapy. It is worth noting that there are few reports on pertuzumab in breast cancer patients with CRF, which may be a unique feature of this case.

In breast cancer patients with CRF, drug metabolism and dosage selection are issues that must be considered in order to avoid aggravation of systemic toxicity caused by renal failure. Considering the clearance of drugs during dialysis, appropriate timing of medication should be selected for hemodialysis patients. Docetaxel is rarely excreted by the kidneys. Limited data have shown that docetaxel can be safely used in patients with renal insufficiency without the need for dose adjustment[34]. Docetaxel can be safely used in chronic peritoneal or hemodialysis patients at standard doses[35].

Trastuzumab does not cause nephrotoxicity as a single agent, and relevant data indicate that treatment with trastuzumab is not affected by age or renal function. The product feature summary does not recommend adjusting the dose of trastuzumab in patients with mild to moderate chronic kidney disease. No information is provided on the dose adjustment of trastuzumab due to hemodialysis in the United States. Docetaxel and trastuzumab were used in this breast cancer patient with renal failure without dose adjustment, which is similar to the case report by Liu *et al*[17]. There are similar considerations for these two drugs. There are no data on the use of pertuzumab for treating dialysis patients. The product feature summary recommends that in patients with mild or moderate renal insufficiency, the dose need not be adjusted, and there is no recommended dosage for patients with severe renal insufficiency. In the population pharmacokinetic analysis, renal damage did not affect drug disposal. There are few reports on pertuzumab nephrotoxicity, and population pharmacokinetic studies have found that covariates, such as renal function (serum creatinine), do not have a statistically significant effect on the pharmacokinetic parameters of pertuzumab [36].

Our patient was clinically diagnosed with CRF stage 5. Considering the rare renal toxicity of trastuzumab and pertuzumab, we did not adjust the drug dosage which consisted of docetaxel 80 mg/m<sup>2</sup>, trastuzumab 8 mg/kg for the first dose, and 6 mg/kg for the maintenance dose with pertuzumab 840 mg for the first dose and 420 mg for the maintenance dose, every 3 wk. Hemodialysis was performed more than 12 h after chemotherapy.

## CONCLUSION

The THP regimen has a minimal effect on renal failure, and as a neoadjuvant therapy for breast cancer patients with positive HER-2, it has a good effect in downstaging breast cancer. In this case, the drug dose was not adjusted, and the patient had no obvious nephrotoxicity or cardiotoxicity. Therefore, the THP regimen without dose adjustment may be a safe and effective neoadjuvant therapy for HER-2 positive breast cancer patients with CRF. CRF is not a contraindication for systemic treatment and surgery for breast cancer. Individualized treatment of these patients can be achieved by multidisciplinary collaboration and close monitoring of renal function.

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