# World Journal of *Clinical Cases*

World J Clin Cases 2021 February 6; 9(4): 764-998





Published by Baishideng Publishing Group Inc

W J C C World Journal of Clinical Cases

#### Contents

Thrice Monthly Volume 9 Number 4 February 6, 2021

#### **MINIREVIEWS**

764 Chiari malformations in children: An overview

Spazzapan P, Bosnjak R, Prestor B, Velnar T

#### **ORIGINAL ARTICLE**

#### **Case Control Study**

774 Effect of hospital discharge plan for children with type 1 diabetes on discharge readiness, discharge education quality, and blood glucose control

Tong HJ, Qiu F, Fan L

#### **Retrospective Study**

784 Effect of biofeedback combined with high-quality nursing in treatment of functional constipation

Zhao X, Meng J, Dai J, Yin ZT

792 Radioactive <sup>125</sup>I seed implantation for pancreatic cancer with unexpected liver metastasis: A preliminary experience with 26 patients

Li CG, Zhou ZP, Jia YZ, Tan XL, Song YY

#### **Clinical Trials Study**

801 Biliary stent combined with iodine-125 seed strand implantation in malignant obstructive jaundice Wang HW, Li XJ, Li SJ, Lu JR, He DF

#### **Observational Study**

- 812 Effects of different statins application methods on plaques in patients with coronary atherosclerosis Wu X, Liu XB, Liu T, Tian W, Sun YJ
- 822 Usefulness of prenatal magnetic resonance imaging in differential diagnosis of fetal congenital cystic adenomatoid malformation and bronchopulmonary sequestration

Li Z, Lv YD, Fang R, Li X, Luo ZQ, Xie LH, Zhu L

#### **CASE REPORT**

- 830 Reciprocal hematogenous osteomyelitis of the femurs caused by Anaerococcus prevotii: A case report Daunaraite K, Uvarovas V, Ulevicius D, Sveikata T, Petryla G, Kurtinaitis J, Satkauskas I
- 838 Gastroduodenal intussusception caused by gastric gastrointestinal stromal tumor: A case report and review of the literature

Hsieh YL, Hsu WH, Lee CC, Wu CC, Wu DC, Wu JY



Conton	World Journal of Clinical Cas	
Conten	Thrice Monthly Volume 9 Number 4 February 6, 2021	
847	Altemeier perineal rectosigmoidectomy with indocyanine green fluorescence imaging for a female adolescent with complete rectal prolapse: A case report	
	Yamamoto T, Hyakudomi R, Takai K, Taniura T, Uchida Y, Ishitobi K, Hirahara N, Tajima Y	
854	Long-term survival in a patient with Hutchinson-Gilford progeria syndrome and osteosarcoma: A case report	
	Hayashi K, Yamamoto N, Takeuchi A, Miwa S, Igarashi K, Araki Y, Yonezawa H, Morinaga S, Asano Y, Tsuchiya H	
864	Recurrent medullary thyroid carcinoma treated with percutaneous ultrasound-guided radiofrequency ablation: A case report	
	Tong MY, Li HS, Che Y	
871	"Bull's eye" appearance of hepatocellular adenomas in patients with glycogen storage disease type I $-$ atypical magnetic resonance imaging findings: Two case reports	
	Vernuccio F, Austin S, Meyer M, Guy CD, Kishnani PS, Marin D	
878	Clinical characteristics and <i>ABCC2</i> genotype in Dubin-Johnson syndrome: A case report and review of the literature	
	Wu H, Zhao XK, Zhu JJ	
886	Adult-onset Still's disease evolving with multiple organ failure and death: A case report and review of the literature	
	Han ZB, Wu J, Liu J, Li HM, Guo K, Sun T	
898	Open reduction and Herbert screw fixation of Pipkin type IV femoral head fracture in an adolescent: A case report	
	Liu Y, Dai J, Wang XD, Guo ZX, Zhu LQ, Zhen YF	
904	Acute pancreatitis with pulmonary embolism: A case report	
	Fu XL, Liu FK, Li MD, Wu CX	
912	Apert syndrome diagnosed by prenatal ultrasound combined with magnetic resonance imaging and whole exome sequencing: A case report	
	Chen L, Huang FX	
919	Application of neoadjuvant chemotherapy combined with anlotinib in occult breast cancer: A case report and review of literature	
	Zhang Y, Wu D, Zhao B, Tian XL, Yao TC, Li F, Liu WF, Shi AP	
927	Atypical presentation of shoulder brucellosis misdiagnosed as subacromial bursitis: A case report	
	Wang FS, Shahzad K, Zhang WG, Li J, Tian K	
935	Retroperitoneal teratoma resection assisted by 3-dimensional visualization and virtual reality: A case report	
	Liu T, Chen K, Xia RM, Li WG	
943	Renal failure and hepatitis following ingestion of raw grass carp gallbladder: A case report <i>Zhou LN, Dong SS, Zhang SZ, Huang W</i>	



Contei	World Journal of Clinical Cases Thrice Monthly Volume 9 Number 4 February 6, 2021
951	Pheochromocytoma as a cause of repeated acute myocardial infarctions, heart failure, and transient erythrocytosis: A case report and review of the literature
	Shi F, Sun LX, Long S, Zhang Y
960	Immediate implant placement in combination with platelet rich-fibrin into extraction sites with periapical infection in the esthetic zone: A case report and review of literature
	Fang J, Xin XR, Li W, Wang HC, Lv HX, Zhou YM
970	Acute inferior wall myocardial infarction induced by aortic dissection in a young adult with Marfan syndrome: A case report
	Zhang YX, Yang H, Wang GS
976	Primary nonkeratinizing squamous cell carcinoma of the scapular bone: A case report
	Li Y, Zuo JL, Tang JS, Shen XY, Xu SH, Xiao JL
983	Fertility-sparing surgeries without adjuvant therapy through term pregnancies in a patient with low-grade endometrial stromal sarcoma: A case report
	Gu YZ, Duan NY, Cheng HX, Xu LQ, Meng JL
992	Isolated interrupted aortic arch in an adult: A case report
	Dong SW, Di DD, Cheng GX

#### Contents

**Thrice Monthly Volume 9 Number 4 February 6, 2021** 

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### **RESPONSIBLE EDITORS FOR THIS ISSUE**

Production Editor: Yan-Xia Xing, Production Department Director: Yun-Xiaojian Wu; Editorial Office Director: Jin-Lei Wang.

NAME OF JOURNAL	INSTRUCTIONS TO AUTHORS
World Journal of Clinical Cases	https://www.wjgnet.com/bpg/gerinfo/204
ISSN	GUIDELINES FOR ETHICS DOCUMENTS
ISSN 2307-8960 (online)	https://www.wjgnet.com/bpg/GerInfo/287
LAUNCH DATE	<b>GUIDELINES FOR NON-NATIVE SPEAKERS OF ENGLISH</b>
April 16, 2013	https://www.wjgnet.com/bpg/gerinfo/240
FREQUENCY	PUBLICATION ETHICS
Thrice Monthly	https://www.wjgnet.com/bpg/GerInfo/288
EDITORS-IN-CHIEF	PUBLICATION MISCONDUCT
Dennis A Bloomfield, Sandro Vento, Bao-gan Peng	https://www.wjgnet.com/bpg/gerinfo/208
EDITORIAL BOARD MEMBERS	ARTICLE PROCESSING CHARGE
https://www.wjgnet.com/2307-8960/editorialboard.htm	https://www.wjgnet.com/bpg/gerinfo/242
PUBLICATION DATE	STEPS FOR SUBMITTING MANUSCRIPTS
February 6, 2021	https://www.wjgnet.com/bpg/GerInfo/239
COPYRIGHT	ONLINE SUBMISSION
© 2021 Baishideng Publishing Group Inc	https://www.f6publishing.com

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World J Clin Cases 2021 February 6; 9(4): 764-773

DOI: 10.12998/wjcc.v9.i4.764

ISSN 2307-8960 (online)

MINIREVIEWS

# Chiari malformations in children: An overview

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Author contributions: Spazzapan P drafted the manuscript and assisted with data analysis; Velnar T participated in the design of the study, and was involved with data collection, and assisted with data analysis; Bosnjak R and Prestor B participated in oversight of the study; Spazzapan P and Velnar T participated in design of the study; all authors read and approved the final manuscript.

Conflict-of-interest statement: The authors of this manuscript having no conflicts of interest to disclose.

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Manuscript source: Invited

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## Abstract

Chiari malformations encompass various radiological and clinical entities, sharing the herniation of the rhombencephalic structures through the foramen magnum as a common characteristic. They can be symptomatic or asymptomatic. The therapeutic strategies for these malformations differ on the basis of the diverse pathophysiologic processes that cause them. As Chiari malformations are caused by various pathophysiologic processes, they must be recognized promptly to select the best treatment for each single case.

Key Words: Chiari malformation; Hydrocephalus; Intracranial pressure; Craniocervical junction; Foramen magnum; Treatment

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Core Tip: Chiari malformations include various radiological and clinical entities sharing the herniation of the rhombencephalic structures through the foramen magnum. Depending on the symptomatology, the therapeutic strategies differ on the basis of the underlying pathophysiological processes.

Citation: Spazzapan P, Bosnjak R, Prestor B, Velnar T. Chiari malformations in children: An overview. World J Clin Cases 2021; 9(4): 764-773 URL: https://www.wjgnet.com/2307-8960/full/v9/i4/764.htm DOI: https://dx.doi.org/10.12998/wjcc.v9.i4.764

### INTRODUCTION

Chiari malformations are pathologic conditions in which a part of the rhombencephalic structures (the pons, the medulla oblongata and the cerebellum) that are normally contained within the posterior fossa, herniate through the foramen



#### manuscript

Specialty type: Medicine, research and experimental

Country/Territory of origin: Slovenia

#### Peer-review report's scientific quality classification

Grade A (Excellent): 0 Grade B (Very good): B Grade C (Good): 0 Grade D (Fair): 0 Grade E (Poor): 0

#### Received: October 10, 2020 Peer-review started: October 10, 2020

First decision: November 26, 2020 Revised: December 4, 2020 Accepted: December 26, 2020 Article in press: December 26, 2020 Published online: February 6, 2021

P-Reviewer: Naswhan AJ S-Editor: Fan JR L-Editor: A P-Editor: Wu XYJ



magnum (FM) into the spinal canal. Chiari malformations are classified as follows<sup>[1]</sup>:

Chiari type 1 (CIM): Most frequent Chiari malformation. The cerebellar tonsils herniate through the FM for at least 5 mm. The brainstem is normally positioned in the posterior fossa. A syringomyelia or hydromyelia can be associated (Figure 1).

Chiari type 2 (CIIM): It is related the diagnosis of open neural tube defect (myelomeningocele). There is a caudal displacement of the vermis, the brainstem and the fourth ventricle. Syringomyelia is frequent. Additionally, other intracranial anomalies, such as agenesis of the corpus callosum, polymicrogyria and agenesis of the vermis are also present. Together, these malformations form the CIIM complex (Figure 2).

Chiari type 3 (CIIIM): This type is a rare (< 1% of all Chiari malformations) and represents an extreme form of the rhombencephalic herniation. Large portions of the cerebellum and brainstem herniate through the FM and form occipital and cervical subcutaneous cysts. Other anomalies of the central nervous system and severe neurological deficits are present.

Chiari type 4: Consists of aplasia or hypoplasia of the cerebellum and it is not a true herniation of the cerebellum. For this reason, the inclusion of these malformations within the Chiari group is questionable.

#### NEUROLOGICAL FEATURES

Children with CIM malformation are often asymptomatic and this malformation usually represents an incidental finding. It can be, however, associated with headaches and, in case of an associated syringomyelia, peripheral neurological deficits. In CIIM, the neurological deficits are mainly related to the associated malformations that form the CIIM complex and to the open neural tube defect. In CIIIM, the neurological deficits are severe already at birth. This malformation is very rare and nowadays almost never encountered in clinical practice, at least in the western countries. For this reason, this present review is focused especially on CIM and CIIM, as these are most frequently seen in patents seeking neurosurgical and neurological management. Treatment of Chiari malformations can be conservative or surgical. Surgical treatment is indicated in symptomatic children, particularly when the Chiari malformation causes an obstruction to the cerebrospinal fluid (CSF) flow through the FM or compression of the brainstem<sup>[1-3]</sup>.

#### PATHOPHYSIOLOGY

Several different theories have been developed to explain the origin of the Chiari malformations. Hans Chiari, who first described these conditions, thought that they were intimately related to the hydrocephalus<sup>[1]</sup>. Later, McLone and Knepper developed the embryological theory of the CSF leakage into the amniotic sac, which may be responsible for the hypotension in the developing neural tube<sup>[2]</sup>. Because of a small posterior fossa, this may cause the herniation of the rhomboencephalic structures, and other malformations in the central nervous system. A third pathophysiological theory is the hydrodynamic theory, based on an early disbalance of the CSF pulsations in the supra- and infratentorial compartment. This disbalances of the CSF pulsations between the two compartments may initiate a caudal migration of the tentorium, a smaller posterior fossa and consequently a Chiari malformation<sup>[3]</sup>. All these theories are only partially valid and all of them are incomplete from a pathophysiological point of view, showing that Chiari malformations are complex and versatile conditions with a diverse pathophysiologic origin<sup>[2,3]</sup>.

The CIM is not a congenital malformation, it is rather an acquired one. One could also call it "deformation", or more precisely an ectopy of the cerebellar tonsils<sup>[4]</sup>. The CIM has never been described in neonates and histological examinations of the herniated tonsils excluded the presence of neuronal dysplasia, which are typical for other malformations. On the contrary, the CIIM and CIIIM are true malformations of the central nervous system, related to the embryological and developmental anomalies<sup>[5]</sup>.

The definition of the CIM is based on the general rule of a 5 mm herniation through the FM. This is a potentially confusing statement, since it is not based on precise measurements and, above all, it has no direct clinical importance. There is no relation between the extent of the cerebellar herniation in millimetres and the presence of syringomyelia or neurological deficits. The CIM should therefore be defined as a





Figure 1 Chiari type 1 and associated holocord syringomyelia.

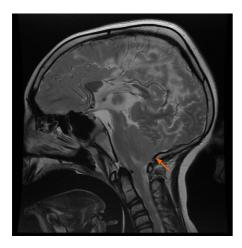


Figure 2 Chiari type 2 is characterized by a herniation of the tonsils, brainstem, vermis and also by corpus callosum agenesia, vermian agenesia, small posterior fossa, hydrocephalus and many other malformation of the central nervous system. The arrow indicates a low-lying torcular, which impeded a wide occipital craniectomy at surgery.

> functional obstruction of the FM, caused by the inferior part of the cerebellum, which may impede the CSF flow or may lead to the compression of other neural structures<sup>[4]</sup>. This functional obstruction can be caused by several different pathophysiological processes and the correct recognition of them is the basis of a correct therapeutic decision: (1) The CIM may be related to a disorder of the CSF flow. Hans Chiari first postulated that this malformation, later called Chiari, could be caused by hydrocephalus<sup>[1]</sup>. He actually observed such malformations in cadaveric specimen, who presented extreme pathologic conditions that are not encountered today in daily clinical practice anymore. Beyond this, several studies showed that hydrocephalus is associated to 10% of CIM and that a correct treatment of hydrocephalus, often with an endoscopic third ventriculostomy, leads to the resolution of both pathologies, hydrocephalus and CIM<sup>[6-9]</sup>; (2) The CIM may be related to bone anomalies. The theory of a small posterior fossa as a cause of the CIM is not evidence based, but on the contrary, the CIM is often associated with bone anomalies of the craniocervical junction, such as basilar invagination (Figure 3), craniocervical instability and atlantooccipital fusion<sup>[10]</sup>; (3) The CIM related to craniosynostosis and obstruction to vein outflow. The CIM can be related to raised intracranial venous pressure, which is typically caused by Vein of Galen aneurysmal malformation and by syndromic craniosynostoses, such as Pfeiffer, Crouzon and Apert syndrome, for example. These entities are all associated with the stenosis of the jugular foramen, intracranial venous congestion, brain swelling and tonsillar herniation<sup>[11,12]</sup>. Venous hypertension also obstructs normal CSF resorption and consequently leads to hydrocephalus (Figure 4). In case of vein of Galen aneurysmal malformation, both venous hypertension related





Figure 3 Basilar invagination associated to Chiari type 1. In these cases, surgical treatment must take into account, beyond a craniocervical decompression, also a craniocervical fixation.



Figure 4 Chiari type 1 associated to a syndromic craniosynostosis (Pfeiffer syndrome). There is also severe hydrocephalus, related to an intracranial venous hypertension.

> to CIM and hydrocephalus usually resolve after an effective embolization of the venous malformation<sup>[13]</sup>. In selected cases of syndromic craniosynostoses, the CIM can be successfully treated by cranial vault expansion, which lowers the intracranial pressure and enables a normal venous circulation; and (4) The CIM may be related to metabolic disorders. Due to several metabolic disorders, the bone structures of the posterior fossa can be underdeveloped and this may result in CIM. This can be associated to a deficit of growth hormone, which is related to CIM in 9% to 20% of cases<sup>[14]</sup>. Additionally, the CIM may also be related to rickets, hypophosphatemia and hypovitaminosis D.

#### SIGNS AND SYMPTOMS

#### CIM

The CIM is often asymptomatic and the diagnosis is frequently accidental, made during radiologic exams due to other conditions. In symptomatic cases, the CIM most frequently causes headaches, located mainly in the occipital and cervical region. These headaches exacerbate typically during Valsalva manoeuvres, like coughing, laughing and sneezing. If these characteristics are not present, the chance that the headache is not related to the CIM is higher<sup>[6]</sup>.

In case of an associated syringomyelia, neurological deficits may occur in upper and lower limbs, as well as in the trunk<sup>[6]</sup>. The syringomyelia may also cause sensory



dissociation: the loss of pain and temperature sensibility with the preservation of the proprioception and the light touch. An important symptom related to the syringomyelia is also scoliosis, which may be encountered in 8% to 10% of patents<sup>[14]</sup>. Other, occasionally encountered symptoms are caused by the brain stem compression (nystagmus, ophthalmoplegia, tongue atrophy) and the cranial nerves dysfunction (dysphagia, central apnoea, vocal cords palsy, respiratory stridor). Cerebellar signs and symptoms are not present in CIM, since no eloquent neurological functions are located in the tonsils (Figure 5).

#### CIIM

The symptoms of the CIIM may vary on the basis of the age of the child. In the neonatal period, it is possible to find a central apnoea, inspiratory stridor, vocal cord palsy, dysphagia and bradycardia. This clinical picture is rare (1% to 10% of children born with an open neural tube defect) and is potentially harmful<sup>[46]</sup>. These children need to be surgically treated as soon as possible. Symptoms that are present early after birth usually regress only moderately and determinate a poor prognosis. In older children, the symptoms of the CIIM are often related to dysfunction of the lower motor neuron of the upper limbs (hypotonia, loss of strength) and of the first motor neuron directed to the lower limbs (hypertonia, orthopaedic deformations, sphincter function deterioration).

#### DIAGNOSTICS

#### CIM

The general rule to set the diagnosis of the CIM is a herniation of both tonsils beyond the FM for at least 5 mm. The hydrosyringomyelia, which may be also present, is caused by an obstruction to the CSF flow through the foramina of Luschka and Magendie from the fourth ventricle to the subarachnoid spaces. The magnetic resonance imaging (MRI) can detect also other abnormalities, which can cause the CIM or can be just associated to the CIM. The most important are the following: Atlantooccipital fusion, basilar invagination (Figure 3), cervical vertebral fusion and scoliosis. The children with a basilar invagination and scoliosis must be treated with particular attention and a craniocervical decompression must often be associated to a craniocervical fixation<sup>[15]</sup>.

The radiological imaging can also show hydrocephalus (Figure 6). Chiari and hydrocephalus represent a rare, but important association, which must be promptly recognized, since a correct hydrocephalus treatment can lead to the resolution of the CIM. Another important diagnostic tool in the setting of children with CIM is polysomnography, which can recognize frequent central apnoea in 4% of children (five or more apnoea events in every hour). Apnoea is caused by compression of the respiratory centres in the brainstem<sup>[16]</sup>.

#### CIIM

Beyond the tonsillar herniation, children with the CIIM have also other malformations, such as caudal elongation of the vermis and brainstem. The CIIM is related to the presence of an open neural tube defect and is often associated to hydrocephalus. There is often also a syringomyelia (40% to 95%)<sup>[17]</sup>. Other abnormalities found in CIIM are cranial and spinal malformations (corpus callosum agenesis, polymicrogyria, vermian agenesis) (Figure 2).

#### TREATMENT

#### CIM

The indications for surgical treatment of the CIM are not uniform and vary among different centres. In an international survey related to the indications for CIM surgery, the majority of surgeons agreed that surgery is necessary in children with syringomyelia, progressive scoliosis and/or neurological deficits<sup>[18,19]</sup> (Figure 7). The indications for asymptomatic children without the syringomyelia were much less uniform. Furthermore, the differences were noted also regarding the surgical approaches and techniques. A general agreement is that a herniation of at least 5 mm is pathological, but does not need any treatment when it does not cause clinical symptoms or syringomyelia<sup>[19]</sup>. The indication for surgery must be based on clinical



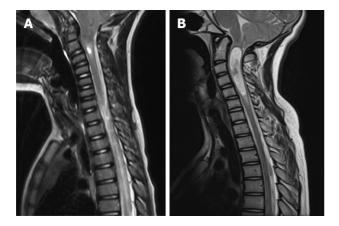


Figure 5 Two cases of syringomyelia caused by Chiari type 1 and limited to a limited segment of the spinal cord. A and B: Cerebellar signs and symptoms are not present in Chiari type 1, since no eloquent neurological functions are located in the tonsils.



Figure 6 Hydromyelia, which indicated just a mild dilatation of the central spinal canal.



Figure 7 Mild or severe forms of scoliosis can be often found in Chiari malformations.

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and radiological criteria. It is well known that large herniations can remain asymptomatic for many years and can also regress within a long term follow-up<sup>[20]</sup>. On the other hand, many patients with a herniation of less than 5 mm may present with large syringes in the medulla. In these cases (often described as Chiari 0 malformation), a craniocervical decompression is indicated<sup>[21]</sup> (Figures 8 and 9). Between these two extremes, many different conditions can exist. If both the herniation and the syringomyelia are asymptomatic, we unlikely propose a surgical treatment and rather decide a close follow-up. The same is valid also for children, who present just with a hydromyelia. Here, at our centre we can propose surgery just in the case of hydromyelia progression or if neurologic deficits occur. Among children with headaches and without syringomyelia, the most important decision-making factor is worsening of the headaches during the Valsalva manoeuvres. Without this exacerbation of headaches, the operation is most likely unsuccessful<sup>[8,9]</sup>.

A rare and extreme category of patients are neonates with difficulties in swallowing, with nystagmus, inspiratory stridor and frequent apnoea. These signs indicate a brainstem dysfunction. If the MRI confirms a CIM, an early craniocervical decompression may bring some relief<sup>[9,19]</sup>.

Many studies have shown the efficacy of craniocervical decompression in the treatment of children with CIM. In the literature, the rate of symptoms regression in well selected children is around 80% to 85%. The overall possibility of having the syringomyelia regression is around 90%<sup>[22,23]</sup>. On the other hand, in 5% to 10% of cases, the syringomyelia can recur after surgery. In this subgroup, as well as in the group of patients that did not benefit from the initial surgical treatment, a second decompression can be successfully performed, with the resection of one or both tonsils. On the basis of these data, some surgeons perform the tonsillar resection already during the first surgical procedure<sup>[23]</sup>.

The craniocervical decompression can be done in a sitting or in a prone position. The former allows a better visualization of the anatomy of the craniocervical junction, but is potentially related to air embolism, which represents a harmful complication. During surgery, a suboccipital craniotomy is done, together with the C1 Laminectomy. If the tonsil herniate to the C2 Level, the laminectomy may be extended toward this level. The dura is opened in a Y-shape and a dural graft is sutured in the dural opening (Figure 10). This makes the dural sac larger and the CSF may flow free from the obstacles. Many variations of this surgical technique have been described<sup>[24]</sup>. Some authors perform just a bony decompression, without any dural incision, other incise only the dura, leaving the arachnoid membranes intact, in order to prevent the possibility of postoperative scarring, some others incise only the outer dural layer<sup>[6,23,25]</sup>. In some patients, the arachnoid membranes are resected, while in others, the exploration of the fourth ventricle may be performed. Some surgeons plug the obex to facilitate the syrinx resolution<sup>[18]</sup>. Finally, as already mentioned, some surgeons coagulate and excise the tonsils. This is possible since there is no eloquent function located in this structures, which, beyond this, become gliotic with time due their compression within the narrow craniocervical canal<sup>[5]</sup>. A useful tool for the decision whether to open the dura or not is the intraoperative ultrasound. If a good tonsillar pulsation is seen, the durotomy can be avoided, since this indicates a sufficient CSF flow. If the pulsations are not visible, the dural incision is advised, with the eventual arachnoid resection and exploration of the fourth ventricle<sup>[23,25]</sup>.

#### CIIM

The success of surgical treatment of the CIIM is largely based of the preoperative clinical conditions. Older children usually have a good outcome and neurologic deficits may resolve to some extent. In the neonatal period, the results are poor, since the symptoms are more severe and related to the brainstem dysfunction<sup>[23]</sup>. If a child with a CIIM presents with the symptoms, the progressive hydrocephalus must first be ruled out. This means that a ventriculoperitoneal shunt must be inserted or revised if pre-existent, even though the endoscopic third ventriculostomy also shows promising results in the treatment of the myelomeningocele-related hydrocephalus. In the rare cases where the hydrocephalus is excluded, a craniocervical decompression is justified. The surgical technique is basically the same as in the CIM. Since the tonsils often herniate to the low cervical levels, the skin incision usually ends at the point where the vermal herniation ends. Of a great importance is to exactly detect the location of the torcular, because the dural sinuses, together with the tentorium, are often displaced caudally and can be located very close to the FM (Figure 2). The extent of the suboccipital craniectomy is therefore usually small<sup>[23,24]</sup>.

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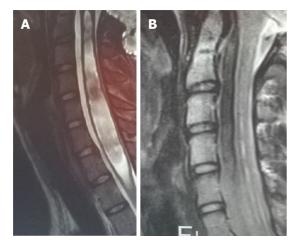


Figure 8 A case of successfully treated Chiari type 1 with craniocervical decompression. A: A large syringomyelia before surgery; B: A large syringomyelia disappeared after the craniocervical decompression.

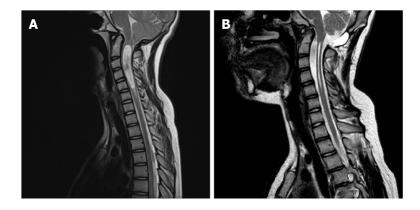


Figure 9 Another case of successful treatment of Chiari type 1 with craniocervical decompression. A: The syringomyelia in the cervical spinal cord; B: A procedure that results in cervical spinal cord syringomyelia.

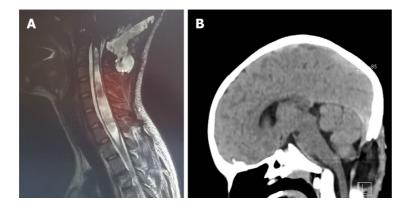


Figure 10 Two cases of craniocervical decompression. A and B: There is a dural patch implanted in both cases. This allows an enlargement of the diameter of the dural sac at the craniocervical junction and consequently a larger space for cerebrospinal fluid circulation and rhomboencephalic structures.

### SURGICAL COMPLICATIONS

Craniocervical decompression is a relatively safe procedure, however, it is not without potential complication<sup>[25,26]</sup>. The most common complications include injury to the vascular and neural structures, pseudomeningocele (Figure 11), bleeding from the dural lacunae of the posterior fossa, the CSF fistula and meningitis. The best way to avoid the CSF leak is to perform a watertight suture of the dura, fascia and skin and to perform regular compressive bandages after surgery. Less frequent complications comprise a craniocervical instability and acute hydrocephalus. A rare complication is



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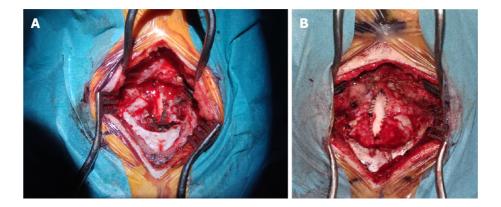


Figure 11 Images show a pseudomeningocele, caused by a leak of cerebrospinal fluid in the epidural space. A and B: The most common complications include injury to the vascular and neural structures, pseudomeningocele. This is a frequent complication of craniocervcal decompression.

also the prolapse or ptosis of the cerebellum through the craniectomy site<sup>[27]</sup>. A particular situation must be kept in mind in small children, who have a large osteogenic potential that can cause a craniocervical reossification and a recurrence of their symptoms.

#### CONCLUSION

While the CIIM is a true malformation of the central nervous system, the CIM is a consequence of different pathophysiologic processes that cause the herniation of the tonsils through the FM. Differentiating and recognizing these various conditions is of paramount importance in the selection of the right treatment. Overall, the craniocervical decompression is a safe and effective treatment, when taking into account all the possible pitfalls and potential complications. It can prevent the progression of clinical and radiological deterioration in children with symptoms or syringomyelia related to the Chiari malformation. If the indication for surgical treatment is correct, the outcome will be reasonably successful.

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