

## Effect of lamivudine in HBeAg-positive chronic hepatitis B: Discordant effect on HBeAg and HBV DNA according to pretreatment ALT level

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### Abstract

**AIM:** To clarify differences in antiviral effect of the drug in patients with different ALT levels, we examined the changes in HBV markers in patients with high or low ALT levels with or without lamivudine treatment.

**METHODS:** Thirty-seven HBeAg-positive patients were studied. Ten patients with ALT levels higher than 200 IU/L (group 1) and 8 patients with ALT below 200 IU/L (group 2) were treated orally with 100 mg/d of lamivudine. As untreated control, 9 patients with ALT above 200 IU/L (group 3) and 10 patients with ALT below 200 IU/L (group 4) were examined. ALT level, HBeAg/HBeAb status, and HBV DNA level were examined monthly for 11.9±0.4 mo.

**RESULTS:** The ALT level normalized in all 10 patients of group 1, 7/8 of group 2, 4/9 of group 3, and 1/10 of group 4 within 6 mo (groups 1 vs 2,  $P = NS$ ; groups 1 vs 3,  $P = 0.002$ ; groups 1 vs 4,  $P < 0.0001$ ). HBV DNA fell below the detection limit in all 10 patients of group 1, 7/8 of group 2, 0/9 of group 3, and 0/10 of group 4 within 6 mo (groups 1 vs 2,  $P = NS$ ). HBeAg became seronegative in 7/10 patients of group 1, 1/8 of group 2, 3/9 of group 3, and 0/10 of group 4 within 12 mo (groups 1 vs 2,  $P = 0.02$ ; groups 1 vs 3,  $P = NS$ ).

**CONCLUSION:** Our data suggest that HBeAg-positive patients with higher ALT levels can be considered good candidates for lamivudine therapy, probably because lamivudine accelerates the natural seroconversion of HBeAg, accompanied by HBV DNA loss, in these patients.

### INTRODUCTION

Hepatitis B virus (HBV) infection is a worldwide problem with chronic carriers numbering an estimated 350 million, and with approximately 1.5 million in Japan<sup>[1]</sup>. Although the natural course of chronic HBV infection is variable, carriers are at risk for developing cirrhosis and hepatocellular carcinoma, and thus need to be followed up and monitored so as to be able to make timely decisions regarding intervention with antiviral therapy<sup>[2]</sup>.

Lamivudine treatment is effective in suppressing HBV replication and decreasing the level of HBV DNA in patients with type B hepatitis<sup>[3]</sup>, thereby improving liver function tests and leading to histological improvement<sup>[4-7]</sup>. HBeAg seroconversion was found in 17% of patients at 1 year of treatment and in 27% at 2 years<sup>[8]</sup>.

The most troublesome problem of lamivudine treatment is the emergence of lamivudine-resistant strain during the treatment<sup>[9-13]</sup>. The risk of developing lamivudine resistance increases with the duration of treatment. Reactivation of hepatitis after the cessation of treatment is another problem<sup>[14-17]</sup>. In this context, knowing the effect of lamivudine on HBV in relation to the clinical status must be of major importance.

The effect of lamivudine was reported to be better in patients with ALT levels elevated to more than 5 times the upper limit of normal (ULN)<sup>[18,19]</sup>. It is known that such patients tended to seroconvert during interferon treatment<sup>[20,21]</sup>. Therefore, we studied the effect of lamivudine in relation to the level of ALT, comparing those with and without lamivudine.

The correlations of ALT, HBeAg, and HBV DNA alongside the status of precore and core promoter mutation have not been well demonstrated. We therefore compared the effect of lamivudine in terms of these parameters in a controlled trial.

### MATERIALS AND METHODS

#### Patients

Between January 2000 and June 2001, 75 patients with HBeAg-positive chronic hepatitis B attended Chiba University

Medical Hospital every 1 to 3 mo. Asymptomatic carriers were not included. Eighteen of these patients began treatment with 100 mg/d of oral lamivudine during this period, while the remaining 57 patients chose not to undergo this treatment. Among them, 19 patients were selected as control, and they were not treated with antiviral drug such as lamivudine or interferon throughout the study. There were no patients positive for HCV-Ab, anti-human immunodeficiency virus antibody or hepatitis D virus antibody, or with a history of drinking over 80 g/d of alcohol. This study was performed in accordance with the Helsinki Declaration.

Thus, a total of 37 patients were classified into 4 groups according to lamivudine treatment and ALT level at enrollment, and they were examined for changes in ALT, HBV DNA and HBeAg/HBeAb. They consisted of 10 treated patients with ALT more than 200 IU/L (group 1), 8 treated patients with ALT less than 200 IU/L (group 2), 9 untreated patients with ALT more than 200 IU/L (group 3), and 10 untreated patients with ALT less than 200 IU/L (group 4) (Table 1). All patients in groups 1 and 2 were continuing lamivudine medication at the time of final observation.

### Serologic markers

HBeAg and anti-HBe were examined by ELISA (Abbott Laboratories, Chicago, IL). Anti-HCV was determined by ELISA (Ortho Diagnostics, Tokyo, Japan). Serum HBV DNA was quantified by transcription-mediated amplification (TMA) assay (DNA probe Chugai-HBV, Chugai Diagnostics, Tokyo)<sup>[22]</sup>. The detection range of this assay was from 3.7 to 8.7-log genome equivalents/mL (LGE/mL).

### Detection of precore and core promoter mutations

The G to A mutation at nucleotide (nt) 1 896 in the precore region (A1896 mutation) and the A to T mutation at nt 1 762 and G to A mutation at nt 1 764 in the core promoter region (T1762 and A1764 mutations) were determined by the direct sequence method after PCR amplification, basically as previously described<sup>[23]</sup>. The primers for the first PCR were 5'-TCGCATGGAGACCACCGTGA-3' (sense, nt 1 604-1 623) and 5'-ATAGCTTGCTGAGTGC-3' (antisense, nt 2 076-2 060), and the primers for the second

PCR were 5'-CATAAGAGGACTCTTGGACT-3' (sense, nt 1 653-1 672) and 5'-GGAAAGAAGTCAGAAGGC-3' (antisense, nt 1 974-1 957).

### Determination of HBV genotypes

HBV genotype was determined from patients' sera using ELISA (HBV Genotype EIA; Tokushu-Meneki Laboratory, Tokyo, Japan) based on the method described by Usuda *et al.*<sup>[24]</sup>. Cases in which the HBV genotype could not be determined by this method, we performed PCR of the S-gene region and analyzed the restriction fragment length polymorphism pattern<sup>[25]</sup>.

### Statistical analysis

Proportion of each clinical factor was compared between the groups using the  $\chi^2$  test and Fisher's exact probability test, and the group means were compared using the Student's *t*-test. The rates of HBV DNA loss, HBeAg loss, and ALT normalization among groups 1 to 4, and those of HBV DNA breakthrough during lamivudine treatment between groups 1 and 2 were analyzed by Kaplan-Meier method, and the difference in incidences was assessed by the log-rank test. The association between HBeAg loss during the follow-up period and clinical factors at baseline including age, sex, HBV-DNA level, the pattern of core promoter or precore mutation, and medication of lamivudine was examined by multivariate Cox regression analysis. *P* values less than 0.05 were considered significant.

## RESULTS

### Characteristics of patients at baseline

The characteristics of the 37 patients at baseline are shown in Table 1. The mean HBV DNA level was  $7.4 \pm 1.4$  LGE/mL in group 1,  $7.5 \pm 0.9$  in group 2,  $7.2 \pm 1.5$  in group 3, and  $8.2 \pm 0.5$  in group 4 (Table 1). The mean follow-up period was  $11.9 \pm 0.4$  mo (10-12 mo). All the patients had genotype C HBV except one case. Precore mutation was found in 3 patients, one each in groups 1, 2, and 3. Core promoter mutation was found in 50% of group 1, 71% of group 2, 89% of group 3, and 60% of group 4 patients (Table 1).

### Outcome of ALT level in the four groups

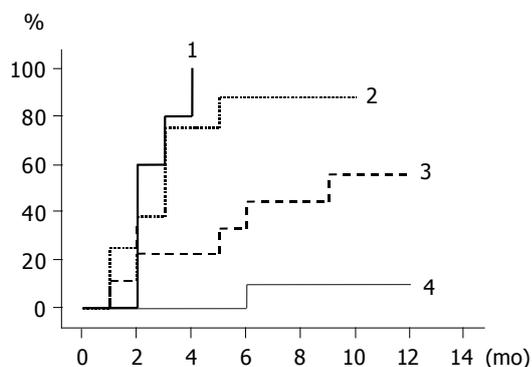
The cumulative incidence of ALT normalization is shown

**Table 1** Characteristics of patients with positive HBeAg at enrollment

	Group 1 (n = 10)	Group 2 (n = 8)	Group 3 (n = 9)	Group 4 (n = 10)	
Age in years (mean $\pm$ SD)	38.8 $\pm$ 9.7	40.0 $\pm$ 9.1	34.1 $\pm$ 12.9	31.2 $\pm$ 10.2	<i>P</i> = 0.07
Gender(male/female)	10/0	6/2	4/5	7/3	<i>P</i> = 0.01
ALT (IU/L)	695 $\pm$ 450	89 $\pm$ 45	325 $\pm$ 195	89 $\pm$ 38	<i>P</i> = 0.01
HBV DNA (LGE/mL)	7.4 $\pm$ 1.4	7.5 $\pm$ 0.9	7.2 $\pm$ 1.5	8.2 $\pm$ 0.5	<i>P</i> = 0.06
Genotype(A/B/C)	0/0/10	1/0/6	0/0/9	0/0/10	<i>P</i> = NS
Precore (wild/mutant)	9/1	6/1	8/1	10/0	<i>P</i> = NS
Core promoter (wild/mutant)	5/5 <sup>a</sup>	2/5	1/8	4/6	<i>P</i> = 0.14
Fibrosis stage (F1/F2/F3)	1/3/1	3/1/2	0/4/1	3/1/0	<i>P</i> = 0.06

Group 1, ALT  $\geq$  200 and lamivudine-treated; group 2, ALT < 200 and lamivudine-treated; group 3, ALT  $\geq$  200 and lamivudine-untreated; group 4, ALT < 200 and lamivudine-untreated. LGE, logarithm genome equivalent.

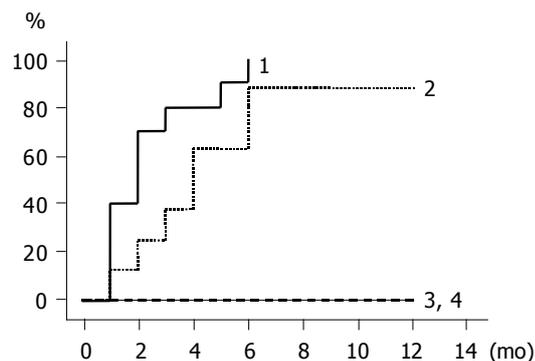
for groups 1 to 4 by Kaplan-Meier method in Figure 1. The rates were different among the groups, and the differences between groups 1 and 3, and groups 1 and 4 were statistically significant (groups 1 and 3,  $P = 0.002$ ; groups 1 and 4,  $P < 0.0001$ ). The incidence rates between groups 2 and 4, and groups 3 and 4 were also statistically different (groups 2 and 4,  $P = 0.0002$ ; groups 3 and 4,  $P = 0.031$ ). In patients given lamivudine, ALT normalized within 6 mo of starting the treatment in all 10 group 1 patients, 7/8 of group 2 patients, while in untreated patients, ALT normalized in 4/9 group 3 patients and remained abnormal in all the group 4 patients except one case within 6 mo.



**Figure 1** Cumulative incidence of ALT normalization of groups 1 to 4 by Kaplan-Meier method. Group 1 is indicated by thick line, group 2 by dotted line, group 3 by dashed line, and group 4 by thin line. Groups 1 vs 2,  $P = NS$ ; groups 1 vs 3,  $P = 0.002$ ; groups 1 vs 4,  $P < 0.0001$ ; groups 2 vs 3,  $P = NS$ ; groups 2 vs 4,  $P = 0.0002$ ; groups 3 vs 4,  $P = 0.031$  (log-rank test).

#### Outcome of HBV DNA level in the four groups

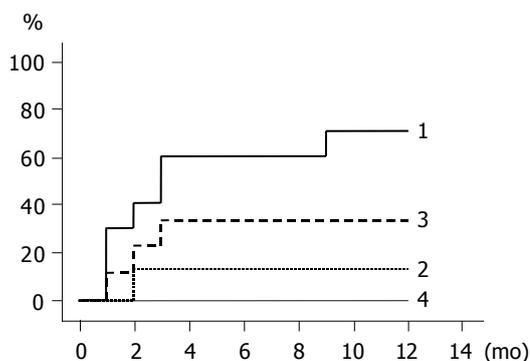
The cumulative incidence of HBV DNA loss is shown by Kaplan-Meier method in Figure 2. Group 1 and 2 patients with lamivudine treatment had higher rates of HBV DNA loss compared to untreated group 3 and 4 patients, whose HBV DNA did not disappear during the follow-up period. HBV DNA levels declined in all the group 1 and 2 patients, falling below the detection limit in all 10 group 1 patients and in 7 of 8 group 2 patients within 6 mo. There was no statistical difference between groups 1 and 2.



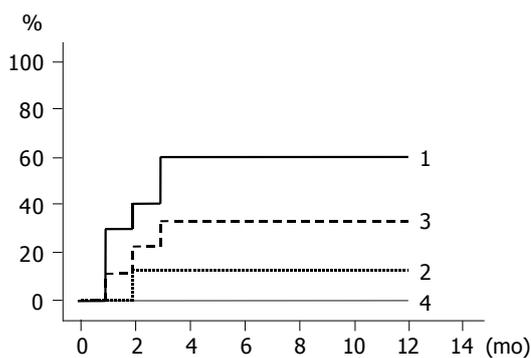
**Figure 2** Cumulative incidence of HBV DNA disappearance of groups 1 to 4 by Kaplan-Meier method. Group 1 is indicated by thick line, group 2 by dotted line, group 3 by dashed line, and group 4 by thin line. Groups 1 vs 2,  $P = NS$  (log-rank test).

#### Outcome of HBeAg/anti-HBe status in the four groups

The cumulative incidences of HBeAg loss and HBeAg seroconversion are shown in Figures 3 and 4 respectively. Groups 1 and 3 patients with higher ALT levels had higher rates of HBeAg loss and HBeAg seroconversion compared to groups 2 and 4 patients with lower ALT levels. (Figure 3: groups 1 and 2,  $P = 0.020$ ; Figure 4: groups 1 and 2,  $P = 0.048$ ). HBeAg became seronegative in 7 of 10 group 1, 1 of 8 group-2, 3 of 9 group-3, and none of 10 group-4 patients within 12 mo, and became seroconverted in all patients with HBeAg loss except one patient of group 1.



**Figure 3** Cumulative incidence of HBeAg loss of groups 1 to 4 by Kaplan-Meier method. Group 1 is indicated by thick line, group 2 by dotted line, group 3 by dashed line, and group 4 by thin line. Groups 1 vs 2,  $P = 0.020$ ; groups 1 vs 3,  $P = NS$ ; groups 2 vs 3,  $P = NS$  (log-rank test).



**Figure 4** Cumulative incidence of HBeAg seroconversion of groups 1 to 4 by Kaplan-Meier method. Group 1 is indicated by thick line, group 2 by dotted line, group 3 by dashed line, and group 4 by thin line. Groups 1 vs 2,  $P = 0.048$ ; groups 1 vs 3,  $P = NS$ ; groups 2 vs 3,  $P = NS$  (log-rank test).

#### Incidence of breakthrough of HBV DNA

Breakthrough of HBV DNA in spite of continuous lamivudine medication was found in 1 patient of group 1 and in 3 of group 2 during the follow-up period. The cumulative rates of breakthrough at 1 year were 23.5% in the lamivudine-treated patients, 10% in group 1 in contrast to 42.9% in group 2 ( $P = NS$ ).

#### HBeAg loss in relation to precore mutation or core promoter mutation

HBeAg became negative or seroconverted in 1 of 3 (33%) patients with precore mutation and in 10 of 33 (30%) without

precure mutation within 12 mo ( $P = \text{NS}$  by log-rank test). This result was not affected by lamivudine treatment: 1 of 2 (50%) patients with precure mutation *vs* 7 of 15 (47%) without precure mutation in those with lamivudine treatment ( $P = \text{NS}$  by log-rank test), and 0 of 1 (0%) *vs* 3 of 18 (17%) in untreated patients ( $P = \text{NS}$  by  $\chi^2$  test).

HBeAg became negative in 7 of 24 (29%) patients with core promoter mutation and in 4 of 12 (33%) without core promoter mutation within 12 mo ( $P = \text{NS}$  by log-rank test). HBeAg became negative in 4 of 10 (40%) with core promoter mutation and in 4 of 7 (57%) without mutation in those with lamivudine treatment ( $P = \text{NS}$  by log-rank test), and in 3 of 14 (21%) with core promoter mutation and in 0 of 5 (0%) without mutation in those untreated ( $P = \text{NS}$  by  $\chi^2$  test).

### Factors associated with HBeAg loss

Multivariate Cox regression analysis showed that ALT above 200 IU/L was the only predictive factor with a relative risk of 13.158 associated with HBeAg loss among 7 factors (Table 2).

**Table 2** Multivariate Cox regression analysis of factors associated with HBeAg loss

Variables	Relative risk	95% CI	P
Age <30 (yr)	1.0		
$\geq 30$ (yr)	1.995	0.236 - 16.898	0.526
Gender male	1.0		
female	5.247	0.294 - 93.594	0.260
ALT <200 IU/L	1.0		
$\geq 200$ IU/L	13.158	1.153 - 142.857	0.038
HBV DNA (LGE/mL)	0.751	0.479 - 1.178	0.212
Core promoter wild	1.0		
mutant	0.944	0.234 - 3.817	0.936
Precure wild	1.0		
mutant	0.749	0.050 - 11.236	0.834
Lamivudine untreated	1.0		
treated	9.826	0.765 - 126.290	0.079

## DISCUSSION

Our study has confirmed that the effect of lamivudine on HBe seroconversion is different in patients with ALT more than 200 IU/L and in those with less than 200 IU/L. Although the two groups showed no differences in the rates of HBV DNA disappearance and ALT normalization, the response of HBeAg was quite different between the two groups, with patients with ALT more than 200 IU/L showing a higher seronegative rate than those with a lower level. A higher seroconversion rate for HBeAg was obtained in patients with higher ALT in the treatment with interferon<sup>[20,21]</sup>. Recently, Chien *et al*<sup>[18]</sup>, reported that, in lamivudine treatment, HBeAg seroconversion rates were also higher in patients with ALT levels greater than 5 times the ULN compared with those less than this level (7/11, 64% *vs* 15/129, 12%), results compatible with those of the current study (6/10, 60% *vs* 1/8, 13%).

The state of HBeAg would be decided by the relative amounts of HBeAg and HBeAb. The production of HBeAg is influenced by HBV replication, precure mutation

and/or basic core promoter mutation of HBV. Using a duck hepatitis B virus model, we already reported that both precure wild and mutant viruses reduced their viral DNA levels during lamivudine treatment<sup>[26]</sup>. As the presence of precure or basic core promoter mutant viruses did not affect the reduction of HBV DNA levels in the sera in the current study, the anti-HBe antibody production level might be the key factor for HBeAg loss and seroconversion.

Patients in untreated groups and with ALT more than 200 IU/L also showed higher rates of HBeAg seroconversion compared to those with ALT less than 200 IU/L in the present study. Liaw reported previously that patient with ALT levels less than and greater than 5 times the ULN have significantly different spontaneous HBeAg seroconversion rates<sup>[27]</sup>. Because hepatic injuries in patients with chronic hepatitis B are the consequence of cytotoxic T-cell mediated immune hepatocytolysis, higher ALT levels reflect stronger immunological attack of the host against infected HBV. Thus, it seems that patients with high ALT levels tended to have higher rates of HBeAg seroconversion without lamivudine treatment, and the seroconversion rate did not differ between treated (group 1) and untreated (group 3) patients with high ALT levels (Figure 4). However, the rates of ALT normalization and HBV DNA disappearance were quite different between the two groups, with higher rates of ALT normalization and HBV DNA disappearance observed in group 1. Therefore, lamivudine treatment plays a beneficial role in accelerating and maintaining the loss of HBeAg by inhibiting HBV replication in those patients with high ALT.

Our analysis revealed that patients with precure mutation showed no higher rates of HBeAg loss or seroconversion than those without it. Therefore, precure mutation might not be associated with HBeAg loss or seroconversion in lamivudine treatment, although the small number of patients with precure mutation at baseline, only three, and the total number of cases analyzed were too few to draw a definite conclusion. Kuwahara *et al*<sup>[28]</sup>, reported that eight patients among 12 who lost HBeAg during lamivudine treatment still had precure wild strain, and precure mutant strain reverted to wild in 11 of 17 patients during lamivudine treatment. Maruyama *et al*<sup>[29]</sup>, also reported that the emergence of precure mutant was a separate event from HBeAg seroconversion.

As for core promoter mutation, Asahina *et al*<sup>[30]</sup>, reported it to be an independent predictive factor for HBeAg loss during lamivudine therapy in 60 patients with genotype C. However, we could not extract core promoter mutation as a predictive factor by multivariate regression analysis in the current study. This result applied not only to the total patients but also to those treated or untreated with lamivudine, although clinical features at baseline were slightly different between lamivudine-treated and untreated groups in the present study. Further studies will be needed for clarification on this issue.

The reported reappearance of HBV DNA in sera and ALT elevation with the emergence of YMDD mutant of HBV during lamivudine treatment, together with the reactivation of HBV replication and flare-up of hepatitis after cessation of the treatment, are important problems

indeed<sup>[9-13]</sup>. In the current study, patients with ALT more than 200 IU/L showed not only a higher rate of HBeAg seroconversion but also a lower incidence of breakthrough of HBV DNA compared to those with ALT less than 200 IU/L during lamivudine treatment. This implies that patients with ALT more than 200 IU/L can be considered good candidates for the treatment with lamivudine.

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