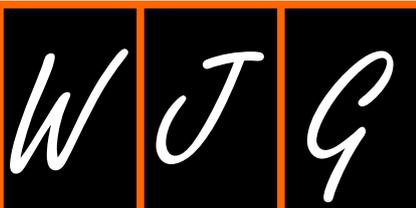


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## Basic Study

**Integrated genomic analysis for prediction of survival for patients with liver cancer using The Cancer Genome Atlas**

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**Author contributions:** Song YZ and Li X contributed equally to this work; Song YZ wrote the paper and performed the bioinformatic analysis; Li X performed the bioinformatic analysis and summarized the results; Li W, Wang Z, Li K collected and formatted genomic data; Xie FL revised the paper; Zhang F designed the research.

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**Abstract****AIM**

To evaluate the prognostic power of different molecular data in liver cancer.

**METHODS**

Cox regression screen and least absolute shrinkage and selection operator were performed to select significant prognostic variables. Then the concordance index was calculated to evaluate the prognostic power. For the combination data, based on the clinical cox model, molecular features that better fit the model were combined to calculate the concordance index. Prognostic models were built based on the arithmetic summation of the significant variables. Kaplan-Meier survival curve and log-rank test were performed to compare the survival difference. Then a heatmap was constructed and gene set enrichment analysis was performed for pathway analysis.

## RESULTS

The mRNA data were the most informative prognostic variables in all kinds of omics data in liver cancer, with the highest concordance index (C-index) of 0.61. For the copy number variation, methylation and miRNA data, the combination of molecular data with clinical data could significantly boost the prediction accuracy of the molecular data alone ( $P < 0.05$ ). On the other hand, the combination of clinical data with methylation, miRNA and mRNA data could significantly boost the prediction accuracy of the clinical data itself ( $P < 0.05$ ). Based on the significant prognostic variables, different prognostic models were built. In addition, the heatmap analysis, survival analysis, and gene set enrichment analysis validated the practicability of the prognostic models.

## CONCLUSION

In all kinds of omics data in liver cancer, the mRNA data might be the most informative prognostic variable. The combination of clinical data with molecular data might be the future direction for cancer prognosis and prediction.

**Key words:** Liver cancer; Prognosis; Molecular marker; Evaluation; C-index

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**Core tip:** The Cancer Genome Atlas (TCGA) is funded by the National Institute of Health to describe the genomic alterations across cancer types. Several months after the publication of liver cancer TCGA, we systemically evaluated the prognostic power of different omics data of liver cancer. We found that in all kinds of omics data in liver cancer, the mRNA data might be the most informative prognostic variable. The combination of clinical data with molecular data might be the future direction for cancer prognosis and prediction.

Song YZ, Li X, Li W, Wang Z, Li K, Xie FL, Zhang F. Integrated genomic analysis for prediction of survival for patients with liver cancer using The Cancer Genome Atlas. *World J Gastroenterol* 2018; 24(28): 3145-3154 Available from: URL: <http://www.wjgnet.com/1007-9327/full/v24/i28/3145.htm> DOI: <http://dx.doi.org/10.3748/wjg.v24.i28.3145>

## INTRODUCTION

Liver cancer is the fourth most common digestive cancer, with the second highest cancer mortality rate worldwide<sup>[1,2]</sup>. Every year, there are approximately 750,000 new cases, and most of these patients died of liver cancer<sup>[2]</sup>. Due to tumor heterogeneity and patients' physical status, the prognosis of liver cancer varied across different patients, with the average 5-year survival rate of 26%<sup>[2]</sup>.

Prognostic markers can help make better clinical decision by selecting patients who respond well to some spe-

cific treatment. Currently, there are several commonly used clinical markers for liver cancer, such as alpha-fetoprotein (AFP), patient age, tumor stage and some scoring systems<sup>[3,4]</sup>. In addition, some genetic biomarkers are emerging as novel indicators in cancer diagnosis and prognosis, such as GPC3, DKK1, S100A4, S100A14, SOX6, SUOX, xCT, GRK6, *et al*<sup>[5,6]</sup>.

The Cancer Genome Atlas (TCGA) is funded by the National Institute of Health (NIH) to describe the genomic alterations across different cancer types<sup>[7]</sup>. It provides tremendous amount of "omics" data, including mRNA sequencing data, miRNA sequencing data, reverse phase protein arrays data, copy number change data and DNA sequencing data. In 2017, the comprehensive genomic characteristics of liver cancer have also been analyzed<sup>[8]</sup>. It included unsupervised clustering of five molecular platforms to identify the hepatocellular carcinoma patients associated with poor prognosis.

However, there is no consensus on the predictive power of these indicators, especially the molecular markers. Therefore, by utilizing the TCGA data, we aimed to evaluate the prognostic power of liver cancer by molecular markers, and also to assess the predictive power of liver cancer by combining molecular markers and clinical data.

## MATERIALS AND METHODS

### Data collection and processing

The clinical data and level 3 molecular data, including copy number variation (CNV), methylation, mRNA, miRNA and protein data of the liver cancer patients were downloaded from the TCGA repository (<http://gdac.broadinstitute.org/>). For the clinical data, we included the patient age and tumor stage, which were the easiest accessible information. For the CNV data, we adopted the Affymetrix genome-wide human SNP array 6.0 platform to detect the copy number variation. After we got the level 3 segmentation file of the copy number data, the GISTIC version 2.0.22 was executed to detect significant regions of amplification and deletion. For the methylation data, the Illumina DNA methylation 450 platform level 3 data was utilized. With respect to the mRNA and miRNA data, the Illumina HiSeq mRNASeq and Illumina HiSeq miRNASeq level 3 data were adopted. For the protein data, we applied the reverse phase protein array (RPPA) data platform.

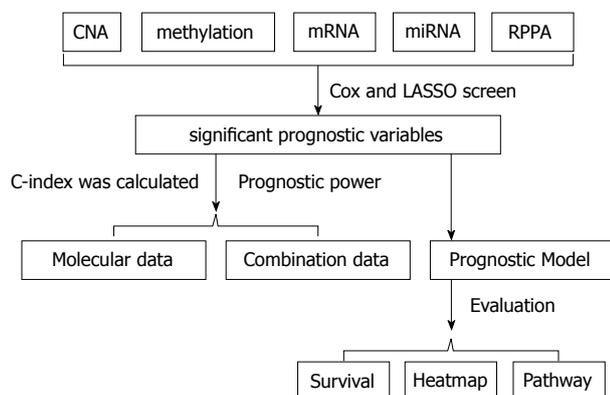
### Concordance index calculation

To evaluate the prognostic power among different omics data, we built a core set of samples. The patients in the core sample set were able to provide complete information from clinical data and different molecular platforms, including CNV, methylation, mRNA, miRNA and protein data. The concordance index (c-index) was calculated according to the method suggested by Yuan *et al*<sup>[9]</sup>. Briefly, training group patients (80% of total patients) and testing group patients (20% of total

**Table 1** Characteristics of the Cancer Genome Atlas samples and molecular platform information

|                      | CNV   | Methylation | mRNA  | miRNA | Protein |
|----------------------|-------|-------------|-------|-------|---------|
| Platform information | SNP_6 | 450K        | HiSeq | HiSeq | RPPA    |
| Sample size          | 371   | 377         | 371   | 372   | 184     |

CNV: Copy number variation.



**Figure 1** Statistical process (algorithm). Cox regression screen and least absolute shrinkage and selection operator (LASSO) were performed to select significant prognostic variables. Then the concordance index (C-index) was calculated to evaluate the prognostic power. Prognostic models were built based on the arithmetic summation of the significant variables. Kaplan-Meier survival curve and log-rank test were performed to compare the survival difference. Then the heatmap was constructed and gene set enrichment analysis was performed for pathway analysis.

patients) were randomly divided from the core set for 100 times. Then the cox regression screen was applied to the training group with the R package “survival”, in order to select significant prognostic variables. To better converge the training model, least absolute shrinkage and selection operator (LASSO) was performed with the R package “glmnet”. Then the produced models were applied to the testing group for prediction. The C-index was calculated 100 times with the R package “survcomp”. The Wilcoxon test was utilized to calculate the *P* value ( $P < 0.05$  as significant). For the combination data of clinical information and molecular features, clinical variables that were prognostic significant were used to build the cox model. Molecular features that better fit the model were then combined to build a new cox model. Similarly, the c-index was then calculated (Figure 1).

#### Establishment of prognostic model and survival analysis

To establish the prognostic models, we performed the cox regression analysis and LASSO for all the cancer patients (including both training group and testing group) with complete data. After the significant prognostic variables were identified, we selected the significant variables to build the scoring model. To simplify the process and make it easier to apply in clinic, if the variable value in one patient was higher than the median value, we deemed it to be positive, with the score 1 or -1. Otherwise, the score was 0. The score of 1 or -1 was determined by the corresponding coefficient in the cox

regression analysis. If the coefficient was positive, the score was 1. If the coefficient was negative, the score was -1. Normally, the higher the score was, the higher the risk of poor prognosis. When we divided the patients into the high risk group and low risk group based on the prognostic score, the Kaplan-Meier survival curve was performed to evaluate the overall survival. At the same time, the log-rank test was applied to evaluate the survival difference.

#### Heatmap construction and gene set enrichment analysis

With respect to the gene expression analysis, the R package “limma” was utilized to detect the differentially expressed genes. Afterwards, the top 100 highly expressed genes and top 100 lowly expressed genes were selected to build the heatmap using the R package “gplots”. For the pathway analysis, we performed gene set enrichment analysis (GSEA) proposed by the Broad Institute (<http://broadinstitute.org/gsea/downloads.jsp>), with the gene sets downloaded from the MSigDB collections ([software.broadinstitute.org/gsea/msigdb/collections.jsp](http://software.broadinstitute.org/gsea/msigdb/collections.jsp)).

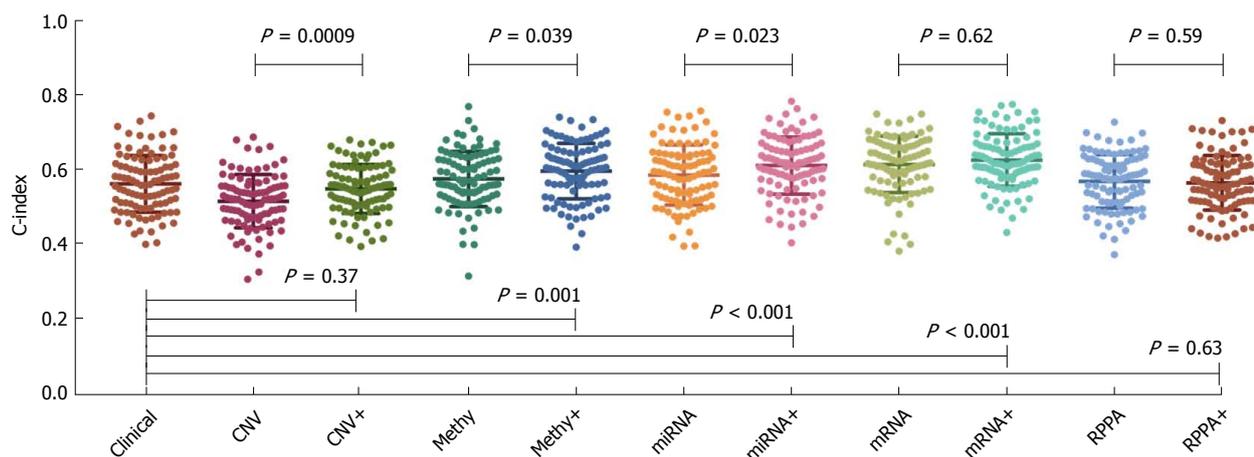
## RESULTS

### Characteristics of the TCGA samples and molecular platform information

Data of the liver cancer patients were downloaded from the TCGA repository (<http://gdac.broadinstitute.org/>). The platform information and sample size for each data type are shown in Table 1. The mean age of the liver cancer patients was 59 years old. They were 68% male and 32% female. In all of the included patients, 50% were in stage I, 25% were in stage II, 24% were in stage III, and the other 1% were in stage IV. To evaluate the prognostic power among the different omics data, we also built a core sample set of 171 patients, which only included patients with complete data of all five omics platforms, namely, copy number variation (CNV), methylation, mRNA, miRNA and protein level.

### Prognostic power of clinical data and different types of molecular data

The concordance index (C-index) calculated from clinical data and each type of molecular data are shown in Figure 2. The average C-index of clinical data, CNV, methylation, miRNA, mRNA and protein was 0.56, 0.51, 0.57, 0.58, 0.61 and 0.57, respectively. For the molecular data alone, the mRNA data seemed to be the most informative predictors among all molecular data, with the highest C-index of 0.61. The C-index calculated from the



**Figure 2** Prognostic powers of clinical data and different types of molecular data. The concordance index (C-index) value on the left Y-axis indicated the prognostic power of each data type. The *P* values on the top half of the figure represented the comparisons between the molecular data alone and the combination data. The *P* values on the lower half of the figure represented the comparisons between the clinical data alone and the combination data.

combination of clinical data and molecular data was 0.55, 0.59, 0.61, 0.62 and 0.56 for the CNV, methylation, miRNA, mRNA and protein data, respectively. In the CNV, methylation and miRNA data, the combination of molecular data with clinical data could significantly boost the prediction accuracy of the molecular data alone ( $P < 0.05$ ). On the other hand, the combination of clinical data with methylation, miRNA and mRNA data could significantly boost the prediction accuracy of the clinical data itself ( $P < 0.05$ ).

**Establishment of the prognostic model based on the copy number data**

To establish the prognostic model based on the copy number data, we included all 371 patients with complete copy number variation data and clinical data. After the cox regression screen and LASSO, the 10p15.1 and 15q26.3 were identified to be the independent prognostic factors for the liver cancer. Afterwards, the prognostic model based on the significant copy number variation was built, which was able to divide the patients into the high risk group and low risk group (Figure 3A). Kaplan-Meier survival curve showed that there was significant difference with respect to the overall survival between these two group patients (Figure 3B). Afterwards, the limma and GSEA were performed to evaluate the different gene expression and pathways between these two groups. The heatmap showed that there was a distinct gene expression pattern between the high risk group and low risk group (Figure 3C). The GSEA showed that the spermatogenesis, WNT/beta-catenin, E2F targets, mitotic spindle and G2M checkpoint were the top five enriched pathways in the high risk group patients (Figure 3D).

**Establishment of the prognostic model based on the methylation data**

There were 377 patients with complete methylation data and clinical data that were included in the methylation model. With cox screen and LASSO, REL and MCM2 were shown to be independent prognostic factors. With

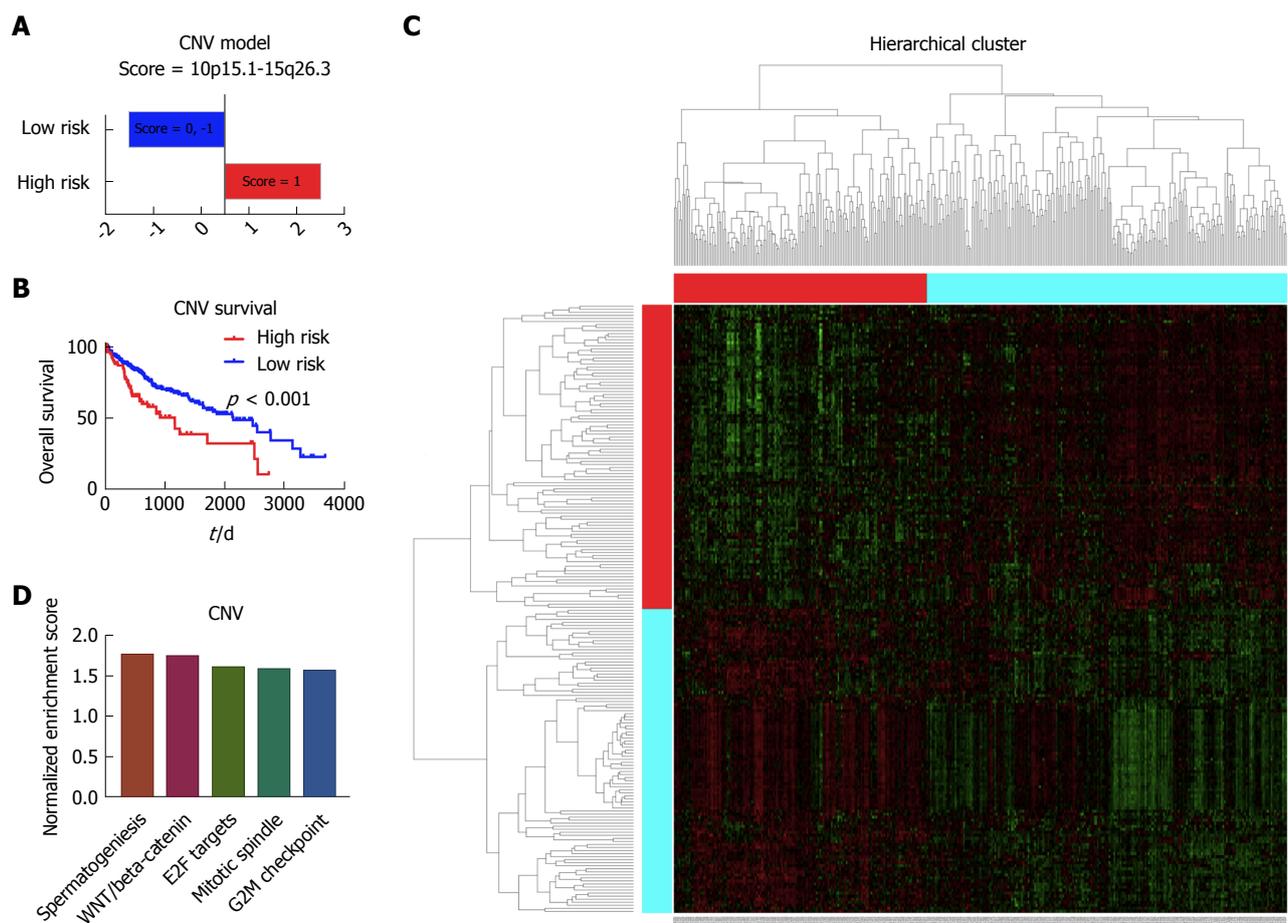
a similar method to the copy number variation model, we built the prognostic model based on the methylation data (Figure 4A). Patients with different survival could be distinguished by the prognostic model, as shown in the Kaplan-Meier survival curve (Figure 4B). With respect to the mechanisms leading to the different outcomes, limma and GSEA were performed to show the different gene expression pattern and enriched pathways between the high risk patients and low risk patients (Figure 4C and D). The E2F targets, G2M checkpoint, Myc targets V1, spermatogenesis and PI3K/AKT/mTOR pathway signaling were among the top five enriched pathways in the high risk group of patients (Figure 4D).

**Establishment of the prognostic model based on the miRNA data**

In the establishment of the miRNA model, there were 372 patients with complete miRNA data and clinical data in the analysis. The cox regression and LASSO were performed to screen the significant prognostic miRNAs. Results showed that miR-3690, miR-561 and miR-621 were independent prognostic factors for the liver cancer patients. Based on these three markers, the prognostic model was built, as shown in Figure 5A. Depending on the scores calculated from the miRNA model, the patients were divided into the high risk group and low risk group. As shown in the Kaplan-Meier survival curve, there was a significant survival difference between these two group patients (Figure 5B). According to the heatmap, it shows distinct gene expression patterns between these group patients (Figure 5C). In addition, GSEA showed that WNT/beta-catenin, G2M checkpoint, allograft rejection, mitotic spindle, and inflammatory response were among the top five enriched pathways in the high risk group patients (Figure 5D).

**Establishment of the prognostic model based on the mRNA data**

In this section, we included 371 patients with complete mRNA data and clinical data to build the prognostic



**Figure 3 Establishment of prognostic model based on the copy number data.** A: The high risk group and low risk group based on the prognostic score. The patients with the score of 1 were considered as high risk, and patients with the score of 0 and -1 were considered as low risk; B: The Kaplan-Meier survival curves of the high risk group and low risk group, which showed that there was significant difference of survival between the high risk patients and low risk patients; C: The heatmap showing the different gene expression patterns of the high risk group and low risk group. It showed that the gene expression patterns of high risk group and low risk group were obviously distinct; D: The top enriched pathways in the high risk group, as indicated by gene set enrichment analysis. It showed that spermatogenesis, WNT/beta-catenin, E2F targets, mitotic spindle and G2M checkpoint were the top five enriched pathways in the high risk group patients.

model. After the cox regression screen and LASSO, *CCDC21*, *GTF3C2*, and *DBF4* were selected to build the prognostic model, which divided the patients into the high risk group and low risk group based on each patient's prognostic score (Figure 6A). According to the Kaplan-Meier survival curve and log-rank test, there was significant survival difference between these two patient groups (Figure 6B). The heatmap showing different expression patterns of these two group patients is shown in Figure 6C. With respect to the gene set enrichment analysis, the E2F targets, G2M checkpoint, spermatogenesis, mitotic spindle, and Myc targets v1 were significantly enriched in the high risk group patients (Figure 6D).

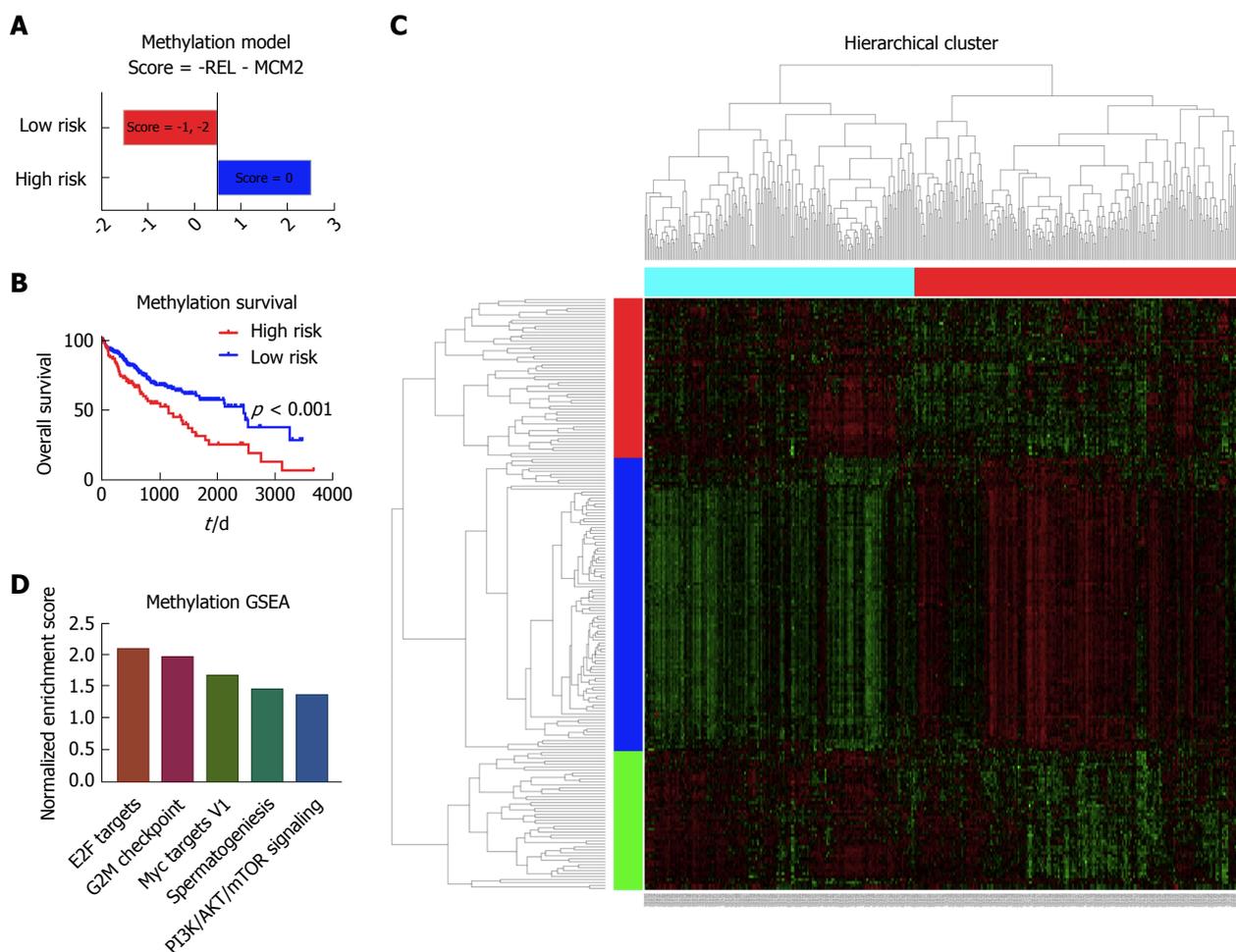
## DISCUSSION

Several months after the publication of liver cancer TCGA, we systemically evaluated the prognostic power of different omics data of liver cancer<sup>[8]</sup>. We also explored whether additive prognostic power could be gained by the combination of molecular data with clinical data. In

addition, based on the significant prognostic variables identified from the cox regression and LASSO analysis in different omics data, we also built the prognostic models.

In the evaluation of different omics data, we did not include the mutation data from DNA sequencing platform, since the mutation gene and hot-spot mutation site varied a lot among different patients, and it is difficult to divide the patients into a high risk group and low risk group. In addition, it might also need some complicated bioinformatical algorithm to evaluate the prognostic power.

Our results showed that the mRNA data alone seemed to be the most informative prognostic variable (C-index = 0.61) among all the molecular platforms. Consistently, by analyzing breast cancer, glioblastoma multiforme, myeloid leukemia and lung squamous cell carcinoma data, Zhao demonstrated that molecular variables evaluated at the transcription level could reflect patient survival more effectively than those evaluated at the DNA/epigenetic level. There have been several studies focusing on the mRNA prognostic models of liver cancer. For example, Chen *et al.*<sup>[10]</sup> combined three mRNA



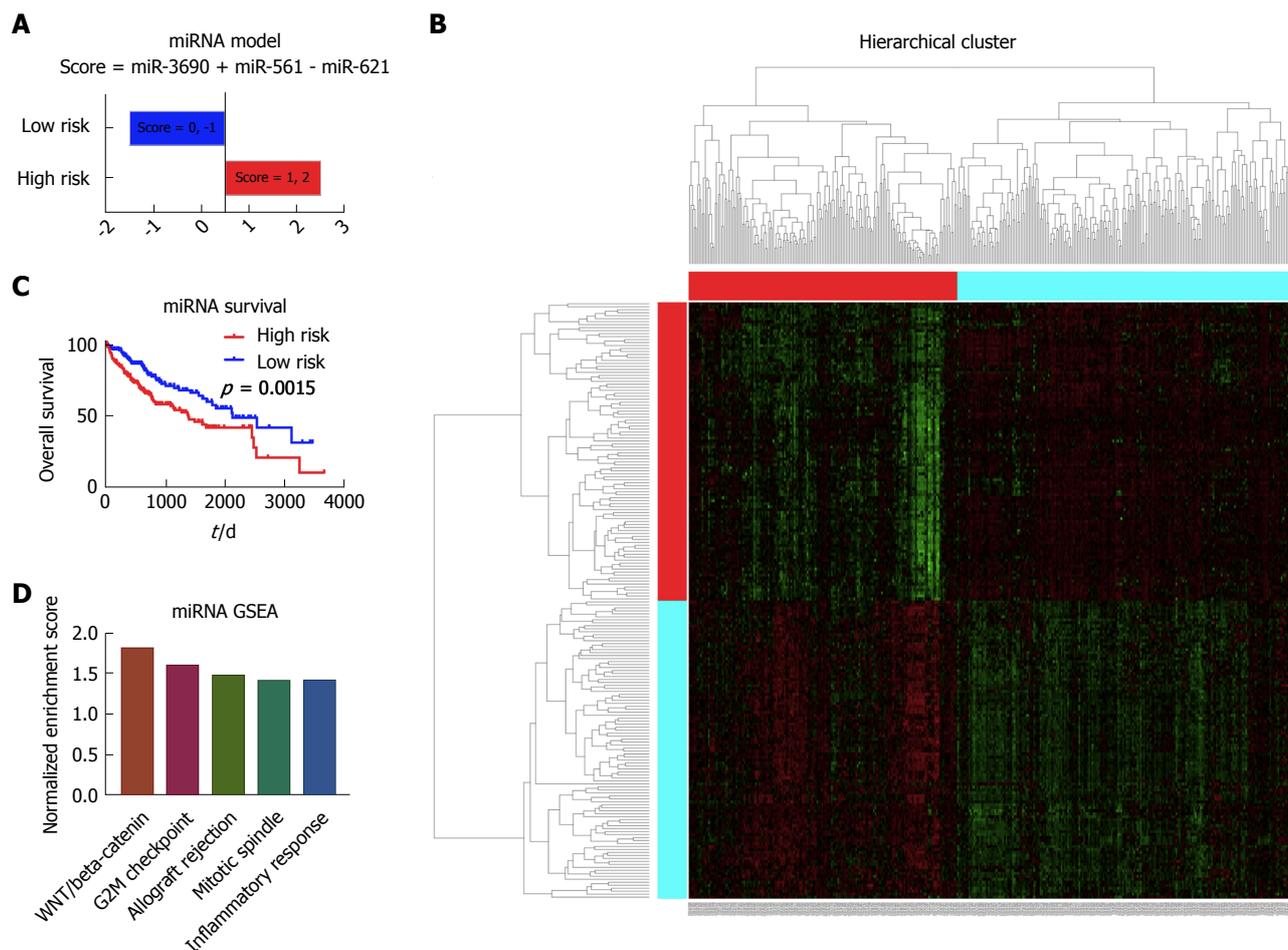
**Figure 4 Establishment of the prognostic model based on the methylation data.** A: The high risk group and low risk group based on the prognostic score. The patients with the score of 0 were considered as high risk, and patients with the score of -1 and -2 were considered as low risk; B: The Kaplan-Meier survival curves of the high risk group and low risk group, which showed that there was a significant difference of survival between the high risk patients and low risk patients; C: The heatmap showing the different gene expression patterns of the high risk group and low risk group. It showed that the gene expression patterns of high risk group and low risk group were obviously distinct; D: The top enriched pathways in the high risk group, as indicated by the gene set enrichment analysis. It showed that the E2F targets, G2M checkpoint, Myc targets V1, spermatogenesis and PI3K/AKT/mTOR pathway signaling were among the top five enriched pathways in the high risk group of patients.

markers to build the prognostic model and demonstrated it to be useful. Nault *et al*<sup>[11]</sup> built a 5-gene score associated with overall survival of liver cancer patients after resection. Zhang *et al*<sup>[12]</sup> defined a hepatic stellate cell 122-gene signature to identify liver cancer patients with poor prognosis. Although with lower prognostic power, some prognostic models based on the CNV, methylation, miRNA and protein data have also widely attracted attention<sup>[13-16]</sup>.

Boulesteix *et al*<sup>[17]</sup> claimed that little attention was paid to the assessment of the added prognostic power of a molecular signature, given that the clinical predictors or an established model were available. Thus in this study, we showed that the combination of molecular data with clinical data could significantly boost the prediction accuracy of the molecular data alone in the CNV, methylation and miRNA data. On the other hand, the combination of clinical data with methylation, miRNA and mRNA data could significantly boost the prediction accuracy of the clinical data itself. Consistently, Yuan *et al*<sup>[9]</sup>

summarized that incorporating molecular features with clinical data yielded significantly increased predictions (FDR < 0.05) for kidney cancer, glioblastoma multiforme and ovarian cancer, but the quantitative gains were limited. Some complicated bioinformatical algorithms might be needed to further improve the prognostic power of the combination data.

With respect to the prognostic models based on different omics data, we did not include the protein model, since no significant prognostic variable passed through the cox screen and LASSO analysis. We suppose that one reason is the relative sample size of the patients with protein data. The other reason is due to the complexity of the proteome data. In the copy number model, the 10p15.1 and 15q26.3 were included in our study. Previous studies showed that a telomerase repressor gene might be located on 10p15.1, thus relating it to the prognosis of liver cancer<sup>[18]</sup>. Meanwhile, genomic copy number variations of 15q26.3 were deemed to be predictive markers for the systemic recurrence of breast



**Figure 5 Establishment of the prognostic model based on the miRNA data.** A: The high risk group and low risk group based on the prognostic score. The patients with the score of 1 and 2 were considered as high risk, and patients with the score of 0 and -1 were considered as low risk; B: The Kaplan-Meier survival curves of the high risk group and low risk group, which showed that there was significant difference of survival between the high risk patients and low risk patients; C: The heatmap showing the different gene expression patterns of the high risk group and low risk group. It showed that the gene expression patterns of high risk group and low risk group were obviously distinct; D: The top enriched pathways in the high risk group, as indicated by the gene set enrichment analysis. It showed that the WNT/beta-catenin, G2M checkpoint, allograft rejection, mitotic spindle, and inflammatory response were among the top five enriched pathways in the high risk group patients.

cancer<sup>[19]</sup>.

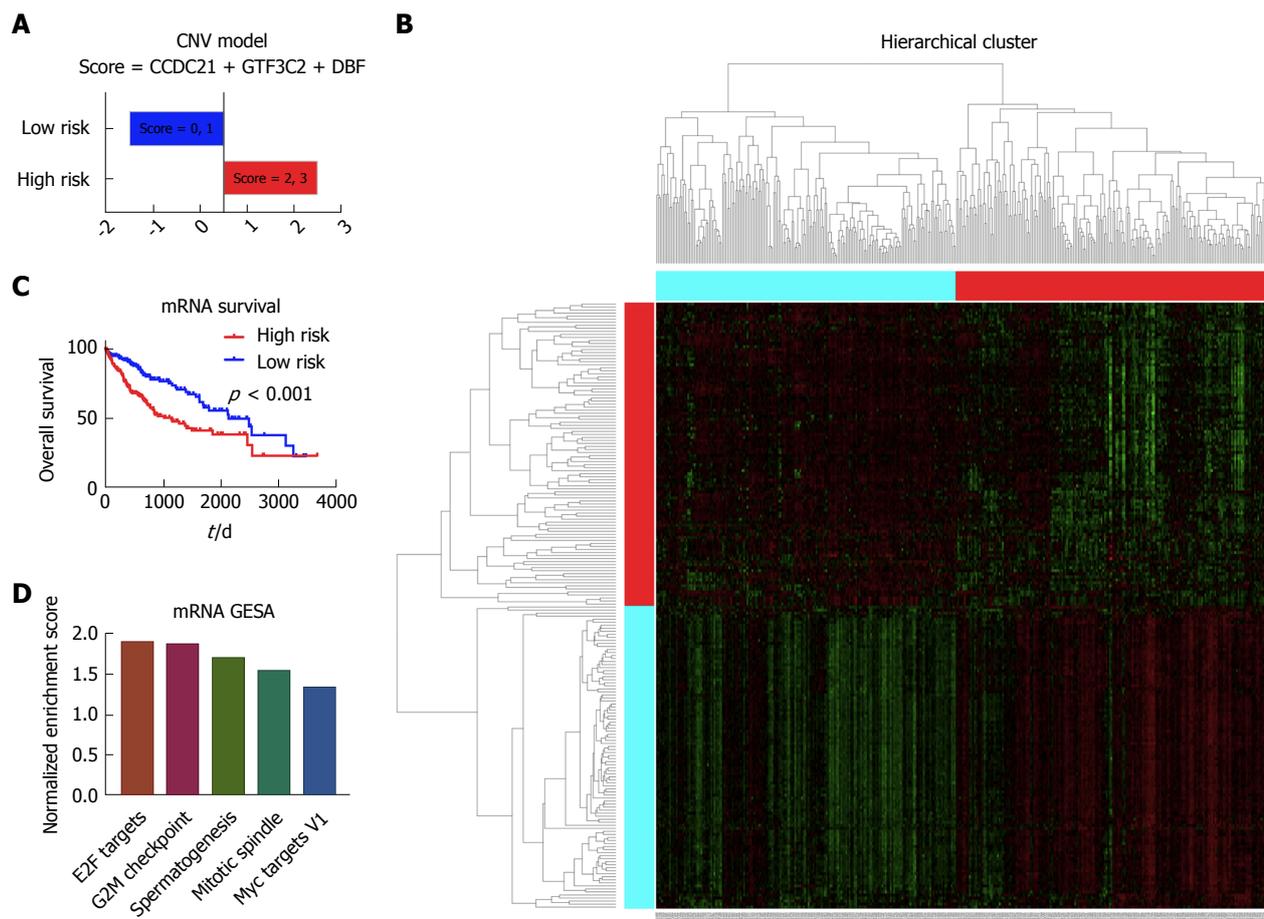
In the analysis of methylation data, REL and MCM2 were demonstrated to be independent prognostic factors. Previous studies showed that REL was involved in apoptosis, inflammation, immune response, and oncogenic processes. Constitutive activation of the Rel/NF- $\kappa$ B pathway could lead to oncogenesis by driving proliferation, enhancing cell survival, or promoting angiogenesis or metastasis<sup>[20]</sup>. There were also studies reporting that MCM2 was closely related to tumor grade and overall survival in renal cancer<sup>[21]</sup>. However, this is the first study to demonstrate the methylation of these two markers as prognostic factors in liver cancer.

miRNA alterations were reported to participate in the initiation and progression of human cancer<sup>[22]</sup>. In our study, miR-3690, miR-561, and miR-621 were included in the prognostic model. This is the first time that we identified miR-3690 as the prognostic variable in cancer prognosis. On the other hand, Qian *et al.*<sup>[23]</sup> reported that miR-561 inhibited cellular proliferation and invasion by

targeting c-Myc in gastric cancer. MiR-621 was supposed to sensitize breast cancer to chemotherapy by inhibiting FBXO11 and increasing p53 activity<sup>[24]</sup>. Thus, it was not difficult to comprehend that they were all involved in the prognosis of liver cancer.

With respect to the mRNA model, CCDC21, GTF3C2 and DBF4 were demonstrated to be independent prognostic factors in liver cancer. CCD21, also called Cep85, is an antagonist of Nek2A that suppresses centrosome disjunction<sup>[25]</sup>. GTF3C2 is essential for RNA polymerase III-mediated transcription. Until now, there are few studies relating CCD21 and GTF3C2 to cancer progression or prognosis. With respect to DBF4, the CDC7-DBF4 kinase, which was correlated with p53 inactivation, was reported to be overexpressed in multiple cancers<sup>[26]</sup>. Thus, it is the first study to correlate these markers with patient survival in liver cancer.

Interestingly, most prognostic variables identified in our study seemed to be novel markers in cancer study. Probably due to the strict screen procedure, a lot of



**Figure 6 Establishment of the prognostic model based on the mRNA data.** A: The high risk group and low risk group based on the prognostic score. The patients with the score of 2 and 3 were considered as high risk, and patients with the score of 0 and 1 were considered as low risk; B: The Kaplan-Meier survival curves of the high risk group and low risk group, which showed that there was significant difference of survival between the high risk patients and low risk patients; C: The heatmap showing the different gene expression patterns of the high risk group and low risk group. It showed that the gene expression patterns of high risk group and low risk group were obviously distinct. D: The top enriched pathways in the high risk group, as indicated by the gene set enrichment analysis. It showed that the E2F targets, G2M checkpoint, spermatogenesis, mitotic spindle, and Myc targets V1 were significantly enriched in the high risk group patients.

traditional prognostic markers were ignored, such as P53 and FOXM1. However, on the other hand, it also demonstrates that our prognostic markers might be more robust and more stable after the strict screening procedure. In summary, the major goal of our study was to evaluate the prognostic power of different omics data in liver cancer. For the first time, we showed that the mRNA data was the most informative prognostic variables in all kinds of omics data in liver cancer. In addition, we also revealed that the combination of clinical data with molecular data might be the future direction for cancer prognosis and prediction. Larger sample size and more mature bioinformatic algorithms were needed to predict the cancer prognosis more precisely in the future.

## ARTICLE HIGHLIGHTS

### Research background

Liver cancer is the fourth most common digestive cancer worldwide. Prognostic markers can help to make better clinical decision by selecting patients who respond well to some specific treatment. Besides traditional clinical markers, genetic biomarkers are emerging as novel indicators in cancer diagnosis

and prognosis. The Cancer Genome Atlas (TCGA) is funded by the National Institute of Health (NIH) to describe the genomic alterations across cancer types. It provides tremendous amount of “omics” data, including mRNA sequencing, miRNA sequencing, reverse phase protein arrays, copy number change and DNA sequencing.

### Research motivation

Although there seems to be great potential value of the clinical and genetic markers, there is no consensus on the predictive power of these indicators, especially the molecular markers.

### Research objectives

By utilizing the TCGA data, we aimed to evaluate the prognostic power of liver cancer by molecular markers, and also to assess the predictive power of liver cancer by combining molecular markers and clinical data.

### Research methods

Cox regression screen and least absolute shrinkage and selection operator (LASSO) were performed to select significant prognostic variables. Then the concordance index was calculated to evaluate the prognostic power. For the combination data, based on the clinical cox model, molecular features that better fit the model were combined to calculate the concordance index. Prognostic models were built based on the arithmetic summation of the significant variables. Kaplan-Meier survival curve and log-rank test were performed to compare the survival difference. Then the heatmap was constructed and gene set enrichment

analysis was performed for pathway analysis.

### Research results

The mRNA data was the most informative prognostic variables in all kinds of omics data in liver cancer. In the copy number variation (CNV), methylation and miRNA data, the combination of molecular data with clinical data could significantly boost the prediction accuracy of the molecular data alone. On the other hand, the combination of clinical data with methylation, miRNA and mRNA data could significantly boost the prediction accuracy of the clinical data itself. Based on the significant prognostic variables, several prognostic models were built. For the CNV data, score =  $10p15.1 - 15q26.3$ . For the methylation data, score =  $-REL - MCM2$ . For the miRNA data, score =  $miR-3690 + miR-561 - miR-621$ . For the mRNA data, score =  $CCDC21 + GTF3C2 + DBF4$ .

### Research conclusions

In all kinds of omics data in liver cancer, the mRNA data might be the most informative prognostic variables.

### Research perspectives

The combination of clinical data with molecular data might be the future direction for cancer prognosis and prediction.

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