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## Long-lasting discussion: Adverse effects of intraoperative blood loss and allogeneic transfusion on prognosis of patients with gastric cancer

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### Abstract

Gastrectomy with radical lymph node dissection is the most promising treatment avenue for patients with gastric cancer. However, this procedure sometimes induces excessive intraoperative blood loss and requires perioperative allogeneic blood transfusion. There are lasting discussions and controversies about whether intraoperative blood loss or perioperative blood transfusion has adverse effects on the prognosis in patients with gastric cancer. We reviewed laboratory and clinical evidence of these associations in patients with gastric cancer. A large amount of clinical evidence supports the correlation between excessive intraoperative blood loss and adverse effects on the prognosis. The laboratory evidence revealed three possible causes of such adverse effects: anti-tumor immunosuppression, unfavorable postoperative conditions, and peritoneal recurrence by spillage of cancer cells into the pelvis. Several systematic reviews and meta-analyses have suggested the adverse effects of perioperative blood transfusions on prognostic parameters such as all-cause mortality, recurrence, and postoperative complications. There are two possible causes of adverse effects of blood transfusions on the prognosis: Anti-tumor immunosuppression and patient-related confounding factors (e.g., preoperative anemia). These factors are associated with a worse prognosis and higher requirement for perioperative blood transfusions. Surgeons should make efforts to minimize intraoperative blood loss and transfusions during gastric cancer surgery to improve patients' prognosis.

**Key words:** Gastric cancer; Blood loss; Prognosis; Transfusion; Adverse effect; Immunosuppression; Mortality; Recurrence; Complication

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**Core tip:** Whether perioperative blood loss or blood transfusion has adverse effects on the prognosis in patients with gastric cancer remains unclear. We reviewed laboratory and clinical evidence of this association in patients with gastric cancer. A large amount of clinical evidence revealed that excessive intraoperative blood loss and blood transfusion have adverse effects on the prognosis. The possible mechanisms underlying the association between intraoperative blood loss and a poor prognosis are immunosuppression, unfavorable postoperative conditions, and tumor cell spillage into the pelvis, and those underlying the association between blood transfusions and a poor prognosis are immunosuppression and preoperative anemia.

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## INTRODUCTION

Surgical resection is still the most promising avenue for patients with resectable gastric cancer. However, it sometimes induces excessive intraoperative blood loss (IBL) and requires perioperative allogeneic blood transfusion (BTF), especially when gastrectomy with systematic lymph node dissection is performed. Furthermore, as IBL becomes more excessive, the need for BTF further increases; thus, IBL is closely associated with BTF.

There are lasting discussions and controversies about whether IBL or BTF has adverse effects on the prognosis in patients with gastric cancer<sup>[1-3]</sup>. Patients requiring BTF often have severe illness, advanced cancer, a poor general condition, and a higher prevalence of comorbidities, and these confounding factors themselves induce postoperative complications, surgical death, and a worse prognosis<sup>[4-6]</sup>. The same is true of IBL. Thus, it is difficult to evaluate whether IBL or BTF itself has adverse effects on the prognosis. However, clinical trials that determine these effects in surgical treatment are not ethically permissible. We therefore reviewed clinical and laboratory evidence to explore the effects of IBL and BTF on the prognosis of patients with gastric cancer.

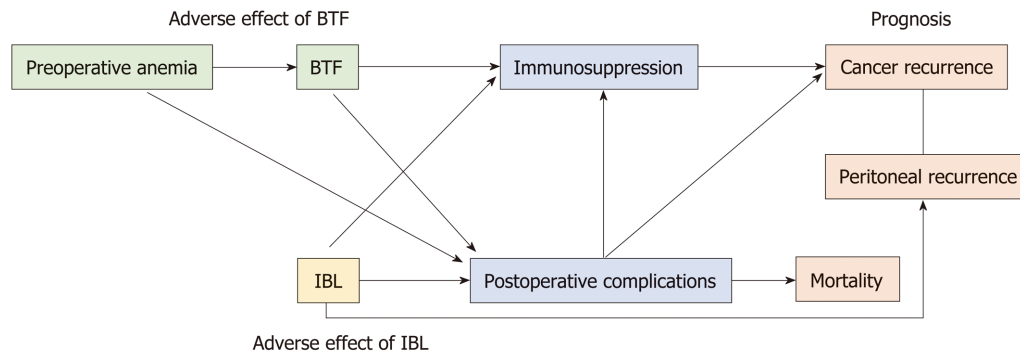
## MECHANISM OF ADVERSE EFFECT OF IBL

There are three possible causes of the adverse effect of IBL on the prognosis: Anti-tumor immunosuppression induced by IBL, an unfavorable postoperative condition induced by IBL, and spillage of microscopic cancer cells in the pelvic cavity *via* the blood lost during IBL. These mechanisms are summarized in [Figure 1](#).

First, many studies have shown that the main cause of the adverse effect of excessive IBL is anti-tumor immunosuppression *via* the loss of plasma constituents<sup>[7-10]</sup>. In support of this concept, several studies have revealed that the prevalence of hematogenous recurrence, which is correlated with immunosuppression, was significantly higher in patients with excessive IBL<sup>[9,10]</sup>. However, these studies did not demonstrate the mechanism. Bruns *et al*<sup>[11]</sup> reported that IBL of > 700 mL during gastrointestinal surgery was associated with a significant decrease in natural killer cell activity, leading to an unfavorable prognosis. Miki *et al*<sup>[12]</sup> reported that interleukin (IL)-6 and tumor growth factor triggered by IL-6 were increased in patients with colorectal cancer receiving BTF due to excessive IBL. Thus, the mechanism of immunosuppression in gastric cancer surgery is speculative, and laboratory evidence is lacking. A validation study is therefore needed.

Second, excessive IBL may lead to an unfavorable postoperative condition, such as the development of postoperative complications, thus adversely affecting the prognosis<sup>[9,13]</sup>. Postoperative complications occur may lead to severe tissue damage caused by local and generalized inflammatory reactions, resulting in more severe immunosuppression<sup>[13]</sup>.

Third, Kamei *et al*<sup>[14]</sup> reported that excessive IBL is an independent risk factor for peritoneal recurrence after curative gastrectomy. They suggested the possibility that



**Figure 1** The mechanism of adverse effect of intraoperative blood loss and perioperative blood transfusion. IBL: Intraoperative blood loss; BTF: Blood transfusion.

blood loss into the peritoneal cavity may promote tumor spillage during surgery, which may be specifically associated with peritoneal recurrence. Arita *et al*<sup>[15]</sup> further confirmed the association between IBL and peritoneal recurrence in the laboratory setting. Although this idea is very interesting, no other study to date has supported this hypothesis. Moreover, it is unclear whether this adverse effect remains when administering S-1 monotherapy which is one of the standard postoperative adjuvant chemotherapies that mainly suppresses peritoneal recurrence<sup>[16]</sup>. Therefore, further analysis in the clinical practice setting is needed.

## LITERATURE SEARCH OF STUDIES REPORTING EFFECT OF IBL ON PROGNOSIS

Our investigation of the relationship between IBL and the prognosis was derived from that of patients with colorectal cancer. Heiss *et al*<sup>[17]</sup> first reported the possibility that IBL itself may be beneficial for survival of malignant cells in the host and also found a positive link with tumor recurrence and poor outcomes in patients with colorectal cancer. The adverse effect of IBL on the prognosis in patients with gastric cancer was first reported by Dhar *et al*<sup>[7]</sup> in 2000. We have summarized the studies reporting the effect of IBL on the prognosis in Table 1. Dhar *et al*<sup>[7]</sup> reported that IBL of > 500 mL was an independent predictor of survival in an analysis of 152 patients with transmural (T2N0–T3N2) gastric cancer. They hypothesized that IBL reduced the body's immunity and its ability to fight cancer cells; this concept was quoted from the report by Bruns *et al*<sup>[11]</sup>. However, Dhar *et al*<sup>[7]</sup> provided no information on perioperative BTF, which is a strong confounding factor for the prognosis. Similar studies were subsequently reported. Kamei *et al*<sup>[14]</sup> reported that IBL of ≥ 475 mL was specifically associated with the development of peritoneal recurrence in 146 patients who underwent curative gastrectomy for advanced gastric cancer. They reported for the first time the relationship between IBL and the recurrence pattern. Liang *et al*<sup>[8]</sup> also reported that IBL of ≥ 200 mL was an independent prognostic factor in 845 patients who underwent curative gastrectomy. In their study, IBL of ≥ 200 mL was a prognostic factor even when patients who underwent BTF were excluded; however, BTF administration was not a prognostic factor. Mizuno *et al*<sup>[9]</sup> reported that IBL of ≥ 400 mL was a significant predictor of survival and cancer recurrence in 203 patients with stage II/III gastric cancer and was associated with the prevalence of hematogenous recurrence. Their study excluded patients who received BTF to eliminate a potential confounding bias caused by the adverse effects of BTF. Ito *et al*<sup>[10]</sup> reported that IBL of > 330 mL had an adverse effect on the long-term prognosis in 1013 patients with stage II/III gastric cancer. Their study also excluded patients who received BTF and was the largest-scale study, thoroughly eliminating complicated confounding factors. IBL is closely associated with BTF administration, and the prognostic significance of IBL might be masked by the adverse effect of BTF. From this viewpoint, three studies<sup>[8–10]</sup> excluded this confounding influence, indicating that IBL itself has an adverse effect on the long-term prognosis in patients with gastric cancer.

Evidence was also found in the field of laparoscopic surgery. Ishino *et al*<sup>[18]</sup> reported that IBL of ≥ 1% body weight was significantly correlated with postoperative complications and was an independent predictor of survival in 214 patients who

**Table 1** Studies of effects of intraoperative blood loss on prognosis in patients with gastric cancer

Study	Period	Sample size	Selected group	Amount of IBL	Patients received BTF	Adverse effect of BTF on prognosis	Adverse effect of IBL on prognosis
Dhar <i>et al</i> <sup>[7]</sup> , 2000	1979-1989	152	T2N0-T3N2	> 500 ml	Not specified	-	Yes (Survival)
Kamei <i>et al</i> <sup>[14]</sup> , 2009	1992-2003	146	Curative Gastrectomy	≥ 475 mL	Included (13%)	No (Peritoneal recurrence)	Yes (Peritoneal recurrence)
Liang <i>et al</i> <sup>[8]</sup> , 2013	2003-2007	845	stage I-III	≥ 200 ml	Included (25%)	No (Survival)	Yes (Survival)
Ishino <i>et al</i> <sup>[18]</sup> , 2014	2001-2012	214	Laparoscopic, stage I-II	≥ 1% body weight	0%	-	Yes (Survival)
Arita <i>et al</i> <sup>[15]</sup> , 2015	1997-2012	540	Curative Gastrectomy	> 326 ml	Not specified	-	Yes (Peritoneal recurrence)
Mizuno <i>et al</i> <sup>[9]</sup> , 2016	1999-2015	203	stage II/III	≥ 400 mL	Not specified	-	Yes (Survival, Recurrence)
Ito <i>et al</i> <sup>[10]</sup> , 2018	2010-2014	1013	stage II/III	> 330 mL	Not specified	-	Yes (Recurrence)
Ojima <i>et al</i> <sup>[19]</sup> , 2009	1991-2002	856	Curative gastrectomy	≥ 1000 mL	Included (18%)	Yes (Survival)	No (Survival)
Squires <i>et al</i> <sup>[20]</sup> , 2015	2000-2012	765	Curative gastrectomy	> 250 mL	Included (22%)	Yes (Survival, Recurrence)	No (Survival, Recurrence)
Kanda <i>et al</i> <sup>[6]</sup> , 2016	1999-2014	250	stage II/III	≥ 800 mL	Included (23%)	Yes (Survival, Recurrence)	No (Survival)

IBL: Intraoperative blood loss; BTF: Blood transfusion.

underwent laparoscopy-assisted gastrectomy for gastric cancer. Conversely, several negative studies of the adverse effects of IBL on the prognosis have also been published (summarized in Table 1). Ojima *et al*<sup>[19]</sup> reported that BTF administration was an independent prognostic factor for survival in 856 patients who underwent curative gastrectomy but that IBL of ≥ 1000 mL was not a prognostic factor. Likewise, two studies showed that BTF administration was an independent prognostic factor for survival but that excessive IBL was not prognostic factor<sup>[6,20]</sup>. However, the threshold of the IBL volume in these reports was greatly different, and neither study excluded the confounding influence of BTF.

## SUMMARY OF EFFECT OF IBL ON PROGNOSIS

The accumulation of clinical evidence reveals that excessive IBL may have adverse effects on the prognosis in patients with gastric cancer by promoting anti-tumor immunosuppression, unfavorable postoperative conditions, and a specific association with peritoneal recurrence by spillage of cancer cells into the pelvic cavity during surgery. However, the laboratory evidence is weak and some issues remain unclear. IBL thresholds varied, and the results might differ depending on these thresholds. A higher threshold for the amount of IBL would introduce more confounding factors (*e.g.*, BTF, postoperative anemia, and postoperative complications). Another issue is that only two studies have reported the relationship between IBL and peritoneal recurrence.

## MECHANISM OF ADVERSE EFFECT OF BTF

There are two possible causes of the adverse effect of BTF on the prognosis: anti-tumor immunosuppression induced by BTF and patient-related confounding factors (*e.g.*, preoperative anemia and postoperative complications). These factors are associated with a worse prognosis and higher requirement for perioperative BTF. These mechanisms are summarized in Figure 1.

Gantt<sup>[21]</sup> was the first to report the possibility of promoting tumor growth by immunosuppression due to BTF in 1981. Numerous authors have since considered that BTF administration has profound adverse effects on the host's immune system<sup>[22-25]</sup>. Mechanisms of inhibition of host immunity by BTF are diverse and include cytokine-mediated immune responses and suppression of cellular and humoral immunity against cancer cells. BTF-induced immunomodulatory effects



drive the immune system to inhibit IL-2 production<sup>[25]</sup>, decrease interferon gamma<sup>[23]</sup>, suppress natural killer cell function<sup>[24]</sup>, release immunosuppressive prostaglandins<sup>[25]</sup>, decrease monocyte activity<sup>[25]</sup>, and increase of regulatory T cells (suppressor T cells)<sup>[24-27]</sup>.

BTF administration also promotes increases in IL-6<sup>[12]</sup>, vascular endothelial growth factor<sup>[28]</sup>, and hepatocyte growth factor<sup>[29]</sup>, which play fundamental roles in tumor growth, malignant transformation, and invasion of tumor cells<sup>[30,31]</sup>. Additionally, in patients with gastric cancer, overexpression of these cytokines is reportedly correlated with a poor prognosis<sup>[12,32-34]</sup>. The immunosuppression caused by BTF creates favorable conditions for tumor growth; additionally, BTF increases the risk of postoperative complications<sup>[3]</sup>, which also have adverse effects on the prognosis<sup>[13]</sup>.

However, some issues remain unclear. The type of blood products received (*e.g.*, red blood cells, leukodepleted blood, whole blood) was not constant among studies. The disorder observed after BTF administration is caused by the presence of leukocytes and their products, as mentioned above. Current BTF products are often leukodepleted, and filtered transfusion is routinely performed; thus, the contamination of cytokines is decreased and the effect is weakened. In contrast to this concept, no difference in the prognosis was found in comparative studies between leukocyte-depleted blood and non-leukocyte-depleted blood<sup>[35,36]</sup>. The roles of these cytokines and growth factors in current transfusion treatment remain unclear.

Preoperative anemia, which is a patient-related confounding factor, is another possible cause of a worse prognosis in patients with malignancy<sup>[17]</sup>. Gastrointestinal tumors sometimes bleed due to the passage of intestinal contents and the effect of digestive juices, and this bleeding may lead to anemia. In particular, once anemia has occurred in patients of advanced age, it persists because of these patients' physiological decrease in hematopoietic cells in the bone marrow, decrease in hematopoietic stem cells, and reduced serum erythropoietin levels due to renal impairment<sup>[37]</sup>. Additionally, continuous anemia causes malnutrition, which also has adverse effects on the prognosis<sup>[38]</sup>. Numerous prospective and retrospective studies have shown that patients with preoperative anemia have a worse prognosis than patients without anemia<sup>[39]</sup>. Hence, preoperative anemia is a cause of the requirement for BTF, which itself also has adverse effects on the prognosis.

Although we have summarized the mechanisms of adverse effects of BTF, some unmeasurable and non-excludable confounding factors remain. Surgical damage is one such factor and can also lead to severe immunosuppression. The degree of surgical damage depends on the surgical organ. Surgical damage induced during colon cancer surgery is considered to be relatively mild, and there are many negative reports on the influence of BTF on the prognosis of such patients<sup>[40,41]</sup>. However, surgical damage induced during esophageal cancer surgery is considered to be relatively severe, and there are many positive reports on the influence of BTF on the prognosis of such patients<sup>[42,43]</sup>. In gastric cancer surgery, the degree of surgical damage varies greatly depending on the operation type; therefore, it may be more effective to investigate this issue according to the operation type (total gastrectomy *vs* distal gastrectomy, open surgery *vs* laparoscopic surgery).

## LITERATURE SEARCH OF STUDIES REPORTING EFFECT OF BTF ON PROGNOSIS

Many studies have been performed to evaluate the adverse effect of perioperative BTF on the prognosis in patients with gastric cancer, and we have summarized these studies in Table 2. Kaneda *et al*<sup>[44]</sup> first reported that BTF administration had an adverse effect on survival in 231 patients who underwent curative gastrectomy. Ojima *et al*<sup>[19]</sup> subsequently reported that BTF administration was an independent prognostic factor for survival in 856 patients who underwent curative gastrectomy, even when the amount of transfused blood was small. Kanda *et al*<sup>[6]</sup> reported that BTF administration was an independent prognostic factor for survival and recurrence in patients with stage II/III gastric cancer, regardless of the volume of BTF. They also reported that the prognostic impact of BTF became less clear after introduction of adjuvant chemotherapy with S-1. Three systematic reviews and meta-analyses support the idea that BTF is associated with a worse prognosis, all-cause mortality, cancer-related mortality, and recurrence (summarized in Table 3).

However, some studies have shown that BTF does not have an adverse effect on the prognosis (summarized in Table 2). Kampschöer *et al*<sup>[45]</sup> performed a large-scale retrospective study and found that the survival of patients who had undergone BTF was shorter than that of patients who had not undergone BTF; however, after stratifying patients into stages and applying proportional regression analyses, BTF

**Table 2** Studies of effects of blood transfusion on prognosis in patients with gastric cancer

Study	Period	Sample size	Selected group	Rates of received BTF	Timing of transfusions	Adverse effect of BTF on prognosis
Kaneda <i>et al</i> <sup>[44]</sup> , 1987	1976-1980	231	Not specified	51%	Not specified	Yes (Survival)
Ojima <i>et al</i> <sup>[19]</sup> , 2009	1991-2002	856	Curative gastrectomy	18%	Pre + Intra + Post	Yes (Survival)
Squires <i>et al</i> <sup>[20]</sup> , 2015	2000-2012	765	Curative gastrectomy	22%	Intra + Post	Yes (Survival, Recurrence)
Kanda <i>et al</i> <sup>[6]</sup> , 2016	1999-2014	250	stage II / III	23%	Pre + Intra + Post	Yes (Survival, Recurrence)
Kampschoer <i>et al</i> <sup>[45]</sup> , 1989	1976-1981	1000	Curative gastrectomy	37%	Pre + Intra + Post	No (Survival)
Kamei <i>et al</i> <sup>[14]</sup> , 2009	1992-2003	146	Curative gastrectomy	13%	Not specified	No (Peritoneal recurrence)
Pacelli <i>et al</i> <sup>[46]</sup> , 2011	1990-2005	927	Curative gastrectomy	35%	Pre + Intra + Post	No (Survival)
Liang <i>et al</i> <sup>[8]</sup> , 2013	2003-2007	845	stage I-III	25%	Pre + Intra + Post	No (Survival)
Rausei <i>et al</i> <sup>[47]</sup> , 2013	1995-2011	224	stage I-III	20%	Pre + Intra + Post	No (Survival)

BTF: Blood transfusion; Pre: Preoperative; Intra: Intraoperative; Post: Postoperative.

administration did not appear to have any effect on the prognosis but was instead associated with other prognostic features. Pacelli *et al*<sup>[46]</sup> conducted a multicenter retrospective study and reported that BTF had a slight, but not significant, adverse effect on survival of 927 patients who underwent curative gastrectomy.

## SUMMARY OF EFFECT OF BTF ON PROGNOSIS

The adverse effects of BTF have been well verified by clinical and laboratory data; these adverse effects are caused by anti-tumor immunosuppression and patient-related confounding factors that lead to a requirement for BTF. However, this information may not be helpful in the clinical setting because BTF is still required in the event of massive bleeding during surgery or preoperative anemia. However, there may be room for consideration such as adjusting preoperative anemia and paying attention so as not to lead postoperative complications.

## REMAINING PROBLEMS FOR FUTURE STUDIES

The adverse effects of IBL or BTF were previously ascertained by clinical evidence. However, continuous and untiring efforts to minimize IBL and surgical damage have been progressing (*i.e.*, development of laparoscopic surgery, improvements in surgical techniques and devices, and enhanced recovery after surgery programs), and the amount of IBL and frequency of BTF administration have been decreasing. In addition, perioperative chemotherapy has been further developed, helping to prolong survival. Further accumulation of data and performance of high-quality studies are required to clarify whether IBL or BTF still have adverse effects on the prognosis.

## CONCLUSION

IBL and BTF lead to adverse effects on the prognosis in patients with gastric cancer, and the main causes are anti-tumor immunosuppression and confounding factors such as postoperative complications and preoperative anemia. Surgeons should make efforts to minimize IBL and BTF to improve patients' prognosis.

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**Table 3** Systematic reviews and meta-analyses of effects of blood transfusion on prognosis in patients with gastric cancer

Study	Rate of received BTF patients	Adverse effect on OS	Adverse effect on CSS	Adverse effect on RFS	Adverse effect on PCs
Sun <i>et al</i> <sup>[1]</sup> , 2015	36.3%	Yes, HR, 2.17; 95%CI, 1.86-2.37	Yes, HR, 2.57; 95%CI, 1.24-5.34	Yes, HR, 1.52; 95%CI, 1.08-2.15	Not specified
Li <i>et al</i> <sup>[2]</sup> , 2015	44.8%	Yes, HR, 1.26; 95 % CI, 1.21-1.31	Not specified	Yes, HR, 1.36; 95%CI, 1.02-1.81	Yes, HR, 3.33; 95%CI, 1.02-1.81
Agnes <i>et al</i> <sup>[3]</sup> , 2018	Not specified	Yes, HR, 1.34; 95%CI, 1.23-1.45	Yes, HR, 1.66; 95%CI, 1.50-2.19	Yes, HR, 1.48; 95%CI, 1.18-1.86	Yes, HR, 1.36; 95%CI, 2.10-5.29

BTF: Blood transfusion; OS: Overall survival; CSS: Cancer-specific survival; RFS: Relapse-free survival; PCs: Postoperative complications; HR: Hazard ratio; CI: Confidence interval.

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