# World Journal of *Gastroenterology*

World J Gastroenterol 2020 October 21; 26(39): 5911-6110





Published by Baishideng Publishing Group Inc

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## World Journal of VV01111 Juni Gastroenterology

#### Contents

Weekly Volume 26 Number 39 October 21, 2020

#### **OPINION REVIEW**

5911 Use of artificial intelligence in improving adenoma detection rate during colonoscopy: Might both endoscopists and pathologists be further helped

Sinagra E, Badalamenti M, Maida M, Spadaccini M, Maselli R, Rossi F, Conoscenti G, Raimondo D, Pallio S, Repici A, Anderloni A

#### **REVIEW**

5919 Clinical assessment and management of liver fibrosis in non-alcoholic fatty liver disease

Campos-Murguía A, Ruiz-Margáin A, González-Regueiro JA, Macías-Rodríguez RU

#### **MINIREVIEWS**

5944 Enteroscopy in children and adults with inflammatory bowel disease Di Nardo G, Esposito G, Ziparo C, Micheli F, Masoni L, Villa MP, Parisi P, Manca MB, Baccini F, Corleto VD

5959 Artificial intelligence technique in detection of early esophageal cancer Huang LM, Yang WJ, Huang ZY, Tang CW, Li J

#### **ORIGINAL ARTICLE**

#### **Basic Study**

5970 Polyethylene glycol 35 ameliorates pancreatic inflammatory response in cerulein-induced acute pancreatitis in rats

Ferrero-Andrés A, Panisello-Roselló A, Roselló-Catafau J, Folch-Puy E

5983 Identification of differentially expressed genes in ulcerative colitis and verification in a colitis mouse model by bioinformatics analyses

Shi L, Han X, Li JX, Liao YT, Kou FS, Wang ZB, Shi R, Zhao XJ, Sun ZM, Hao Y

Herbal cake-partitioned moxibustion inhibits colonic autophagy in Crohn's disease via signaling involving 5997 distinct classes of phosphatidylinositol 3-kinases

Wang SY, Zhao JM, Zhou CL, Zheng HD, Huang Y, Zhao M, Zhang ZY, Wu LY, Wu HG, Liu HR

#### **Case Control Study**

6015 Single access laparoscopic total colectomy for severe refractory ulcerative colitis Burke J, Toomey D, Reilly F, Cahill R

#### **Retrospective Study**

6027 Real-world treatment attrition rates in advanced esophagogastric cancer Tsang ES, Lim HJ, Renouf DJ, Davies JM, Loree JM, Gill S



Conter	World Journal of Gastroenterology
	Weekly Volume 26 Number 39 October 21, 2020
6037	Metastatic pattern in esophageal and gastric cancer: Influenced by site and histology
	Verstegen MHP, Harker M, van de Water C, van Dieren J, Hugen N, Nagtegaal ID, Rosman C, van der Post RS
6047	Relationships of early esophageal cancer with human papillomavirus and alcohol metabolism
	Inoue M, Shimizu Y, Ishikawa M, Abiko S, Shimoda Y, Tanaka I, Kinowaki S, Ono M, Yamamoto K, Ono S, Sakamoto N
6057	Dynamic contrast-enhanced magnetic resonance imaging and diffusion-weighted imaging in the activity staging of terminal ileum Crohn's disease
	Wu YC, Xiao ZB, Lin XH, Zheng XY, Cao DR, Zhang ZS
	Observational Study
6074	Relationship of meteorological factors and air pollutants with medical care utilization for gastroesophageal reflux disease in urban area
	Seo HS, Hong J, Jung J
6087	Acute gastrointestinal injury in critically ill patients with COVID-19 in Wuhan, China
	Sun JK, Liu Y, Zou L, Zhang WH, Li JJ, Wang Y, Kan XH, Chen JD, Shi QK, Yuan ST
	Randomized Controlled Trial
6098	Impact of cap-assisted colonoscopy during transendoscopic enteral tubing: A randomized controlled trial
	Wen Q, Liu KJ, Cui BT, Li P, Wu X, Zhong M, Wei L, Tu H, Yuan Y, Lin D, Hsu WH, Wu DC, Yin H, Zhang FM

#### Contents

Weekly Volume 26 Number 39 October 21, 2020

#### **ABOUT COVER**

Editorial Board Member of World Journal of Gastroenterology, Dr. Sung-Chul Lim is a Distinguished Professor at the Chosun University School of Medicine. Having received his Bachelor's degree from Chosun University College of Medicine in 1987, Dr. Lim undertook his postgraduate training, first at the Graduate School of Chosun University, receiving his Master's degree in 1990, and then at the Graduate School of Chungnam National University, receiving his PhD in 1995. He became Professor and Pathologist in the Department of Pathology of Chosun University School of Medicine and Chosun University Hospital in 1996, rising to Head of the Department of Pathology in 2019. His ongoing research interests involve chemoresistance and apoptotic cell death of gastric cancer cells and inhibition of hepatic fibrogenesis. Currently, he serves as Chairperson of the Certification Committee of the Korean Society of Pathologists and Director of the Biobank of Chosun University Hospital. (L-Editor: Filipodia)

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The WJG is now indexed in Current Contents®/Clinical Medicine, Science Citation Index Expanded (also known as SciSearch®), Journal Citation Reports®, Index Medicus, MEDLINE, PubMed, PubMed Central, and Scopus. The 2020 edition of Journal Citation Report® cites the 2019 impact factor (IF) for WJG as 3.665; IF without journal self cites: 3.534; 5-year IF: 4.048; Ranking: 35 among 88 journals in gastroenterology and hepatology; and Quartile category: Q2.

#### **RESPONSIBLE EDITORS FOR THIS ISSUE**

Production Editor: Yu-Jie Ma; Production Department Director: Xiang Li; Editorial Office Director: Ze-Mao Gong,

NAME OF JOURNAL	INSTRUCTIONS TO AUTHORS			
World Journal of Gastroenterology	https://www.wjgnet.com/bpg/gerinfo/204			
ISSN	GUIDELINES FOR ETHICS DOCUMENTS			
ISSN 1007-9327 (print) ISSN 2219-2840 (online)	https://www.wjgnet.com/bpg/GerInfo/287			
LAUNCH DATE	GUIDELINES FOR NON-NATIVE SPEAKERS OF ENGLISH			
October 1, 1995	https://www.wignet.com/bpg/gerinfo/240			
FREQUENCY	PUBLICATION ETHICS			
Weekly	https://www.wjgnet.com/bpg/GerInfo/288			
EDITORS-IN-CHIEF	PUBLICATION MISCONDUCT			
Andrzej S Tarnawski, Subrata Ghosh	https://www.wjgnet.com/bpg/gerinfo/208			
EDITORIAL BOARD MEMBERS	ARTICLE PROCESSING CHARGE			
http://www.wjgnet.com/1007-9327/editorialboard.htm	https://www.wignet.com/bpg/gerinfo/242			
PUBLICATION DATE	STEPS FOR SUBMITTING MANUSCRIPTS			
October 21, 2020	https://www.wjgnet.com/bpg/GerInfo/239			
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© 2020 Baishideng Publishing Group Inc	https://www.f6publishing.com			

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### World Journal of Gastroenterology

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World J Gastroenterol 2020 October 21; 26(39): 6047-6056

DOI: 10.3748/wjg.v26.i39.6047

ISSN 1007-9327 (print) ISSN 2219-2840 (online)

ORIGINAL ARTICLE

#### **Retrospective Study** Relationships of early esophageal cancer with human papillomavirus and alcohol metabolism

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Author contributions: Inoue M and Shimizu Y contributed equally to this work; Shimizu Y designed the research study; Inoue M, Shimoda Y, Tanaka I, Kinowaki S performed the research: Shimizu Y, Ono M, Yamamoto K, Ono S and Sakamoto N supervised the research; Inoue M, Shimizu Y and Abiko S analyzed data; Ishikawa M pathologically supervised the reseach; Inoue M and Shimizu Y wrote the manuscript; All authors have read and approve the final manuscript.

#### Institutional review board

statement: This study was reviewed and approved by Hokkaido University Hospital Division of Clinical Research Administration.

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#### Abstract

#### BACKGROUND

It is well known that an alcohol consumption habit together with inactive heterozygous aldehyde dehydrogenase-2 (ALDH2) is an important risk factor for the development of esophageal squamous cell carcinoma (ESCC). It remains controversial whether human papillomavirus (HPV) infection contributes to the occurrence/development of ESCC. There has been no study in which the relationship between ESCC and HPV in addition to alcohol dehydrogenase-1B (ADH1B) and ALDH2 genotypes was evaluated.

#### AIM

To evaluate relationships between HPV infection and development of esophageal cancer, particularly early esophageal cancer, based on ADH1B/ALDH2 polymorphisms.

#### **METHODS**

We conducted an exploratory retrospective study using new specimens, and we



#### Informed consent statement:

Patients were not required to give informed consent to the study because the analysis used anonymous clinical data that were obtained after each patient agreed to treatment by written consent. For full disclosure, the details of the study are published on the home page of Hokkaido University.

Conflict-of-interest statement: We have no financial relationships to disclose

Data sharing statement: No additional data are available.

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Manuscript source: Unsolicited manuscript

Received: July 1, 2020 Peer-review started: July 1, 2020 First decision: July 28, 2020 Revised: August 13, 2020 Accepted: September 25, 2020 Article in press: September 25, 2020 Published online: October 21, 2020

P-Reviewer: Xu YW S-Editor: Zhang H L-Editor: A P-Editor: Li JH



enrolled 145 patients who underwent endoscopic resection for superficial ESCC and had been observed for more than two years by both physical examination and endoscopic examination in Hokkaido University Hospital. Saliva was collected to analyze genetic polymorphisms of ADH1B/ALDH2. We performed in situ hybridization for resected specimens to detect HPV by using an HPV type 16/18 probe.

#### RESULTS

HPV was detected in 15 (10.3%) of the 145 patients with ESCC. HPV-positive rates in inactive ALDH2\*1/\*2 and ALDH2\*1/\*1 + \*2/\*2 were 10.8% and 9.8%, respectively (P = 1.00). HPV-positive rates in slow-metabolizing ADH1B\*1/\*1 and ADH1B\*1/\*2 + \*2/\*2 were 12.0% and 10.0%, respectively (*P* = 0.72). HPV-positive rates in the heavy or moderate alcohol consumption group and the light or rare consumption group were 11.1% and 8.7%, respectively (P = 0.68). HPV-positive rates in the heavy smoking group and the light or no smoking group were 11.8% and 8.3%, respectively (P = 0.59). The 3-year incidence rates of secondary ESCC or head and neck cancer after initial treatment in the HPV-positive and HPVnegative groups were 14.4% and 21.4% (P = 0.22), respectively.

#### **CONCLUSION**

In the present situation, HPV status is considered to be less important than other risk factors, such as alcohol consumption, smoking habit, ADH1B/ALDH2 polymorphisms, and HPV status would therefore have no effect on ESCC risk management.

Key Words: Human papillomavirus; Esophageal squamous cell carcinoma; Early esophageal cancer; Alcohol dehydrogenase-1B; Aldehyde dehydrogenase-2; Endoscopic resection

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**Core Tip:** We examined esophageal squamous cell carcinoma (ESCC) tissues obtained by endoscopic mucosal resection or endoscopic submucosal dissection for human papillomavirus (HPV) infection. Genotyping of alcohol dehydrogenase-1B (ADH1B)/ aldehyde dehydrogenase-2 (ALDH2) by using saliva sampling was performed. As a result, significant differences were not found between HPV infection and ADH1B/ALDH2. However, results of investigations including investigation of genetic polymorphisms in alcohol metabolism were shown for the first time, and there has so far been study on only early ESCC. We therefore consider the results of our study to be important.

Citation: Inoue M, Shimizu Y, Ishikawa M, Abiko S, Shimoda Y, Tanaka I, Kinowaki S, Ono M, Yamamoto K, Ono S, Sakamoto N. Relationships of early esophageal cancer with human papillomavirus and alcohol metabolism. World J Gastroenterol 2020; 26(39): 6047-6056 URL: https://www.wjgnet.com/1007-9327/full/v26/i39/6047.htm

DOI: https://dx.doi.org/10.3748/wjg.v26.i39.6047

#### INTRODUCTION

Esophageal cancer is a prevalent cancer and has a high mortality rate with a 5-year relative survival rate of only about 20% despite recent technological advances in surgery and chemoradiotherapy<sup>[1]</sup>. It is well known that smoking and alcohol consumption are risk factors for esophageal squamous cell carcinoma (ESCC). However, some ESCC patients have had no smoking or alcohol consumption habit until they are diagnosed with ESCC. This indicates that there might be other risk factors for the development of ESCC including polycyclic aromatic hydrocarbons, high-temperature foods, diet, oral health, and microbiome and human papillomavirus (HPV) infection<sup>[2]</sup>. The hypothesis of a relationship between HPV infection and development of ESCC was first proposed in 1982<sup>[3]</sup>. After that, various studies were



performed, and the results of some studies supported the hypothesis, while the results of other studies did not support the hypothesis<sup>[4-13]</sup>. It remains controversial whether HPV contributes to the development of ESCC. In head and neck squamous cell carcinoma (HNSCC), which is the same squamous cell carcinoma as ESCC is, it has been shown that HPV infection promotes the development of cancer, and HPV has been recognized as a carcinogenic agent<sup>[14]</sup>. Moreover, it has been reported that HPV infection in oropharyngeal squamous cell carcinoma is a significant prognostic factor for good survival. Notably, it has been reported that the incidence of second primary tumors is significantly lower in patients with HPV-positive oropharyngeal squamous cell carcinoma<sup>[15]</sup>. Subsequent investigations revealed that not only the prognosis of oropharyngeal squamous cell carcinoma (SCC) but also that of other head and neck cancers such as hypopharyngeal, laryngeal, oral cavity cancer is related to HPV status<sup>[16]</sup>.

Alcohol consumption is known to be the most important risk factor for ESCC. Ethanol, which is one type of alcohol, itself does not cause the occurrence of ESCC, but acetaldehyde produced by alcohol has been reported to have carcinogenicity<sup>[17,18]</sup>. Ethanol is broken down by an enzyme called alcohol dehydrogenase 1B (ADH1B), resulting in the formation of acetaldehyde. Acetaldehyde is mostly metabolized in the liver by an enzyme called aldehyde dehydrogenase 2 (ALDH2), resulting in the formation of harmless acetic acid<sup>[19]</sup>. ADH1B and ALDH2 have active and inactive forms in the metabolism to acetaldehyde, and the combination of these forms is associated with not only advanced ESCC but also early stage ESCC<sup>[20,21]</sup>. Many studies have shown an association between ADH1B/ALDH2 and incidence of ESCC<sup>[21,22]</sup>. As for metachronous ESCC, Abiko et al<sup>[23]</sup> demonstrated that superficial ESCC patients with inactive ALDH2 have a high incidence of secondary ESCC.

There has been no study in which the risk of ESCC depending on the HPV status and the genetic polymorphisms of ADH1B/ALDH2 was investigated. In this study, we therefore investigated the relationship between HPV infection and development of esophageal cancer, particularly early esophageal cancer, based on ADH1B/ALDH2 polymorphisms.

#### MATERIALS AND METHODS

#### Patients

The subjects for this study were registered during the period from July 2016 to August 2017. The subjects were patients who underwent endoscopic mucosal dissection (ESD) or endoscopic mucosal resection (EMR) for ESCC at Hokkaido University Hospital and received follow-up endoscopic examinations for 2 years or more. Endoscopic examinations were performed in all patients using iodine staining at 3 mo, 6 mo, and 1 year after the EMR/ESD and every 6 mo to 1 year thereafter. All of the patients underwent annual physical examinations including laryngoscopy conducted by otolaryngologists. Inclusion criteria for this study were (1) pathological diagnosis of ESCC in resected specimens; (2) complete endoscopic resection by EMR or ESD; (3) written informed consent obtained from the patient; and (4) possibility of collecting saliva samples. Exclusion criteria were (1) surgical resection after EMR/ESD; (2) unsuitability as subjects; (3) age < 20 years; and (4) current pregnancy. After exclusion, 158 patients were included in the study. No patients had lymph node metastasis. This study was a retrospective study in which newly obtained specimens were used. Written informed consent was obtained from the study subjects.

Information on alcohol consumption and smoking before and after EMR/ESD was obtained by using a questionnaire. Information on past treatment for esophageal cancer or head and neck cancer by EMR/ESD and histological diagnosis was obtained from electronic health records. Before the endoscopic examination, approximately 1 mL of saliva was obtained by using a pipette or a cotton swab to examine two single nucleotide polymorphisms (SNPs) in ADH1B and ALDH2 genotyping.

This study was approved by the Medical Ethics and Human Clinical Trial Committee of Hokkaido University Hospital.

#### Alcohol consumption and smoking habits

Subjects whose alcohol consumption was less than 1 unit/wk were classified as rare drinkers, those whose alcohol consumption was 1 to 8.9 units/wk were classified as light drinkers, those whose alcohol consumption was 9 to 17.9 units/wk were classified as moderate drinkers, and those whose alcohol consumption was 18 or more units/wk were classified as heavy drinkers. We defined 1 unit of alcohol as 22 g of



ethanol, which is contained in 500 mL of beer or 1/4 bottle of wine. Subjects who did not smoke or smoked rarely were classified as rare smokers (nonsmokers). Current smokers with a smoking history of 30 pack years were classified as light smokers, while subjects who had a smoking history of  $\geq$  30 pack years were classified as heavy smokers. Thirty pack years = one package of cigarettes (20 cigarettes) daily for 30 years<sup>[24,25]</sup>.

#### HPV in situ hybridization

We conducted in situ hybridization to detect HPV using the ENZO PATHO-GENE HPV type 16/18 probe according to the protocol of manufacturer (Morpho Technology Co., Ltd, Sapporo, Japan). Fixed specimens were deparaffinized and rehydrated through a graded ethanol series and then washed with phosphate buffered saline. The deparaffinized tissue sections were incubated with pronase, rinsed in deionized water, immersed in 0.3% H<sub>2</sub>O<sub>2</sub> in methanol for 20 min, and rinsed in deionized water again. A drop of HPV probe was added to the air-dried sections. After heart denaturation at 90 °C, hybridization was done in a pre-warmed humid chamber at 37 °C for 16 to 18 h. The slides were washed in Tris-buffered saline with Tween 20 (TBST). Hybridized probes were detected by streptavidin. HPV-positive cervical cancer was used as a positive control. All pathological diagnoses including whether HPV is positive or negative were made by a pathologist.

#### ADH1B/ALDH2 polymorphisms

SNPs of ADH1B and ALDH2 genes were genotyped using the TaqMan assay on an ABI 7300 Real-Time polymerase chain reaction (PCR) System (Applied Biosystems)<sup>[26]</sup>. The ADH1B\*1 allele encodes the slow-metabolizing form of ADH1B, while the ALDH2\*2 allele encodes the inactive form of ALDH2. The ADH1B and ALDH2 genotype combinations were classified into five categories according to the classification proposed by Yokoyama et al<sup>[27]</sup>: A group, ADH1B\*1/\*1 + ALDH2\*1/\*1; B group, ADH1B\*2 carrier + ALDH2\*1/\*1; C group, ADH1B\*1/\*1 + ALDH2\*1/\*2; D group, ADH1B\*2 carrier + ALDH2\*1/\*2; E group, ADH1B any + ALDH2\*2/\*2.

#### Statistical analysis

Analyses of data were performed by using JMP® Pro 14.0.1 (SAS Institute, Inc., Cary, NC, United States). Age is expressed as mean  $\pm$  SD values. Differences in frequency distributions were tested using Fisher's exact test, and quantitative data were examined with Student's t-test. Differences were considered statistically significant at P < 0.05. The time to development of metachronous ESCC/HNSCC was defined as the period from the day of EMR/ESD to the day of endoscopic diagnosis of metachronous ESCC/HNSCC. The Kaplan-Meier method and log-rank test were used for analysis of the development of metachronous ESCC as well as HNSCC.

#### RESULTS

Among the 158 patients, 13 patients were excluded because their primary lesions were not large enough to assess HPV. Finally, 145 patients were assessed. Characteristics of the patients and lesions are shown in Table 1. Specimens from 15 (10.3%) of the 145 patients were HPV-positive. There was no significant difference between HPV-positive patients and HPV-negative patients in sex, age or tumor location. The main macroscopic types were 0-Is in 1 patient (0.7%), 0-IIa in 16 patients (15.2%), 0-IIb in 43 patients (29.7%), and 0-IIc in 85 patients (58.6%). The main macroscopic type was related to HPV infection, and the number of 0-IIa cases was significantly larger in HPV-positive ESCC cases (P = 0.045). The mean tumor size was  $22.4 \pm 10.6$  mm. There was no difference between the HPV-positive and the HPV-negative groups in depth of invasion or vascular invasion.

The relationships of HPV status with risk factors for ESCC are summarized in Table 2. HPV-positive rates in inactive ALDH2\*1/\*2 and ALDH2\*1/\*1 + \*2/\*2 were 10.8% and 9.6%, respectively (P = 1.00). HPV-positive rates in slow-metabolizing ADH1B\*1/\*1 and ADH1B\*1/\*2 + \*2/\*2 were 12.0% and 10.0%, respectively (P = 0.72). HPV-positive rates in the heavy or moderate alcohol consumption group and the light or rare consumption group were 11.1% and 8.7%, respectively (P = 0.68). HPV-positive rates in the heavy smoking group and the light or no smoking group were 11.8% and 8.3%, respectively (P = 0.59). Genotype combinations did not show significant differences in HPV-positive rates (group A, 0%; group B, 10.9%; group C, 15.0%; group D, 9.6%; group E, 0.0%; P = 0.87). There were no significant differences in HPV-



Table 1 Clinicopathological findings according to the human papillomavirus positive or negative, <i>n</i> (%)									
	Total ( <i>n</i> = 145)	HPV positive ( <i>n</i> = 15)	HPV negative ( <i>n</i> = 130)	P value					
Sex									
Male	119 (82.1)	9 (82.1) 12 (80.0) 107 (82.3)		0.73					
Female	26 (17.9)	3 (20.0)	23 (17.7)						
Age (yr), mean ± SD	$66.3 \pm 7.8$	63.9 ± 9.3	$66.6 \pm 7.6$	0.21					
Tumor location									
Cervical	1 (0.7)	1 (6.7)	0 (0)	0.059					
Upper	22 (15.2)	0 (0)	22 (16.9)						
Middle	85 (58.6)	11 (73.3)	74 (56.9)						
Lower	36 (24.8)	3 (20.0)	33 (25.4)						
Abdominal	1 (0.7)	0 (0)	1 (0.8)						
Main macroscopic type									
0-Is	1 (0.7)	0 (0)	1 (0.8)	0.045					
)-IIa	16 (11.0)	5 (33.3)	11 (8.5)						
)-IIb	43 (29.7)	4 (26.7)	39 (30.0)						
D-IIc	85 (58.6)	6 (40.0)	79 (60.8)						
Tumor size (mm), mean (SD)	22.4 (10.6)	26.5 (12.7)	21.9 (10.3)	0.11					
Depth of invasion									
EP	53 (36.6)	5 (33.3)	48 (36.9)	0.74					
LPM	66 (45.5)	7 (46.7)	59 (45.4)						
MM	19 (13.1)	2 (13.3)	17 (13.1)						
SM1	4 (2.8)	1 (6.7)	3 (2.3)						
5M2	3 (2.1)	0 (0)	3 (2.3)						
Vascular invasion									
Yes	6 (4.1)	2 (13.3)	4 (3.1)	0.12					
No	139 (95.9)	13 (86.7)	126 (96.9)						

EP: Epithelium; LPM: Lamina propria mucosae; MM: Muscularis mucosae; HPV: Human papillomavirus; SM1: < 200 µm from MM; SM2: > 200 µm from MM

> positive rates according to either ADH1B/ALDH2 genotype or smoking and alcohol consumption histories. The median follow-up period was 73 mo (range, 24-244 mo). The 3-year incidence rates of secondary ESCC or HNSCC after initial treatment in the HPV-positive and HPV-negative groups were 14.4% and 21.4% (P = 0.22), respectively (Figure 1).

#### DISCUSSION

This study is the first study that focused on HPV infection in cases of early ESCC based on ADH1B/ALDH2 polymorphisms. Many studies have been carried out to determine whether the hypothesis that HPV contributes to the occurrence of ESCC is true, but the results have not been consistent<sup>[4-13]</sup>. Even in a recent meta-analysis and systematic review, the conclusions are still confusing. Petrick et al<sup>[13]</sup> conducted a metaanalysis including 124 studies with a total of 13832 ESCC cases and reported that the highest HPV prevalence was found in Africa and Asia, notably among Chinese studies from provinces with high ESCC incidence rates. Halec et al<sup>[10]</sup> conducted a study on HPV-transformation of ESCC by using tissues from high-incidence ESCC regions and a meta-analysis of 14 other similar studies. They concluded that the results of the

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Table 2 Relationships of human papillomavirus status according to ISH with risk factors for esophageal squamous cell carcinoma, n

	ALDH2 genotype						
HPV	*1/*2 ( <i>n</i> = 93)			*1/*1 + *2/*2 ( <i>n</i> = 52)			- P value
Positive $(n = 15)$	10 (10.8)			5 (9.6)			1.00
Negative ( <i>n</i> = 130)	83 (89.3)			47 (90.4)			
	ADH1B genotype	ADH1B genotype					
	*1/*1 ( <i>n</i> = 25)			*2 carrier ( <i>n</i> = 120)			
Positive $(n = 15)$	3 (12.0)			12 (10.0)			0.72
Negative ( <i>n</i> = 130)	22 (88.0)			108 (90.0)			
	Alcohol consumption						
	Light – Rare ( $n = 46$ )			Heavy – Moderate ( $n = 99$ )			
Positive $(n = 15)$	4 (8.7)			11 (11.1)			0.68
Negative ( <i>n</i> = 130)	42 (91.3)			88 (88.9)			
	Smoking habits						
	Light – Rare $(n = 60)$			Heavy ( <i>n</i> = 85)			
Positive $(n = 15)$	5 (8.3)		10 (11.8)		0.59		
Negative ( <i>n</i> = 130)	55 (91.7)			75 (88.2)			
	Genotype combinations						
	А	В	С		D	Е	
Positive $(n = 15)$	0 (0.0)	5 (10.9)	3 (15.0)		7 (9.6)	0 (0.0)	0.87
Negative ( $n = 130$ )	5 (100.0)	41 (89.1)	17 (85.0)		66 (90.4)	1 (100.0)	

A: ADH1B genotype (\*1/\*1), ALDH2 genotype (\*1/\*1); B: ADH1B genotype (\*2 carrier), ALDH2 genotype (\*1/\*1); C: ADH1B genotype (\*1/\*1), ALDH2 genotype (\*1/\*2); D: ADH1B genotype (\*2 carrier), ALDH2 genotype (\*1/\*2); E: ADH1B genotype (Any), ALDH2 genotype (\*2/\*2).

> studies did not support an etiological role of HPV in ESCC carcinogenesis. Although the effects of HPV infection on the occurrence of ESCC with consideration of smoking and drinking habits were examined in many previous studies, there has been no study focusing on ADH1B/ALDH2. Assuming that HPV infection is involved in the development of ESCC, we thought that patients who do not have ESCC risk factors, particularly inactive ALDH2, would tend to show high HPV-positive rates. However, we could not find any significant difference between HPV infection and any of the ESCC risk factors. From another point of view, early ESCC after endoscopic resection often causes metachronous recurrence. After examining the possibility that HPV infection contributes to less prevalence of metachronous recurrence of ESCC, no significant difference was found between HPV-positive and HPV-negative groups in the incidence of secondary SCC. As for the characteristics of HPV-positive ESCC, in our analyses, type IIa lesions, which have mild protrusion up to about 1 mm, tended to be detected in HPV-positive lesions. In many previous studies, significant clinicopathological differences between HPV-positive ESCC and HPV-negative ESCC were not revealed<sup>[28-33]</sup>. Since the relationship between HPV infection and development of ESCC is not clear, it is debatable whether HPV infection contributes to upward growth. The influence of HPV infection on tumor growth and morphology in the esophagus remains unknown.

> In a past review, it was shown that HPV-positive rates in patients with ESCC range from 11.7% to 38.9%<sup>[9]</sup>. Some differences in HPV-positive rates have been observed in previous studies, and the HPV-positive rate of 10.3% in our study is slightly lower than the rates in previous studies. There are various possible reasons for the differences, but geographic variation has been reported to be the main reason for the differences in HPV-positive rates<sup>[34]</sup>. Studies showing high HPV prevalence rates were studies conducted in Asia, while studies showing low HPV prevalence rates were studies conducted in North America and Europe<sup>[8,34,35]</sup>. Previous studies including meta-analyses in which the relationship between HPV infection and esophageal cancer



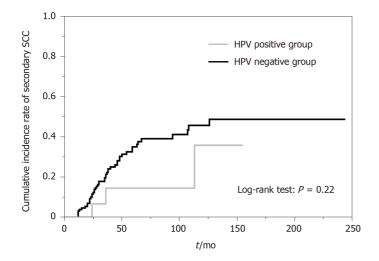


Figure 1 Metachronous development of esophageal squamous cell carcinoma or head and neck squamous cell carcinoma after endoscopic mucosal dissection/endoscopic mucosal resection. The median follow-up period was 73 mo (range, 24-244 mo). The 3-year incidence rates of secondary esophageal squamous cell carcinoma or head and neck squamous cell carcinoma after initial treatment in the human papillomavirus (HPV)-positive and HPV-negative groups were 14.4% and 21.4% (*P* = 0.22), respectively. SCC: Squamous cell carcinoma; HPV: Human papillomavirus.

was investigated included many studies conducted in Asia, which is considered to be a region with a high incidence of HPV. Such publication bias has been an issue of discussion<sup>[9]</sup>. For example, Syrjänen conducted a meta-analysis including 10234 ESCC cases and reported that the overall HPV prevalence was 30.6%. However, when regions were limited to North America, which is a region with a low incidence of HPV, the rate fell to 10.1%<sup>[56]</sup>. Japan is a country in Asia, but considering HPV prevalence regions, it is not clear whether Japan, like other Asian countries, can be regarded as a region with a high incidence of HPV. There have been only a few reports about the prevalence of HPV in Japan. Goto et al<sup>[37]</sup> reported that the HPV-positive rate in patients with esophageal carcinoma in Asia including Japan was 9.4%, and they also showed that HPV-positive rates varied depending on the location in Japan. These regional differences might be caused by environmental risk factors, genetic background, and histological types. We therefore cannot simply compare HPVpositive rates in our region with HPV-positive rates in previous reports. However, there would not be large differences. Moreover, even if HPV infection rate varies depending on the region, the role HPV in ESCC would not change. HPV-positive rates are also affected by the quality of patient samples and the methods used for evaluating HPV status. Sampling by endoscopic biopsies might result in inadequate or incorrect tissue samples<sup>[38]</sup>. EMR/ESD for ESCC can provide precise tissue samples of almost exclusively ESCC without the problem of excessive or insufficient tissue samples. We analyzed early ESCC specimens, all of which were endoscopically resected. In the most past studies, the association of HPV with ESCC was investigated using samples derived from endoscopic biopsy or parts of surgical specimens. There is no information about HPV-positive rates only in early ESCC specimens derived from EMR/ESD. The use of specimens obtained from EMR/ESD would reduce sampling errors such errors caused by inaccurate endoscopic biopsy and would enable accurate evaluation of ESCC cases of the same stage. We therefore consider that HPV-ESCC prevalence was evaluated with a high level of accuracy and under the most possible uniform condition in our study. With regard to methodological issues, another concern is the method used for inspection of tissue samples. In most recent studies, ISH or PCR was used for detecting HPV infection. It has been reported that HPV infection rate varied depending on the method used for detecting HPV<sup>[34,36,39,40]</sup>. In general, ISH has higher specificity but lower sensitivity than those of PCR for detection of HPV<sup>[41]</sup>. In our study, lower HPV prevalence might have resulted from the characteristics of ISH.

This study has some limitations. First, participants in this study were all Japanese patients, and the study was conducted in a single institution. Therefore, geographic bias, especially for HPV prevalence, could not be completely excluded. Second, methodological bias must be considered for accurate estimation of HPV-positive rates. HPV-positive rate would differ depending on the sensitivity and accuracy of the method used for detecting HPV infection. However, in our study conducted with EMR/ESD samples and using ISH for detection of HPV, it is thought that bias was reduced as much as possible. Third, this study was conducted with a restricted sample



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size. To improve statistical reliability, further studies with large sample sizes are required.

#### CONCLUSION

In conclusion, our study in which genetic polymorphisms of ADH1B/ALDH2 were considered suggested that HPV did not have an association with ESCC. In the present situation, HPV status is considered to be less important than other risk factors, such as alcohol consumption, smoking habit, and ADH1B/ALDH2 polymorphisms, and HPV status would therefore have no effect on ESCC risk management.

#### ARTICLE HIGHLIGHTS

#### Research background

There has been no study in which the relationship between superficial esophageal squamous cell carcinoma (ESCC) and human papillomavirus (HPV) in addition to alcohol metabolism was evaluated.

#### Research motivation

We aimed to clarify whether HPV infection together with alcohol metabolism genes affects the carcinogenesis of ESCC.

#### Research objectives

We enrolled 145 patients who underwent endoscopic submucosal dissection (ESD) or endoscopic mucosal resection (EMR) for ESCC.

#### Research methods

We analyzed patients' genetic polymorphisms of alcohol dehydrogenase-1B (ADH1B)/ aldehyde dehydrogenase-2 (ALDH2) and performed in situ hybridization for resected specimens to detect HPV by using an HPV type 16/18 probe.

#### Research results

There were no significant differences in HPV-positive rates according to either ADH1B/ALDH2 genotype or smoking and alcohol consumption histories.

#### Research conclusions

HPV status is considered to be less important than other risk factors, such as alcohol consumption, smoking habit, ADH1B/ALDH2 polymorphisms and HPV status would therefore have no effect on ESCC risk management.

#### Research perspectives

We are planning a multicenter study of patients with superficial pharyngeal cancer evaluating ADH1B/ALDH2 and HPV status.

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