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#### Contents

#### Weekly Volume 29 Number 24 June 28, 2023

#### **MINIREVIEWS**

3748 Vascular endothelial growth factor protein and gene delivery by novel nanomaterials for promoting liver regeneration after partial hepatectomy

Jin Y, Guo YH, Li JC, Li Q, Ye D, Zhang XX, Li JT

3758 Current status and future perspectives for the treatment of resectable locally advanced esophagogastric junction cancer: A narrative review

Shoji Y, Koyanagi K, Kanamori K, Tajima K, Ogimi M, Yatabe K, Yamamoto M, Kazuno A, Nabeshima K, Nakamura K, Nishi T, Mori M

#### **ORIGINAL ARTICLE**

#### **Basic Study**

3770 Mechanism of ELL-associated factor 2 and vasohibin 1 regulating invasion, migration, and angiogenesis in colorectal cancer

Feng ML, Sun MJ, Xu BY, Liu MY, Zhang HJ, Wu C

3793 Transcriptome sequencing and experiments reveal the effect of formyl peptide receptor 2 on liver homeostasis

Liu H, Sun ZY, Jiang H, Li XD, Jiang YQ, Liu P, Huang WH, Lv QY, Zhang XL, Li RK

#### **Retrospective Cohort Study**

3807 Prediction of lymph node metastasis in early gastric signet-ring cell carcinoma: A real-world retrospective cohort study

Yang JJ, Wang XY, Ma R, Chen MH, Zhang GX, Li X

- 3825 Regional variation in routes to diagnosis of cholangiocarcinoma in England from 2006 to 2017 Zalin-Miller A, Jose S, Knott C, Paley L, Tataru D, Morement H, Toledano MB, Khan SA
- 3843 Effect of lifestyle modification on hepatocellular carcinoma incidence and mortality among patients with chronic hepatitis B

Park Y, Kang D, Sinn DH, Kim H, Hong YS, Cho J, Gwak GY

#### **Retrospective Study**

3855 Comparison and development of machine learning for thalidomide-induced peripheral neuropathy prediction of refractory Crohn's disease in Chinese population

Mao J, Chao K, Jiang FL, Ye XP, Yang T, Li P, Zhu X, Hu PJ, Zhou BJ, Huang M, Gao X, Wang XD

#### **Clinical Trials Study**

3871 Stereotactic body radiotherapy combined with sintilimab in patients with recurrent or oligometastatic hepatocellular carcinoma: A phase II clinical trial

Chen YX, Yang P, Du SS, Zhuang Y, Huang C, Hu Y, Zhu WC, Yu YY, Liu TS, Zeng ZC



#### Contents

World Journal of Gastroenterology

Weekly Volume 29 Number 24 June 28, 2023

#### **Observational Study**

Non-exposed endoscopic wall-inversion surgery with one-step nucleic acid amplification for early 3883 gastrointestinal tumors: Personal experience and literature review

Crafa F, Vanella S, Morante A, Catalano OA, Pomykala KL, Baiamonte M, Godas M, Antunes A, Costa Pereira J, Giaccaglia V

#### **SCIENTOMETRICS**

Immunological factors in cirrhosis diseases from a bibliometric point of view 3899

Zhang D, Liu BW, Liang XQ, Liu FQ

#### **CASE REPORT**

3922 Treatment of colonic varices and gastrointestinal bleeding by recanalization and stenting of splenic-veinthrombosis: A case report and literature review

Füssel LM, Müller-Wille R, Dinkhauser P, Schauer W, Hofer H



#### Contents

Weekly Volume 29 Number 24 June 28, 2023

#### **ABOUT COVER**

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ORIGINAL ARTICLE

## **Clinical Trials Study** Stereotactic body radiotherapy combined with sintilimab in patients with recurrent or oligometastatic hepatocellular carcinoma: A phase II clinical trial

Yi-Xing Chen, Ping Yang, Shi-Suo Du, Yuan Zhuang, Cheng Huang, Yong Hu, Wen-Chao Zhu, Yi-Yi Yu, Tian-Shu Liu, Zhao-Chong Zeng

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#### Abstract

#### BACKGROUND

Stereotactic body radiotherapy (SBRT) and programmed cell death 1 inhibitors have shown potential in treating hepatocellular carcinoma (HCC) in retrospective studies.

#### AIM

To evaluate the efficacy of combining SBRT with sintilimab for patients with recurrent or oligometastatic HCC.

#### **METHODS**

This trial involved patients with recurrent or oligometastatic HCC intravenously treated with SBRT plus sintilimab every 3 wk for 12 mo or until disease progression. The primary endpoint was progression-free survival (PFS).

#### RESULTS

Twenty-five patients were enrolled from August 14, 2019, to August 23, 2021. The median treatment duration was 10.2 (range, 0.7-14.6) months. SBRT was delivered at a median dose of 54 (range, 48-60) Gy in 6 (range, 6-10) fractions. The median follow-up time was 21.9 (range, 10.3-39.7) mo, and 32 targeted lesions among 25 patients were evaluated for treatment response according to the Response

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Evaluation Criteria in Solid Tumors version 1.1. The median PFS was 19.7 mo [95% confidence interval (CI): 16.9-NA], with PFS rates of 68% (95%CI: 52-89) and 45.3% (95%CI: 28-73.4) at 12 and 24 mo, respectively. The median overall survival (OS) was not reached, with OS rates of 91.5% (95%CI: 80.8-100.0) and 83.2% (95%CI: 66.5-100.0) at 12 and 24 mo, respectively. The 1- and 2-year local control rate were 100% and 90.9% (95%CI: 75.4%-100.0%), respectively. The confirmed objective response rate and disease control rate was 96%, and 96%, respectively. Most adverse events were graded as 1 or 2, and grade 3 adverse events were observed in three patients.

#### CONCLUSION

SBRT plus sintilimab is an effective, well-tolerated treatment regimen for patients with recurrent or oligometastatic HCC.

**Key Words:** Stereotactic body radiotherapy; Programmed cell death 1; Sintilimab; Hepatocellular carcinoma; Efficacy and safety

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**Core Tip:** In this single-arm phase II trial, stereotactic body radiotherapy (SBRT) plus sintilimab showed outstanding progression-free survival and objective response rate in the treatment of recurrent or oligometastatic hepatocellular carcinoma. Moreover, the combined use of SBRT and sintilimab is safe and tolerable. Patients can recover rapidly from radiotherapy-induced decreases in lymphocyte counts. This result suggests that SBRT combined with sintilimab may be a new treatment option for patients with recurrent or oligometastatic hepatocellular carcinoma.

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#### INTRODUCTION

Liver cancer is the sixth most prevalent cancer, with the fourth highest mortality rate. China accounts for nearly half of all hepatocellular carcinoma (HCC) cases globally[1,2]. Surgery is an important curative therapy for HCC. The 5-year recurrence rate of HCC has reached 60%-70% after surgical resection and active postoperative management[3-5]. In addition to intrahepatic recurrence, the most common sites of metastasis are the lung, lymph node, and bone[6]. Oligometastasis is defined as a transition point between localized disease and widespread metastasis and has been applied to several solid tumors[7]. Although systemic treatment is the standard of care for patients with HCC presenting with extrahepatic metastases, effective treatments for oligometastatic HCC remain unknown[8]. Studies have demonstrated the efficacy of stereotactic body radiotherapy (SBRT) for HCC, with a high local control rate and acceptable toxicity[9-11]. However, the treatment effect of SBRT is determined by lesions in the irradiated field. Therefore, the development of additional treatment regimens to further reduce the recurrence out of the radiation volume and improve the prognosis of HCC is urgently required.

The combination of programmed cell death 1 (PD-1) inhibitors and targeted antiangiogenic treatment is considered the standard first-line treatment regimen for advanced HCC[12,13]. Immunotherapy, as a systematic treatment, has a long tail effect. It can provide a lasting survival benefit by generating memory T cells and exercise better control of tumor cells throughout the body[14]. The upregulation of programmed death ligand-1 (PD-L1) is associated with radioresistance in patients with HCC after radiotherapy, and the use of PD-1/PD-L1 inhibitors can kill tumor cells through the immune response effect. Thus, the recurrence rate can be further reduced owing to the potential synergistic effect of combined radiotherapy and PD-1 inhibitors[15,16].

Sintilimab is a highly selective, fully human immunoglobulin G4 monoclonal antibody against PD-1, and the combined use of sintilimab and bevacizumab is the first-line treatment for advanced HCC in China[13]. A retrospective cohort study included 76 patients with intermediate-stage HCC who presented with trans-arterial chemoembolization (TACE) refractoriness and found that progression-free survival (PFS) and overall survival (OS) were improved after the use of SBRT combined with a PD-1 inhibitor[17], but these results were not verified in clinical trials. Thus, the current phase II trial aimed to

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assess the efficacy and safety of SBRT plus sintilimab in patients with recurrent or oligometastatic HCC.

#### MATERIALS AND METHODS

#### Study design and participants

This was a single-arm, open-label, single-center, phase II trial registered with ClinicalTrials.gov (NCT03857815). This study enrolled adult patients (aged between 18 and 75 years) with diagnostic criteria for HCC between August 14, 2019, and August 23, 2021, at Zhongshan Hospital, Fudan University. Patients with Child-Pugh A and an Eastern Cooperative Oncology Group performance status (ECOG PS) of 0-1 were eligible for this study. Other main inclusion criteria were as follows: (1) Patients with the number of lesions between one and five, which is suitable for SBRT; (2) patients without a history of radiotherapy; and (3) patients with adequate hematological and organ functions. Patients presenting with fibrolamellar HCC, sarcomatoid HCC, and cholangiocarcinoma and with a history of liver transplantation and other acute or chronic conditions were ineligible. The eligibility criteria are detailed in the study protocol and are shown in the supplementary file.

The Medical Ethics Committee of Zhongshan Hospital, Fudan University, approved this study (B2019-010R), which was conducted in accordance with the standards of Good Clinical Practice and the Declaration of Helsinki and Istanbul. All patients consented to participate after being informed of the study's purpose.

All authors had access to the study data and reviewed and approved the final manuscript.

#### Treatment and assessments

After enrollment, all patients underwent SBRT combined with sintilimab for 12 mo or until disease progression. For SBRT, the gross tumor volume (GTV) was defined as computed tomography (CT), magnetic resonance imaging (MRI), or CT/MRI/positron emission tomography fusion images, and all lesions were included. The internal target volume (ITV) was constructed based on four-dimensional CT to delineate the GTV of each time phase and was generated. The planning target volume was defined as the ITV plus a margin of 3 mm. The patients underwent daily on-board megavoltage CT for imaging guidance. The patients received a total dose of 48-60 Gy in 5-10 fractions 5 times per week. Patients received 200 mg sintilimab intravenously once every 3 wk for 12 mo or until disease progression. Tumor imaging assessments were performed every 6 wk (± 7 d) from the first day of sintilimab treatment and every 12 wk (± 7 d) after 48 wk. After the initial evaluation of imaging progression by the investigator, if the participant was clinically stable, medication was continued, and imaging was repeated 4-6 wk later to confirm disease progression, or treatment was continued if no progression was confirmed.

#### Endpoints

The primary endpoint was PFS, which was defined as the time from the start of treatment to the date of progressive disease or death, whichever occurred first. The secondary endpoints included OS (the time from receipt of the study drug to death), local control rate, objective response rate [ORR, the proportion of patients who achieved complete response (CR) or partial response (PR) as their best overall response (BOR)], disease control rate (DCR, the proportion of patients who achieved CR, PR, or stable disease as their BOR), and adverse events. All outcomes were assessed by blinded independent central reviewers and investigators according to the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1. The details of the adverse event assessment are shown in the study protocol.

#### Statistical analysis

With reference to historical controls[18], patients with HCC with oligometastases or recurrence were treated with SBRT, with a median PFS period of approximately 8 mo. Assuming a median PFS period of 14.8 mo with SBRT plus sintilimab, a sample size of 25 patients would be required to provide a power of 80% with a one-sided alpha level of 0.05, assuming a drop rate of 10%. The Kaplan-Meier method was used for survival analysis. Descriptive summaries were provided for all other efficacy and safety endpoints. Unpaired T Test was used to explore the differences in the counts of biomarkers between groups. The *P* values for biomarkers between groups were two-sided, with P < 0.05 being considered statistically significant. Statistical analyses were performed using R 4.0.5.

#### RESULTS

#### Patient characteristics of treatment

The baseline characteristics of the enrolled patients are shown in Table 1. Twenty-five patients were enrolled in this study. Twenty-four (96%) patients were infected with hepatitis B. The liver function of all patients was Child-Pugh class A. Nineteen (76%) patients had intrahepatic recurrence, 6 (24%) patients showed extrahepatic recurrence, and 2 patients showed both intra- and extrahepatic



Table 1 Patient demographics and baseline clinical characteristics		
Characteristics	n = 25	
Age, years, median (range)	64 (33-71)	
Male sex, <i>n</i> (%)	24 (96)	
Etiology, <i>n</i> (%)		
Hepatitis B infection	24 (96)	
Others	1 (4)	
ECOG performance status, <i>n</i> (%)		
0	19 (76)	
1	6 (24)	
Child-Pugh class A, n (%)	25 (100)	
BCLC C stage, <i>n</i> (%)	25 (100)	
Site of recurrence, <i>n</i> (%)		
Intrahepatic	19 (76)	
Extrahepatic	6 (24)	
Both Intra-Extrahepatic	2 (8)	
Target tumor size, cm, median (range)	2.0 (1.0-7.4)	
Tumor number, n (%)		
1	19 (76)	
2	5 (25)	
3	1 (4)	
Serum AFP $\geq$ 400 ng/mL, <i>n</i> (%)	3 (12)	
Prior therapy, n (%)		
Surgery	17 (68)	
Ablation	9 (36)	
TACE	13 (52)	

AFP: a-fetoprotein; BCLC: Barcelona Clinic Liver Cancer; ECOG: Eastern Cooperative Oncology Group; TACE: Trans-arterial chemoembolization.

recurrences. Tumors 1, 2, and 3 were reported in 19, 5, and 1 patient(s), respectively. All the patients underwent surgery, ablation, or TACE previously. The median treatment duration was 10.2 (range, 0.7-14.6) months, and SBRT was delivered at a median dose of 54 (range, 48-60) Gy in 6 (range, 6-10) fractions.

#### Efficacy

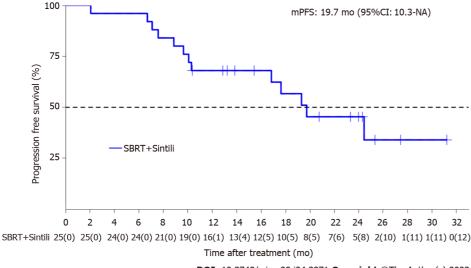
The median follow-up time was 21.9 (range, 10.3-39.7) months, and 32 lesions in 25 patients were evaluated for treatment response according to RECIST version 1.1. The median PFS period was 19.7 [95% confidence interval (CI): 16.9-NA; Figure 1] mo, and the PFS rates at 12 and 24 mo were 68% (95%CI: 52-89) and 45.3% (95%CI: 28-73.4), respectively.

The median OS was not reached, and three events of death were observed, with 91.5% (95% CI: 80.8-100.0) and 83.2% (95%CI: 66.5-100.0) OS rates at 12 and 24 mo, respectively. Moreover, the 1- and 2-year local control rates were 100% and 90.9% (95%CI: 75.4%-100.0%), respectively (Table 2). The ORR was 96% (24/25) according to RECIST version 1.1, with 17 achieving CR and 7 achieving PR after sintilimab plus SBRT therapy. After one cycle of treatment, all target liver lesions disappeared, although new lesions appeared in the lungs of one patient, which was judged as PD. The best change in the target tumor size is shown in Figure 2A. One patient achieved PR after two cycles of sintilimab plus SBRT therapy. However, the patient underwent a self-determined liver transplant (protocol violation reported) and died 5 mo after surgery due to liver failure caused by liver transplantation (Figure 2B). Similarly, the DCR for patients with HCC treated with SBRT combined with sintilimab was 96% (Table 2). The median time to response was 2.1 (range, 0.9-6.6) months for the 24 responders, whereas the median duration of response was 16.9 mo (95%CI: 8.18-NA).



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Table 2 Summary of radiographic response per response evaluation criteria in solid tumors version 1.1			
Variable	n = 25		
Best overall response, <i>n</i> (%)			
Complete response	17 (68)		
Partial response	7 (28)		
Stable disease	0		
Progressive disease	1 (4)		
Objective response rate, <i>n</i> (%)	24 (96)		
Disease control rate, <i>n</i> (%)	24 (96)		
1 yr local control rate, <i>n</i> (%)	25 (100)		



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Figure 1 Kaplan-Meier analysis of median progression-free survival according to the response evaluation criteria in solid tumors version 1.1. mPFS: Median progression-free survival; SBRT: Stereotactic body radiotherapy.

#### Safety

All the patients received at least one dose of the study treatment and were included in the safety analysis. The details of the adverse events in patients with HCC treated with SBRT combined with sintilimab are shown in Table 3. In total, 22 (88.0%) patients reported treatment-related adverse events (TRAEs), and grade 1 and 2 adverse events were observed in 17 (68%) and 14 (56%) patients, respectively. The most common TRAE was decreased lymphocyte count (probably caused by radiotherapy). The lymphocyte count decreased significantly after the second treatment (Supplementary Figure 1). However, the lymphocyte counts gradually returned to baseline after the third treatment session. Grade 3 TRAEs, including increased gamma-glutamyl transpeptidase level, decreased platelet count, and myositis, were observed in 3 (12%) patients. No grade 4 or 5 TRAEs were observed.

Four patients presented with serious adverse events. One patient had grade 1 myocarditis, possibly due to immunotherapy; one had grade 2 immune pneumonia; and one patient had viral hepatitis, which was evaluated to be independent of the treatment regimen. One patient experienced upper gastrointestinal bleeding owing to cirrhosis of liver. All four patients recovered after medical treatment. The study treatment was interrupted due to emergent adverse events in 3 (12%) of the 25 patients, including 1 patient with myocarditis, 1 with upper gastrointestinal bleeding, and 1 with arthritis. Patients should continue treatment after recovery from adverse reactions; however, all three patients refused to resume use. Permanent discontinuation due to adverse events occurred in three patients, including one patient with pneumonia (grade 2), one with elevated bilirubin level (grade 3), and one with ascites (grade 2).

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Table 3 Treatment-related adverse events						
Treatment-related adverse events	Any grade	Grade 1	Grade 2	Grade 3		
All adverse events, <i>n</i> (%)	22 (88)	17 (68)	14 (56)	3 (12)		
Decreased lymphocyte count	14 (56)	7 (28)	7 (28)	0		
Increased GGT	7 (28)	3 (12)	3 (12)	1 (4)		
Decreased platelet count	5 (20)	0	4 (16)	1 (4)		
Increased blood bilirubin	5 (20)	4 (16)	1 (4)	0		
Rash	4 (16)	2 (8)	2 (8)	0		
Increased ALT	2 (8)	1 (4)	1 (4)	0		
Increased AST	2 (8)	2 (8)	0	0		
Myositis	2 (8)	0	1 (4)	1 (4)		
Abnormal liver function	2 (8)	1 (4)	1 (4)	0		
Paronychia	2 (8)	2 (8)	0	0		
Jaundice	2 (8)	0	2 (8)	0		
Decreased white blood cell count	1 (4)	1 (4)	0	0		
Decreased neutrophil count	1 (4)	1 (4)	0	0		
Ascites	1 (4)	0	1 (4)	0		
Arthritis	1 (4)	0	1 (4)	0		
Pneumonia	1 (4)	0	1 (4)	0		
Myocarditis	1 (4)	1 (4)	0	0		
Dry eye	1 (4)	1 (4)	0	0		

GGT: Gamma-glutamyl transpeptidase; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase.

#### Exploration of biomarkers

This study also explored biomarkers that could be used to predict efficacy. In this study, blood samples from patients after each treatment were collected and analyzed for lymphocyte counts and their subset populations [CD19+ B cells, CD3+, CD4+, CD8+ T cells, and CD15+CD56+ natural killer (NK) cells] by flow cytometry. The median PFS period (19.7 mo) was applied as a cutoff value, and there were no significant differences between PFS  $\geq$  19.7 and PFS < 19.7 mo for lymphocyte count, CD19+ B, CD3+, CD4+, CD8+ T, and CD15+CD56+ NK cells at baseline. PFS period  $\geq$  19.7 mo was associated with higher lymphocyte counts and CD3+, CD4+, CD8+ T, and CD15+CD56+ NK cells compared to PFS period < 19.7 mo after three treatments. These significant differences persisted after the four treatments, except for CD8+ T cells. Furthermore, the difference between PFS  $\geq$  19.7 and PFS  $\leq$  19.7 mo after five treatments disappeared for most biomarkers, whereas significant differences remained for lymphocyte count and CD19+ B cells. Finally, PFS  $\geq$  19.7 mo persisted and was associated with elevated number of CD19+ B cells (Figure 3).

#### DISCUSSION

To the best of our knowledge, this is the first prospective study of SBRT in combination with PD-1 inhibitor for recurrent or oligometastatic HCC. This study demonstrated that SBRT delivered at a median dose of 54 Gy in 6 fractions plus sintilimab achieved a remarkable PFS period of 19.7 mo and an outstanding ORR of 96% in such patients. Although the median OS was not reached, the OS rates at 12 and 24 mo were 91.5% and 83.2%, respectively. Moreover, the local control rates at 12 and 24 mo were 100% and 90.9%, respectively, whereas the DCR after treatment was 96%. Furthermore, TRAEs in most patients were mild and well tolerated.

The enrolled patients in our study were diagnosed with recurrent or oligometastatic HCC and belonged to the advanced-stage HCC population. Currently, the standard systemic treatment for advanced HCC is PD-1/PD-L1 inhibitors in combination with bevacizumab, such as sintilimab plus bevacizumab and atezolizumab plus bevacizumab. The median PFS period of the experimental groups in Orient-32 and Imbrave150 were 4.6 and 6.8 mo, respectively [13,19]. In our study, 19.7 mo of median



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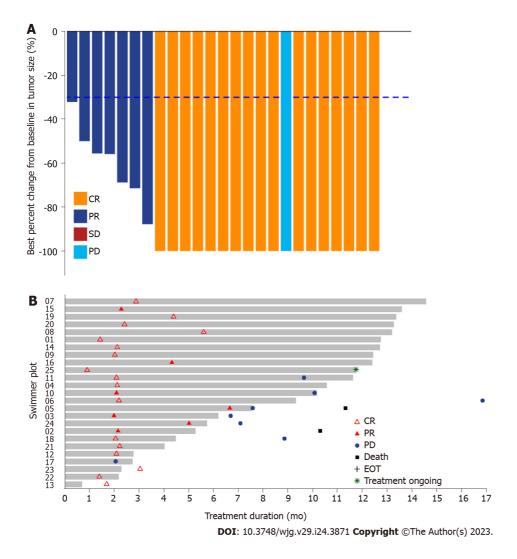


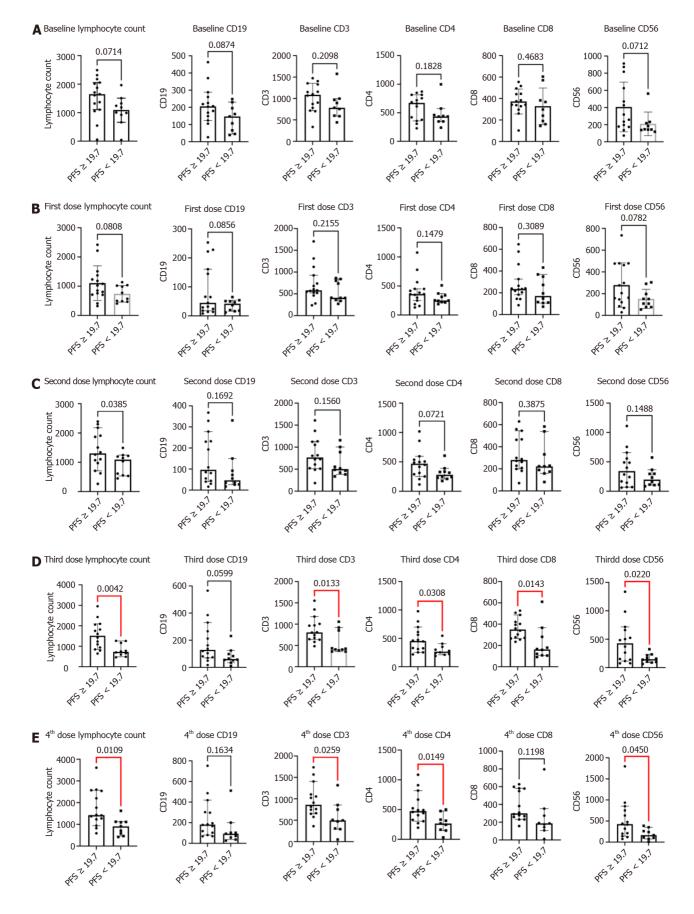
Figure 2 Radiographic responses to stereotactic body radiotherapy plus sintilimab. A: Waterfall plots of the proportion of patients with an overall response according to the response evaluation criteria in solid tumors version 1.1; B: The swimmer plot shows the treatment duration for patients receiving stereotactic body radiotherapy and sintilimab. CR: Complete response; PR: Partial response; PD: Progressive disease; EOT: End-of-treatment; SD: Stable disease.

PFS (mPFS) period showed a beneficial tendency with SBRT and sintilimab. Notably, the population characteristics of our study were different from these two phase-III studies. The proportion of patients with vessel invasion or extrahepatic metastasis was > 60% in both Orient-32 and Imbrave150. Moreover, patients accounted for approximately 40% of the total population with both ECOG PS of 1 and $\alpha$ -fetoprotein (AFP) ≥ 400 ng/mL. However, patients with few metastases or relapses were enrolled in our study, and the median number of metastases was 1. Additionally, most of them had ECOG PS 0 (76%), and patients with AFP ≥ 400 ng/mL only accounted for 12%. Given the above, the high proportion of patients with good physical condition and the low proportion of patients with AFP ≥ 400 ng/mL could be explained by the remarkable mPFS period in this study. Moreover, it can also be considered the main possible reason that patients with recurrent or oligometastatic HCC (tumor lesions ≤ 5) benefit from combination therapy of SBRT plus immunotherapy.

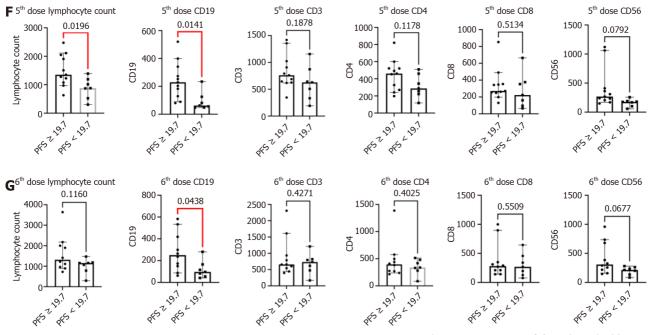
Oligometastasis was defined as  $\leq$  5 metastatic lesions, and SBRT was recommended for patients with oligometastasis and many other cancers. However, no prospective clinical studies have reported the treatment strategies for patients with recurrent or oligometastatic HCC. Several retrospective clinical studies on patients with recurrent or oligometastatic HCC demonstrated that after SBRT, the 1-year OS and local control rates were 55%-84% and 77%-94%, respectively[5,20-23]. In the current study, the 1-year OS and local control rates were 91.5% and 100%, respectively. Although comparisons between studies need to be made cautiously, in terms of benefit trends, it seems that SBRT plus sintilimab has more survival benefits. This encouraging therapeutic effect can be interpreted as radiation activating the immune system by inducing tumor cell death, which can promote cytokine release and damage-associated molecular patterns. The tumor-specific T lymphocytes are then primed and trafficked into the tumor microenvironment, which can enhance the efficacy of PD-1 inhibitors[24]. Another retrospective study of SBRT plus PD-1 inhibitors for patients with Barcelona Clinic Liver Cancer intermediate-stage HCC with a median PFS period of up to 19.6 mo also verified the combination efficacy[17]. It should be emphasized that this retrospective study included patients with intermediate-stage HCC, which theoret-



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**Figure 3 Biomarker analysis.** A: Baseline: Progression-free survival (PFS)  $\geq$  19.7 vs PFS < 19.7; B: 1st Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; D: 3<sup>rd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; D: 3<sup>rd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; E: 4<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; G: 6<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; G: 6<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; F: 5<sup>th</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS < 19.7; C: 2<sup>nd</sup> Dose: PFS  $\geq$  19.7 vs PFS  $\leq$  19.7 vs PFS  $\leq$  19.7 vs PFS  $\leq$  19.7 vs PFS  $\geq$  19.7 vs PFS  $\geq$  19.7 vs PFS  $\geq$  19.7 vs PFS  $\geq$  19.7 v

ically represent a better prognosis.

In addition, our study reported that the ORR after sintilimab and SBRT was 96%, and only one patient had liver lesions that disappeared with pulmonary metastasis. In previous retrospective studies on HCC with recurrence or oligometastases, the ORR of SBRT in this subset was 59%-85%. The authors clearly stated that out-of-field recurrence was the major cause of disease progression[5,20-23]. Sintilimab, as a systemic immunotherapy, can inhibit tumor cell escape and reactivate T cells, thereby reducing out-field recurrence.

In terms of safety, the combination of sintilimab and SBRT was well tolerated. Most TRAEs were of grades 1-2. Grade 3 TRAEs were observed in only 12% of the patients. A decreased lymphocyte count a common AE associated with radiotherapy. The results of monitoring changes in lymphocyte counts showed that decreased lymphocyte counts gradually recovered, and previous reports indicated that recovery from reduced lymphocyte count is faster in patients with HCC treated with SBRT than in those treated with conventional RT.

Biomarker-related analyses have mainly explored the relevance of lymphocytes and their subsets to efficacy. The results showed that patients with a longer PFS had higher lymphocyte counts and CD19+ B, CD3+, CD4+, CD8+ T, and CD15+CD56+ NK cells after four to five prior therapies. This is consistent with the result of a previous biomarker explorative study on SBRT for lung cancer, suggesting that lymphocyte counts and subsets can be potential biomarkers for predicting efficacy[25]. The significant difference gradually disappeared after the fifth to sixth treatments, which is likely related to the reduced sample size due to the increasing number of patients with disease progression and loss of follow-up. This needs to be confirmed in further studies with larger sample sizes.

This study has some limitations. The current study was designed as a phase II trial with no parallel control group. Moreover, the sample size was small, and stratified analyses according to patient characteristics were not performed. Finally, the history of prior therapy varied across the enrolled patients, which could have affected the prognosis of HCC.

#### CONCLUSION

In this single-arm phase II trial, SBRT plus sintilimab showed outstanding PFS and ORR in the treatment of recurrent or oligometastatic HCC. Moreover, the combined use of SBRT and sintilimab is safe and tolerable. Patients can recover rapidly from radiotherapy-induced decreases in lymphocyte counts. Considering mostly included patients (96%) infected with hepatitis B, this result suggests that SBRT combined with sintilimab may be a new treatment option for patients with recurrent or oligometastatic HCC and infected with hepatitis B. Further large-scale, parallel-control randomized controlled trials should be conducted to compare the effects of SBRT plus sintilimab with other



treatments, including SBRT without immunotherapy or radioembolization delivers doses to the tumor greater than 100 Gy.

#### **ARTICLE HIGHLIGHTS**

#### Research background

Hepatocellular carcinoma (HCC) accounts for the third-leading cause of cancer-related deaths, and only 30%-40% of patients were diagnosed at early stages. The oligometastatic HCC indicated the metastasis of HCC was not widespread, and some patients may obtain benefits for curative treatments.

#### Research motivation

Stereotactic body radiotherapy (SBRT) and programmed cell death 1 (PD-1) inhibitors are widely used for HCC, whether the use of SBRT combined with a PD-1 inhibitor could offer superior benefits for oligometastatic HCC remained unclear.

#### Research objectives

To assess the efficacy and safety of combining SBRT with sintilimab for patients with recurrent or oligometastatic HCC.

#### Research methods

Patients with recurrent or oligometastatic HCC were involved and treated with combining SBRT with sintilimab for 12 mo or disease progression. The primary endpoint was progression-free survival (PFS), while the secondary endpoints included overall survival (OS), local control rate, objective response rate (ORR), disease control rate (DCR), and adverse events.

#### Research results

The median PFS was 19.7 mo [95% confidence interval (CI): 16.9-NA], while the median OS was not reached. Moreover, the 1- and 2-year local control rate were 100% and 90.9% (95% CI: 75.4%-100.0%), while the ORR and DCR were 96%, and 96%, respectively. Only 3 patients reported grade 3 adverse events, including increased gamma-glutamyl transpeptidase level, decreased platelet count, and myositis.

#### Research conclusions

SBRT plus sintilimab can serve as an effective, well-tolerated treatment regimen for patients with recurrent or oligometastatic HCC.

#### **Research** perspectives

Further large-scale, parallel-control randomized controlled trials should be performed to verify the therapeutic effects of SBRT plus sintilimab for patients with recurrent or oligometastatic HCC.

#### FOOTNOTES

Author contributions: Zeng ZC, Liu TS, Chen YX, Du SS, and Yu YY contributed to the conception and study design; Chen YX, Yang P, Zhuang Y, Huang C, Hu Y, and Zhu WC contributed to the investigation and data curation; Chen YX, Zeng ZC, and Liu TS performed the data analysis and interpretation; Chen YX wrote the original draft; Zeng ZC, Liu TS, Chen YX, and Du SS wrote the review and editing; Liu TS and Zeng ZC contributed equally to this work. All authors reviewed and approved the final draft of the manuscript.

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Institutional review board statement: The Medical Ethics Committee of Zhongshan Hospital, Fudan University, approved this study (B2019-010R), which was conducted in accordance with the standards of Good Clinical Practice and the Declaration of Helsinki. All patients consented to participate after being informed of the study's purpose.

Clinical trial registration statement: This was a single-arm, open-label, single-center, phase II trial registered with ClinicalTrials.gov (NCT03857815).

Informed consent statement: All patients consented to participate after being informed of the study's purpose.

Conflict-of-interest statement: The authors declare that they have no conflict of interest.



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Data sharing statement: The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

CONSORT 2010 statement: The authors have read the CONSORT 2010 statement, and the manuscript was prepared and revised according to the CONSORT 2010 statement.

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