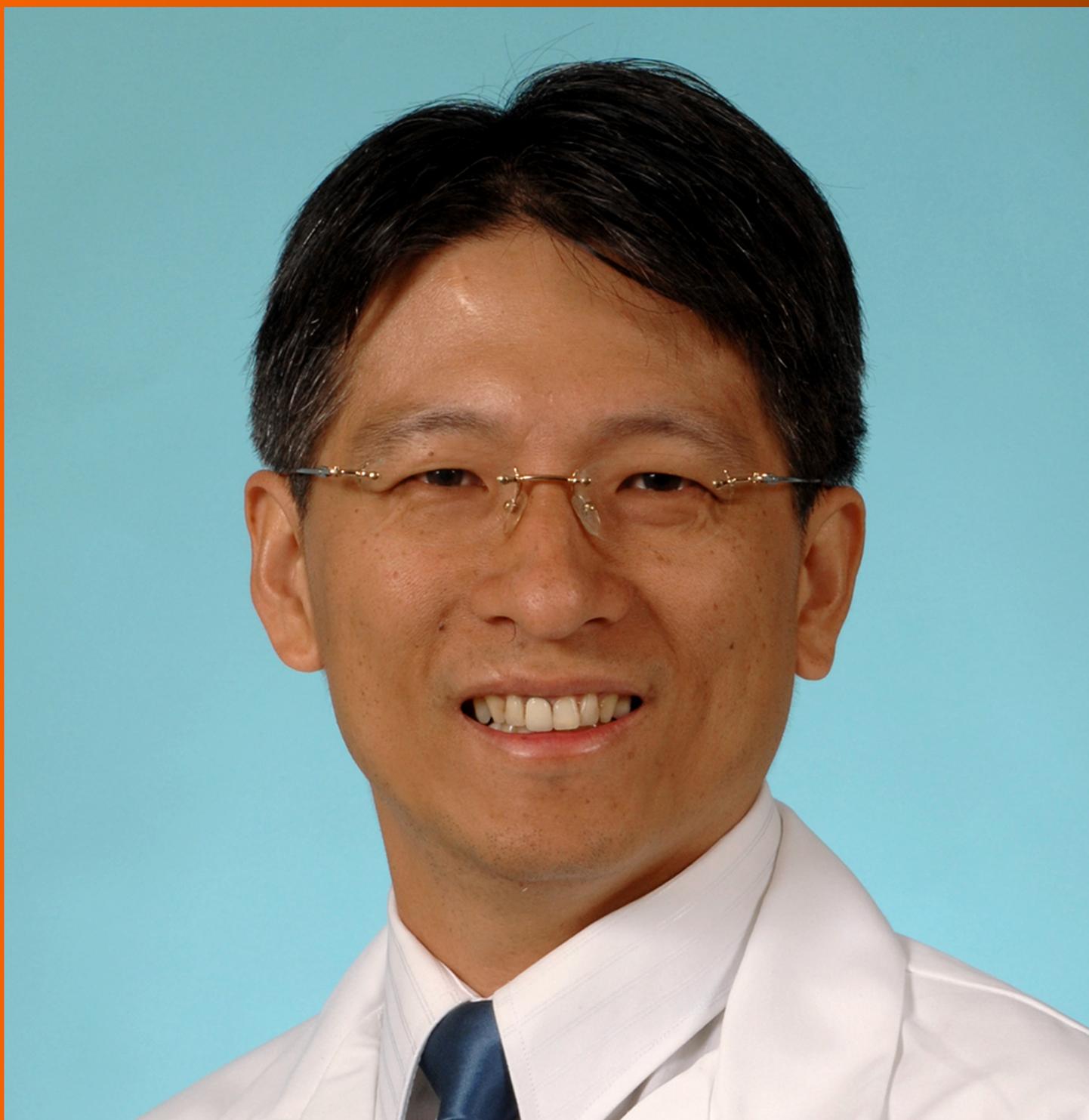


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Editorial Board Member of *World Journal of Gastrointestinal Endoscopy*, Chien-Huan Chen, MD, PhD, Professor, Division of Gastroenterology, Department of Internal Medicine, Washington University School of Medicine, St. Louis, MO 63110, United States. chen330@wustl.edu

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Dental trauma in endoscopy: A systematic review and experience of a tertiary endoscopy centre

Chelsea Qiu Lin Tan, Gabrielle Yi Wen Loh, Tay Wei Rong Benjamin, Calvin Jianyi Koh, John Shao Rong Mok, Juanda Leo Hartono, Kai Ting Cheryl Chua, Hee Hon Tan, Kewin Tien Ho Siah

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Chelsea Qiu Lin Tan, Gabrielle Yi Wen Loh, Yong Loo Lin School of Medicine, National University of Singapore, Singapore 117594, Singapore

Tay Wei Rong Benjamin, Calvin Jianyi Koh, John Shao Rong Mok, Juanda Leo Hartono, Kewin Tien Ho Siah, Division of Gastroenterology and Hepatology, National University Health System, Singapore 119074, Singapore

Kai Ting Cheryl Chua, Department of Medicine, Ng Teng Fong General Hospital, Singapore

Hee Hon Tan, Division of Prosthodontics, National University Centre for Oral Health, Singapore 119074, Singapore

Corresponding author: Tay Wei Rong Benjamin, MBBS, MMed, MRCP, Doctor, Division of Gastroenterology and Hepatology, National University Health System, 1E Kent Ridge Rd, Singapore 119074, Singapore. benjamin_tay@nuhs.edu.sg

Abstract

BACKGROUND

Dental injury is the leading cause of litigation in anaesthesia but an underrecognized preventable complication of endoscopy.

AIM

To determine frequency and effects of dental injury in endoscopy, we present findings from an audit of outpatient endoscopy procedures conducted at a tertiary university hospital and a systematic review of literature.

METHODS

Retrospective review of 11265 outpatient upper endoscopy procedures over the period of 1 June 2019 to 31 May 2021 identified dental related complications in 0.284% of procedures. Review of literature identified a similar rate of 0.33%.

RESULTS

Pre-existing dental pathology or the presence of prostheses makes damage more likely but sound teeth may be affected. Pre-endoscopic history and tooth examination are key for risk stratification and may be conducted succinctly with limited time outlay. Tooth retrieval should be prioritized in the event of dental injury to minimize aspiration and be followed by prompt dental consultation for specific management.

CONCLUSION

Dental complications occur in approximately 1 in 300 of upper endoscopy cases. These are easily preventable by pre-endoscopy screening. Protocols to mitigate dental injury are also suggested.

Key Words: Teeth; Dental trauma; Endoscopy; Digestive system

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Core Tip: Peri-intubation dental injury is a leading cause of litigation in endoscopy, and its complications are largely prevented with sufficient foreknowledge and counselling. We summarize findings from an audit of dental injury on endoscopy as well as review relevant literature to guide identification, mitigation and management of peri-endoscopic dental trauma.

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INTRODUCTION

Peri-intubation dental injury is a leading cause of litigation in anaesthesia[1] with incidence of between 0.02%-0.07%[2-5]. Endoscopic procedures such as Oesophagogastroduodenoscopy (OGD), Endoscopic ultrasound (EUS), endoscopic retrograde cholangiopancreatography (ERCP) and Bronchoscopy likewise involve per-oral intubation and face similar complications. This subject has been under-represented in the field of endoscopy and is a cause for concern[6]. Dental complications are largely prevented with sufficient foreknowledge and counselling. We hence aim to study the impact of dental injury on endoscopy in our centre as well as review relevant literature to guide identification, mitigation and management of peri-endoscopic dental trauma.

MATERIALS AND METHODS

Dental audit

We reviewed outpatient endoscopy records over a two-year period at the National University Hospital, Singapore. This was a large university hospital system that included community referrals and tertiary care centres across multiple specialties. According to centre protocol, dentition is reviewed once by the nursing team at triage and subsequently by the procedural team prior to endoscopy. Upper endoscopy is cancelled should dental concerns be identified. Cancelled endoscopies and serious reportable events due to dental reasons were compiled into a database for analysis.

Systematic review

The review was conducted with reference to the Preferred Reporting Items for Systematic Reviews and Meta-analyses[7-11]. The PRISMA flowchart demonstrating the study selection process is presented in **Figure 1**. A systematic search was conducted on Medline using the following Medical Subject Headings (MeSH) terms: (("Tooth Injuries"[Mesh]) OR ("Mouth Protectors"[Mesh])) AND (((("Bronchoscopy"[Mesh]) OR "Endoscopy, Digestive System"[Mesh])) and EMBASE using the following Emtree subject headings: ('digestive tract endoscopy'/exp OR 'bronchoscopy'/exp) AND ('tooth injury'/exp OR 'mouth protector'/exp). We additionally searched websites and conference abstracts for unpublished, updated reports on dental trauma in endoscopy and mitigation measures. Only English articles involving human subjects published prior to 1 November 2021 were considered for inclusion. Two independent reviewers (BT, CTQL) performed a systematic search, evaluated the titles and abstracts, and selected relevant studies with any discrepancies resolved by a third independent reviewer (LYWG). 46 articles were retrieved from the initial search strategy with 42 remaining after duplicate removal. A total of four publications involving dental trauma in relation to gastrointestinal and bronchial endoscopy were identified using this methodology (see **Table 1**). Major adverse events were characterized as cases of tooth fracture, tooth avulsion, tooth subluxation while minor adverse events encompassed all other complications including gum discomfort, masticatory pain, toothache, and cancellations due to dental reasons.

RESULTS

From 1 June 2019 to 31 May 2021 a total of 16961 outpatients registered for endoscopy with 4643 patients undergoing

Table 1 Summary of studies on dental trauma in endoscopy

Ref.	Type	Description	n	Dental events
Evers <i>et al</i> [8], 1967	Cohort study	Adverse dental events in cohort of patients having orahesive applied prior to endoscopy or general anaesthesia	110	No adverse dental events reported
Ackerman <i>et al</i> [9], 1996	Cohort study	Observational study on adverse dental events following upper endoscopy over 3 years	5000	Major adverse events ^a : 2; No minor adverse events ^b studied
Min <i>et al</i> [10], 2008	RCT	Dental related complications following use of TPM and MB-142 mouth guards assessed <i>via</i> structured questionnaire 3-4 after index upper endoscopy	865	Major adverse events: 2; Minor adverse events: 19
Mogrovejo <i>et al</i> [11], 2015	Case series	Report on 3 cases of dental injury sustained after upper endoscopy		

^aMajor adverse event includes cases of tooth fracture, tooth avulsion, tooth subluxation.

^bMinor adverse events includes gum discomfort, masticatory pain, toothache and cancellations due to dental reasons.

RCT: Randomized control trial; TPM: Teeth-protecting mouthpiece

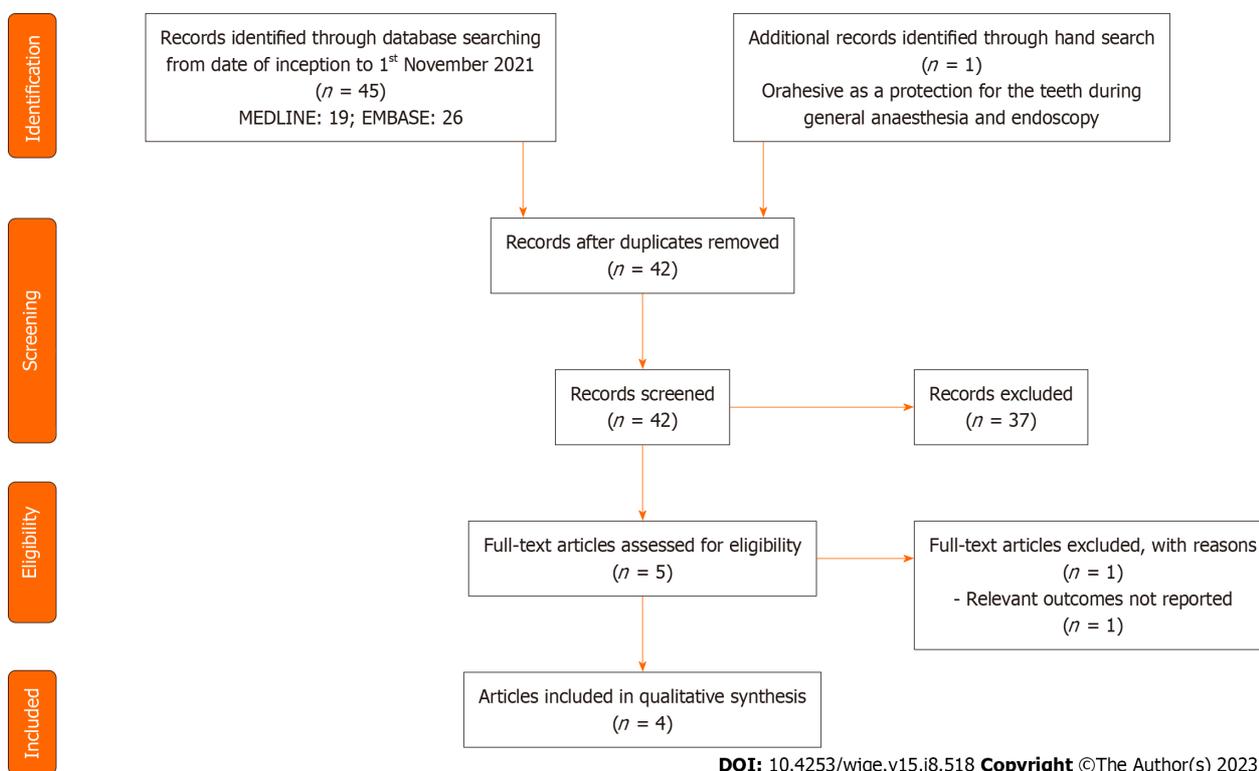


Figure 1 Flow diagram on study selection process.

multiple procedures in one setting for a total of 21539 procedures. Of which, 11265 involved upper endoscopies which was defined by any procedure involving insertion of a scope per-orally (see Table 2).

There was a total of 32 cancellations over the study period, 30 for Oesophagogastroduodenoscopy/antegrade enteroscopy and 2 for EUS (see Table 3). Of these cases, there were 6 patients requiring tooth extraction and the other 26 required dental specialist review. There was one major adverse event involving dislodgement of a glued incisor tooth chip lost during gastroscopy where judicial proceedings were avoided following prompt dental review and waiver of treatment fees. A photograph of a dislodged tooth extracted by endoscopy can be found below in Figure 2.

DISCUSSION

Study findings

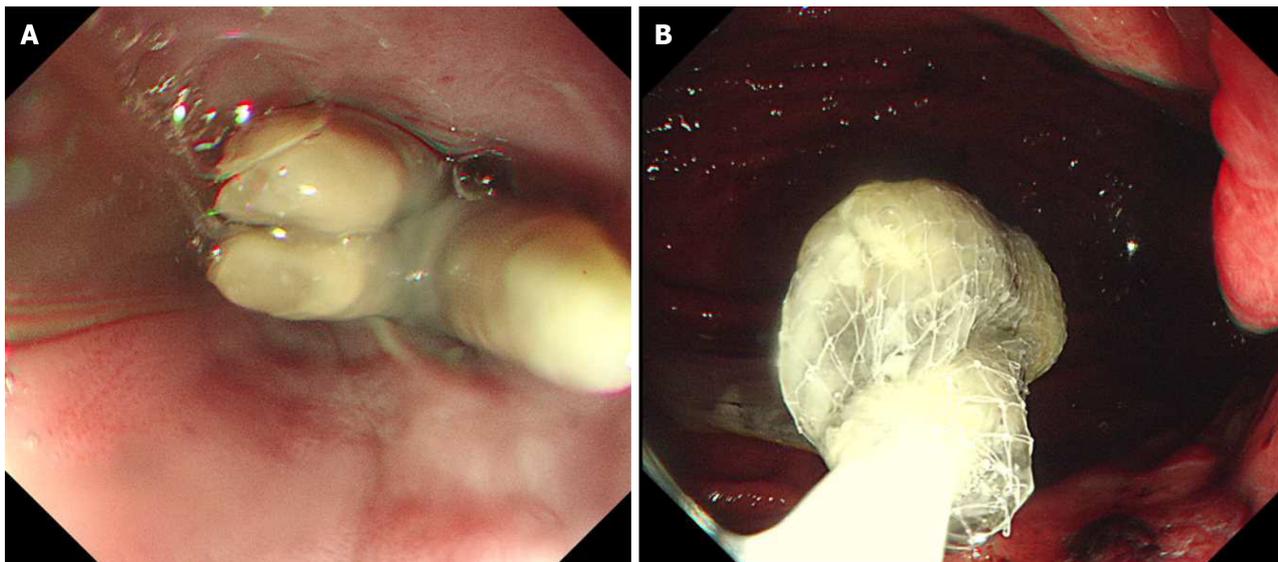
Our study reported a total of 32 cancellations out of the 11265 upper endoscopy cases, giving an overall of 0.284% or 1 out of 352 patients. 1 to 2 cases had to be cancelled and rearranged per month resulting in substantial logistical burden over time. Of these cancellations, at least 6 may have resulted in tooth avulsion if allowed to proceed. Pooling our findings with results obtained from the systematic review, this identified an overall adverse event rate of 0.33% with major

Table 2 Outpatient endoscopy cases during a two-year period

Type	No.
Oesophagogastroduodenoscopy/antegrade enteroscopy	10142
Colonoscopy/sigmoidoscopy	10263
Endoscopic ultrasound	423
Endoscopic retrograde cholangiopancreatography	248
Bronchoscopy	452
Others (<i>e.g.</i> , thoracoscopy)	11
Total number of upper endoscopy cases	11265
Total number of cases	21539

Table 3 Summary of dental related events

Cancellations	32
Oesophagogastroduodenoscopy	30
Endoscopic ultrasound	2
Dental injury	1



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Figure 2 Endoscopic images of adverse events. A: Periprocedural complication of avulsed tooth lodged in the distal oesophagus; event occurred prior to study period; B: Avulsed tooth being retrieved.

adverse events occurring in 0.03% of upper endoscopies (see [Table 4](#)). These figures are comparable to anaesthesia data and suggest need for greater awareness of dental trauma as a complication of upper endoscopy and consequent steps for mitigation and management[12].

Overview of dental injury

Teeth are subjected to immense loads generated during mastication with forces exerted exceeding 800N during strenuous clenching[13]. Dental damage may occur during instrumentation, insertion of bite-block, due to inadequate pressure distribution or slippage of the bite-block during upper endoscopy[8,14]. There has been a report of dental implant dislodgement from reflexive jaw clenching upon retroflexion during colonoscopy[15]. Dental injury may further be contributed by involuntary grinding of teeth during sedation which exerts significant pressures. These factors may chip, break or avulse a tooth as well as damage brittle prosthetic devices.

Table 4 Pooled adverse dental event rate

Ref.	n	Major events ^a	Minor events ^b
Evers <i>et al</i> [8],1967	110	0	Not studied
Ackerman <i>et al</i> [9],1996	5000	2	Not studied
Min <i>et al</i> [10],2008	865	2	19
Our centre experience	11265	1	32
Event rate			
Rate of major events: 5/17240 = 0.029%			
Rate of minor events ^c : 51/12130 = 0.42%			
Overall event rate: 56/17240 = 0.33%			

^aMajor Adverse Event includes cases of tooth fracture, tooth avulsion, tooth subluxation.

^bMinor Adverse Events includes gum discomfort, masticatory pain, toothache and cancellations due to dental reasons.

^cOnly studies reporting minor adverse events were included in the calculation.

To our knowledge, there have been no studies identifying teeth most likely to be injured during endoscopy. Extrapolating from anaesthesia procedures which similarly require instrumentation within the oral cavity, the incisors are the most commonly injured representing 50% of cases[16]. They are particularly prone to fracture due to their anatomical position as well as being small-rooted and having a narrow cross-sectional area with a slight anterior axis. The most commonly reported dental injuries included enamel fractures, loosened or subluxated teeth, tooth avulsion and crown or root fractures[3].

The most significant risk factor for dental injury is pre-existing pathology such as caries, periodontitis and tooth restorations, the presence of which conveys a 3 to 12-fold increased risk[4,17,18]. Injury is most common in patients aged between 50 and 70 years who are more likely to have teeth weakened by periodontal disease while remaining dentulous [1]. Presence of restorative treatments raises the potential of damaged prosthesis and underlying periodontal disease. Removal of tooth matter during the process of restoration unavoidably weakens tooth structure and renders restored teeth prone to injury[19-21]. A non-exhaustive list of restorative and reconstructive dental treatments is included below in Table 5.

Pre-procedural evaluation

Preventing dental trauma begins with recognizing risk factors. Patients with identified concerns should be advised for dental optimization prior to endoscopy which can minimize procedural trauma by restoring caries, replacing damaged restorations, and splinting or extraction of loose teeth[22]. History and examination are paramount in this regard, and should be routinely included prior to all upper endoscopies[16,23]. Assessment should include asking patients about loose or damaged teeth and history of past restorative dental treatments. Examination of dentition involves inspection for diseased dentition and palpation for loose teeth. Tooth mobility may be evaluated clinically by applying firm pressure with a gloved finger and is reliably graded according to the Millers Index (see Table 6)[24]. A Miller's grade of two and above suggests need for tooth extraction and warrants dental consultation[25].

Documentation of findings improves pre-procedural provision of information to patients and may reduce liability in the event of injury. Notation systems such as the Palmer and World Health Organization ISO system[26,27] may increase precision in documentation but may require more time and training for completion. Photo documentation is increasingly valuable for records of preprocedural tooth condition and may be considered with appropriate consent.

We propose the following framework for pre-endoscopy screening involving two questions followed by a physical examination. A screening form (Figures 3 and 4) may be conducted within five minutes and does not require specialist training.

Management of dental injury

Dental injuries often require time-sensitive management to minimize irreversible dentition loss. In all cases, the nature of the injury and the circumstances in which it occurred must be clearly documented in the patient record and full disclosure should be provided to the patient[28,29].

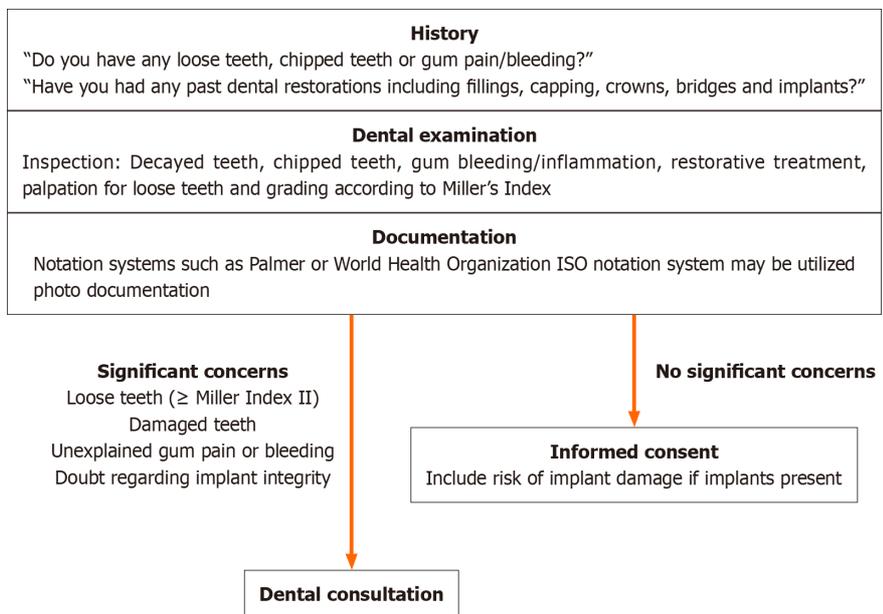
When dental trauma is suspected, the first step would be review of preprocedural dental records to ensure the injury was not present to begin with[16]. Once iatrogenic trauma is confirmed, it is essential to localize avulsed and broken teeth, or prostheses to minimise risk of aspiration and obstruction of airway. Retrieval of avulsed teeth, prostheses, or teeth fragments using Magill's forceps may be attempted. If these measures are not successful, imaging in the form of cervical and thoracic radiography should be performed to identify aspiration into the lungs or oesophagus. Not all dental prostheses are radiopaque, and thus are difficult to visualize on a chest radiograph and may require direct visualisation in the form of urgent bronchoscopy or OGD[18]. Early consultation with thoracic surgical services is suggested in this event. A stepwise workflow to manage dental injury has been illustrated in Figure 5.

Table 5 Restorative and reconstructive dental treatments[18,21]

Type of treatment	Description and related problems
Direct restoration (filled in single procedure with material being placed, adapted and shaped by clinician)	
Filling	May comprise amalgam, ceramic or precious metals. Susceptible to expansion or shrinkage when setting, which might cause tooth fracture or further decay
Indirect restoration (filling created outside of mouth, either from impression or digital scan of tooth)	
Inlays/onlays	An inlay is a filling made outside the mouth, then bonded to the teeth. This is less prone to expansion or shrinkage. An onlay refers to an inlay which covers a dental cusp
Crown	An onlay which fully covers the tooth which is required in the setting of marked tooth damage
Veneer	A thin layer bonded to the tooth surface to enhance appearance of fractured or discoloured teeth
Prosthesis	
Bridge	Fixed partial denture secured to adjacent teeth
Denture	Removable prosthesis which may be attached to remnant teeth <i>via</i> clasps
Implant	Permanent prosthesis integrated into alveolar bone <i>via</i> screws and cement. Eventual recession of gingiva may result in implant weakening

Table 6 Millers index of grading tooth mobility

Grade	Description
0	“Physiological” mobility measured at the crown level. The tooth is mobile within the alveolus to approximately 0.1-0.2mm in a horizontal direction
1	Increased mobility of the crown of the tooth to at the most 1 mm in a horizontal direction
2	Visually increased mobility of the crown of the tooth exceeding 1 mm in a horizontal direction
3	Severe mobility of the crown of the tooth in both horizontal and vertical directions impinging on the function of the tooth



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Figure 3 Pre-endoscopy screening.

In most situations, replantation by pushing the tooth into its socket followed by firm pressure for several minutes is the immediate treatment of choice. This may not be appropriate in immunocompromised patients or those with severe periodontal disease due to the risk of bacterial seeding. If replantation is not possible, recovered teeth and teeth fragments should be placed in a suitable storage medium such as cold saline or milk and urgent dental review within 30 minutes

For patient

Questions	Yes	No
Do you have any loose teeth?		
Do you have any chipped or damaged teeth?		
Do you have any gum pain?		
Do you have any gum bleeding?		
Have you had any past dental restorations including fillings, capping, crowns, bridges and implants? If yes, please specify what type and when it was done.		

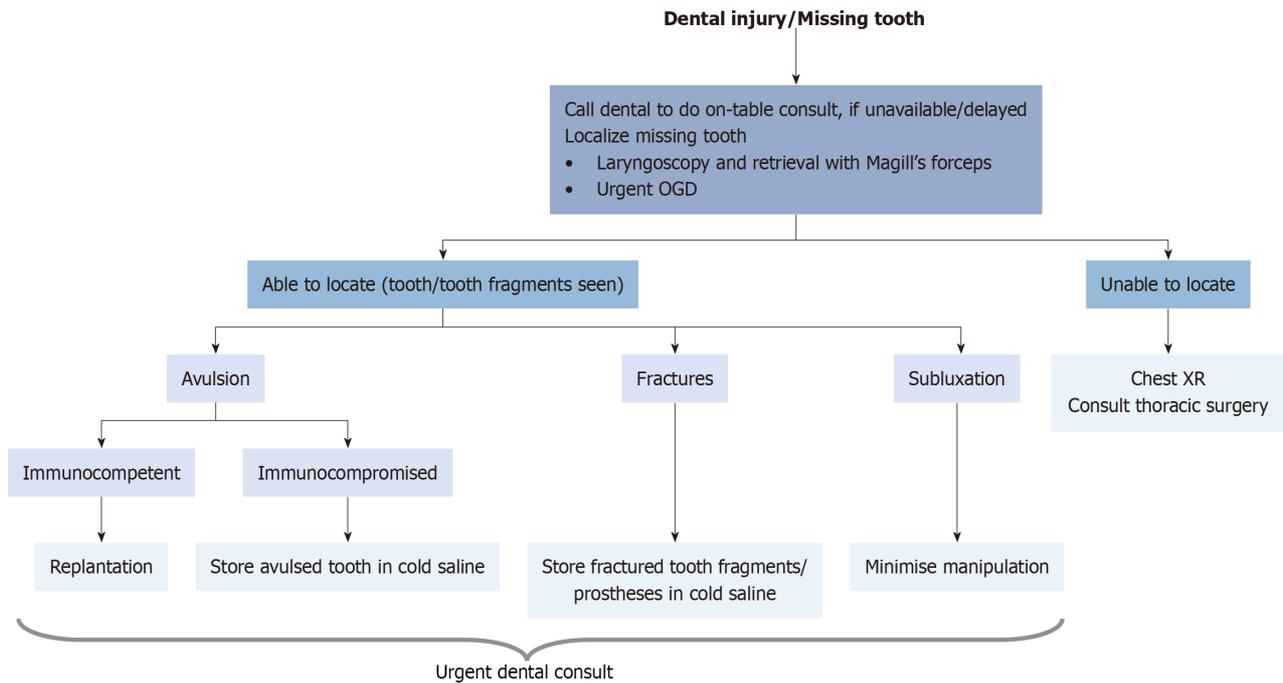
For endoscopist

Examination	Yes	No
Presence of decayed teeth		
Presence of chipped teeth		
Presence of gum bleeding/inflammation		
Presence of restorative treatment		
Presence of loose teeth on palpation If present, Miller's grade		

If concerns regarding dental trauma present, please refer for dental clearance and advice on use of dental protectors

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Figure 4 Pre-endoscopy checklist.



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Figure 5 Management of dental injury.

should be arranged[18,22].

Measures to minimize dental injury

Use of tooth protectors and mouth guards have been proposed to minimize dental injuries[15,30-32]. These function by dispersing force applied among the teeth to minimize overloading a damaged tooth. Teeth protectors also serve to stabilise loose teeth and secure avulsed or broken dental fragments during trauma, thus minimising aspiration and facilitating retrieval. However, such guards often limit the amount of space for insertion of bite-blocks and instrumentation[33]. One randomized controlled trial suggested that a novel teeth-protecting mouth piece showed advantage

over traditional devices in preventing endoscopy related complications of the teeth and temporomandibular joint, though this is not widely available[10]. More prospective well-designed trials supporting routine usage of dental protective devices are required prior to utilization.

In the event emergent endoscopy is required before dental consultation may be obtained, temporizing measures such as splinting loose teeth to adjacent healthy dentition or securing them *via* a chord affixed outside the oral cavity may be considered. All these measures should be accompanied by informed consent.

LIMITATIONS

Nevertheless, several limitations need to be considered in the interpretation of our findings. Firstly, this was a retrospective audit with heterogeneity across study subjects, in terms of examination methods and baseline dental health of each patient. Secondly, there was limited availability of data with regards to pre-existing trismus which may potentially be a risk factor for dental trauma. Lastly, the adverse event rate was small, with only one instance of dental injury, suggesting need for further studies with larger sample size.

CONCLUSION

Dental injury during endoscopy is an underreported complication with potential for significant litigious consequences. It is a preventable complication with adequate foreknowledge and precautionary measures. Prompt recognition and treatment in the event of trauma can potentially minimize irreversible loss of dentition.

ARTICLE HIGHLIGHTS

Research background

We present findings from an audit of outpatient endoscopy procedures conducted at a tertiary university hospital and a systematic review of literature.

Research motivation

Dental injury is the leading cause of litigation in anaesthesia but an underrecognized preventable complication of endoscopy.

Research objectives

We aim to study the impact of dental injury on endoscopy in our centre as well as review relevant literature to guide identification, mitigation and management of peri-endoscopic dental trauma.

Research methods

We reviewed outpatient endoscopy records over a two-year period at the National University Hospital, Singapore. We also conducted a review with reference to the Preferred Reporting Items for Systematic Reviews and Meta-analyses.

Research results

We identified overall adverse event rate of 0.33% with major adverse events occurring in 0.03% of upper endoscopies. These figures are comparable to anaesthesia data and suggest need for greater awareness of dental trauma as a complication of upper endoscopy and consequent steps for mitigation and management. We identified different risk factors for dental injury and proposed a framework for pre-endoscopy screening to prevent dental injury. We also discuss measures to manage and minimise dental injury.

Research conclusions

Dental injury during endoscopy is an underreported complication with potential for significant litigious consequences. It is a preventable complication with adequate foreknowledge and precautionary measures. Prompt recognition and treatment in the event of trauma can potentially minimize irreversible loss of dentition.

Research perspectives

Further research can be done with larger sample sizes, to compare different risk factors for dental trauma.

FOOTNOTES

Author contributions: Tan CQL and Loh GYW contributed equally to this work; Tan CQL, Loh GYW, Tay WRB, Koh CJY, Mok JSR, Hartono JL, Chua KTC, Tan HH, Siah KTH conceived and designed the study; Tan CQL, Loh GYW, Tay WRB Chua KTC performed

research and analyzed data; and Tan CQL, Loh GYW, Tay WRB, Koh CJY, Mok JSR, Hartono JL, Chua KTC, Tan HH, Siah KTH wrote the paper; all authors reviewed and approved the final manuscript.

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Country/Territory of origin: Singapore

ORCID number: Tay Wei Rong Benjamin 0000-0002-9000-2936; Calvin Jianyi Koh 0000-0002-1756-3737; Juanda Leo Hartono 0000-0003-4866-233X; Cheryl K. T. Chua 0000-0002-6252-1704; Kewin Tien Ho Siah 0000-0002-2795-5101.

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