

Blood classical monocytes phenotype is not altered in primary non-small cell lung cancer

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analysed in the plasma using cytometric bead array technique.

RESULTS: There were no significant difference in expression of M1 (HLA-DR) and/or M2 markers (CD163 and CD36) markers on classical monocytes in patients with NSCLC compared to non-cancer controls. Expression of CD11b, CD11c, CD71 and CD44 was also shown to be similar in patients with NSCLC compared to non-cancer controls. Th1 and Th2 cytokines [interleukin (IL)-1 β , IL-2, IL-4, IL-5, IL-8, IL-10, IL-12 (p70), tumor necrosis factor (TNF)- α , TNF- β , and interferon- γ] analysis revealed no significant difference between patients with NSCLC and non-cancer controls.

CONCLUSION: This study shows no alteration in peripheral monocyte phenotype in circulating classical monocytes in patients with NSCLC compared to non-cancer controls. No difference in Th1 and Th2 cytokine levels were noted in the plasma of these patients.

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Key words: Lung cancer; Monocyte; Phenotype; Polarisation; Tumour progression; Tumour regression

Abstract

AIM: To evaluate the M1 and M2 monocyte phenotype in patients with non-small cell lung cancer (NSCLC) compared to controls. Also, to examine the expression of Th1 and Th2 cytokines in plasma of NSCLC vs controls.

METHODS: Freshly prepared peripheral blood mononuclear cells samples were obtained from patients with NSCLC (lung adenocarcinoma and squamous cell lung carcinoma) and from non-cancer controls. Flow cytometry was performed to investigate M1 and M2 phenotypes in peripheral monocytes (classical monocytes CD14+, CD45+ and CD16-) using conventional surface markers. Th1 and Th2 cytokine production was also

Core tip: Monocytes perform a critical role in immune system and have similar phenotype as seen in M1 (classically activated) and M2 (alternatively activated) tumour-associated macrophage. Nevertheless, monocyte phenotypes in human lung cancer patients are not fully understood and further investigation are really needed. Our study examines the M1 and M2 monocyte phenotypes in patients with non-small lung carcinoma [non-small cell lung cancer (NSCLC)] compared to non-cancer controls. This study indicated that freshly isolated peripheral blood monocytes from patients with NSCLC do not show an altered phenotype and/or cytokines secretion. These outcomes might enhance the knowledge regarding the connections between monocyte-macrophage phenotype and tumour progression.

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INTRODUCTION

Lung cancer is now one of the most common cancers in the world and, as the leading cause of cancer mortality, is responsible for about 1.4 million deaths worldwide annually^[1]. Despite incremental advances in treatment strategies, the prognosis for lung cancer remains poor, with only 10%-15% of patients surviving five years or longer^[1,2]. Further understanding of the immunology of lung cancer may enable the development of immune-modulatory strategies beyond those in current use, such as targeted monoclonal antibodies to specific cellular receptors.

Monocytes are an important part of the innate immune response to cancer. The notion that the immune system has a protective role in tumour development is well established^[3], with recent work also suggesting a converse role in promoting tumour initiation and progression^[4]. Previous studies looking at monocytes in a range of different cancer types have demonstrated conflicting results regarding monocyte phenotype and function in different cancer microenvironments. Studies in patients with lung, breast and other cancers have described hindered monocyte function^[5,6], whereas Mariotta *et al*^[7] suggested that non-small lung carcinoma (NSCLC) does not affect monocyte adherence and phagocytosis in lung cancer patients compared to healthy controls. Other studies demonstrated that monocytes are capable of both inhibiting and stimulating tumour growth^[8].

Monocytes can be characterised into classical monocyte (pro-inflammatory) and non-classical monocyte (anti-inflammatory) phenotype, both of which have been detected in circulating peripheral blood mononuclear cells (PBMC)^[9,10]. Monocytes are known to differentiate into tissue macrophages. Classical monocytes (CD14⁺⁺/CD16) identified to differentiate into M1 macrophage, while non-classical monocytes (CD14⁺/CD16⁺⁺) differentiate into M2 macrophage^[11]. M1 works as an antigen-presenting cell and has a vital role in immune activation and function^[12]. In contrast, M2 is known to be associated with poor antigen presentation producing factors that suppress T cell proliferation and activity^[12]. Although, the main source of tissue macrophages is classical monocytes, the majority of the macrophages within the tumour area have been identified as M2 macrophage^[11]. However, a study has reported that classical monocytes can differentiate into M2 macrophage^[13].

There is a controversy regarding monocyte differentiation and effect in tumour microenvironment. Therefore in this current study, freshly isolated un-stimulated classical monocytes were used to ascertain the phenotypic changes in patients with NSCLC compared to non-

cancer controls. To the best of our knowledge this is the first study that has analysed classical monocytes from freshly isolated PBMC to give a better understanding of the NSCLC, monocyte phenotype and function and Th1/Th2 plasma expression.

MATERIALS AND METHODS

Study participants

Blood was obtained from 30 patients undergoing diagnostic bronchoscopy for investigation of NSCLC recruited through the Department of Respiratory and Sleep Medicine, Austin Health, Heidelberg, VIC, Australia. Twenty non-cancer control samples were obtained from subjects undergoing diagnostic bronchoscopy for investigation of breathlessness, or chronic cough and haemoptysis, or benign lung lesions. Ethics committee approval was received from Austin Health Ethics Committee, and informed consent of all participating subjects was obtained. Patient demographic information is presented in Table 1. Staging was applied in this study using the new TNM (tumour, node, metastases) staging system (7th edition) for lung cancer^[14].

PBMC

Venous blood was collected in heparinised tubes and PBMC isolated by Ficoll-Paque density gradient centrifugation (GE Healthcare Bio-sciences, Uppsala, Sweden). Complete blood count (CBC) was completed for all blood samples using Beckman Coulter (AcT 5 blood differentiation, RMIT Hematology Department, Melbourne) (Fullerton, CA, United States). PBMC washed twice with PBS (phosphate buffered saline) and then resuspended in RPMI completed media (2% Hepes buffer, 0.1 mmol/L 2-mercaptoethanol, 100 U/mL penicillin, 100 µg/mL streptomycin, 2 mmol/L glutamine and 10% fetal calf serum) (Sigma, St. Louis, MO). All samples were stored at -80 °C until use.

Monocytes phenotype analysis by flow cytometry

Flow cytometry was used to assess classical monocytes expression of CD14, CD45, CD71, CD11b, CD44, CD16 and CD11c (BD Pharmingen™, United States) as well as the M1 marker HLA-DR (BD Pharmingen™, United States) and the M2 markers CD163 and CD36 (BD Pharmingen™, United States). Cells were stained with antibodies directly conjugated to fluorescent probes for 30 min at 4 °C in 2% (w/v) BSA/PBS. Cells were washed and analyzed by flow cytometry (FACS Canto, BD Biosciences, San Jose, CA, United States). 10⁶ cells were stained with various combinations of antibodies for 40 min in the dark on ice. Purity of classical monocytes was assessed according to CD14, CD45 and CD16 expression by flow cytometry. At least 5000 cells were collected and analysed (BD FACS Canto BD Biosciences, San Jose, CA, United States). All analysis was completed within the RMIT Flow Cytometry Facility, Bundoora, Melbourne. All quadrants were set up according to matched isotype

Table 1 Demographic details of lung cancer and non-cancer control subjects

	<i>n</i>	Age (yr) Mean \pm SD	Gender M/F	Smoking status N/Ex/S	Stages I / II / III / IV	Subtypes N/A/S
Control	20	60.45 \pm 19.31	10/10	7/11/2		
Cancer	30	67.1 \pm 10.68	17/13	3/16/11	8/3/7/12	9/11/10

Demographic details of the participants and staging details of lung cancer patients. This table shows the total number of patient samples, age, gender, smoking status and lung tumour subtypes and stages. n: Number; SD: Standard deviation; M: Male; F: Female; N: Non-smoker; Ex: Ex-smoker; S: Smoker; N: Non-small cell lung cancer; A: Lung adenocarcinoma; S: Squamous cell lung carcinoma.

Table 2 Complete blood count details of non-small cell lung cancer and non-cancer control patients

Groups	Total number	WBC	NE	LY	MO	EO	BA	RBC
Normal value		$4-11 \times 10^9/L$	$2-7.5 \times 10^9/L$	$1.5-4 \times 10^9/L$	$0.2-0.80 \times 10^9/L$	$0.04-0.40 \times 10^9/L$	$0.02-0.10 \times 10^9/L$	$3.80-6.50 \times 10^9/L$
Control	20	4.35 ± 1.82	2.11 ± 1.07	1.31 ± 0.47	0.23 ± 0.14	0.13 ± 0.06	0.38 ± 0.5	4.85 ± 0.91
Cancer	30	6.76 ± 3.9	3.4095 ± 3.46	1.68 ± 1.31	0.34 ± 0.22	0.2 ± 0.17	0.29 ± 0.2	5.04 ± 1.08

Complete blood count (CBC) analysis of study participants. CBC analysis was performed on whole blood collected from patients with primary non-small cell lung cancer and non-cancer controls using Beckman Coulter (Act 5 blood differentiation) (Fullerton, CA, United States). WBC: White blood cell; NE: Neutrophil; LY: Lymphocyte; MO: Monocyte; EO: Eosinophil; BA: Basophile; RBC: Red blood cell.

control antibodies and all results are shown as surface expression (%SE) and mean fluorescence intensity (MFI).

Cytometric bead array

The Human Th1/Th2 11plex Ready-to-Use FlowCytomix Multiplex (eBiosciences, United States) was applied to detect the level (pg/mL) of Th1/ Th2 cytokines including interleukin (IL)-1 β , IL-2, IL-4, IL-5, IL-8, IL-10, IL-12 (p70), tumor necrosis factor (TNF)- α , TNF- β , and interferon (IFN)- γ in plasma samples of patients with primary lung cancer and non-cancer controls, according to the manufacturer's instructions (Human Th1/Th2 11plex RTU FlowCytomix Kit). Fluorescence was analysed using flow cytometry (FACS Canto, BD Biosciences, San Jose, CA, United States) and cytokine levels were determined using the BMS FlowCytomix Software manual within the RMIT Flow Cytometry Facility, Bundoora, Melbourne.

Statistical analysis

Experiments were performed in triplicate. Mean values \pm standard error (SEM) were compared using the one way ANOVA (GraphPad Prism 6) with *P*-values ≤ 0.05 was considered to be significant.

RESULTS

Underlying CBC was investigated in patient groups to verify that the patients has significant underlying medical condition *e.g.*, infection, which could results in monocyte phenotype alteration. The total mean number of white blood cells (WBC) in NSCLC patients was significantly higher than non-cancer controls. The mean values of all WBC types (except basophile cells) and RBCs were higher in patients with NSCLC compared to non-cancer controls. However, the mean values of all WBC types and RBCs were within

the normal range in both groups (Table 2). In flow cytometry results, there were no statistically significant differences in M1 marker (HLA-DR), M2 markers (CD163 and CD36) and (CD11c and CD44) in patients with NSCLC compared to non-cancer controls. Both %SE and MFI expression of surface markers showed similar values. CD11b and CD71 expression was also shown to be similar between patient groups.

No difference in HLA-DR, CD163 and CD36 expression in patients with primary lung cancer compared to non-cancer controls

Classical monocytes were gated based on forward scatter (FSC) and side scatter (SSC) profiles within patient groups and based on the expression of CD14, CD45 and CD16 markers. Results show that there were no significant differences in %SE (*P* = 0.155) and MFI (*P* = 0.51) of HLA-DR (M1 marker) expression in patients with NSCLC compared to non-cancer controls. The expression of HLA-DR did not differ depending on tumour progression, as there were no significance differences between early and/or advanced lung cancer criteria (Figure 1). In addition, flow cytometry analysis showed there were no significant differences in the %SE (*P* = 0.505) and MFI (*P* = 0.39) of CD163 (M2 marker) in NSCLC patients compared to non-cancer controls. We also showed that there were no significant differences in the %SE (*P* = 0.160) and MFI (*P* = 0.17) of CD36 (M2 marker) staining between the two groups. Again, the expression of CD163 and CD36 showed no difference in patients with more advanced compared to early lung cancer (Figure 2).

No difference in CD11b, CD71, CD11c and CD44 expression

The %SE and MFI of CD11b, CD71, CD11c and CD44

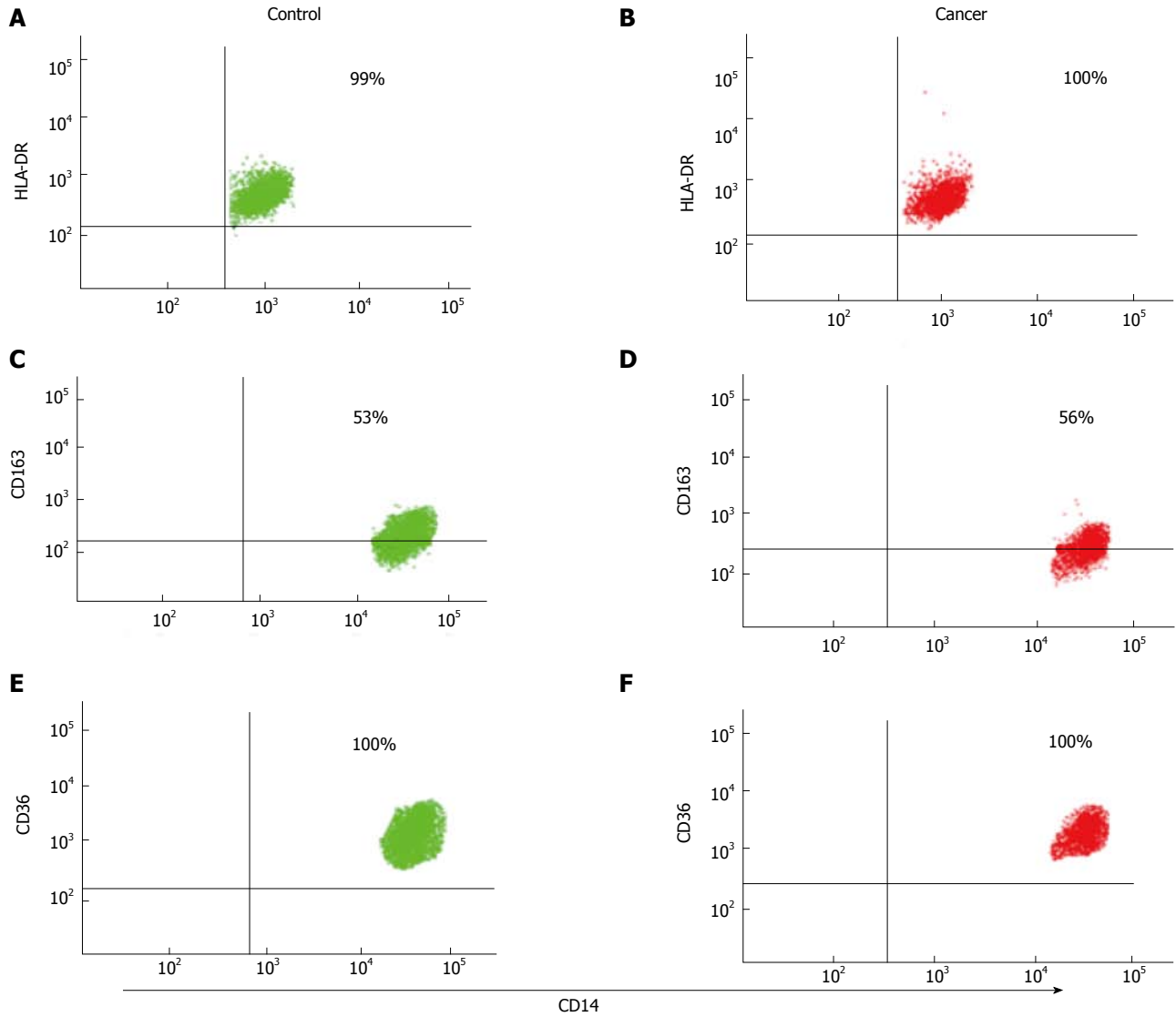
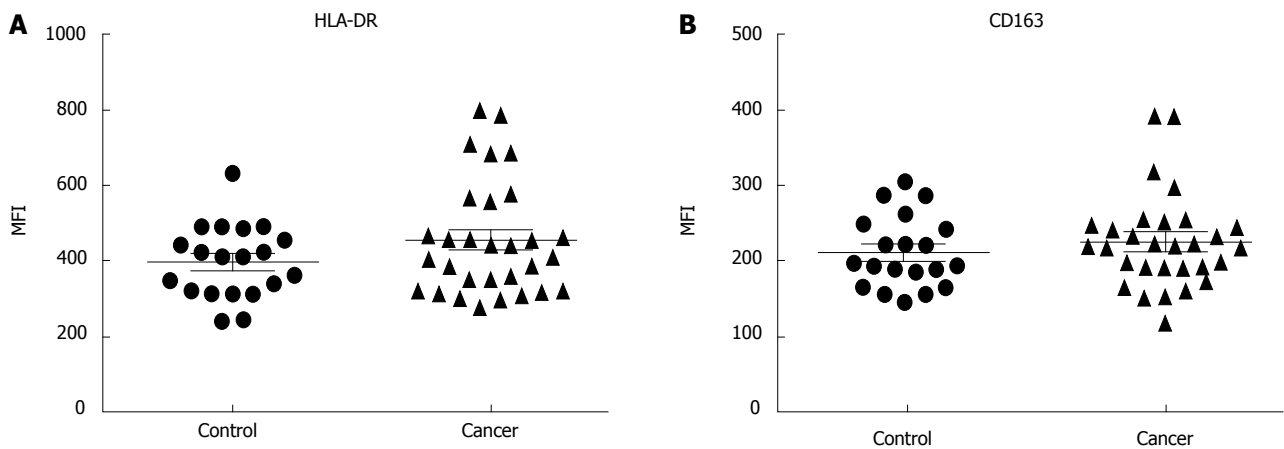


Figure 1 HLA-DR, CD163 and CD36 surface expression on (CD14+/CD16-) blood classical monocytes in patients with primary non-small cell lung cancer compared to controls. A-F: Representative flow cytometry dot plots from PBMC stained against CD14, CD45 and CD16 and then co-stained with HLA-DR, CD163 and CD36 on patients with non-small cell lung cancer (red colour) and non-cancer controls (green colour).



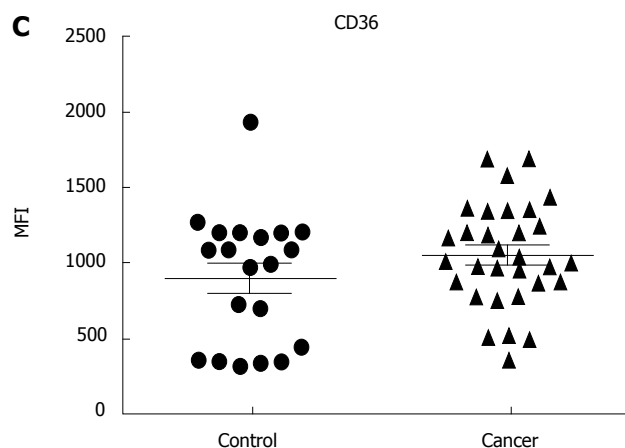


Figure 2 HLA-DR, CD163 and CD36 expression on (CD14+/CD16-) blood classical monocytes in patients with primary non-small cell lung cancer compared to controls. Summary graphs show the mean values of MFI \pm SEM of (A) HLA-DR, (B) CD163 and (C) CD36 markers from patients with non-small cell lung cancer (NSCLC) vs non-cancer controls.

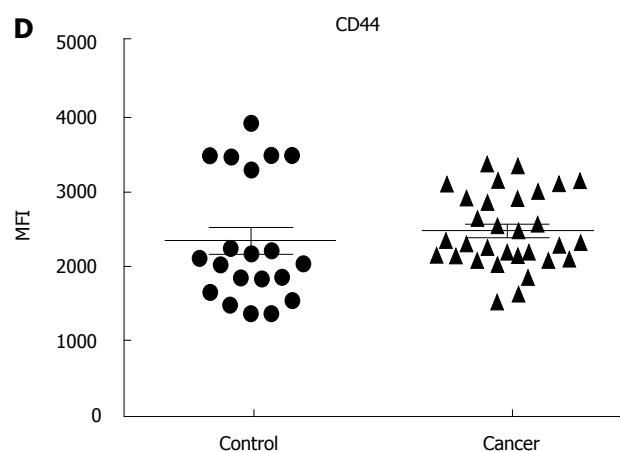
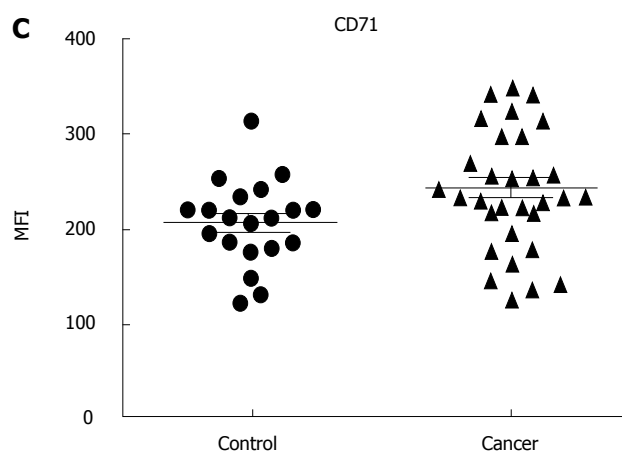
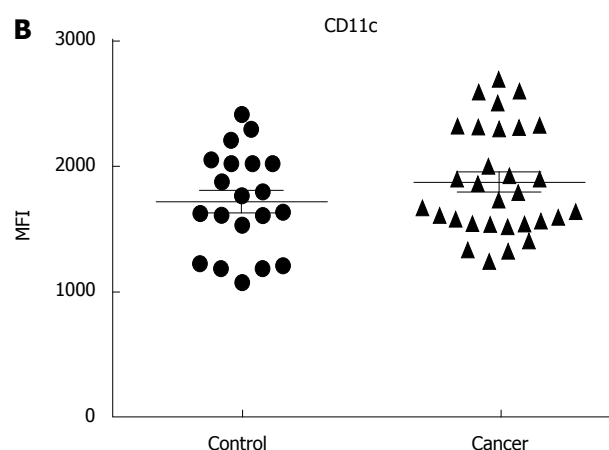
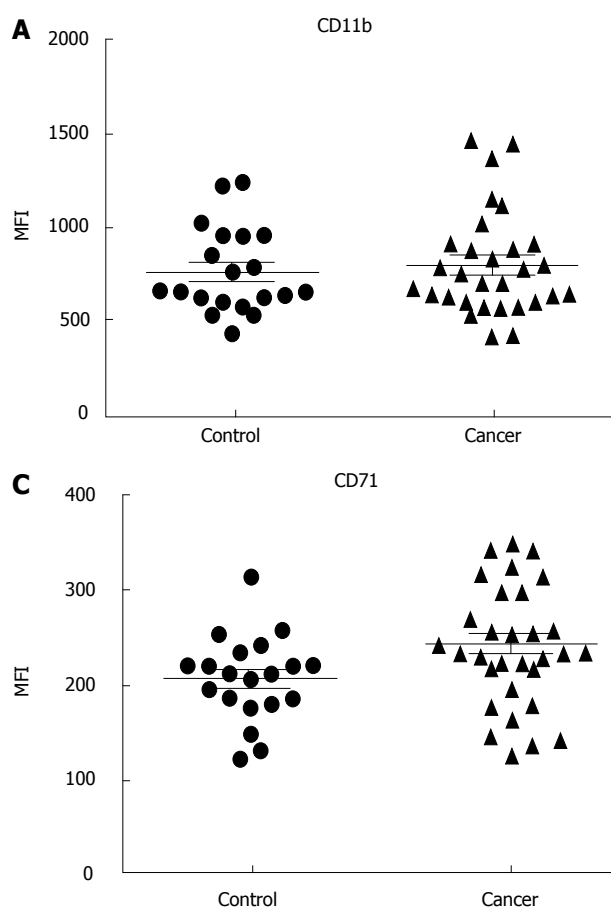


Figure 3 CD11b, CD11c, CD71 and CD44 expression on (CD14+/CD16-) blood classical monocytes in patients with primary non-small cell lung cancer compared to controls. Graphs show the mean values of MFI \pm SEM of (A) CD11b, (B) CD11c, (C) CD71 and (D) CD44 markers from patients with non-small cell lung cancer vs non-cancer controls.

were similar between patient groups. The %SE and MFI of the myeloid marker CD11b marker was not different between cancer and non-cancer control subjects: %SE ($P = 0.58$), MFI ($P = 0.61$). Also CD71 results indicated that there were no significant differences in the %SE ($P = 0.97$) and MFI ($P = 0.41$) of the transferrin receptor marker in patients with NSCLC compared to non-cancer controls.

CD11c results showed that there were no significant difference in the %SE ($P = 0.93$) and MFI ($P = 0.20$) of the CD11c in patients with NSCLC compared to non-cancer controls. In addition, CD44 results revealed that there were no significant differences in the %SE ($P = 0.50$) and MFI ($P = 0.53$) of the CD44 marker in NSCLC patients compared to non-cancer controls. The graphs (Figure

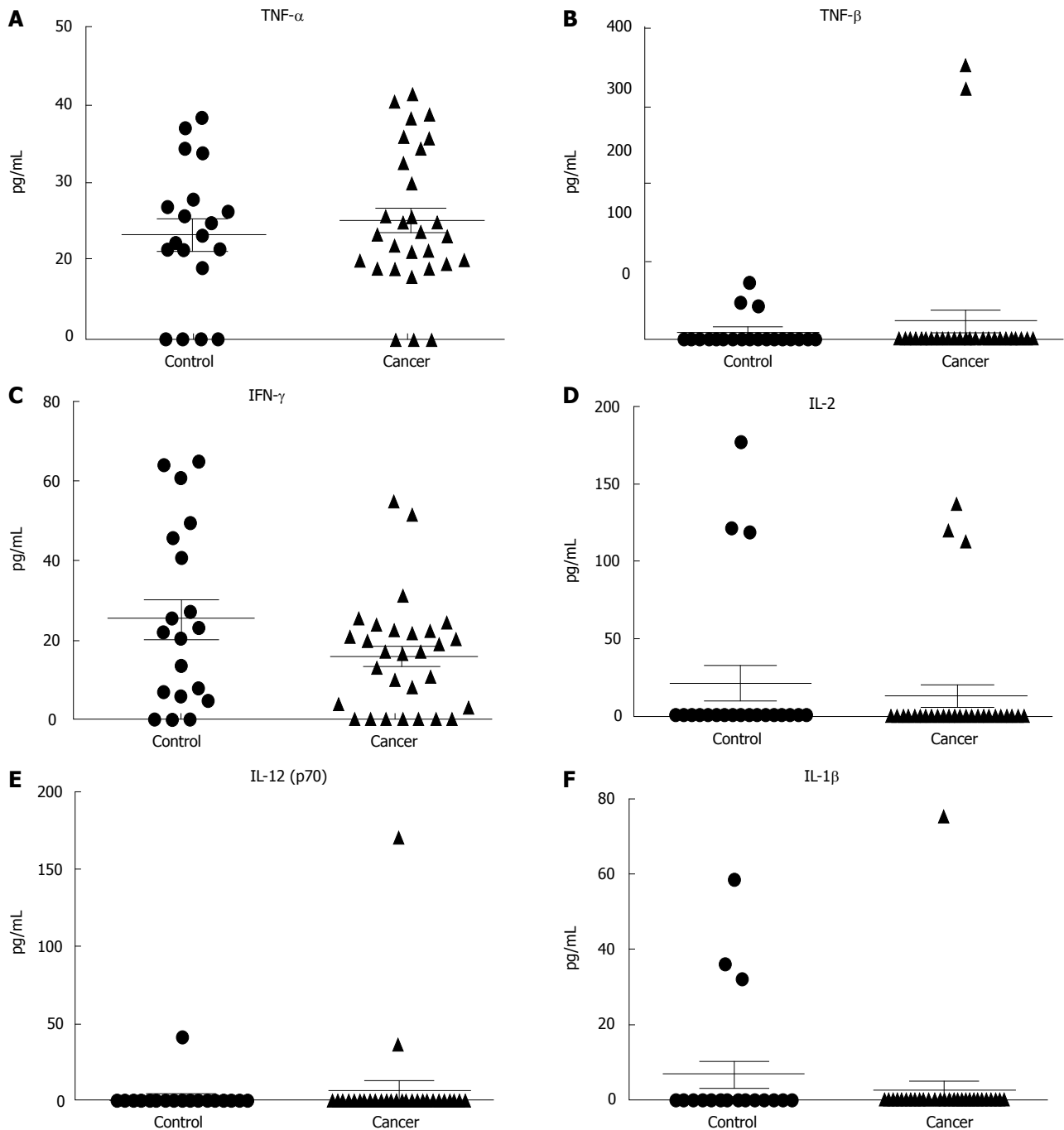


Figure 4 Th1 cytokine secretion profiles in plasma of patients with non-small cell lung cancer compared to controls. Whole plasma was analysed for (A) TNF- α , (B) TNF- β , (C) IFN- γ , (D) IL-2, (E) IL-12 (p70) and (F) IL-1 β by cytometric bead array technique using flow cytometry. Data was analysed using the FCAP Array™ v3.0.1 Software (BD Biosciences) and results are expressed as mean (pg/mL) \pm SEM. IL: Interleukin; TNF: Tumor necrosis factor; IFN: Interferon.

3) show MFI \pm SEM of all the markers (CD11b, CD71, CD11c and CD44) in classical monocytes from non-cancer controls and cancer patients (%SE results not shown).

No significant difference in plasma levels of Th1/Th2 in patients with primary lung cancer compared to non-cancer controls

Cytokine analysis revealed no significant difference in Th1/Th2 cytokines plasma levels in patients with primary lung cancer compared to non-cancer controls (Fig-

ures 4 and 5). Monocytes are known to produce several cytokines, chemokines including IL-1 β , IL-2, IL-4, IL-5, IL-8, IL-10, IL-12 (p70), TNF- α , TNF- β , and IFN- β . Cytokines were analysed in patients with NSCLC and non-cancer controls by CBA analysis. Cytokines that were detectable in patient plasma samples included Th1 cytokines TNF- α , TNF- β , IFN- β , IL-2, IL-12 (p70), IL-1 β and Th2 cytokines IL-4 and IL-5 showed no significant differences between patients with NSCLC compared to non-cancer controls.

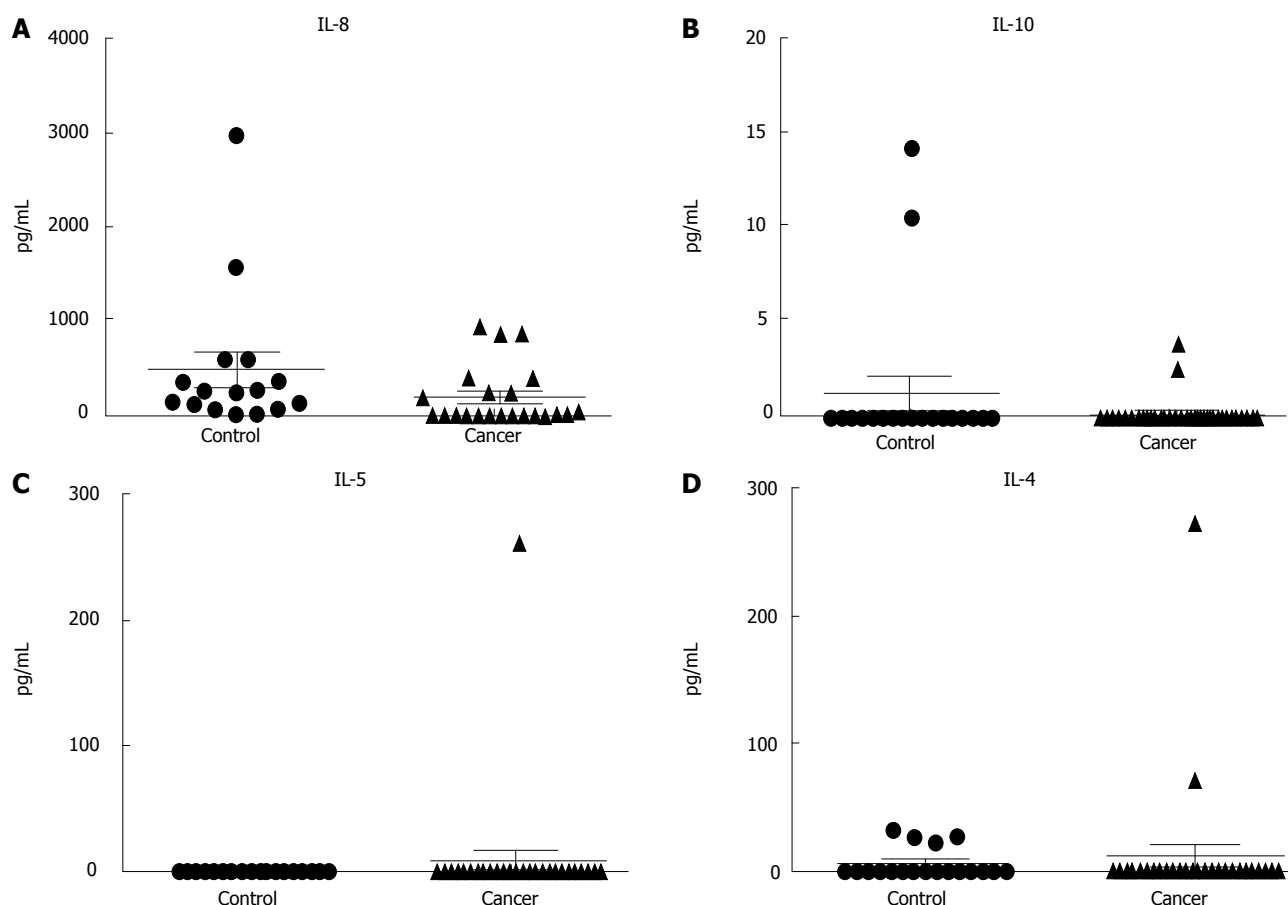


Figure 5 Th2 cytokine secretion profiles in plasma of patients with non-small cell lung cancer compared to controls. Whole plasma was analysed for (A) IL-8, (B) IL-10, (C) IL-5 and (D) IL-4 by cytometric bead array technique using flow cytometry. Data was analysed using the FCAP Array™ v3.0.1 Software (BD Biosciences) and results are expressed as mean (pg/mL) \pm SEM.

DISCUSSION

The concept that the immune system has a protective role in tumour development is well established^[3]. Recent work has demonstrated that the immune system can both prevent tumour formation but potentially function to promote tumour initiation and progression^[4]. In particular, immune cells such as blood monocytes can function differently depending on the cancer types^[8]. While some studies indicated at monocyte function in cancer patients within normal range^[7,15], other studies have shown impairment in monocyte function^[6].

In this study we demonstrated that the phenotype of freshly blood classical monocytes from patients with NSCLC is not altered and does not show skewing from a pro-tumour (M1) to an anti-tumour (M2) phenotype. There were no significant differences in expression of M1 marker (HLA-DR), M2 markers (CD163 and CD36), CD11c and CD44 marker, myeloid marker CD11b and/or transferrin receptor CD71 in patients with NSCLC compared to non-cancer controls. In addition, there were no significant differences in the secretion of Th1/Th2 cytokines between NSCLC patients and non-cancer controls.

M1 phenotype was assessed here using HLA-DR. HLA-DR molecule plays a vital role in the immune re-

sponse by regulating the interaction between antigen-presenting cells including monocytes^[16,17]. It has been described as an M1 marker in the monocyte-macrophage system^[18]. Some studies have reported reduced HLA-DR expression on blood monocytes in human cancers^[5,19]. As well as examining M1 phenotype, M2 phenotype markers (CD163 and CD36) were used to investigate skewing from M1 to M2 markers on blood classical monocytes in patients with NSCLC. CD163 is a scavenger receptor that plays a major role in the anti-inflammatory response and has been identified as a M2 marker^[20,21]. CD36 is also expressed on monocytes and is involved mainly in phagocytosis^[21,22]. Sugai *et al.*^[23] studied the alteration of monocyte characteristics by examining the intracellular expression of IL-10 and IL-12 cytokines^[23]. They found that patients with advanced gastric cancer had different monocyte phenotypic characteristics compared to those with early stage cancer and non-cancer control subjects^[23]. In our study, there were no significant differences in expression of CD163 and CD36 between non-cancer controls and NSCLC patients. Also there was no apparent influence of tumour stage upon expression of these markers. These results when viewed in the context of previous studies raises questions regarding the impact of experimental design such as culturing and the use of molecules like

lipopolysaccharide (LPS) on monocyte polarisation and function.

CD11b and CD11c are myeloid cell markers that are expressed on monocytes and macrophages^[24]. CD11b has been shown to play a major role in many functions of myeloid cells including adhesion, migration, chemotaxis and phagocytosis^[24,26]. In this study we therefore investigated the effect of NSCLC on the monocyte expression of CD11b and CD11c. There were no significant differences in expression of CD11b and CD11c in NSCLC patients compared to non-cancer controls. These results are consistent with Mariotta *et al*^[7] study outcomes, which suggested NSCLC does not affect monocyte adherence and phagocytosis in lung cancer patients compared to healthy controls^[7].

Another marker that was examined was transferrin receptor (CD71). CD71 is known to be associated with rapidly proliferation cells such as cancer cells and plays a major role in cell growth and DNA synthesis, proliferation and cell survival^[27,28]. Increased CD71 expression has been demonstrated in cancer patients including lung cancer in lung tissue and BALF (bronchoalveolar lavage fluid) but not in blood serum^[28,29]. Dowlati *et al*^[28] investigated soluble CD71 in the serum of NSCLC patients. They verified no difference in the level of secreted CD71 in blood serum between NSCLC and control groups^[28]. Similar to this outcome we demonstrated that there were no significant differences in surface expression of CD71 on classical monocytes in NSCLC patients compared to non-cancer controls.

CD44 expression was also investigated in this study as it has been suggested a potential marker of tumour initiation in lung cancer. Elevated CD44 expression has been observed in the serum of cancer patients such as gastric and renal cancer^[30,31]. However, another study showed that NSCLC does not influence CD44 expression in the serum of NSCLC patients compared to benign lung disease^[15]. Similarly, this study revealed no significant difference in surface expression of CD44 on classical monocytes in NSCLC patients compared to non-cancer controls.

The presence of cytokines is essential for immunity initiation. Th1 cells have been found to play a major role in anti-tumour immunity and stimulation of cell-mediated responses. Pro-inflammatory cytokines such as TNF- α and IFN- γ are known to stimulate Th1 cells. In contrast, Th2 cells are known to act as the helper cells that influence B-cell development and produce anti-inflammatory cytokines such as IL-4 and IL-10^[32,33]. Analysis of Th1 and Th2 cytokines in the plasma revealed no differences in NSCLC patients in compared to non-cancer controls. Similarly, Gürsel *et al*^[34] also observed no differences in TNF- α concentration between pleural effusion and serum in patients with cancer^[34]. Although many studies have not looked at specific cytokine profiles in lung cancer, it has been shown that freshly prepared monocytes do not show any differences in pro-inflammatory and anti-inflammatory cytokine responses except IL-12 (p70)

in endometrial cancer patients compared to controls^[6].

Although the findings of this study are interesting, there are some limitations including an inability to compare results from subtypes within NSCLC grouping as all patients samples were lung adenocarcinoma and squamous cell lung carcinoma and no large cell lung carcinoma. Also the majority of samples were from patients with advanced stage disease, so we could not confidently address the question of whether the NSCLC influences monocyte function and polarisation changes with tumour progression. In future studies, examining monocyte polarisation and function in non-cancer controls *vs* lung cancer should be done on all monocytes subset by using freshly un-stimulated monocytes as well as cytokine treated monocytes at the same time to observe any variation that may occur. Also other lung cancer subtypes should be considered to inspect if they have any potential role in altering monocyte functions and phenotypes.

Our results demonstrate that freshly isolated peripheral blood monocytes from patients with NSCLC (lung adenocarcinoma and squamous cell lung carcinoma) do not show an altered phenotype and/or cytokines secretion. Therefore, these results suggest that peripheral classical monocytes are not altering into M2-like phenotype in bloodstream. More studies are needed to investigate the connections between monocyte-macrophage phenotype polarisation and tumour progression associated with lung cancer.

COMMENTS

Background

Lung cancer is a common cancer that has high incidence and death rates. Monocytes play an important role in the immune response against tumour cells including lung tumour cells. Diverse monocyte phenotypes were defined previously and they act differently to tumour cells.

Research frontiers

The role of monocyte phenotype in human lung cancer patients is conflicting and still need further investigations. More studies are needed to investigate the connections between monocyte-macrophage phenotype polarisation and tumour progression associated with lung cancer.

Innovations and breakthroughs

Previous studies highlighted the importance of monocyte phenotype and function in patient with cancer including lung cancer. This is the first study that has broadly analysed classical monocytes to give a better understanding of the lung cancer, monocyte phenotype and some functions.

Applications

This study suggests that there is no monocyte-specific systemic impairment in patients with lung cancer (in particular, lung adenocarcinoma and squamous cell lung carcinoma subtypes).

Terminology

Monocytes are immune cells that are known to play an important part of the innate immune response to cancer.

Peer review

This is an interesting study. Good design and analysis. Good writing and focus.

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