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Retrospective Study

Enhanced segmentation of gastrointestinal polyps from capsule endoscopy images with artifacts using ensemble learning

Zhou JX et al. Segmentation of images with artifacts

#### Abstract

#### BACKGROUND

Endoscopy artifacts are widespread in real capsule endoscopy (CE) images but not in high-quality standard datasets.

#### AIM

To improve the segmentation performance of polyps from CE images with artifacts based on ensemble learning.

#### **METHODS**

We collected 277 polyp images with CE artifacts from 5760 h of videos from 480 patients at Guangzhou First People's Hospital from July 2017 to July 2019. Two public high-quality standard external datasets were retrieved and used for the comparison experiments. For each dataset, we randomly segmented the data into training, validation, and testing sets for model training, selection, and testing. We compared the performance of the base models and the ensemble model in segmenting polyps on images with artifacts.

# **RESULTS**

The performance of the semantic segmentation model was affected by artifacts in the sample images, which also affected the results of polyp detection by CE using a single model. The evaluation based on real datasets with artifacts and standard datasets showed that the ensemble model of all state-of-the-art models performed better than the best corresponding base learner on the real dataset with artifacts. Compared with the corresponding optimal base learners, the intersection over union (IoU) and Dice of the ensemble learning model increased to different degrees, ranging from 0.08% to 7.01% and 0.61% to 4.93%, respectively. Moreover, in the standard datasets without artifacts, most of the ensemble models were slightly better than the base learner, as demonstrated

by the IoU and Dice increases ranging from -0.28% to 1.20% and -0.61% to 0.76%, respectively.

#### CONCLUSION

Ensemble learning can improve the segmentation accuracy of polyps from CE images with artifacts. Our results demonstrated an improvement in the detection rate of polyps with interference from artifacts.

**Key Words:** Artifacts; Capsule endoscopy; Polyps; Ensemble learning; Segmentation; Robustness

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Core Tip: Artificial intelligence has been widely used in capsule endoscopy (CE) to detect gastrointestinal polyps; however, it is often impaired by artifacts in clinical practice. At present, clear and high-quality images without artifacts are usually selected for research, which has not yet produced practical assistance regarding artifact interference. In this study, we demonstrate that ensemble learning can improve the segmentation performance of polyps under the interference of artifacts, which has a significant auxiliary role in the detection of polyps in clinical practice.

#### 1 INTRODUCTION

Colorectal cancer (CRC) is the second leading cause of death in the United States<sup>[1]</sup>. In China, an estimated 1101653 new cancer cases and 709529 cancer deaths from gastric cancer and CRC will occur in 2022, placing China first worldwide because of its large population<sup>[1]</sup>. Although other gastrointestinal lesions, such as erosions and ulcers, can also develop into cancers, most gastrointestinal cancers arise from precancerous polyps,

which are the most common lesions found on endoscopy<sup>[2]</sup>. Therefore, early detection and removal of gastrointestinal polyps under endoscopy are critical for preventing gastrointestinal cancers[3-6]. Traditional gastroenteroscopy is widely used for the clinical assessment of gastrointestinal lesions. However, there are still some deficiencies, such as invasiveness and incomplete inspection of the site[7]. Additionally, some patients with small bowel diseases who have contraindications or are averse to undergoing gastroenteroscopy are more likely to use safer and non-invasive capsule endoscopy (CE) for visual examination of the digestive tract<sup>[8,9]</sup>. CE usually takes 8-12 h, which is not only time-consuming but also highly operator-dependent<sup>[10,11]</sup>. Otherwise, deep learning (DL) has greatly improved the sensitivity and specificity of CE for polyp detection while saving time<sup>[12]</sup>. Studies have indicated that for every 1% increase in the detection rate of colorectal adenoma, the risk of CRC can decrease by 3%[4]. However, inadequate intestinal cleansing can produce various artifacts, such as motion blur, specular reflections, bubbles, and debris (Figure 1), which can interfere with image reading, reduce the detection rate of polyps, cause patients to miss treatment, and increase the risk of tumor development<sup>[13,14]</sup>. In addition, high-quality and clear standard datasets that rarely appear in clinical practice are often used in these studies[15-<sup>17</sup>, and the intestinal lumen is often fully dilated in these images (Figure 2). This is significantly different from CE images with natural contraction of the intestinal lumen, which can present various artifacts (Figure 1). Therefore, these methods are often less effective in clinical practice. Hence, identifying gastrointestinal polyps and other lesions to the maximum extent when the gastrointestinal tract is insufficiently cleansed and dilated with interference factors, such as fecal residue, cloudy liquid, and bubbles in the lumen, is one of the biggest challenges in the application of artificial intelligence (AI) for CE in clinical practice and is of great concern to clinicians.

Currently, DL is a popular topic in the field of AI. It is based on the construction of computational models by simulating the neural network structure of the human brain<sup>[18]</sup>. Semantic segmentation is a part of DL algorithms that segments different objects according to each marked pixel in an image<sup>[19]</sup> (Figure 3). Some studies have

proposed semantic segmentation models for medical images, such as SegNet<sup>[20]</sup>, U-Net<sup>[21]</sup>, Attention-UNet<sup>[22]</sup>, Resnet-UNet<sup>[23]</sup>, and HarDMSEG<sup>[24]</sup>. These studies have shown the significant superiority of various types of medical image semantic segmentation, as well as the feasibility of these models in tests with standard datasets. To improve the robustness of these models, researchers have begun to apply ensemble learning to medical image segmentation, not through a single model, but by combining several basic models to ensure the best prediction performance<sup>[25-27]</sup>. However, AI currently has limited ability to identify intestinal lesions with insufficient cleansing. For example, the detection rate of polyps in CE with a clean intestinal tract is significantly higher than that in CE with a dirty intestinal tract. In clinical practice, intestinal cleansing is not always performed well, and may not generate a clean image. Additionally, each patient has factors that can affect the identification by AI, such as insufficient intestinal distension, intestinal fecal residues, liquid residues, and air bubbles, resulting in the insufficient actual use of AI in clinical studies and low reliability.

In the present study, we combined semantic segmentation and ensemble learning methods for the first time to analyze CE images with artifacts. We then compared the performance of the ensemble and single models to further improve the detection rate of polyps. Our results demonstrate that ensemble learning can be used to reduce the influence of artifacts, which has a significant auxiliary role in the detection of polyps in clinical practice. To the best of our knowledge, this is the first study to propose the use of ensemble learning and semantic segmentation to reduce the negative impact of artifacts on model performance in clinical practice. Overall, our current findings have instructive significance for improving the analysis of medical images with artifacts in clinics.

# **MATERIALS AND METHODS**

This retrospective study was approved by the Ethics Committee of Guangzhou First People's Hospital. All images were collected from videos of Ankon and given CE. This study has no conflicts of interest and did not receive any funding.

#### Data preparation

We collected 277 polyp CE images with artifacts selected from 5760 h of videos from 480 patients suffering from gastrointestinal disorders who received CE at Guangzhou First People's Hospital from July 2017 to July 2019. The selection criteria for the experimental images were as follows: (1) The lumen on the picture was in a natural contraction state; (2) Images of the digestive tract with polyps; and (3) Artifacts in the lumen, such as feces, motion blur, specular reflections, bubbles, and debris. The polyps in these experimental images were verified for authenticity by using a large number of clear videos and photos containing the polyps or double-balloon enteroscopy. Additionally, to ensure the accuracy and rigor of the data annotation, the image data were obtained by an experienced gastroenterologist who watched the video recordings, extracted the frames where the polyps were captured through ES Navi, and annotated the pixel points of the polyp lesions using Labelme. Next, the annotated polyp profiles were carefully reviewed by two other experienced gastroenterologists. The processing time for each patient's video was approximately 4-5 h. Before applying the dataset in the experiments, we cut off the black boxes of the images that typeset the patient's name and other information to obtain  $512 \times 512$  images.

The other class of data comprehends publicly available high-quality datasets with images that rarely have artifacts and included the CVC\_Colon<sup>[16]</sup> dataset (created by the Computer Vision Center and Computer Science Department, Universitat Autònoma de Barcelona) and the CVC\_Clinic<sup>[17]</sup> dataset (captured by the Hospital Clinic, Barcelona, Spain, and labeled by the Computer Vision Center, Barcelona, Spain). CVC\_Colon provided 380 colonoscopy images containing polyps with a frame size of 500 × 574 pixels. Similarly, the CVC-Clinic contained 612 still images with a size of 288 × 384 from 29 different sequences. Both datasets are frequently used in gastrointestinal endoscopic

computer-assisted polyp detection studies, and several representative studies have used these datasets in their experiments.

When using these datasets, we cropped or padded the edges of the images for two reasons. First, black edges or information, such as patient and time on the edges of the images, have no effect on the polyp region segmentation. Second and the main reason is that when we cross-sectionally compared various base learners in our experiments, the convolution and pooling designs of some of them were found to be more suitable for images whose length and width were both divisible by powers of two. Therefore, to minimize the changes in the hyperparameters of these base learners, we cropped or padded the input images to match the model hyperparameter design. Finally, the GZ\_Capcam dataset contains 277 images of size 512 × 512, the CVC\_Clinic contains 612 images of size 288 × 384, and the CVC\_Colon dataset contains 380 images of size 512 × 576; all images are eight-bit three-channel color images<sup>[17]</sup>. All images used in this study contained at least one polyp class, including the standard datasets.

# Snapshot ensemble method

In supervised learning problems, we always expect to obtain models that perform well and are stable in all aspects; however, owing to the presence of randomness, the trained models are not always ideal, and the models obtained always have prediction preferences. The main goal of ensemble learning is to combine weak models to build a more integrated and comprehensive model that integrates the strengths of weak models. The snapshot ensemble method is a type of ensemble learning for DL models and was used in the present study<sup>[28]</sup>.

In the DL method, the model parameters are adjusted according to the gradient of the objective function, as shown  $\theta_t \coloneqq \theta_{t-1} - \alpha \frac{\partial}{\partial \theta_{t-1}} J(\theta_{t-1})$ . The parameters of the model take a step in the direction of the gradient descent at each iteration, and the size of the step depends on both the size of the current gradient and the learning rate, as shown  $\theta_t \coloneqq \theta_{t-1} - \alpha \frac{\partial}{\partial \theta_{t-1}} J(\theta_{t-1})$ , where  $\theta_t$  denotes the model parameters in time step t and  $\alpha$ 

denotes the learning rate. Usually, to speed up convergence and prevent DL models from repeatedly jumping at different local optima during training, the learning rate decays as the number of iterations increases, eventually causing the model to fall into a certain local optimum and not jump out. The core idea of the snapshot ensemble method is to restart the learning rate when it decays to less than a certain threshold so that the model jumps out of the current local optimum and finds a new local optimum nearby and converges, and  $\alpha(t) = \alpha_0 \gamma^{\left\lfloor \frac{mod(t-1,T)}{M} \right\rfloor}$ , and Figure 4 show the specific changes in the learning rate, where  $\alpha_0$ ,  $\gamma$ , M, and T represent the initial learning rate, learning rate decay rate, number of epochs per learning rate decay, and number of epochs per learning rate restart cycle, respectively. In the snapshot ensemble method, the model that is at the local optimum before each restart learning rate is recorded as a weak model, and in the end, the prediction results of multiple weak models are integrated by ensemble voting. In the process of training, we set the number of learning rate restart cycles to 13, the learning rate decay rate to 0.3, and the number of epochs per learning rate decay to 10 and perform a total of 75 epochs in each cycle, i.e., 0.3 for y, 10 for M and 75 for T in  $\alpha(t) = \alpha_0 \gamma^{\left\lfloor \frac{mod(t-1,T)}{M} \right\rfloor}$ . In other words, the learning rate is reduced to 0.3 of the previous value every 10 epochs of training and reverts to the initial learning rate setting of 0.3 after 75 epochs. The model parameters that perform best on the validation set are retained in these 75 epochs as the parameters of the weak model. The entire training process lasted for 13 cycles, that is, we ended up with 13 weak learners. In the integration phase of weak models, we selected three, five, and seven weak learners with the best performance on the validation set and obtained the prediction results of the ensemble model by vote ensemble. All computational processes, including data preprocessing, model training, validation, and testing, were performed through Python programming. We built the model using PyTorch, and all experiments were based on an NVIDIA Titan V GPU. Figure 5 shows the change in validation loss in the experiment with the UNet model on the CVC\_Colon dataset. The light pink line

indicates the epochs of the restart learning rate, and the red points indicate the epochs of preserving the weak models.

# State-of-the-art segmentation models

To show that the ensemble classification was effective in improving the segmentation in comparison with the single model when dealing with medical images with artifacts, and to illustrate the generality of its enhancement effect, we used five existing state-ofthe-art (SOTA) segmentation models as base learners; SegNet<sup>[20]</sup>, which is proposed to solve the deep network model of image semantic segmentation for autonomous driving or intelligent robots, and is mainly based on full convolutional networks; U-Net<sup>[21]</sup>, which performs well on neuron structure segmentation datasets with only a small number of annotations, and is a basic solution for medical image analysis of small datasets; Attention-UNet[22], which is an improved model based on U-Net, and achieves performance beyond that of the U-Net model for semantic segmentation of human organs on abdominal three-dimensional computed tomography scans; ResNet-UNet<sup>[23]</sup>, which is also an improved version of U-Net, and gets outstanding performance on the public challenge of identifying pneumothorax diseases on chest x-rays; and HarDMSEG<sup>[24]</sup>, which is an efficient image segmentation model, and achieves SOTA level in terms of both computational efficiency and analytical accuracy, in comparison experiments to illustrate that ensemble learning method improves their analysis capability in the face of images with artifacts.

# Setup of the comparison experiments

First, we randomly divided the experimental and public data into training (195 images), validation (41 images), and testing (41 images) sets. The model was trained using the training set, and the best model was selected for the final test on the validation set to ensure that the model did not overfit the final test data. Finally, we tested the model using the testing set. For each model and dataset pair, multiple cycles of the learning rate restart were performed during the training phase. The best-performing model,

evaluated using the validation set data in each restart learning rate cycle, was retained as a weak model. Finally, every weak model and the strong model comprehending several of the best weak models were evaluated using the validation set and tested using the testing set. Figure 6 shows the overall experimental design.

#### Outcome measures

The intersection over union (IoU) and Dice coefficients (Figure 7) are the most widely used metrics for semantic segmentation problems<sup>[29-31]</sup>. Both metrics measure the similarity between the sets of real and predicted regions. The calculation process is illustrated in Figure 7, where the area of intersection denotes the number of pixels in the intersection between the prediction area and ground truth, and the area of the union denotes that of the union. These two metrics were used to assess the performance of the segmentation models.

#### **RESULTS**

In summary, we performed two sets of comparison experiments using SOTA base models for the two types of datasets. In the first experiment, we compared the performance of the ensemble learning model with that of single models on a dataset with artifacts. In the second experiment, we compared the performance of the single models with that of the ensemble model on high-quality datasets without artifacts. Finally, we compared the differences between the improvements of the ensemble learning method for datasets with and without artifacts.

#### Comparison between the ensemble model and single models

First, we compared the performance of the ensemble learning model with that of single models on CE images for all the five aforementioned base learners. A total of 41 images from the test dataset of GZ\_Capcam were used for the final test. These test images were used only in the final testing phase to avoid data leakage and the consequent erroneous evaluation of the models. To illustrate that the ensemble learning model improves the

performance of the single model on the artifact-infected dataset, we replicated all base learners mentioned in the previous section to illustrate the robustness of the conclusions in this study.

For U-Net, three test samples in the GZ-Hospital dataset were selected to compare the performances of the single and ensemble models (Figure 8). In Figure 8, we can see that the semantic segmentation model was affected by different noises, such as stains, blurs, and light-dark variations in the sample images, leading to results that were not always clear. However, the performance of the ensemble model often met or exceeded the best results of a single model, indicating that a model constructed based on ensemble learning can effectively mitigate the effects of artifacts on the performance of the semantic segmentation model.

The results for the GZ\_Capcam dataset are presented in Table 1, which includes images rich in artifacts. The IoU and Dice metrics were calculated, as previously described. The performances of the single and ensemble models on the test set are presented in Supplementary Table 1. The results for all five basic learners on the CE dataset showed that the ensemble model outperformed the single models. Compared with single models, specifically, on the dataset with artifacts, the ensemble learning models with SegNet, U-Net, Attention-UNet, Resnet-UNet, and HarDMSEG as the base learners improved the detection by 0.08%, 7.01%, 3.88%, 5.13%, and 2.22%, respectively, using the IoU metric, and 1.71%, 4.93%, 1.40%, 2.86%, and 0.61%, respectively, using the Dice metric. Overall, the ensemble model outperformed the single models. The performance of a truly single model, that is, a model obtained from a single training validation, was consistently worse than that of the ensemble model, as shown in the results for the weak models excluding the best one.

#### Comparisons using datasets without artifacts

Similarly, we checked the performance of the single and ensemble models using standard datasets (Figure 9, Tables 2 and 3). The performances of the single and ensemble models on the test set are presented in Supplementary Tables 2 and 3. By

comparing the results presented in Figures 8 and 9, we found that the ensemble learning method can improve the robustness of the semantic segmentation model when the dataset is affected by artifacts.

#### DISCUSSION

In the present study, we demonstrated that current computer-aided medical image analysis methods performed poorly in the presence of artifacts that were previously ignored. Nevertheless, almost every patient presents with insufficient intestinal cleansing. Thus, we used ensemble learning to improve the existing AI models and enhance their robustness in dealing with images with artifacts. Previous studies have extensively analyzed and concluded that integrated learning methods improve the robustness of medical image classification in a credible manner<sup>[32]</sup>. By improving the segmentation performance of the model, we can separate polyps more accurately from surrounding tissues, which can improve the detection probability of polyps and aid in monitoring the size of polyps in patients with unresectable polyps<sup>[33-36]</sup>. Semantic segmentation provides pixel-level classification and clearer polyp boundaries, which are also crucial in surgical procedures or radiofrequency ablation and is expected to be used for real-time detection of polyp boundaries in surgical resection under gastroenteroscopy to assist polyp resection<sup>[33,36]</sup>. More in-depth studies have shown that the noise immunity of single models is weaker than that of integrated learning models[37], and clinical images, such as the CE images used in this study, are not always perfect in terms of image quality.

We used CE image datasets as samples, mainly because CE is an increasingly widely used and safe form of endoscopy but also has many artifacts<sup>[15,38]</sup>. The ensemble learning approach was tested for 15 pairs, consisting of three datasets and five SOTA segmentation models. The results showed that for CE images with various artifacts, ensemble learning improved the analytical performance of AI models. Herein, we demonstrate that ensemble learning can reduce the influence of artifacts on the semantic segmentation of CE images, which might also apply to other medical images.

In general, artifacts are prevalent in medical images and seriously challenge the performance of existing computer-aided diagnosis (CAD) models; therefore, this study discusses the enhancement of ensemble learning methods for CAD models to analyze images with artifacts, mainly using CE images as an example in the experiment. In addition, our experiments did not involve the injection of a priori knowledge of gastroenterology; in other words, the use of the ensemble learning approach mentioned in this paper does not imply any additional workload or workflow reordering. The only additional cost associated with the method is the computational resources. Additionally, from the perspective of DL, better model performance often relies on more model parameters and computational resources. Methods that already use DL models can easily apply ensemble learning methods to improve model performance without the need for additional workflow tuning. It is worth mentioning that, although the ensemble learning approach can improve the robustness of CAD image analysis models, misuse may lead to a less-than-expected improvement in the model's effectiveness, mainly because the essence of ensemble learning is to reduce model variance, and when the variance of a single model is already very low, the improvement brought by ensemble learning may be very limited.

From the experimental results, although the ensemble learning approach improves the performance of the segmentation model on the dataset with artifacts, there are still false-positive and false-negative cases. On the one hand, the main reason for false-negative cases is that the model confuses normal-color polyps with normal gastrointestinal folds or confuses abnormal-color polyps with artifacts, such as yellow bubbles. However, the main cause of false-positive cases was that some artifacts or normal folds had a high similarity with polyps in the image, which led the model to misidentify them as polyps. Overall, the main reason for segmentation errors is that the color and texture are highly confusing, and we will further attempt to improve the ability of the model to distinguish polyps, normal tissues, and artifacts in a subsequent study.

In clinical practice, video frames can be completely infested with artifacts, making the content of the image simply unrecognizable. Therefore, the appearance of these frames is inevitable in clinical practice. In the present study, we confirmed the authenticity of polyps in pictures with artifacts by using more images, videos, and other inspection methods. Thus, we solved the dilemma of applying AI to these medical images. However, our study has some limitations. For example, the images were insufficient and did not involve lesions other than polyps.

We believe that the direction of feature AI for CE imaging research lies in making existing computer models better serve clinical diagnosis in a practical sense rather than letting these methods stay in the laboratory. CE is commonly used to examine digestive diseases. In addition to polyps, many digestive diseases can be detected using CE. Thus, AI for CE imaging can be considered to enrich the diagnosis, localization, and grading of more forms of the disease, such as ulcers and erosions, to assist doctors in more refined disease research and diagnosis. In the future, we will validate the ensemble learning method in clinical practice to demonstrate that it can improve the detection rate of polyps in CE in the clinic and evaluate the potential of this method for other types of medical images or lesions<sup>[39]</sup>.

#### CONCLUSION

Ensemble learning can improve the semantic segmentation performance of AI models on CE images with artifacts.

#### ARTICLE HIGHLIGHTS

# Research background

Artificial intelligence (AI)-assisted capsule endoscopy (CE) can improve the detection rate of gastrointestinal polyps and reduce the incidence of gastrointestinal cancer.

# Research motivation

Most previous studies ignored the serious impact of the existence of a large number of artifacts in the real world on the detection ability of existing AI models for polyps in CE images.

# Research objectives

In this study, semantic segmentation and ensemble learning methods were combined to analyze polyp images of CE with artifacts, proving that ensemble learning methods can better solve the impact of artifacts in the real world.

#### Research methods

This study retrospectively analyzed CE images of patients at our research center from July 2017 to July 2019. Polyp images with artifacts were selected and randomly divided into a training set (195 images), a validation set (41 images), and a test set (41 images). Further validation was performed on two public datasets with good background quality.

#### Research results

Compared with the corresponding optimal base model, intersection over union and Dice are improved by 0.08%-7.01% and 0.61%-4.93%, respectively. For public datasets with good background quality, the segmentation performance of most ensemble learning models was better than that of a single model.

# Research conclusions

The ensemble learning method can improve the performance of semantic segmentation of polyps in CE images with artifacts.

#### Research perspectives

We will validate other digestive tract lesions and other medical images and perform real-time detection during endoscopic and surgical procedures.

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